### Type III Categorical Exclusion Action Classification Form

STIP Project No.	R-4045 & BR-0012		
WBS Element	34598.1.2 & 67012.3.1		
Federal Project No.	NHF-74 (4) for R-4045, no FA# for BR-0012		

#### A. Project Description:

Improvements to US 74 from just west of Ellenboro Road to just east of the bridges over Sandy Run Creek including the replacement of those bridges (see Figure 5).

#### B. <u>Description of Need and Purpose:</u>

**Needs**: US 74 is currently a 4-lane freeway with a grass median and varying control of access (primarily fully access-controlled). NCDOT and FHWA have functionally classified this portion of US 74 as a Freeway, and NCDOT and their federal/state/regional stakeholders designated it a Strategic Transportation Corridor (Corridor U) for North Carolina. Based upon the NCDOT review of needs in the project vicinity (see memo dated June 2021 and provided to the Merger Team in March 2022), the subject 1.2-mile portion of US 74 does not meet the aforementioned functional classification and is noncompliant with current roadway design requirements. The subject portion of US 74 also does not satisfy the highway network's established long-term vision and lacks continuity that negatively affect driver expectations and safety. Additionally, NCDOT identified the existing US 74 at-grade intersection at SR 1168 (Academy Street/Lattimore Road) in the 2021 Highway Safety Improvement Program (HSIP) because of the historical pattern of frontal impact crashes, and the relative severity of personal injuries involved in those crashes.

**Purpose**: The purpose of the R-4045 project is to provide a consistent facility to meet drivers' expectations for the US 74 corridor (from Kings Mountain to Columbus, NC) by upgrading this portion of US 74 to meet NCDOT freeway standards.

#### C. Categorical Exclusion Action Classification:

#### Type III

#### D. Proposed Improvements:

As concurred upon at the Merger CP3 meeting on October 12, 2022, Alternative 1A is the preferred alternative and LEDPA, as shown in Figures 1A and 1B. It will:

- 1. Replace Bridge 48 and 49 on the existing location while maintaining traffic on a temporary onsite detour to the north.
- 2. Apply Fully Controlled access to US 74 within the project study limits.
- 3. Add an interchange at the current intersection of Lattimore Rd/Academy St. with US 74 with the following configuration:
  - a. in the NE quadrant, include a US 74 off-ramp and a US 74 on-loop combination
  - b. in the NW quadrant, connect Ellenboro Rd. to Lattimore Rd. where the Ramp Loop Combination terminates.
  - c. in the SW quadrant, include a US 74 off ramp terminating to a traffic circle on Academy St.
  - d. in the SE quadrant, include a US 74 on-ramp from the traffic circle.

The ramps in the northern half of the interchange will include culverts to carry the stream but utilize rock plating to achieve 1.5:1 slopes and minimize stream impacts. The ramp in the NE quadrant will utilize a bridge to minimize impacts to the stream.

A service road will be built connecting East Main Street to the mobile homes near the intersection of East Main Street and US 74.

Another service road will be built from Academy Street to the grading company near East Main Street also connecting Duncan Road and the Cornerstone Baptist Church along its length. Attached is Figure 4 which illustrates a modification to Service Road Option 3 that was selected as the preferred alignment. The modification reduces stream impacts by crossing at a less sinuous location.

#### E. Special Project Information:

#### **Merger Process**

Initial Merger Screening was in April 2014 and based on what was known at that time about the impacts and anticipated footprint, the project was screened out of merger. As the project developed, impacts were larger than expected so NCDOT brought the project back to the Merger MOU signatory agencies, who screened the project into Merger. Concurrence Points 1, 2 and 2A (Purpose and Need, Alternatives and Bridging Decisions) were achieved 5/19/22. Concurrence Point 3 (Least Environmentally Damaging Practicable Alternative (LEDPA)) was achieved 10/12/22. The LEDPA is described in Section D (Proposed Improvements) of this categorical exclusion. CP 4A (Avoidance & Minimization) was achieved 12/13/22. The documentation for concurrence points is available in NCDOT and applicable resource agency files.

#### **Agency Coordination**

In addition to the Merger Coordination describe above, NCDOT sent Scoping Letters and received input January – March 2021. As part of scoping and project development, NCDOT also integrated comments from the Town of Mooresboro, Cherokee Nation, Eastern Band of Cherokee Indians, United Keetoowah Band of Cherokee Indians, Catawba Indian Nation, NC Division of Parks and Recreation, Cleveland County Planning, Cleveland County Fire Marshall, Cleveland County Sheriff's Department, Cleveland County Schools and Carolina Thread Trial.

#### **Environmental Justice**

Within the project study area, based on field observations, 90% of the population is low income. This means project impacts would be predominately shouldered by a low income population and triggers an Environmental Justice evaluation.

