

PAT McCRORY
Governor

NICHOLAS J. TENNYSON

Secretary

July 7, 2016

**MEMORANDUM TO:** 

Mr. Scott Cole, P.E.

Deputy Division 10 Engineer

FROM:

for

Philip S. Harris, III, P.E., Manager

Natural Environment Section

Project Development and Environmental Analysis Unit

SUBJECT:

Mecklenburg & Iredell Counties; I-77 HOT Lanes Project from I-277 to

eft

Exit 36; Federal Aid No. NHF-077-1(209)9;

WBS 45454.1.1; TIP I-5405, I-4750AA, & I-3311C; North Section

Attached are the US Army Corps of Engineers Individual Permit and N.C. Division of Water Resources (NCDWR) Water Quality Certification. All environmental permits have been received for the construction of the north section of the project.

A copy of this permit package will be posted on the NCDOT website at: <a href="https://connect.ncdot.gov/resources/Environmental/Pages/default.aspx">https://connect.ncdot.gov/resources/Environmental/Pages/default.aspx</a> Quick Links>Permit Documents> Issued Permits.

cc: w/o attachment (see website for attachments)

Mr. Randy Garris, P.E. State Contract Officer

Mr. Larry Thompson, Division Environmental Officer

Ms. Virginia Mabry, Priority Projects Office

Mr. Clarence Coleman, P.E., FHWA

Dr. Majed Al-Ghandour, P.E., Programming and TIP

Mr. Khaled Al-Akhdar, Design Build

Ms. Brenda Moore, P.E., Roadway Design

Mr. Robert Memory, Utilities Unit

Mr. Matt Lauffer, P.E., Hydraulics

Mr. Tom Koch, P.E., Structure Design

Mr. Mark Staley, Roadside Environmental

Mr. Ron Hancock, P.E., State Roadway Construction Engineer

Mr. Eric Midkiff, P.E., PDEA Western Section

Mr. Philip Ayscue, Office of Inspector General

Ms. Beth Harmon, Division of Mitigation Services

Ms. LeiLani Paugh, Natural Environment Section

# PROJECT COMMITMENTS

I-77 HOT Lanes Project From I-277 to Exit 36 Mecklenburg & Iredell Counties

# COMMITMENTS FROM PROJECT DEVELOPMENT AND DESIGN

#### **PDEA – CATS Coordination**

NCDOT will coordinate with the Charlotte Area Transit System (CATS) during final design and construction to minimize impacts to the operations of its park and ride lots in the project's study area.

The coordination has occurred.

#### **Division Construction & Build Team – USTs**

If any UST or other potential source of contamination is discovered during construction activities, NCDOT Geotechnical Unit should be notified of its presence immediately upon discovery. An assessment will then be conducted to determine the extent of any contamination and identify the potential impacts and potential measures to address the issue.

# **Hydraulics – Floodplain Mapping**

The NCDOT Hydraulics Unit will coordinate with the NC Floodplain Mapping Program (FMP) to determine the status of the project with regard to the applicability of NCDOT'S Memorandum of Agreement with the NC Floodplain Mapping Program (April 22, 2013), or approval of a Conditional Letter of Map Revision (CLOMR) and subsequent final Letter of Map Revision (LOMR).

NCDOT Hydraulics and the Build Team coordination is on-going.

## **Division Construction & Build Team – FEMA Streams**

This project involves construction activities on or adjacent to FEMA-regulated stream(s). Therefore, NCDOT Divisions 10 and 12 shall submit sealed as-built construction plans to the NCDOT Hydraulics Unit upon completion of project construction, certifying that the drainage structure(s) and roadway embankment located within the 100-year floodplain were built as shown in the construction plans, both horizontally and vertically.

#### **Human Environment Unit – Noise Wall Aesthetics**

NCDOT will provide a visualization of what proposed walls could look like to assist local communities in making aesthetic decisions regarding noise walls adjacent to listed or eligible for listing properties.

This process has been completed.

#### **Human Environment Unit – Archaeological Anomaly.**

This project involves construction activities adjacent to a property with a known archaeological anomaly. If the adjacent property cannot be avoided, additional archaeological investigations will be necessary.

Additional archaeological investigations are not required.

#### Roadside Environmental, Division Construction, Build Team - DSSW

Erosion and sediment control BMPs will be implemented in accordance with NCDOT's *Design Standards* in *Sensitive Watersheds* during the design and construction of this project in and around all watersheds draining to Lake Norman and Byers Creek.

#### **Transportation Planning**

NCDOT will participate in the development of a MUMPO led comprehensive, multi-modal strategy to address the complex mobility issues in the Charlotte to Statesville corridor.

*NCDOT* will participate when an invitation is received from the CRTPO.

#### **Traffic Noise**

A Design Noise Report (DNR) was prepared for Project I-5405 (Atkins, October 22, 2012). After the I-5405 DNR was finalized, it was discovered that a new development had occurred adjacent to I-77 before the Date of Public Knowledge (10/16/13) that was not originally accounted for in the I-5405 DNR or the FONSI. The Legacy Apartment Homes development is located west of I-77 and north of Westmoreland Road. It includes apartments and townhouses, all with identified outdoor use. Because the development had occurred before the Date of Public Knowledge for the project, in accordance with NCDOT policy, a noise analysis needed to be prepared to determine impacts and evaluate noise mitigation.

An addendum to the DNR was prepared (Atkins, January 2016). The addendum determined that there would be noise impacts in the new development area, and a noise barrier (Barrier NW15A) along the shoulder of southbound I-77 north of Westmoreland Road was preliminarily reasonable and feasible. The final decision on feasibility and reasonableness and installation of the noise barrier will be made upon completion of the project final design and barrier feasibility review. If the barrier is determined feasible and reasonable, then a public balloting process for benefited receptors will be completed, in accordance with NCDOT policy.

#### **COMMITMENTS FROM PERMITTING**

No additional commitments have been developed from project permitting.



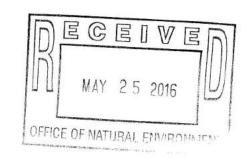
# DEPARTMENT OF THE ARMY WILMINGTON DISTRICT, CORPS OF ENGINEERS 151 PATTON AVENUE ROOM 208 ASHEVILLE, NORTH CAROLINA 28801-5006

May 20, 2016

Regulatory Division

Action ID: SAW-2012-00156

Mr. Philip S. Harris III, P.E. North Carolina Department of Transportation Project Development and Environmental Analysis Unit 1548 Mail Service Center Raleigh, North Carolina 27699-1598



Dear Mr. Harris:

Please reference the Department of the Army permit issued on January 28, 2016 for the I-77 High Occupancy Toll (HOT) lanes project (TIP #s I5405, I4750AA and I3311C) which starts north of NC 150 in Iredell County and continues south along I-77, deviating from I77 at the I-277 interchange and following I-277 approximately to terminate at North Brevard Street in Charlotte, Mecklenburg County, North Carolina. The January 28, 2016, permit authorized the permanent impact to 4,162 linear feet of stream channel (of which 225 linear feet is bank stabilization), <0.01 acre of wetland, and <0.01 acre of open water. Temporary impacts include impacts to 491 linear feet of stream channel, 0.1 acre of wetland, and 0.06 acres of open water. Please additionally reference your March 28, 2016, letter requesting a permit modification for the North Section (STIP Project I-4750AA) of the project.

The January 28, 2016, permit authorized preliminary impacts for the North Section and the March 28, 2016, permit modification request includes the final impacts for the North Section based on the final design. The final design impacts for the North Section are as follows:

Stream Site	Stream Name/ NRTR ID	Perm. Fil	lTemp. Fill (ac)	Permanent Channel impact (If)	Bank Stabilizatio n (If)	Temporary Channel Impacts (If)	Mitigation (If)
1-N	UT to Gambles Creek I SB	-	0.01	¥		263	-
2-N	UT to Work Creek I SF2	< 0.01	<0.01	9	100	15	9
3-N	UT to Work Creek I SHI	< 0.01	<0.01	18	23	20	18
4-N	Lake Norman/ SWI and SWJ <0.01		0.12	¥	8.0	-	-
TOTAL S	Stream Impacts	< 0.01	0.13	27	23	298	27

The final design for the North Section has resulted in a decrease of overall permanent impacts to streams by 40 lf, an increase of overall temporary impacts to streams by 263 lf feet and increase of total temporary impacts to open waters by 0.06 acre. The total revised impacts for the entire project are as follows:

Section	Design Stage	Wetland Impact Type	Wetland Impact Area	Stream Impact Type		110000000000000000000000000000000000000	Water	
			(ac)		foot	Type	Impact Area (ac)	
		Temp Impact	0.06	Permanent Impact	185			
Central	Final	Permanent		Bank Stabilization	pact ank lization porary apact ank pact ank lization anent apact ank lization porary apact ank lization porary apact and porary apact and porary apact and porary apact and apact and porary apac	NA	NA	
		Impact	<0.01	Temporary Impact	491			
	Final N			Permanent Impact	27	Permanent Impact	< 0.01	
North		al NA	0	Bank Stabilization	23	Temporary	0.12	
				Temporary Impact	298	Impact	0.12	
South	Preliminary	Temp Impact	0.04	Permanent Impact	3,662	NA	NA	
Total	Permanent	Impacts	<0.01		4,122		< 0.01	
Total	Temporary	Impacts	0.10		789		0.12	

After review of the file and the modification request we have determined, per Special Condition 1 of the January 28, 2016, permit, that the proposed modified final plans for the North Section have been minimized to the maximum extent practicable and that the compensatory mitigation plan for the North Section adequately compensates for the proposed impacts for the North Section. Further, we have determined that the proposed changes to the permit are minor and a supplemental public notice is not necessary. Based on coordination with United States Fish and Wildlife Serve (USFWS), consultation for the NLEB conducted prior to the issuance of the January 28, 2016, permit remains valid for the revised North Section and no further coordination with FWS is required. Accordingly your permit is hereby modified and a copy of the modified plans are enclosed.

The total impacts authorized by the January 28, 2016, permit and this modification include the permanent impacts to 4,122 linear feet of stream channel (of which 248 linear feet is bank stabilization), <0.01 acre of wetland, and <0.01 acre of open water. Temporary impacts include impacts to 798 linear feet of stream channel, 0.1 acre of wetland, and 0.12 acres of open water.

It is understood that all other conditions of the original permit remain applicable.

In accordance with Special Condition 1, construction on the Southern Section, STIP Project I-3311C, shall not commence until final design has been completed for this section, the permittee has minimized impacts to waters and wetlands to the maximum extent practicable, any modifications to the plans, and a compensatory mitigation plan have been approved by the US Army Corps of Engineers (the Corps).