The purpose and need of the project requires freeway standards on US 74 which in turn requires an interchange. The proposed interchange is located at the only viable location along US 74 to still provide access to the Town of Mooresboro and provide at least one mile of spacing between the proposed interchange and existing interchanges. After all efforts to minimize impacts, there are 10 residential relocations and 2 business relocations associated with the work along US 74. Of the 10 residential relocations, based on field observations, 9 are low income. Because the community is predominately (over 90%) low income, it is expected that 9 out of 10 of any impacts would be to low income households.

NCDOT scheduled a public meeting for August 25, 2022 and advertised by post cards sent two weeks prior to the meeting to everyone in the study area. NCDOT implemented a plan to contact low income households with a doorhanger, to be placed on doors in early August. For any household that might be a potential relocatee, NCDOT made personal contact with almost every potential relocatee to discuss the impacts with these residents as part of the door hanger effort.

The Dollar General is a source of groceries for the community. After coordination with the store managers of Dollar General, they have indicated that the only foot traffic at the store is teenagers

purchasing snacks (sodas, candy etc.). Customers coming for grocery purchases come by car. There are three other grocery stores within a 5 to 10 minute car trip from Mooresboro and so the impact of taking the Dollar General, should they choose not to rebuild locally, should be minimal.

The impact to the low income population is proportional to the presence of low income population within the study area. The community impacted is also substantially benefited by the project. The difficulty of getting onto and off of U.S. 74 safely is not only documented by accident reports but also by overwhelming support of the project by the community and local officials during public involvement.

#### **Public Involvement**

On August 25, 2022, NCDOT hosted a public meeting in Mooresboro, NC and received comments through September 8, 2022. 107 comments were received during this period. The public meetings maps showing all alternatives and Options are included as Figures 1A through 3B.

Of the 107 comments, there were 91 comments expressing a preference between the four interchange concepts: 1, 1A, 2 or 2A:

- 77 supported Alternate 1
- 6 supported Alternate 1A
- 1 supported Alternate 2
- 7 supported Alternate 2A

There were 91 comments expressing a preference for Service Road Options 1, 2 or 3:

- 88 supported Option 3
- 2 supported Option 2
- 1 supported Option 1 or 2

There were 6 comments supporting inclusion of a service road to connect E. Main Street to the mobile home park to be cut off from US 74. See Figure 1B or 2B.

#### **Accidents**

The project corridor has a high occurrence of frontal impact crashes largely due to vehicles attempting to enter a 55 mile per hour facility from at grade intersections. The intersections of Academy Street and Ellenboro Road with US 74 are very close to one another and particularly troublesome with 23 frontal impacts over a five-year period (see Figure 6).

#### **Traffic Operations Analysis**

The Base Year No-Build condition analysis results for all unsignalized intersections indicate that all the lane groups within the network are operating at LOS C or better during the AM and PM peak hours.

The Future Year No-Build condition analysis results for all unsignalized intersections indicate that most of the lane groups within the network are expected to operate at LOS D or better during the AM and PM peak hours, except for the following:

- Intersection of US 74 at Academy Street/Lattimore Road: the northbound left/through/right lane group is expected to at LOS E during the AM and PM peak hours.
- Intersection of US 74 at Ellenboro Road: the southbound left/right lane group is expected to operate at LOS F during the AM and PM peak hours.

The Future Year (2045) Build Alternative 1A analysis results indicate that all the unsignalized and roundabout lane groups within the network are expected to operate at LOS B or better during the AM and PM peak hours.

Details of the analysis can be found in the Traffic Operations Analysis Technical Memorandum dated August 2021.

#### **Complete Streets Coordination**

On May 10, 2021, NCDOT met with representatives from the Town of Mooresboro, Cleveland County, GCL-MPO and the Carolina Thread Trail to discuss bicycle and pedestrian accommodations within the project footprint. Within the town limits, there were no needs identified.

On the eastern end of the project limits, there is an abandoned Norfolk Southern RR corridor including a bridge of US 74 that is identified in the CTP as a future addition to the Carolina Thread Trail (CTT) network. The RR bridge must be removed in order to achieve the required typical section on US 74. Since it must be removed, NCDOT proposed and the group agreed to replace the function of the RR bridge. A 14-foot wide graded area will be constructed as part of the project running parallel to US 74 on both sides and connecting under the new bridges over Sandy Run Creek. The graded area will be outside the control of access but inside the right of way and available for the future CTT pathway.

### F. Project Impact Criteria Checklists:

F3. Type III Actions				
Proposed improvement(s) that fit Type III Actions (NCDOT-FHWA CE Programmatic Agreement, Appendix C) answer questions below.				
		Yes	No	
1	Does the project involve potential effects to Threatened or Endangered species listed by the US Fish and Wildlife Service (USFWS) or National Marine Fisheries Service (NMFS)? See Response to Q1	V		
2	Does the project result in impacts subject to the conditions of the Bald and Golden Eagle Protection Act (BGEPA)?		$\overline{\mathbf{A}}$	
3	Does the project generate substantial controversy or public opposition, for any reason, following appropriate public involvement? See PI summary, Section E.		$\overline{\checkmark}$	
4	Does the project cause disproportionately high and adverse impacts relative to low-income and/or minority populations? The impact to the minority population is proportional to the non-minority population within the study area.		<b>V</b>	
5	Does the project involve substantial residential or commercial displacements or right of way acquisition?		$\overline{\checkmark}$	
6	Does the project include a determination under Section 4(f)? The park and three historic sites are not touched by the project.		$\overline{\checkmark}$	
7	Is a project-level analysis for direct, indirect, or cumulative effects required based on the NCDOT community studies screening tool? See Response to Q7.	V		
8	Does the project impact anadromous fish spawning waters? Anadromous fish are not present in Cleveland County.		$\overline{\checkmark}$	
9	Does the project impact waters classified as Outstanding Resource Waters (ORW), High Quality Waters (HQW), Water Supply Watershed Critical Areas, 303(d)-listed impaired water bodies, buffer rules, or submerged aquatic vegetation (SAV)? None of these resources are present per 2021 NRTR.		<b>V</b>	
10	Does the project impact Waters of the United States in any of the designated mountain trout streams? Cleveland County does not possess mountain trout streams.		<b>V</b>	
11	Does the project require a US Army Corps of Engineers (USACE) Individual Section 404 Permit? See Response to Q11.	$\overline{\mathbf{A}}$		
12	Will the project require an easement from a Federal Energy Regulatory Commission (FERC) licensed facility? There are no FERC resources within the project study area.		<b>V</b>	
13	Does the project include Section 106 of the National Historic Preservation Act (NHPA) effects determination other than a No Effect, including archaeological remains? There are no NHRP Archaeological Sites present within the study area. (Attachment 6). The LEDPA has No Adverse Effect on any of the three historic sites in the Project Study Area (Attachment 7).		V	
14	Does the project involve GeoEnvironmental Sites of Concerns such as gas stations, dry cleaners, landfills, etc.? Geo Environmental Screening by NCDOT in February 2021 resulted in no sites of concern within the Project Study Area.		<b>V</b>	
15	Does the project require work encroaching and adversely effecting a regulatory floodway or work affecting the base floodplain (100-year flood) elevations of a water course or lake, pursuant to Executive Order 11988 and 23 CFR 650 subpart A? See response to Q15.	<b>\</b>		

16	Is the project in a Coastal Area Management Act (CAMA) county and substantially affects the coastal zone and/or any Areas of Environmental Concern (AEC)?  Cleveland County is not in the eastern third of the state and therefore not subject to this concern.		
Туре	Type III Actions (continued)		
17	Does the project require a US Coast Guard (USCG) permit? Project was screened by FHWA process in 2018 and determined to have no USCG concerns.		$\overline{\checkmark}$
18	Does the project involve construction activities in, across, or adjacent to a designated Wild and Scenic River present within the project area? There are no Wild and Scenic Rivers present in Cleveland County.		<b>V</b>
19	Does the project involve Coastal Barrier Resource Act (CBRA) resources?  Cleveland County is not in the coastal zone of NC.		$\overline{\checkmark}$
20	Does the project impact federal lands (e.g. US Forest Service (USFS), US Fish and Wildlife Service (USFWS), etc.) or Tribal (Trust) Lands? No federal or tribal lands are present in the study area based on review of GIS, Final Surveys, and input from tribes. Of the four tribes who have jurisdiction over this county, only the Catawba Indian Nation replied to scoping inquires. Their response is Attachment 8.		V
21	Does the project involve any changes in access control or the modification or construction of an interchange on an interstate? See response to Q21.	$\overline{\mathbf{A}}$	
22	Does the project have a permanent adverse effect on local traffic patterns or community cohesiveness? See response to Q22.	V	
23	Will maintenance of traffic cause substantial disruption? Construction of service roads and staging of bridge construction to maintain traffic patterns will only cause minor disruption.		V
24	Is the project inconsistent with the STIP, and where applicable, the Metropolitan Planning Organization's (MPO's) Transportation Improvement Program (TIP)? The project is consistent with both local and state improvement programs.		V
25	Does the project require the acquisition of lands under the protection of Section 6(f) of the Land and Water Conservation Act, the Federal Aid in Fish Restoration Act, the Federal Aid in Wildlife Restoration Act, TVA, Tribal Lands, or other unique areas or special lands that were acquired in fee or assessment with public-use money and have deed restrictions or covenants on the property? Screening the project via GIS, Final Surveys and coordination with multiple agencies during scoping revealed no properties acquired in fee or assessment with public use money by any of the agencies or acts listed.		V
26	Does the project involve Federal Emergency Management Act (FEMA) buyout properties under the Hazard Mitigation Grant Program (HMGP)? Screening via GIS, Final Surveys and Hydraulics Studies revealed no properties from either program.		<b>A</b>
27	Is the project considered a Type I under the NCDOT's Noise Policy? See response to Q27.	V	
28	Is there prime or important farmland soil impacted by this project as defined by the Farmland Protection Policy Act (FPPA)? See response to Q 28.	$\overline{\mathbf{A}}$	
29	Is the project in an Air Quality non-attainment or maintenance area for a National Ambient Air Quality Standard (NAAQS)? Cleveland County is an attainment area.		$\overline{\mathbf{A}}$
30	Are there other issues that arose during the project development process that affected the project decision? All factors affecting the project are described in Section G. or Section E.		<b>4</b>