Mitigation for the Central Section has already been completed. Mitigation for the North Section shall be provided in accordance with the provisions outlined on the most recent version of the attached Compensatory Mitigation Responsibility Transfer Form. The requirements of this form, including any special conditions listed on this form, are hereby incorporated as special conditions of this permit authorization.

You should address all questions regarding this authorization to Ms. Crystal Amschler at the Asheville Regulatory Field Office, telephone (828) 271-7980 extension 231.

Sincerely,

Kevin P. Landers, Sr.

Colonel, U.S. Army District Commander

#### Enclosures

cc (with enclosures):

Mr. John Sullivan, III, PE Division Administrator FHWA – NC Division 310 New Bern Avenue, Suite 410 Raleigh, North Carolina 27601

NOAA/National Ocean Service 1315 East-west Hwy., Rm 7316 Silver Spring, Maryland 20910-3282

Mr. Fritz Rohde National Marine Fisheries Service 101 Pivers Island Road Beaufort, North Carolina 28516 Ms. Amy S. Chapman Transportation Permitting Unit NC Division of Water Quality 1617 Mail Service Center Raleigh, NC 27699-1617

Ms. Marla Chambers Western Region Highway Project Coordinator NC Wildlife Resources Commission 12275 Swift Road Oakboro, North Carolina 28129

Ms. Marella Buncick US Fish and Wildlife Service Asheville Ecological Services Field Office 160 Zillicoa Street Asheville, North Carolina 28801-1082

Mr. Todd Bowers Wetlands Protection Section – Region IV Water Management Division U.S. Environmental Protection Agency 61 Forsyth Street, SW Atlanta, Georgia 30303

Mr. Doug Huggett NC Department of Environmental Quality Division of Coastal Management 400 Commerce Avenue Morehead City, North Carolina 28557

Dr. Pace Wilber National Marine Fisheries Service 219 Fort Johnson Road Charleston, South Carolina 29412-9110

North Carolina Dept. of Environmental Quality Division of Water Quality Transportation Permitting Unit Ms. Donna Hood 610 E. Center Ave, Ste 301. Mooresville, North Carolina 28115 Ms. Carla Dagnino
Western Region Environmental Program Supervisor II
NC Department of Transportation
Natural Environment Section
Project Development and Environmental Analysis
1598 Mail Service Center
Raleigh, North Carolina 27699 -1598

cc (without enclosures)

Mr. Roger Rochell, P.E., NC Department of Transportation Technical Services Administrator, 1516 Mail Service Center, Raleigh, North Carolina 27699-1516

# U.S. ARMY CORPS OF ENGINEERS

#### Wilmington District

# Compensatory Mitigation Responsibility Transfer Form

Permittee: North Carolina Department of Transportation Project Name: The I-77 High Occupancy Toll (HOT) lanes project (TIP #s I5405, I4750AA and I3311C)

North Section STIP Project I-4750AA

Action ID: SAW-SAW-2012-00156

County: Mecklenburg

Instructions to Permittee: The Permittee must provide a copy of this form to the Mitigation Sponsor, either an approved Mitigation Bank or the North Carolina Division of Mitigation Services (NCDMS), who will then sign the form to verify the transfer of the mitigation responsibility. Once the Sponsor has signed this form, it is the Permittee's responsibility to ensure that to the U.S. Army Corps of Engineers (USACE) Project Manager identified on page two is in receipt of a signed copy of this form before conducting authorized impacts, unless otherwise specified below. If more than one mitigation Sponsor will be used to provide the mitigation associated with the permit, or if the impacts and/or the mitigation will occur in more than one 8-digit Hydrologic Unit Code (HUC), multiple forms will be attached to the permit, and the separate forms for each Sponsor and/or HUC must be provided to the appropriate mitigation Sponsors.

**Instructions to Sponsor:** The Sponsor must verify that the mitigation requirements (credits) shown below are available at the identified site. By signing below, the Sponsor is accepting full responsibility for the identified mitigation, regardless of whether or not they have received payment from the Permittee. Once the form is signed, the Sponsor must update the bank ledger and provide a copy of the signed form and the updated bank ledger to the Permittee, the USACE Project Manager, and the Wilmington District Mitigation Office (see contact information on page 2). The Sponsor must also comply with all reporting requirements established in their authorizing instrument.

#### **Permitted Impacts and Compensatory Mitigation Requirements:**

Permitted Impacts Requiring Mitigation\* 8-digit HUC and Basin: 03050101, Catawba River Basin

Stream Impacts (linear feet)										
	Strear	n Impacts (linea	r feet)	Wetland Impacts (acres)						
	Warm	Cool	Cold	Riparian Riverine	Riparian Riverine   Riparian Non-Riverine   Non-Riparian   C					
	27									

<sup>\*</sup>If more than one mitigation sponsor will be used for the permit, only include impacts to be mitigated by this sponsor.

Compensatory Mitigation Requirements: 8-

8-digit HUC and Basin: 03050101, Catawba River Basin

Stream	Stream Mitigation (credits)			Wetland Mitigation (credits)						
Warm	Cool	Cold	Riparian Riverine Riparian Non-Riverine Non-Riparian Coast							
54										

## Mitigation Site Debited:

(List the name of the bank to be debited. For umbrella banks, also list the specific site. For NCDMS, list NCDMS. If the NCDMS acceptance letter identifies a specific site, also list the specific site to be debited).

#### Section to be completed by the Mitigation Sponsor

Statement of Mitigation Liability Acceptance: I, the undersigned, verify that I am authorized to approve mitigation transactions for the Mitigation Sponsor shown below, and I certify that the Sponsor agrees to accept full responsibility for providing the mitigation identified in this document (see the table above), associated with the USACE Permittee and Action ID number shown. I also verify that released credits (and/or advance credits for NCDMS), as approved by the USACE, are currently available at the mitigation site identified above. Further, I understand that if the Sponsor fails to provide the required compensatory mitigation, the USACE Wilmington District Engineer may pursue measures against the Sponsor to ensure compliance associated with the mitigation requirements.

and a sompliance descended with the intigation requireme	
Mitigation Sponsor Name:	15
Name of Sponsor's Authorized Representative:	en Houman
_ DUNDOMMOG	
Signature of Sponsor's Authorized Representative	Date of Signature

# USACE Wilmington District Compensatory Mitigation Responsibility Transfer Form, Page 2

#### **Conditions for Transfer of Compensatory Mitigation Credit:**

- Once this document has been signed by the Mitigation Sponsor and the USACE is in receipt of the signed form, the
  Permittee is no longer responsible for providing the mitigation identified in this form, though the Permittee remains
  responsible for any other mitigation requirements stated in the permit conditions.
- Construction within jurisdictional areas authorized by the permit identified on page one of this form can begin only after the USACE is in receipt of a copy of this document signed by the Sponsor, confirming that the Sponsor has accepted responsibility for providing the mitigation requirements listed herein. For authorized impacts conducted by the North Carolina Department of Transportation (NCDOT), construction within jurisdictional areas may proceed upon permit issuance; however, a copy of this form signed by the Sponsor must be provided to the USACE within 30 days of permit issuance. NCDOT remains fully responsible for the mitigation until the USACE has received this form, confirming that the Sponsor has accepted responsibility for providing the mitigation requirements listed herein.
- Signed copies of this document must be retained by the Permittee, Mitigation Sponsor, and in the USACE administrative
  records for both the permit and the Bank/ILF Instrument. It is the Permittee's responsibility to ensure that the USACE
  Project Manager (address below) is provided with a signed copy of this form.
- If changes are proposed to the type, amount, or location of mitigation after this form has been signed and returned to
  the USACE, the Sponsor must obtain case-by-case approval from the USACE Project Manager and/or North Carolina
  Interagency Review Team (NCIRT). If approved, higher mitigation ratios may be applied, as per current District guidance
  and a new version of this form must be completed and included in the USACE administrative records for both the permit
  and the Bank/ILF Instrument.

#### Comments/Additional Conditions:

This form is for the Central Section only. Separate forms for the Northern and Southern Sections will be prepared subsequent of the finalization of these plans and the modification of the permit.

This form is not valid unless signed below by the USACE Project Manager and by the Mitigation Sponsor on Page 1. Once signed, the Sponsor should provide copies of this form along with an updated bank ledger to: 1) the Permittee, 2) the USACE Project Manager at the address below, and 3) the Wilmington District Mitigation Office, Attn: Todd Tugwell, 11405 Falls of Neuse Road, Wake Forest, NC 27587 (email: todd.tugwell@usace.army.mil). Questions regarding this form or any of the permit conditions may be directed to the USACE Project Manager below.

**USACE Project Manager:** 

Crystal Amschler

**USACE Field Office:** 

Asheville Regulatory Field Office US Army Corps of Engineers 151 Patton Avenue, Room 208

Asheville, North Carolina 28801-5006

Email:

**USACE Project Manager Signature** 

5/19/2016Click here to enter a date

Date of Signature

Current Wilmington District mitigation guidance, including information on mitigation ratios, functional assessments, and mitigation bank location and availability, and credit classifications (including stream temperature and wetland groupings) is available at <a href="http://ribits.usace.army.mil">http://ribits.usace.army.mil</a>.

Page 2 of 2

The Wilmington District is committed to providing the highest level of support to the public. To help us ensure we continue to do so, please complete the Customer Satisfaction Survey located at our website at <a href="http://regulatory.usacesurvey.com/">http://regulatory.usacesurvey.com/</a> to complete the survey online.



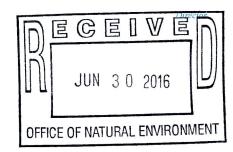
#### DONALD R. VAN DER VAART

Secretary

S. JAY ZIMMERMAN

June 28, 2016

Mr. Philip S. Harris, III, P.E., CPM Natural Environment Section Head Project Development and Environmental Analysis North Carolina Department of Transportation 1598 Mail Service Center Raleigh, North Carolina, 27699-1598



Subject: Modification to the 401 Water Quality Certification Pursuant to Section 401 of the Federal Clean Water Act with ADDITIONAL CONDITIONS for Proposed improvements to I-77 in Mecklenburg and Iredell Counties, Federal Aid Project NHF-077-1(209)9; WBS No. 45454.1.1, TIP I-5405 and I-4750AA. NCDWR Project No. 20150931v.2

Dear Mr. Harris:

Attached hereto is a modification of Certification No. WQC004036 issued to The North Carolina Department of Transportation (NCDOT) dated November 13, 2015-version 1, February 12, 2016-version 1, May 16, 2016 version 2.