- G. Additional Documentation as Required from Section F (ONLY for questions marked 'Yes'):
  - Q1. Endangered Species The dwarf flowered heartleaf is present near the project footprint. A retaining wall has been included near Stream C to keep the footprint off the area of concern. In a memo from US Fish and Wildlife Service dated August 2, 2022 (see Attachment 9) concurs with NCDOT's determination that the project may affect but is not likely to adversely affect the species.

The Northern long eared bat (NLEB) was previously listed for Cleveland County but was adjusted to a specific range within Cleveland County by USFWS in Summer/Fall 2022 to where the project fell outside the known range. NCDOT recognizes the pending up-listing of the NLEB; however, at this time, this project remains outside the Information for Planning and Consultation (IPaC) range for NLEB.

- **Q7. Indirect and Cumulative Effects** The project will not alter traffic capacity or travel patterns, reduce travel time, affect access to, or create new transportation or land use nodes. Due to its minimal transportation impact causing activities this project will neither influence nearby land uses nor stimulate growth. Therefore, a detailed indirect and cumulative effects study will not be necessary.
- **Q11.** Individual Permit The estimated stream impacts of the project are 1,500 lf and the wetland impacts are 0.1 acre. The proposed action was selected as the Least Environmentally Damaging Practicable Alternative through the Merger Process.
- **Q15. 100 year Floodplain** The replacement of Bridges 48 and 49 over Sandy Run Creek (a FEMA Limited Detail Study stream) will require a Memorandum of Agreement. Associated project commitments are included in Section H of this CE.
- **Q21.** Access Control The project is changing from full access along US 74 to full control of access on US 74 to address driver expectation and safety issues identified in the purpose and need of the project.
- **Q22.** Change in Local Traffic Patterns Once the project is completed, the intersections of Academy Street/Lattimore Rd and Ellenboro Road with US 74 will be converted to a single interchange. Because US 74 is being converted to full control of access along the project corridor, several driveways and two street intersections will be closed. Two service roads are included with the project to restore access to those properties that are isolated.
- **Q27. Type I Noise** The source of this traffic noise information is the R-4045 & BR-0012 Traffic Noise Report, by RK&K, accepted by NCDOT on March 20, 2023.

#### **Traffic Noise Impacts**

The maximum number of receptors in each project alternative predicted to become impacted by future traffic noise is shown in the table below. The table includes those receptors expected to experience traffic noise impacts by either approaching or exceeding the FHWA Noise Abatement Criteria or by a substantial increase in exterior noise levels as defined in the NCDOT Traffic Noise Policy.

#### Predicted Traffic Noise Impacts by Alternative<sup>1</sup>

Traffic Noise Impacts <sup>2</sup>						
Alternative	Alternative Residential (NAC B) Places of Worship/Schools, Parks, etc. (NAC C & D) Businesse s (NAC E)					
Alt 1						
Alt 1A	8	0	0	o		
Alt 2	0	U	0	8		
Alt 2A						

<sup>&</sup>lt;sup>1</sup>Per TNM 2.5 and in accordance with 23 CFR Part 772

#### **Traffic Noise Abatement Measures**

Measures for reducing or eliminating the traffic noise impacts, including noise barriers, were considered for all impacted receptors in each alternative. Noise barriers include two basic types: earthen berms and noise walls. These structures act to diffract, absorb, and reflect highway traffic noise.

#### **Noise Barriers**

A noise barrier evaluation was conducted for this project utilizing the Traffic Noise Model (TNM 2.5) software developed by the FHWA. The following table summarizes the results of the evaluation.

**Preliminary Noise Barrier Evaluation Results** 

Alternative/ NSA	Noise Barrier Location <sup>1</sup>	Length / Height² (feet)	Square Footag e	Number of Benefited Receptor s	Square Feet per Benefited Receptor / Allowable Square Feet per Benefited Receptor	Preliminarily Feasible and Reasonable ("Likely") for Construction
Alt 1/NSA 3	Adjacent to US 74					
Alt 1A/NSA 3	(West Dixon Boulevard)					
Alt 2/NSA 3	Eastbound, beginning	E40 /				
Alt 2A/NSA 3	with west of Main Street (-L- Sta 72+83.65 61.00' RT to -L- Sta. 78+23.63 63.00' RT)	540 / 14.6	7,899	4	1,975 / 2,000	Yes

<sup>&</sup>lt;sup>1</sup>The preliminarily feasible and reasonable abatement measure configuration and predicted acoustical performance is identical for all four design study alternatives.

<sup>&</sup>lt;sup>2</sup>Traffic noise impacts are predicted for the same eight (8) residential noise-sensitive receptors for all four project design study alternatives.

<sup>&</sup>lt;sup>2</sup>Average wall height. Actual wall height at any given location may be higher or lower.

<sup>&</sup>lt;sup>3</sup>The likelihood of a barrier's construction is preliminary and subject to change, pending completion of final design and the public involvement process.

A traffic noise evaluation was performed that identified one noise barrier that preliminarily meets feasibility and reasonableness criteria found in the NCDOT Traffic Noise Policy. A more detailed analysis will be completed during project final design. Noise barriers preliminarily found to be feasible and reasonable during the preliminary noise analysis may not be found to be feasible and reasonable during the final design noise analysis due to changes in proposed project alignment and other design considerations, surrounding land use development, or utility conflicts, among other factors. No additional noise barriers were evaluated for the subject project; however, noise barriers that preliminarily are not considered feasible and reasonable may meet the established criteria and be recommended for construction. This evaluation was conducted in accordance with the highway traffic noise requirements of Title 23 CFR Part 772.

In accordance with NCDOT Traffic Noise Policy, the Federal/State governments are not responsible for providing noise abatement measures for new development for which building permits are issued after the Date of Public Knowledge. The Date of Public Knowledge of the proposed highway project will be the approval date of the Type III Categorical Exclusion (CE). NCDOT strongly advocates the planning, design and construction of noise-compatible development and encourages its practice among planners, building officials, developers, and others.

**Q28. Farmland** – There are active farming operations and prime farmland within the study area and there will be limited impact to some of those operations. In 2013 a preliminary screening of farmland conversion impacts in the project area was completed (Natural Resources Conservation Service [NRCS] Farmland Conversion Impact Rating Form AD-1006, Part VI only) and a score of 54 out of 160 points was calculated for the project site. This score has been validated based on current design and because the total site assessment score does not exceed the 60-point threshold established by NRCS. Farmland conversion impacts may be anticipated but are not considered notable.

### NCDOT PROJECT COMMITMENTS

STIP Project No. **R-4045 & BR-0012**Improvements to US 74 and replacement of Bridges 48 and 49
Cleveland County
Federal Aid Project No. NHF-74 (4) for R-4045, no FA# for BR-0012
WBS Element 34598.1.2 & 67012.3.1

#### **Hydraulic Unit – FEMA Coordination**

The Hydraulics Unit will coordinate with the NC Floodplain Mapping Program (FMP), to determine status of project with regard to applicability of NCDOT'S Memorandum of Agreement, or approval of a Conditional Letter of Map Revision (CLOMR) and subsequent final Letter of Map Revision (LOMR).

#### **Division Construction – FEMA**

This project involves construction activities on or adjacent to FEMA-regulated stream(s). Therefore, the Division shall submit sealed as-built construction plans to the Hydraulics Unit upon completion of project construction, certifying that the drainage structure(s) and roadway embankment that are located within the 100-year floodplain were built as shown in the construction plans, both horizontally and vertically.

#### **Division Construction – Dwarf flowered heartleaf**

NCDOT will build a retaining wall along US 74 to avoid direct impacts to the dwarf flowered heartleaf located just south of US 74 along Stream SC. The retaining wall would eliminate the need for tree clearing and construction equipment access to 35% of the dwarf-flowered heartleaf population.

A new 54" pipe will be placed parallel to the existing 48" pipe on Stream SC under US 74 ensuring a more stable stream with less susceptibility to stream blockages, minimizing impacts to dwarf-flowered heartleaf plants located feet from the stream edge.

Service Road Option 3 (Academy Street Connector) will cross Stream SC more than 500 feet upstream of the current dwarf-flowered heartleaf locations. The pipe placed at this crossing will be designed at an appropriate size so as not to affect the flow of water either upstream or downstream, thereby avoiding affects to dwarf-flowered heartleaf.

### **Design Build Team and Division 12 - Complete Streets**

Academy Street/ Lattimore Road will include 4' paved shoulders to accommodate bicycles and the bridge over US 74 will include bicycle safe rail.