This Individual Certification now includes impacts to the I-5405 and I-4750AA sections of the I-77 HOT Lanes project. Permitting of the southern section, I-3311C, is not included and must have final design prior to submittal for another permit modification. After on site review on April 14, 2016, exemption from the 1foot burial of the culvert extensions was granted; however, minor channel stabilization to prevent additional 'blow holes' from forming at the end of the new culvert extension is expected.

As discussed on May 31, 2016 as a request for specific guidance to the on-site personnel, please be advised of the following:

- 1. Due to the difficulty described in diversion of the direct discharge of the bridge deck drains and because the bridge has a lane addition, but nothing is being replaced, deck drains in the newly constructed lane will be allowed.
- 2. At impact site 1-N, due to the current very poor condition of the entire area, restoration of temporary impacts to previous site conditions will be acceptable. Grubbing will be minimized to help ensure vegetation recovery and soil retention in the already highly eroded area.
- 3. In addition to traditional measures for inlet protection, the causeways and bridge area should include additional measures such as silt sacks. The type of additional measures can be site specific and consultation with the inspector as well as Roadside Environmental could be necessary.
- 4. As pertains to special permit condition 5 in Version 2, it is understood to be new sediment deposition from the current construction activity.





#### DONALD R. VAN DER VAART

Secretary

S. JAY ZIMMERMAN

Director

If we can be of further assistance, do not hesitate to contact us.

Sincerely,

S. Jay Zimmerman, Director Division of Water Resources

#### Attachments

Electronic copy only distribution:

Crystal Amschler, US Army Corps of Engineers, Asheville Field Office Larry Thompson, Division 10 Environmental Officer Rodger Rochelle, NC Department of Transportation Colin Mellor, NC Department of Transportation Carla Dagnino, NC Department of Transportation Dr. Cynthia Van Der Wiele, US Environmental Protection Agency Marella Buncick, US Fish and Wildlife Service Marla Chambers, NC Wildlife Resources Commission File Copy

# Modification to the 401 Water Quality Certification Pursuant to Section 401 of the Federal Clean Water Act with ADDITIONAL CONDITIONS

**THIS CERTIFICATION** is issued in conformity with the requirements of Section 401 Public Laws 92-500 and 95-217 of the United States and subject to the North Carolina Division of Water Resources (NCDWR) Regulations in 15 NCAC 2H .0500. This certification authorizes the NCDOT to impact an additional 325 linear feet of jurisdictional streams in Mecklenburg and Iredell Counties. The project shall be constructed pursuant to the modification dated received March 20, 2016. The authorized impacts are as described below:

#### Stream Impacts in the Catawba River Basin

Site	Stream Bank Stabilization	Permanent Fill in Intermittent Stream (linear ft)	Temporary Fill in Intermittent Stream (linear ft)	Permanent Fill in Perennial Stream (linear ft)	Temporary Fill in Perennial Stream (linear ft)	Total Stream Impact (linear feet)	Stream Impac Requiring Mitigation (linear ft)
1-N			263			263	0
2-N		9	15			24	0
3-N	23			18	20	38	18
TOTAL:	23	9	278	18	20	325	18

Total Stream Impact for Modification: 325 linear feet

#### Open Water Impacts in the Catawba River Basin

Site	Permanent Fill in Open Waters (ac)	Temporary Fill in Open Waters (ac)	Total Fill in Open Waters (ac)
4-N	< 0.01	0.13	0.13
			0.00
TOTAL	0.00	0.13	0.13

Total Open Water Impact for Modification: 0.013 acres.

The application provides adequate assurance that the discharge of fill material into the waters of the **Catawba River Basin** in conjunction with the proposed development will not result in a violation of applicable Water Quality Standards and discharge guidelines. Therefore, the State of North Carolina certifies that this activity will not violate the applicable portions of Sections 301, 302, 303, 306, 307 of PL 92-500 and PL 95-217 if conducted in accordance with the application and conditions hereinafter set forth.

This approval is only valid for the purpose and design that you submitted in your modified application dated received March 28, 2016. All the authorized activities and conditions of certification associated with the original Water Quality Certification dated November 13, 2015-version 1 and February 12, 2016-version 2 still apply except where superseded by this certification. Should your project change, you are required to notify the NCDWR and submit a new application. If the property is sold, the new owner must be given a copy of this Certification and approval letter, and is thereby responsible for complying with all the conditions. If any additional wetland impacts, or stream impacts, for this project (now or in the future) exceed one acre or 150 linear feet, respectively, additional compensatory mitigation may be required as described in 15A NCAC 2H .0506 (h) (6) and (7). Additional buffer impacts may require compensatory mitigation as described in 15A NCAC 2B .0243. For this approval to remain valid, you are required to comply with all the conditions listed below. In addition, you should obtain all other federal, state or local permits before proceeding with your project including (but not limited to) Sediment and Erosion control, Coastal Stormwater, Non-discharge and Water Supply watershed regulations. This Certification shall expire on the same day as the expiration date of the corresponding Corps of Engineers Permit.

#### **Special Condition(s) of Certification:**

- 1. Restoration for Site 1-N will be to previous site conditions. See General Condition 4. [15A NCAC 02H.0506(b)(2)]
- 2. Based on the information submitted and site reviews of each culvert on April 14, 2016, I-5405 and I-4750AA are exempt from the 1foot burial requirement at the culvert extension sites. This does not provide for burial exemption of I-3311C culvert extensions or any NEW culvert installation. [15A NCAC 02H.0506(b)(2)]
- 3. Additional sediment and erosion control measures shall be taken at each stormwater drop inlet in the median of I-77 on the causeway between Lake Norman and Lake Cornelius, Lake Norman and Lake Davidson, and the causeway leading to the bridge that will be expanded. Additional measures will be evaluated on effectiveness and situational applicability. [15A NCAC 02B.0200]
- 4. At the pump out stations listed in the FERC conveyance application:
  - a. Pump out from the lake must be hard piped over any sediment and erosion control measures so that no piping affects the inlet protection. Draping a run flat hose over hardware cloth is unacceptable.
  - b. Pump out must not negatively affect downstream fauna, flora, or water quality.
  - c. Pump out from creeks cannot pump the creek dry. In the event of a drought, an alternative source of water for dust control will need to be found. The City of Charlotte (provide water in Huntersville, Davidson) and the Town of Mooresville are local major water distributors.
- 5. Any new accumulation of sediment discharged to the stormdrain system that is being retained, will be removed as soon as possible to prevent additional/on-going surface water impacts. [15A NCAC 02H .0506(b)(3)
- 6. The post-construction removal of any temporary bridge structures must return the project site to its preconstruction contours and elevations. The impacted areas shall be revegetated with appropriate native species. [15A NCAC 02H .0506(b)(2)
- 7. As a condition of this 401 Water Quality Certification, the bridge demolition and construction must be accomplished in strict compliance with the most recent version of NCDOT's Best Management Practices for Construction and Maintenance Activities. [15A NCAC 02H .0507(d)(2) and 15A NCAC 02H .0506(b)(5)]
- 8. Bridge deck drains shall not discharge directly into the stream. Stormwater shall be directed across the bridge and pre-treated through site-appropriate means (grassed swales, pre-formed scour holes, vegetated buffers, etc.) before entering the stream. To meet the requirements of NCDOT's NPDES permit NCS0000250. [15A NCAC 02H .0507(d)(2) and 15A NCAC 02H .0506(b)(5)]
- 9. Bridge piles and bents shall be constructed using driven piles (hammer or vibratory) or drilled shaft construction methods. More specifically, jetting or other methods of pile driving are prohibited without prior written approval from the NCDWR first. [15A NCAC 02H.0506(b)(2)]
- 10. No drill slurry or water that has been in contact with uncured concrete shall be allowed to enter surface waters. This water shall be captured, treated, and disposed of properly. [15A NCAC 02H .0506(b)(3)
- 11. A turbidity curtain will be installed in the stream if driving or drilling activities occur within the stream channel, on the stream bank, or within 5 feet of the top of bank. This condition can be waived with prior approval from the NCDWR. [15A NCAC 02H .0506(b)(3)
- 12. All bridge construction shall be performed from the existing bridge, temporary work bridges, temporary causeways, or floating or sunken barges. If work conditions require barges, they shall be floated into position and then sunk. The barges shall not be sunk and then dragged into position. Under no circumstances should barges be dragged along the bottom of the surface water. [15A NCAC 02H .0506(b)(3)

#### **General Conditions**

1. Unless otherwise approved in this certification, placement of culverts and other structures in open waters and streams shall be placed below the elevation of the streambed by one foot for all culverts with a

diameter greater than 48 inches, and 20 percent of the culvert diameter for culverts having a diameter less than 48 inches, to allow low flow passage of water and aquatic life. Design and placement of culverts and other structures including temporary erosion control measures shall not be conducted in a manner that may result in dis-equilibrium of wetlands or streambeds or banks, adjacent to or upstream and downstream of the above structures. The applicant is required to provide evidence that the equilibrium is being maintained if requested in writing by NCDWR. If this condition is unable to be met due to bedrock or other limiting features encountered during construction, please contact NCDWR for guidance on how to proceed and to determine whether or not a permit modification will be required. [15A NCAC 02H.0506(b)(2)]

- 2. If concrete is used during construction, a dry work area shall be maintained to prevent direct contact between curing concrete and stream water. Water that inadvertently contacts uncured concrete shall not be discharged to surface waters due to the potential for elevated pH and possible aquatic life and fish kills. [15A NCAC 02B.0200]
- 3. During the construction of the project, no staging of equipment of any kind is permitted in waters of the U.S., or protected riparian buffers. [15A NCAC 02H.0506(b)(2)]
- 4. The dimension, pattern and profile of the stream above and below the crossing shall not be modified. Disturbed floodplains and streams shall be restored to natural geomorphic conditions. [15A NCAC 02H.0506(b)(2)]
- 5. The use of rip-rap above the Normal High Water Mark shall be minimized. Any rip-rap placed for stream stabilization shall be placed in stream channels in such a manner that it does not impede aquatic life passage. [15A NCAC 02H.0506(b)(2)]
- 6. The Permittee shall ensure that the final design drawings adhere to the permit and to the permit drawings submitted for approval. [15A NCAC 02H .0507(c) and 15A NCAC 02H .0506 (b)(2) and (c)(2)]
- 7. All work in or adjacent to stream waters shall be conducted in a dry work area. Approved BMP measures from the most current version of NCDOT Construction and Maintenance Activities manual such as sandbags, rock berms, cofferdams and other diversion structures shall be used to prevent excavation in flowing water. [15A NCAC 02H.0506(b)(3) and (c)(3)]
- 8. Heavy equipment shall be operated from the banks rather than in the stream channel in order to minimize sedimentation and reduce the introduction of other pollutants into the stream. [15A NCAC 02H.0506(b)(3)]
- 9. All mechanized equipment operated near surface waters must be regularly inspected and maintained to prevent contamination of stream waters from fuels, lubricants, hydraulic fluids, or other toxic materials. [15A NCAC 02H.0506(b)(3)]
- 10. No rock, sand or other materials shall be dredged from the stream channel except where authorized by this certification. [15A NCAC 02H.0506(b)(3)]
- 11. Discharging hydroseed mixtures and washing out hydroseeders and other equipment in or adjacent to surface waters is prohibited. [15A NCAC 02H.0506(b)(3)]
- 12. The permittee and its authorized agents shall conduct its activities in a manner consistent with State water quality standards (including any requirements resulting from compliance with §303(d) of the Clean Water Act) and any other appropriate requirements of State and Federal law. If the NCDWR determines that such standards or laws are not being met (including the failure to sustain a designated or achieved use) or that State or federal law is being violated, or that further conditions are necessary to assure compliance, the NCDWR may reevaluate and modify this certification. [15A NCAC 02B.0200]
- 13. All fill slopes located in jurisdictional wetlands shall be placed at slopes no flatter than 3:1, unless otherwise authorized by this certification. [15A NCAC 02H.0506(b)(2)]

- 14. A copy of this Water Quality Certification shall be maintained on the construction site at all times. In addition, the Water Quality Certification and all subsequent modifications, if any, shall be maintained with the Division Engineer and the on-site project manager. [15A NCAC 02H .0507(c) and 15A NCAC 02H .0506 (b)(2) and (c)(2)]
- 15. The outside buffer, wetland or water boundary located within the construction corridor approved by this authorization shall be clearly marked by highly visible fencing prior to any land disturbing activities. Impacts to areas within the fencing are prohibited unless otherwise authorized by this certification. [15A NCAC 02H.0501 and .0502]
- 16. The issuance of this certification does not exempt the Permittee from complying with any and all statutes, rules, regulations, or ordinances that may be imposed by other government agencies (i.e. local, state, and federal) having jurisdiction, including but not limited to applicable buffer rules, stormwater management rules, soil erosion and sedimentation control requirements, etc.
- 17. The Permittee shall report any violations of this certification to the Division of Water Resources within 24 hours of discovery. [15A NCAC 02B.0506(b)(2)]
- 18. Upon completion of the project (including any impacts at associated borrow or waste sites), the NCDOT Division Engineer shall complete and return the enclosed "Certification of Completion Form" to notify the NCDWR when all work included in the 401 Certification has been completed. [15A NCAC 02H.0502(f)]
- 19. Native riparian vegetation (ex. Salix nigra, Juncus (spp), Carex (spp), et al.) must be reestablished in the riparian areas within the construction limits of the project by the end of the growing season following completion of construction. [15A NCAC 02B.0231(b)(6)]
- 20. There shall be no excavation from, or waste disposal into, jurisdictional wetlands or waters associated with this permit without appropriate modification. Should waste or borrow sites, or access roads to waste or borrow sites, be located in wetlands or streams, compensatory mitigation will be required since that is a direct impact from road construction activities. [15A NCAC 02H.0506(b)(3) and (c)(3)]
- 21. Erosion and sediment control practices must be in full compliance with all specifications governing the proper design, installation and operation and maintenance of such Best Management Practices in order to protect surface waters standards [15A NCAC 02H.0506(b)(3) and (c)(3]):
  - a. The erosion and sediment control measures for the project must be designed, installed, operated, and maintained in accordance with the most recent version of the *North Carolina Sediment and Erosion Control Planning and Design Manual*.
  - b. The design, installation, operation, and maintenance of the sediment and erosion control measures must be such that they equal, or exceed, the requirements specified in the most recent version of the *North Carolina Sediment and Erosion Control Manual*. The devices shall be maintained on all construction sites, borrow sites, and waste pile (spoil) projects, including contractor-owned or leased borrow pits associated with the project.
  - c. For borrow pit sites, the erosion and sediment control measures must be designed, installed, operated, and maintained in accordance with the most recent version of the *North Carolina Surface Mining Manual*.
  - d. The reclamation measures and implementation must comply with the reclamation in accordance with the requirements of the Sedimentation Pollution Control Act.
- 22. Sediment and erosion control measures shall not be placed in wetlands or waters unless otherwise approved by this Certification. [15A NCAC 02H.0506(b)(3) and (c)(3)]

Violations of any condition herein set forth may result in revocation of this Certification and may result in criminal and/or civil penalties. This Certification shall become null and void unless the above conditions are made conditions of the Federal 404 and/or Coastal Area Management Act Permit. This Certification shall expire upon the expiration of the 404 or CAMA permit.

If you wish to contest any statement in the attached Certification you must file a petition for an administrative

hearing. You may obtain the petition form from the office of Administrative hearings. You must file the petition with the office of Administrative Hearings within sixty (60) days of receipt of this notice. A petition is considered filed when it is received in the office of Administrative Hearings during normal office hours. The Office of Administrative Hearings accepts filings Monday through Friday between the hours of 8:00am and 5:00pm, except for official state holidays. The original and one (1) copy of the petition must be filed with the Office of Administrative Hearings.

The petition may be faxed-provided the original and one copy of the document is received by the Office of Administrative Hearings within five (5) business days following the faxed transmission. The mailing address for the Office of Administrative Hearings is:

Office of Administrative Hearings 6714 Mail Service Center Raleigh, NC 27699-6714

Telephone: (919) 431-3000, Facsimile: (919) 431-3100

A copy of the petition must also be served on DEQ as follows:

Mr. Sam M. Hayes, General Counsel Department of Environmental Quality 1601 Mail Service Center