#### **Design Build Team and Division 12 – Carolina Thread Trail**

To mitigate for the RR bridge removal and its impact on the future Carolina Thread Trail, R-4045/BR-0012 will construct a graded area at least 14 feet wide as part of the project running parallel to US 74 on both sides and connecting under the new bridges over Sandy Run Creek. The graded area will be outside the control of access but inside the right of way and available for the future CTT pathway.

#### **Design Build Team and Division 12 – Merger Minimizations**

In the north half of the interchange, the slopes near the streams crossed by Ellenboro Road, Lattimore Road and the Loop will be 1.5:1 rock plated in order to minimize stream impacts. The off-ramp bridge in the NE quadrant will utilize a bridge over the stream.

Service Road Option 3 (Academy Street Connector)— Service Road Option 3 will include an alignment shifted approximately 100 feet south on the west end of the alignment near the grading company to avoid a more sinuous portions of stream SC.

Hydraulic Design will follow NCDOT Hydraulic Manual Guidance (Chapter 9) to minimize impacts to aquatic passage.

#### Design Build Team and Division 12 – Environmental Justice Considerations

Any further public involvement during design build via mailings to the community will also include door hangers with the same information. Door hangers will be placed on all low income households within the project study area.

Service Road Option 3 was selected by the Merger Team to avoid impacts to 9 low income residences and costs of improvements to Main Street. The Design Build Team must either adhere to Service Road Option 3 or revisit the issue with the Merger Team.

#### **Design Build Team and Division 12 – Historic Properties**

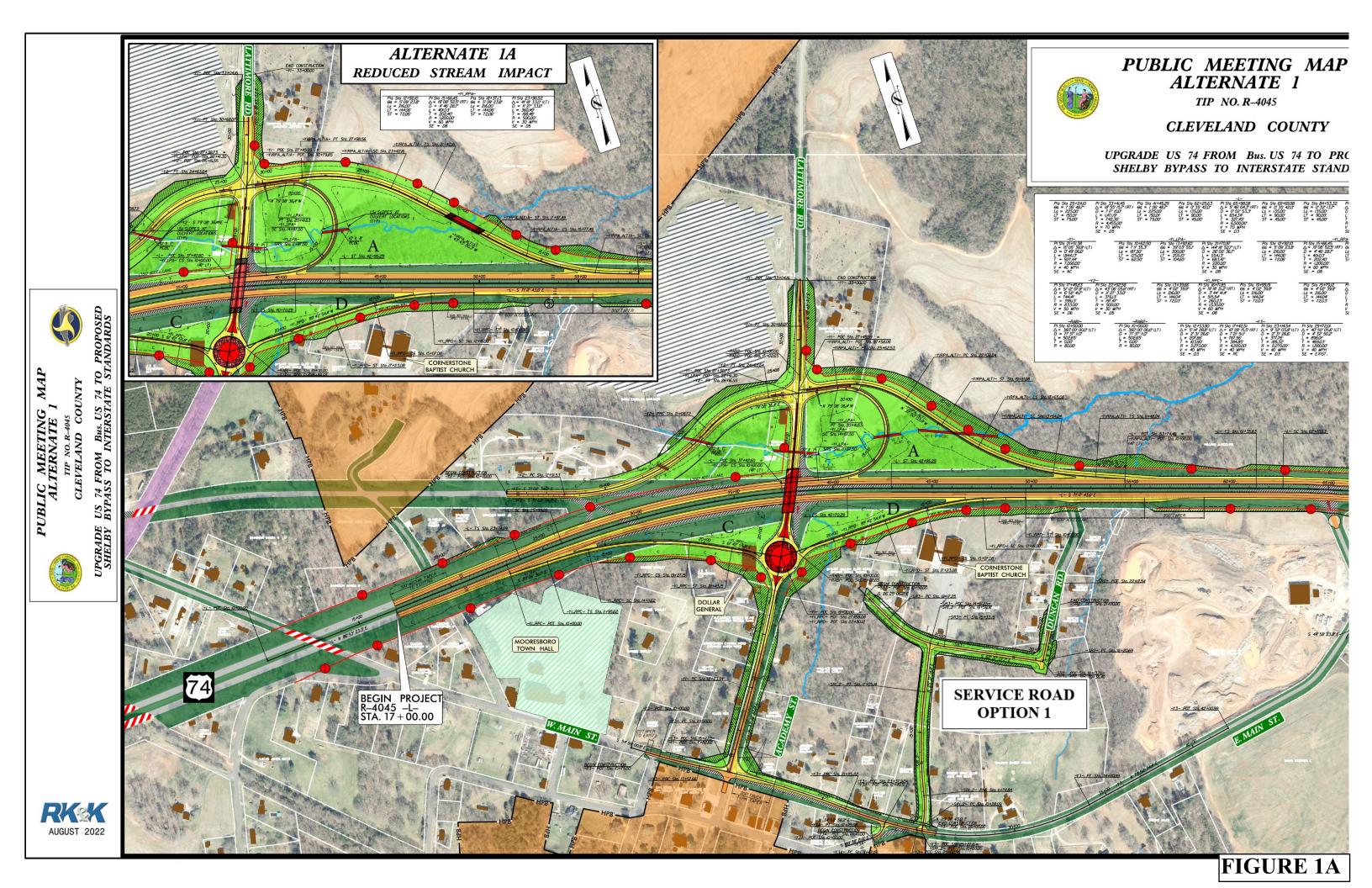
There are three historic properties within the project study area identified on the public meeting map. If the project footprint extends closer to any of the historic properties than the preferred alternative, the change should be reviewed with NCDOT's Historic Architecture Team.