This the 28th day of June 2016

DIVISION OF WATER RESOURCES

S. Jay Zimmerman, Director

WQC No. 004036





# DONALD R. VAN DER VAART

Secretary

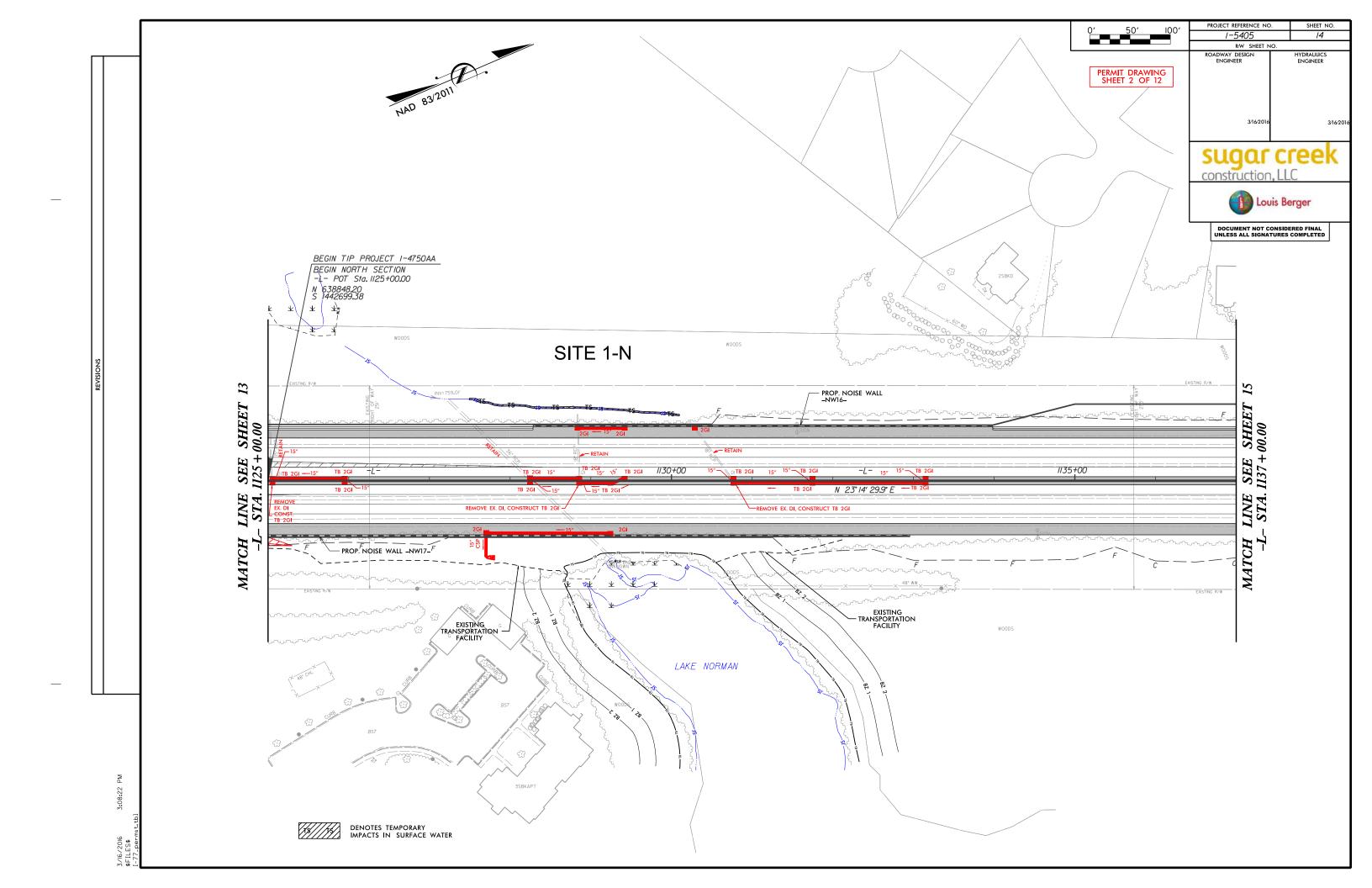
S. JAY ZIMMERMAN

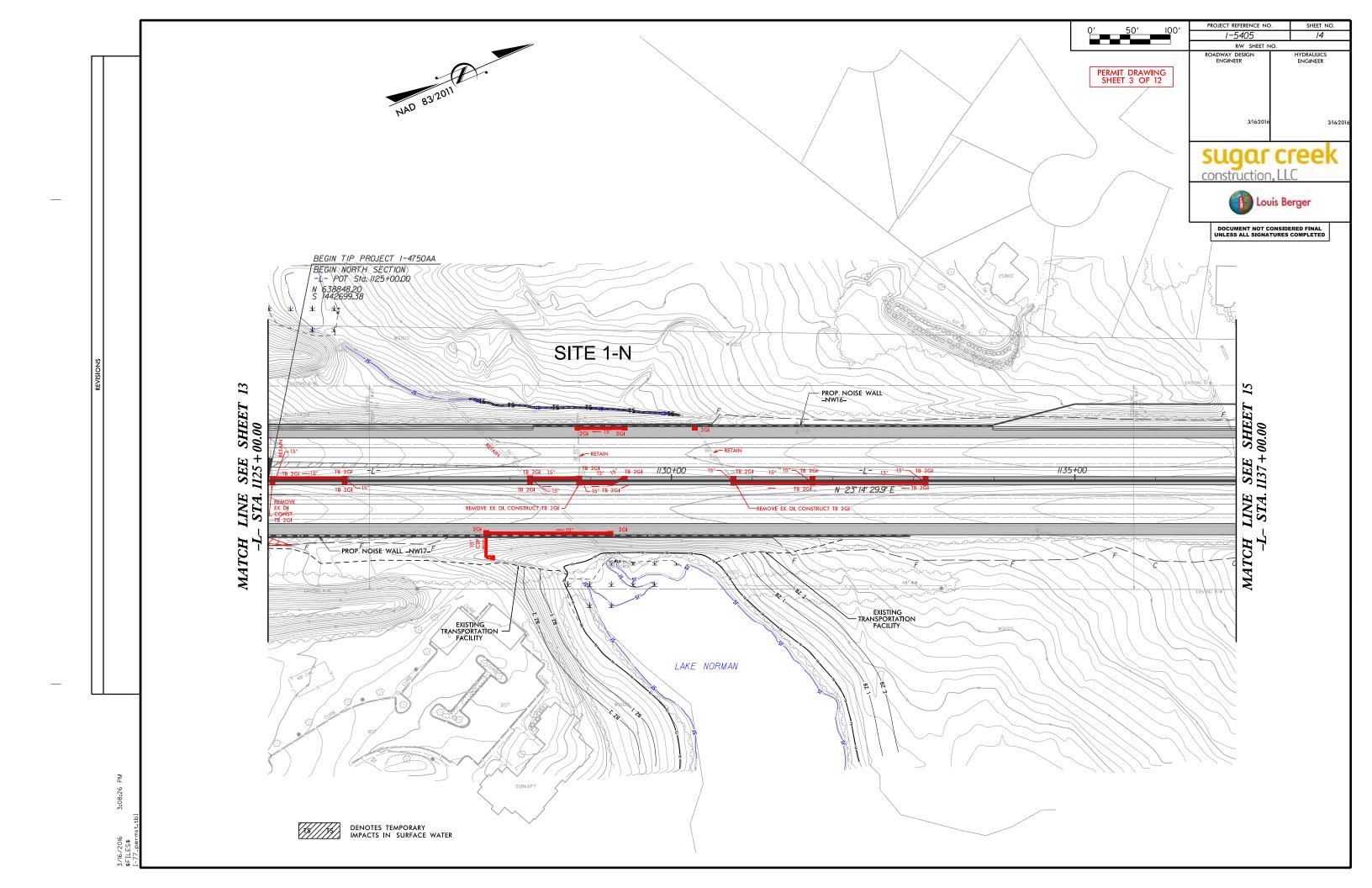
Director

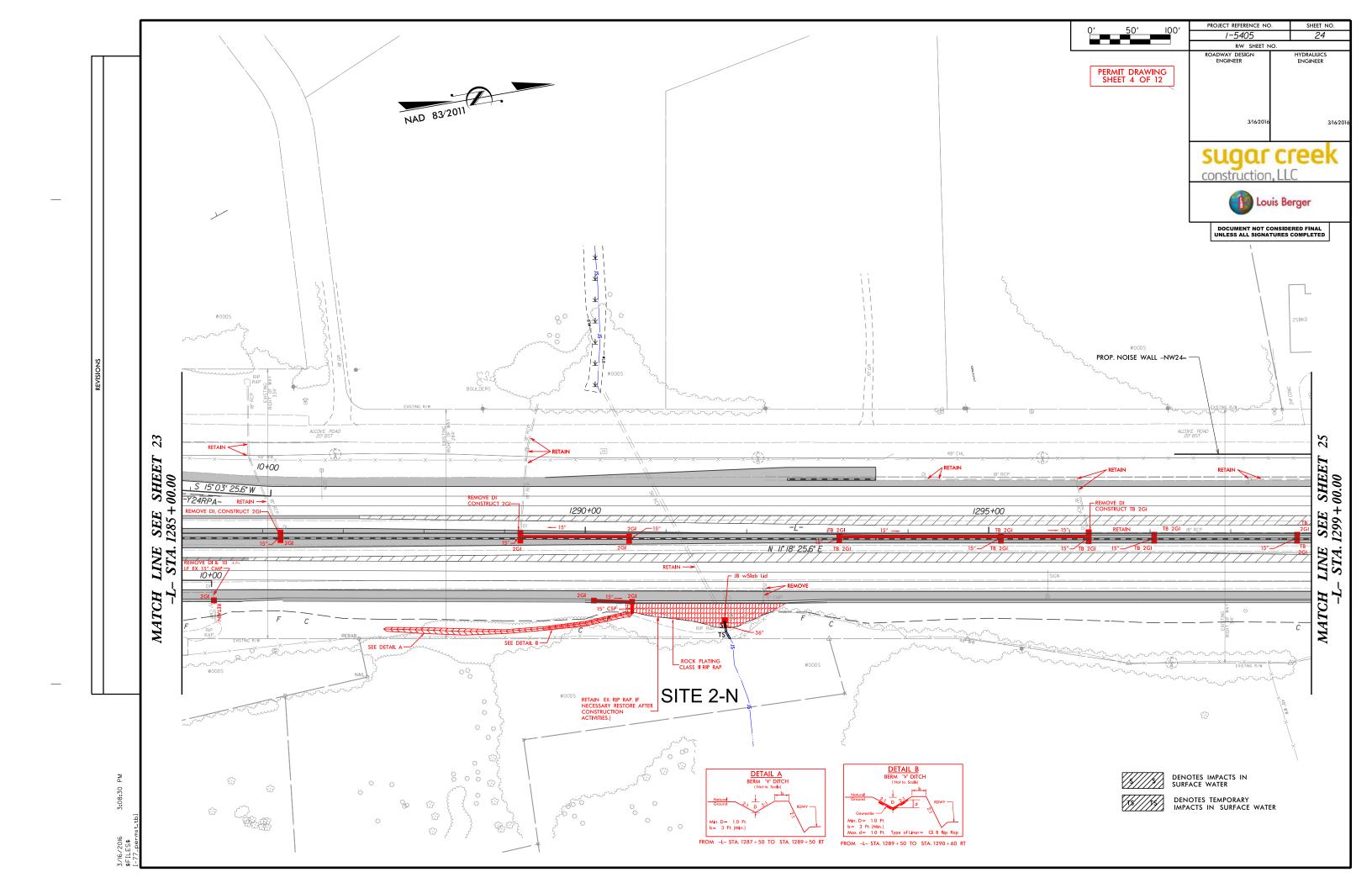
NCDWR Project No.:	County:
Applicant:	Last Court
Project Name:	<u> </u>
Date of Issuance of 401 Water Quality Certifica	ation:
any subsequent modifications, the applicant is req Unit, North Carolina Division of Water Resources	401 Water Quality Certification or applicable Buffer Rules, and uired to return this certificate to the 401 Transportation Permitting s, 1650 Mail Service Center, Raleigh, NC, 27699-1650. This form applicant's authorized agent, or the project engineer. It is not
Applicant's Certification	
I.	hereby state that, to the best of my abilities, due care and diligence
was used in the observation of the construction su- compliance and intent of the 401 Water Quality C specifications, and other supporting materials.	ch that the construction was observed to be built within substantial ertification and Buffer Rules, the approved plans and
Signature:	Date:
Agent's Certification	
I,,1	hereby state that, to the best of my abilities, due care and diligence
was used in the observation of the construction su	ch that the construction was observed to be built within substantia ertification and Buffer Rules, the approved plans and
Signature:	Date:
Engineer's Certification	
PartialFinal	
Carolina, having been authorized to observe (perior Permittee hereby state that, to the best of my abilic construction such that the construction was observed.)	_, as a duly registered Professional Engineer in the State of North odically, weekly, full time) the construction of the project for the ties, due care and diligence was used in the observation of the ved to be built within substantial compliance and intent of the 401 approved plans and specifications, and other supporting materials.
Signature	Registration No.
Date	

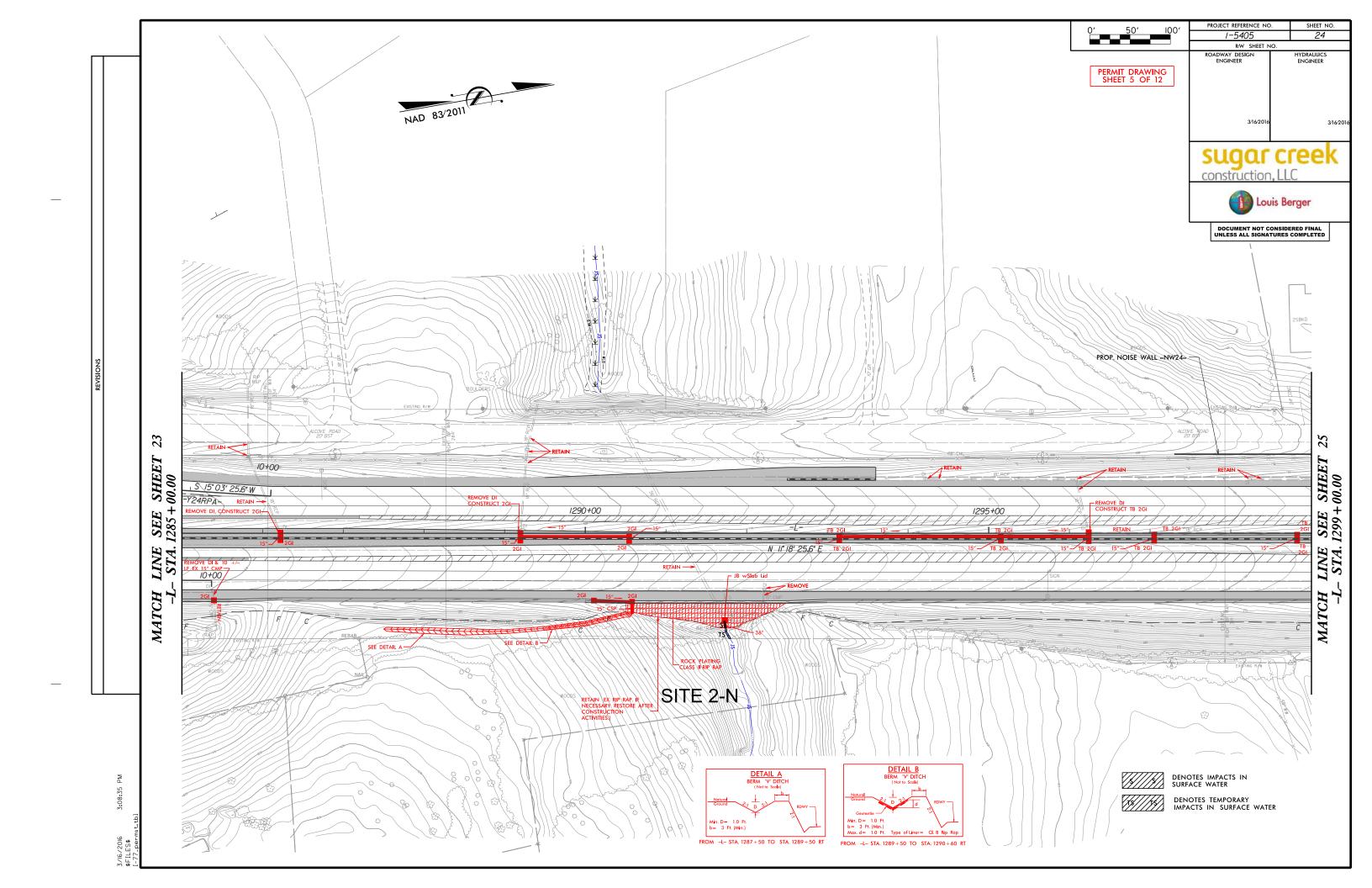
SHEET TOTAL NO. SHEETS See Sheet 1-A For Index of Sheets See Sheet 1-B For Conventional Symbols STATE OF NORTH CAROLINA N.C. I-4750AA 1 DIVISION OF HIGHWAYS STATE PROJ.NO. DESCRIPTION END 45454.3.P3S1 P.E. PROJECT MECKLENBURG & IREDELL COUNTIES sugar creek I-77 FROM NORTH OF SR 5544 (CATAWBA AVE.) construction, LLC LOCATION: TO NORTH OF NC 150 (WEST PLAZA DR.) PERMIT DRAWING SHEET 1 OF 12 TYPE OF WORK: GRADING, DRAINAGE, PAVING, SIGNING, ITS, GUARDRAIL, PAVEMENT MARKINGS, PRQJEC1 TOLLING STRUCTURES /EQUIPMENT AND STRUCTURES WETLAND AND STREAM IMPACTS (NORTH SECTION) VICINITY MAP BEGIN TIP PROJECT I-4750AA NAD 83 /2011 -L - STA. 1125 + 00.00 SITE 1-N SITE 2-N END TIP PROJECT I-4750AA -L- STA. 1561 + 43.90 SR 1109 WILLIAMSON RD SITE 3-N SR 2158 GRIFFITH AVE \_L\_ STA. 1357 + 82.65 NC 150 WEST PLAZA DR -L- STA. 1175 + 67.24 SR 1100 BRAWLEY SCHOOL RD \_L\_ STA. 1507 + 92.09 \_L\_ STA. 1455 + 07.77 LAKE Y27RPB-Y25RPA\_ 29 1 SR 1102 LANGTREE RD TO STATESVILLE -L- STA. 1273 + 52.10 BEGIN BRIDGE 406 US 21 -- CHARLOTTE HWY -L- STA. 1407 + 88.94 SITE 4-N END BRIDGE \_L\_ STA. 1409 + 10,25 CLEARING ON THIS PROJECT SHALL BE PERFORMED TO THE THIS IS A CONTROLLED-ACCESS PROJECT WITH NCDOT CONTACT: VIRGINIA MABRY ACCESS BEING LIMITED TO INTERCHANGES LIMITS ESTABLISHED BY METHOD II MANAGER PRIORITY PROJECTS UNIT HYDRAULICS ENGINEER Prepared for NCDOT in the Office of: DIVISION OF HIGHWAYS GRAPHIC SCALES PROJECT LENGTH DESIGN DATA LOUIS BERGER GROUP, Inc. 1001 Wade Avenue, Suite 400 Raleigh, North Carolina 27605 ADT 2012 = 185,400LENGTH ROADWAY TIP PROJECT I-4750AA = 8.245 MIADT 2035 = 231,4002012 STANDARD SPECIFICATIONS DHV = 10 %LENGTH STRUCTURES TIP PROJECT RIGHT OF WAY DATE: = 60 % DEAN HATFIELD, P.E.

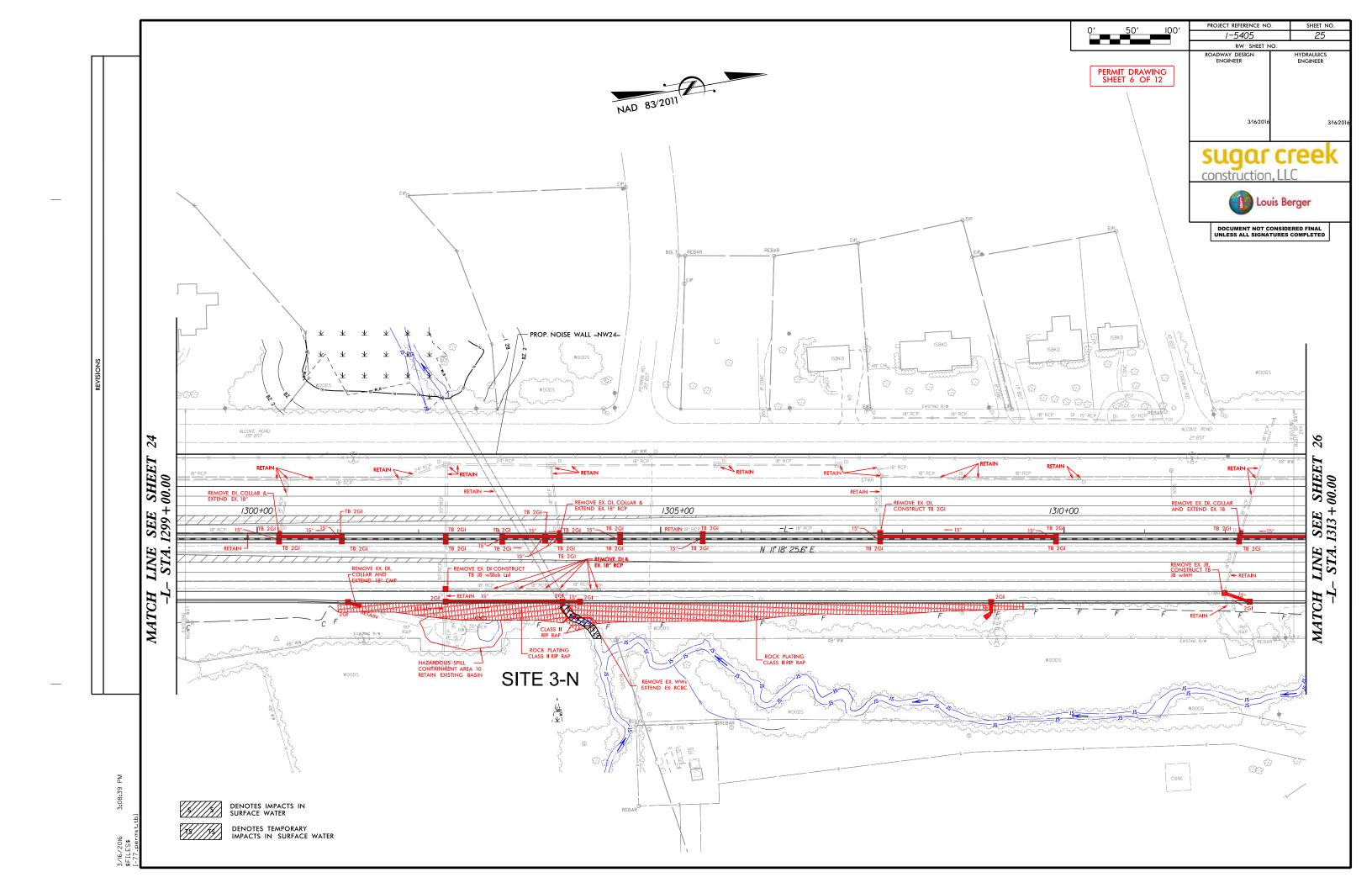
PROJECT ENGINEER = 0.023 MI*I-4750AA* ROADWAY DESIGN **ENGINEER** V = 70 MPHTOTAL LENGTH OF TIP PROJECT I-4750AA = 8.268 MILETTING DATE: R. D. ODELL, P. E. (TTST 4% + DUAL 4%) TBD PROFILE (VERTICAL) FUNC. CLASS = INTERSTATE "STATEWIDE TIER" STATE HIGHWAY DESIGN ENGINEER

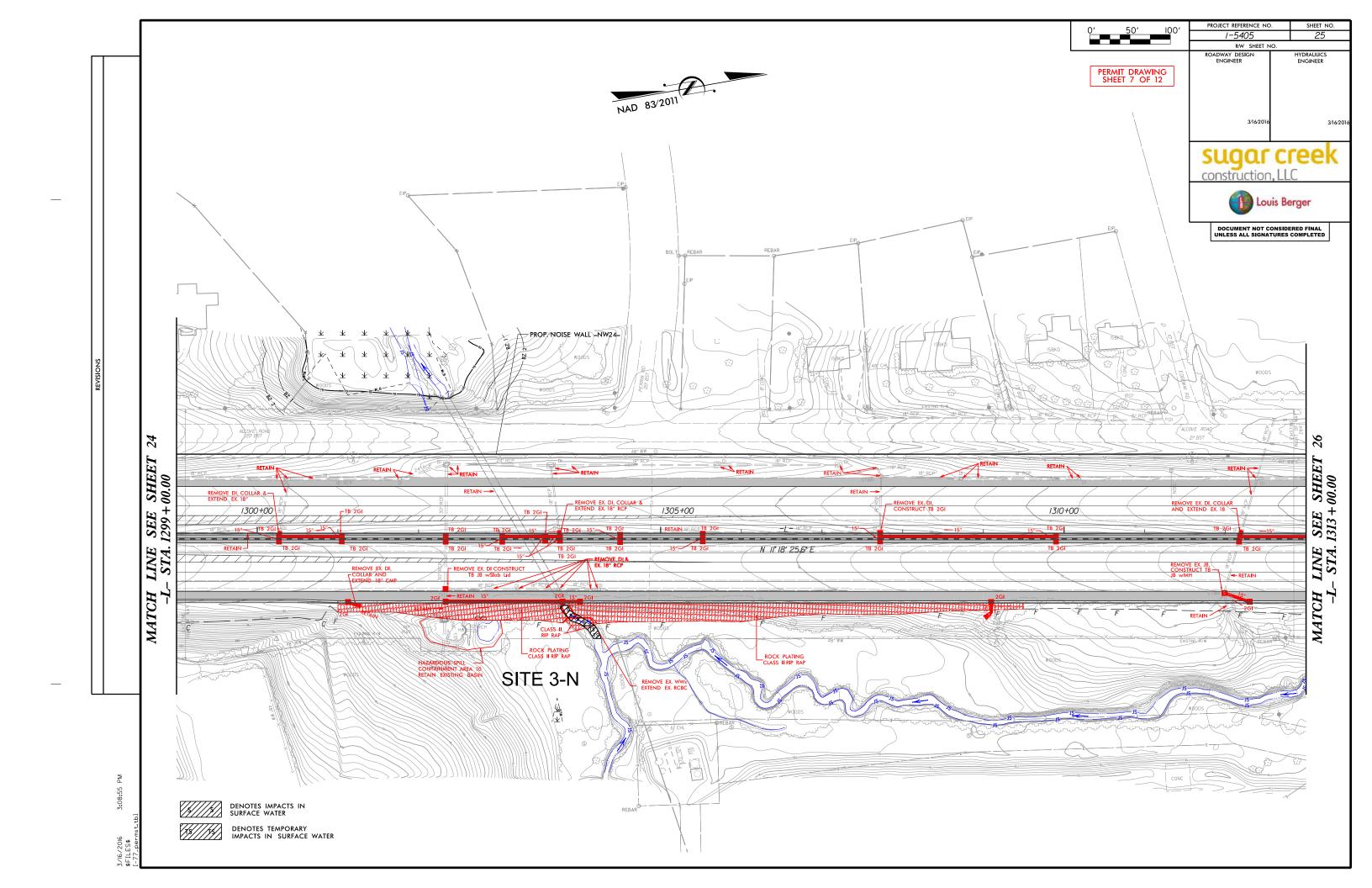


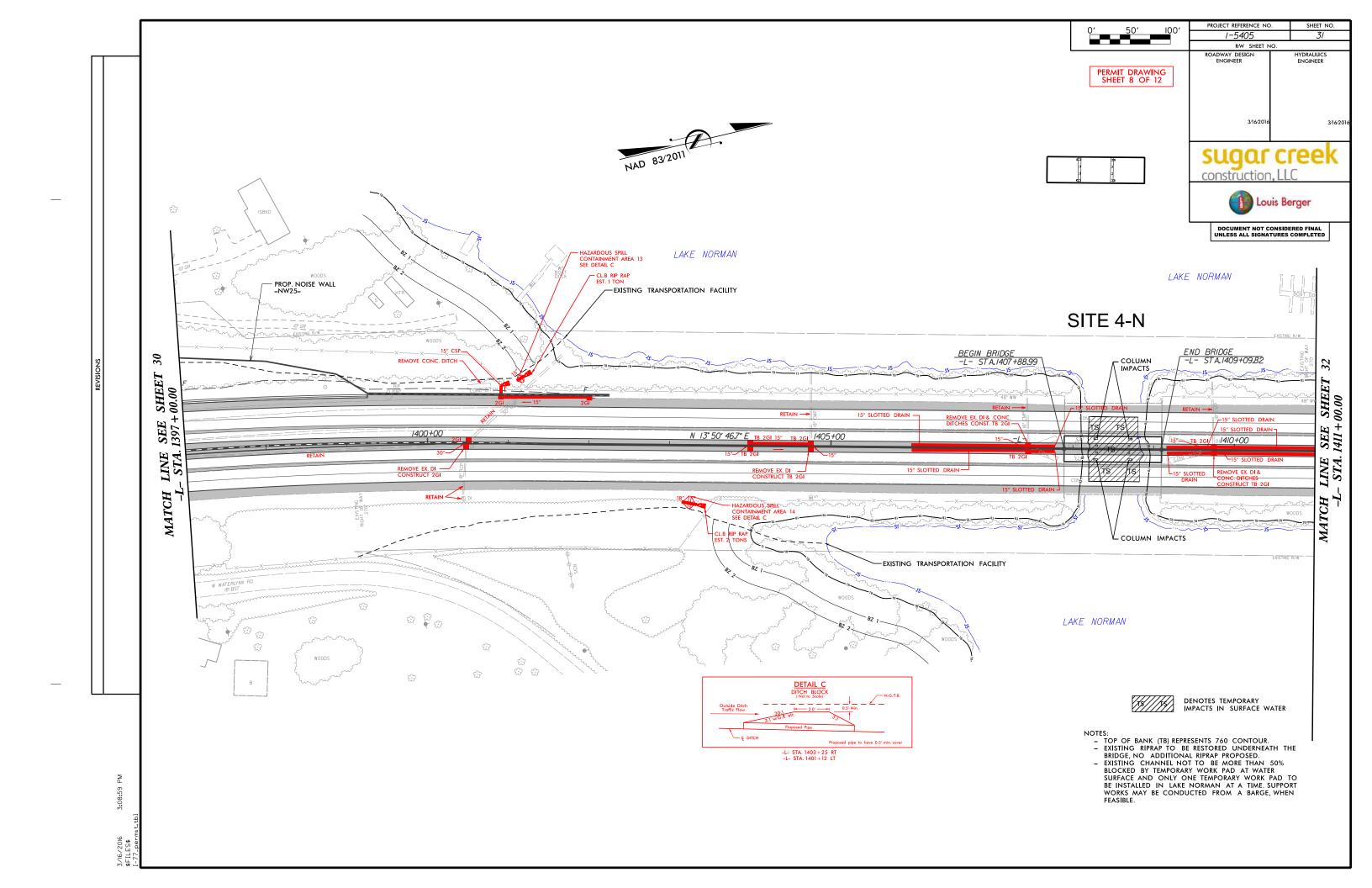


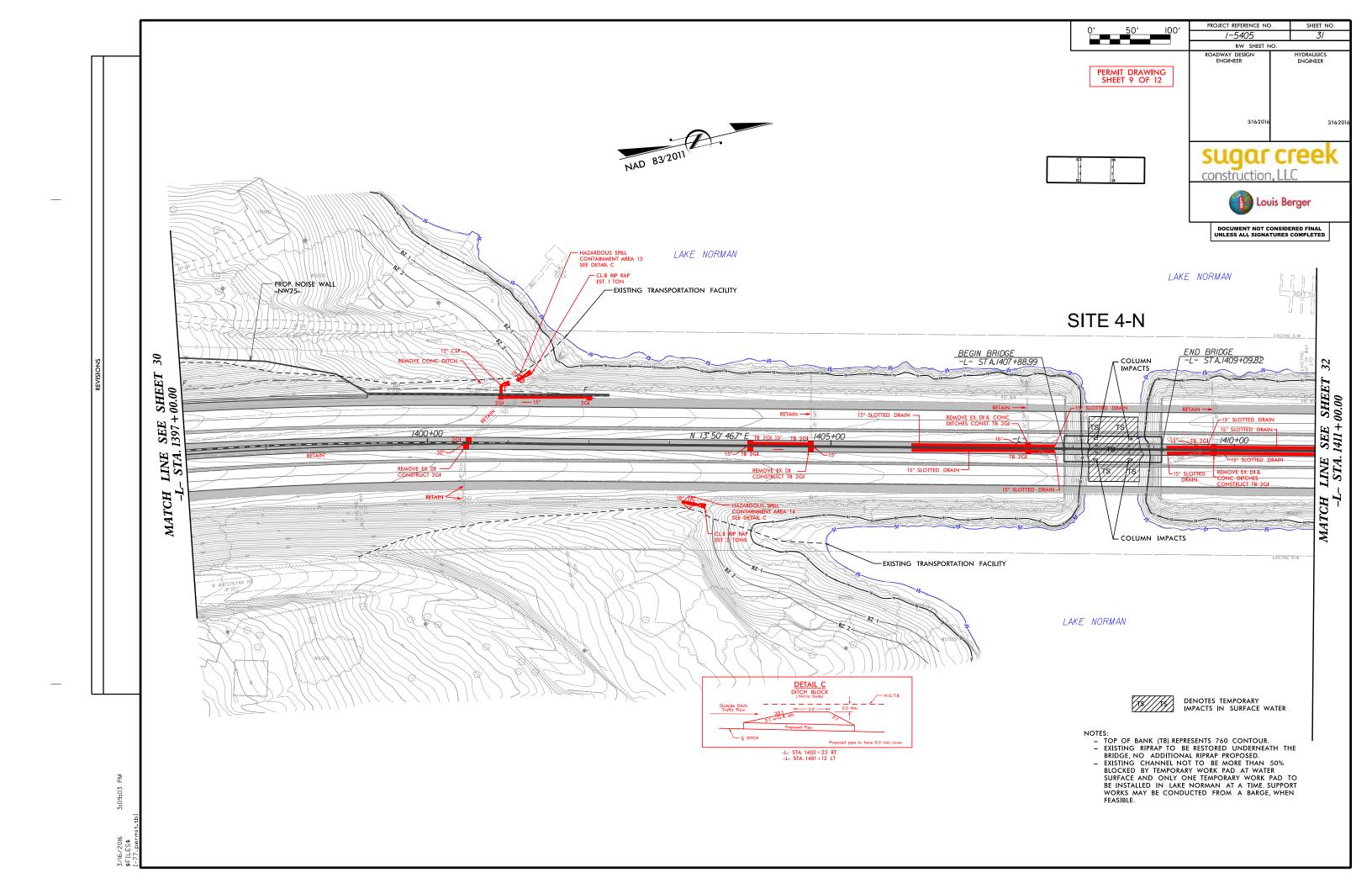


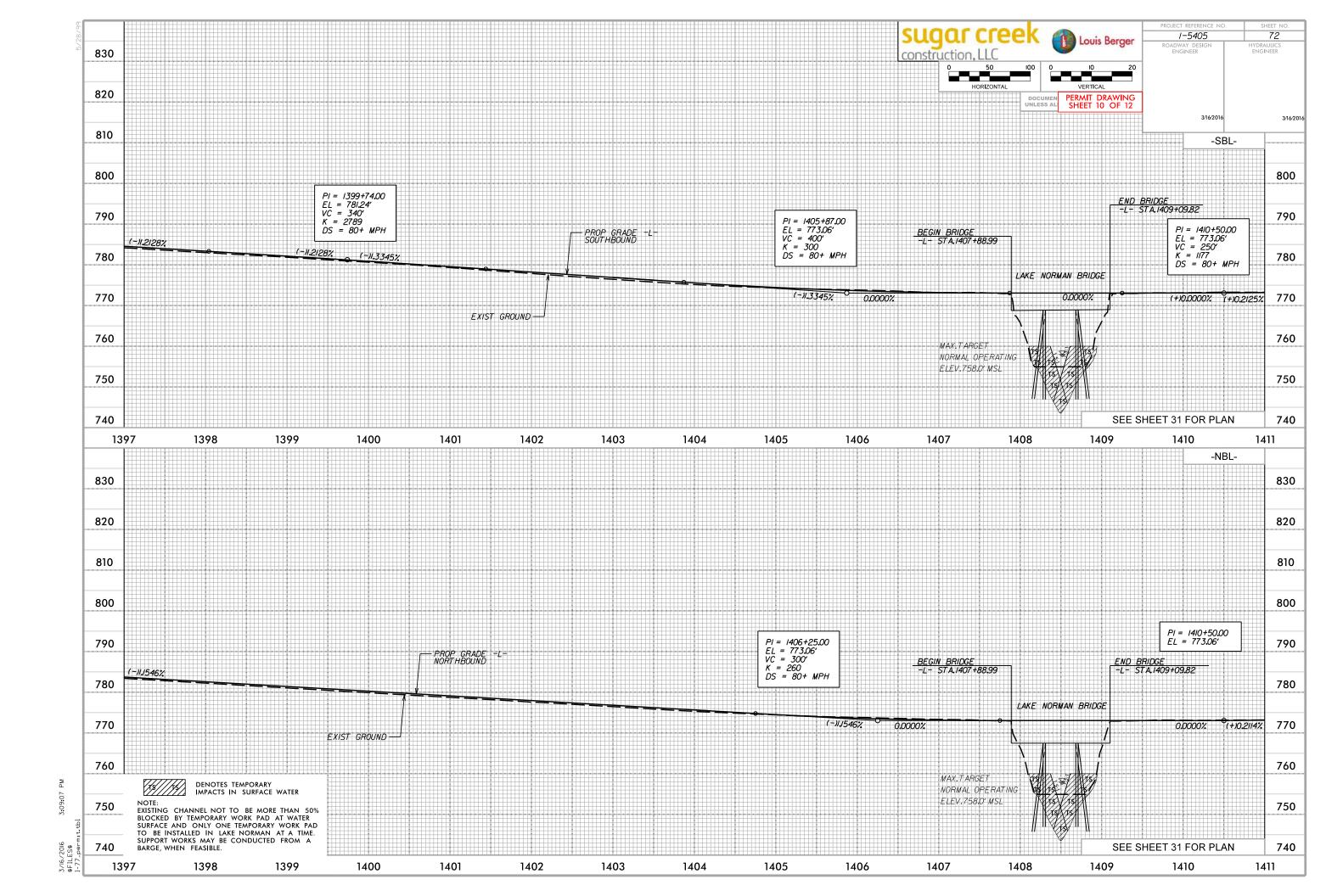


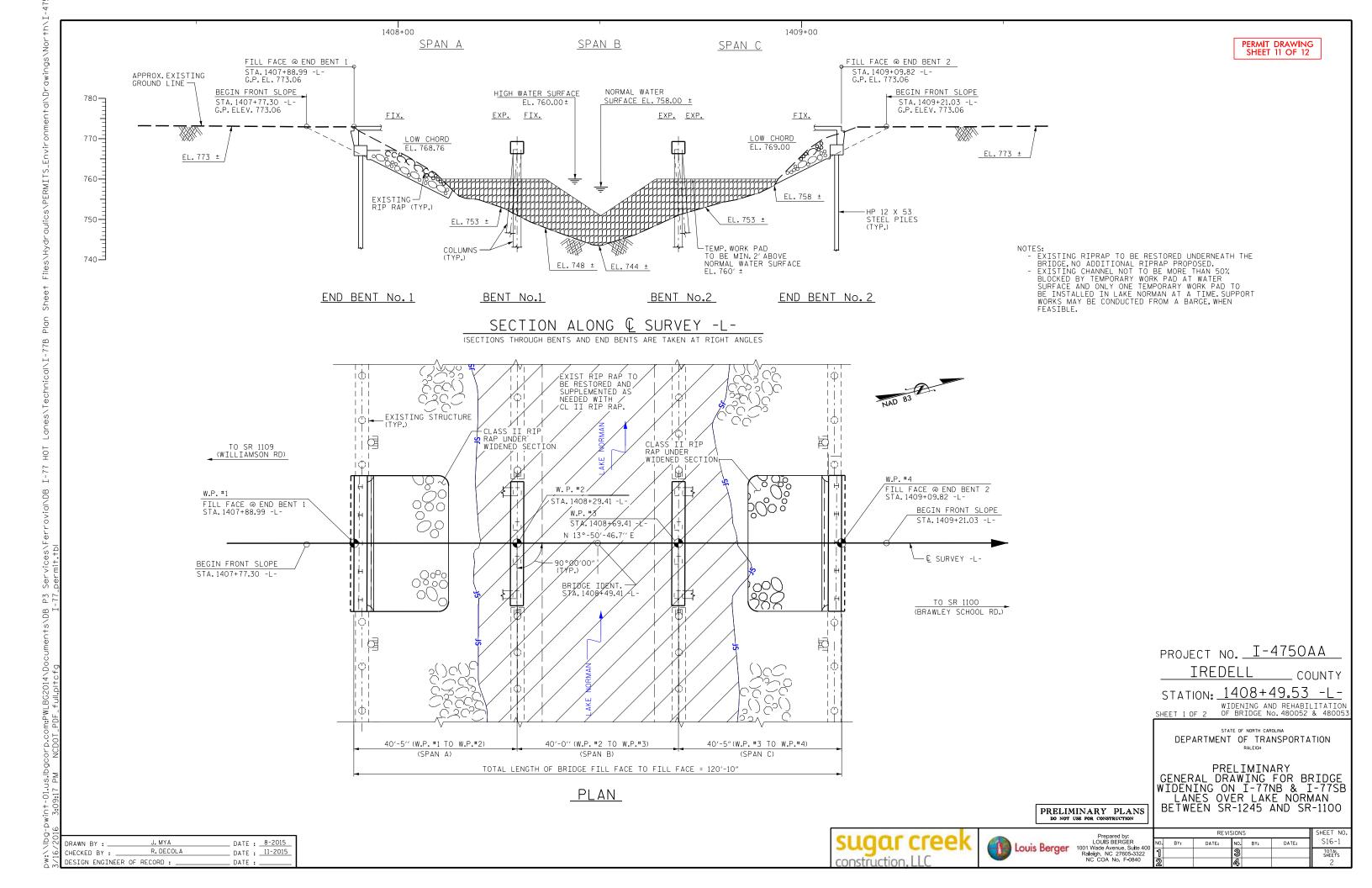












						<b>PERMIT IM</b>	PACT SUN	IMARY				
			WETLAND IMPACTS SURFACE WATER IMPACTS									
Site No.	Station (From/To)	Structure Size / Type	Permanent Fill In Wetlands	Temp. Fill In Wetlands	Excavation in Wetlands	Mechanized Clearing in Wetlands	Hand Clearing in Wetlands	Permanent SW impacts	Temp. SW impacts	Existing Channel Impacts Permanent	Existing Channel Impacts Temp.	Natural Stream Design
	,		(ac)	(ac)	(ac)	(ac)	(ac)	(ac)	(ac)	(ft)	(ft)	(ft)
1-N	1127+50 - 1130+10 LT	TEMP. CONST. ACCESS							0.01		263	
2-N	1291+62 - 1291+75 RT	36" PIPE EXTENSION						< 0.01	< 0.01	9	15	
3-N	1303+76 - 1304+20 RT	9'x7' RCBC EXTENSION						< 0.01	< 0.01	18	20	
		BANK STABILIZATION						< 0.01		23		
4-N	1408+20 - 1408+85 CL	TEMP WORK PADS							0.12			
												_
OTALS*	: :							< 0.01	0.13	50	298	0

<sup>\*</sup>Rounded totals are sum of actual impacts

NOTES:

Permanent non-mitigable SW impacts due to bridge piers at Site 4-N < 0.01 acres.

NC DEPARTMENT OF TRANSPORTATION
DIVISION OF HIGHWAYS
03/03/16
MECKLENBURG, IREDELL
North Section (I-4750AA)

SHEET 12 OF 12

Revised 2013 10 24

				WE	TLAND IMPA	CTS			SURFA	CE WATER IN	//PACTS	
Site No.	Station (From/To)	Structure Size / Type	Permanent Fill In Wetlands (ac)	Temp. Fill In Wetlands (ac)	in	Mechanized Clearing in Wetlands (ac)	Hand Clearing in Wetlands (ac)	Permanent SW impacts (ac)	Temp. SW impacts (ac)	Existing Channel Impacts Permanent (ft)	Existing Channel Impacts Temp. (ft)	Natural Stream Design (ft)
												+
	SUBTOTAL CENT	RAL SECTION	<0.01	0.06	0.00	0.00	0.00	0.07	0.09	410	491	+
	SUBTOTAL NOR	TH SECTION	0.00	0.00	0.00	0.00	0.00	<0.01	0.13	50	298	
	SUBTOTAL SOUTH SECT	TION (PRELIMINARY)	0.00	0.04	0.00	0.00	0.00	0.00	0.00	3662	0.00	
ROJECT	Γ TOTAL*:		<0.01	0.10	0.00	0.00	0.00	0.08	0.22	4122	789	0

<sup>\*</sup>Rounded totals are sum of actual impacts

NOTES:

South Section impacts are based on Preliminary Design, and Permanent Impacts are estimated for this Design Section.

NC DEPARTMENT OF TRANSPORTATION
DIVISION OF HIGHWAYS
03/16/16
MECKLENBURG, IREDELL

SHEET 1 OF 1

Revised 2013 10 24