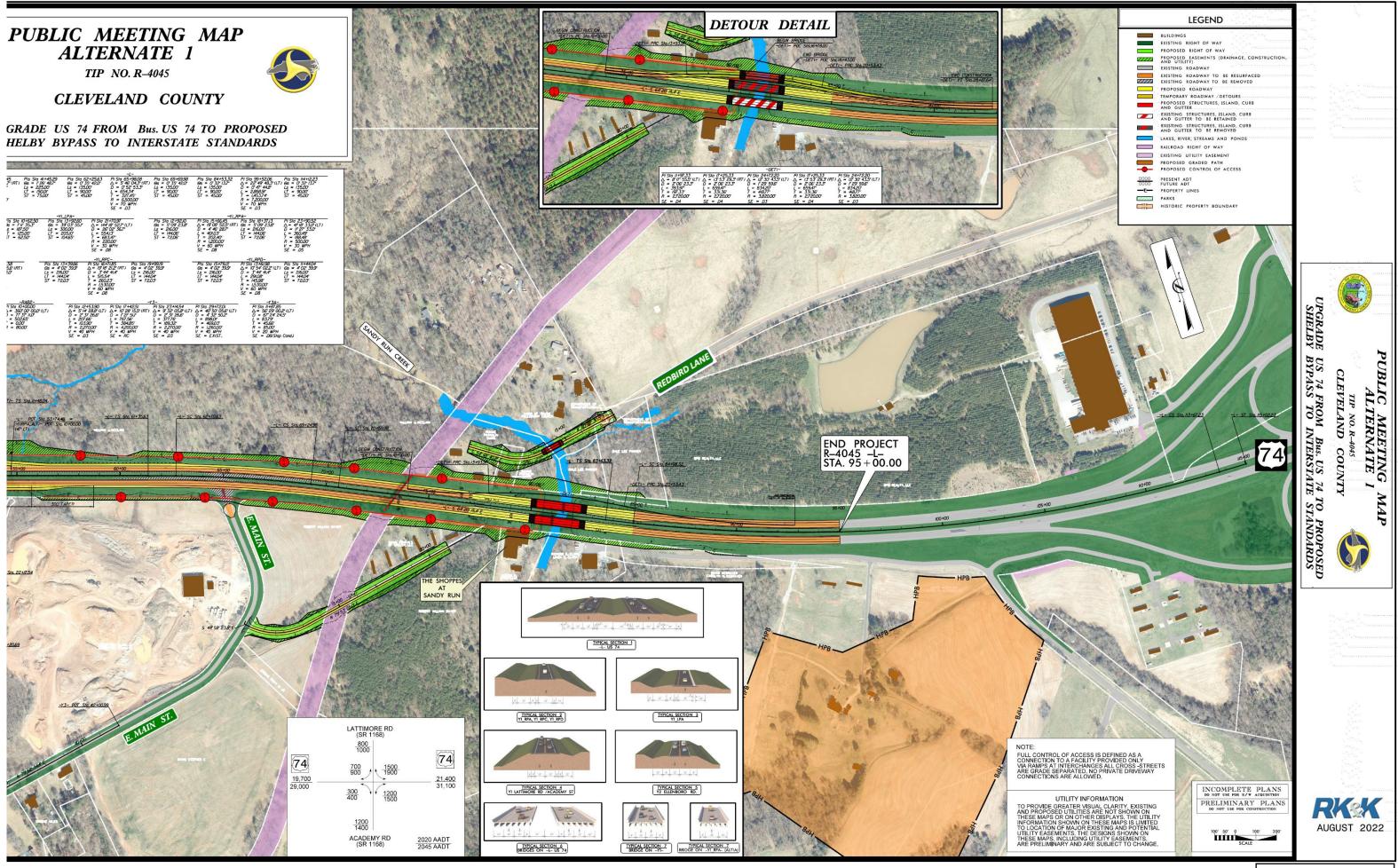
### **Categorical Exclusion Approval:**

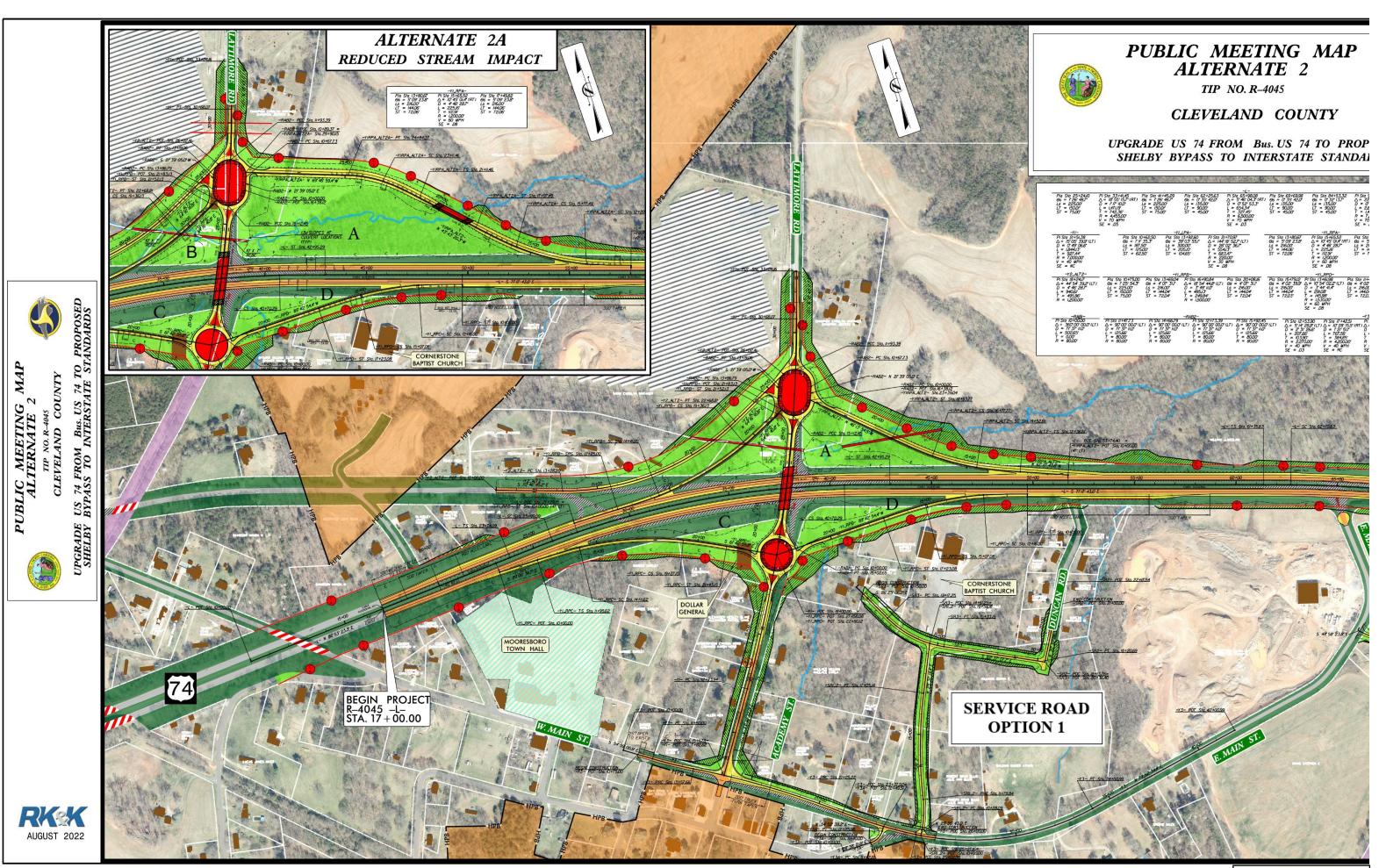
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Federal Project No.	NHF-74 (4) for R-4045, no FA# for BR-0012				
Prepared By:	— DocuSigned by:				
3/29/2023	John Williams				
Date	John L. Williams, Project Manger Rummel Klepper & Kahl				
Prepared For:	NCDOT Division 12				
Reviewed By: 3/29/2023  Date	John Jamison, Manager				
	Environmental Policy Unit				
Approve	<del>ed</del>				
<b>✓</b> Certifie	If classified as Type III Categorical Exclusion.				
4/3/2023	Docusigned by:  Mark E Stifferd				
	Mark Stafford, P.E. Division 12 Engineer North Carolina Department of Transportation				
FHWA Approved: F	or Projects Certified by NCDOT (above), FHWA signature required.				
	DocuSigned by:				
4/3/2023	Donnie Brew				
	lohn F. Sullivan, III, PE, Division Administrator Federal Highway Administration				

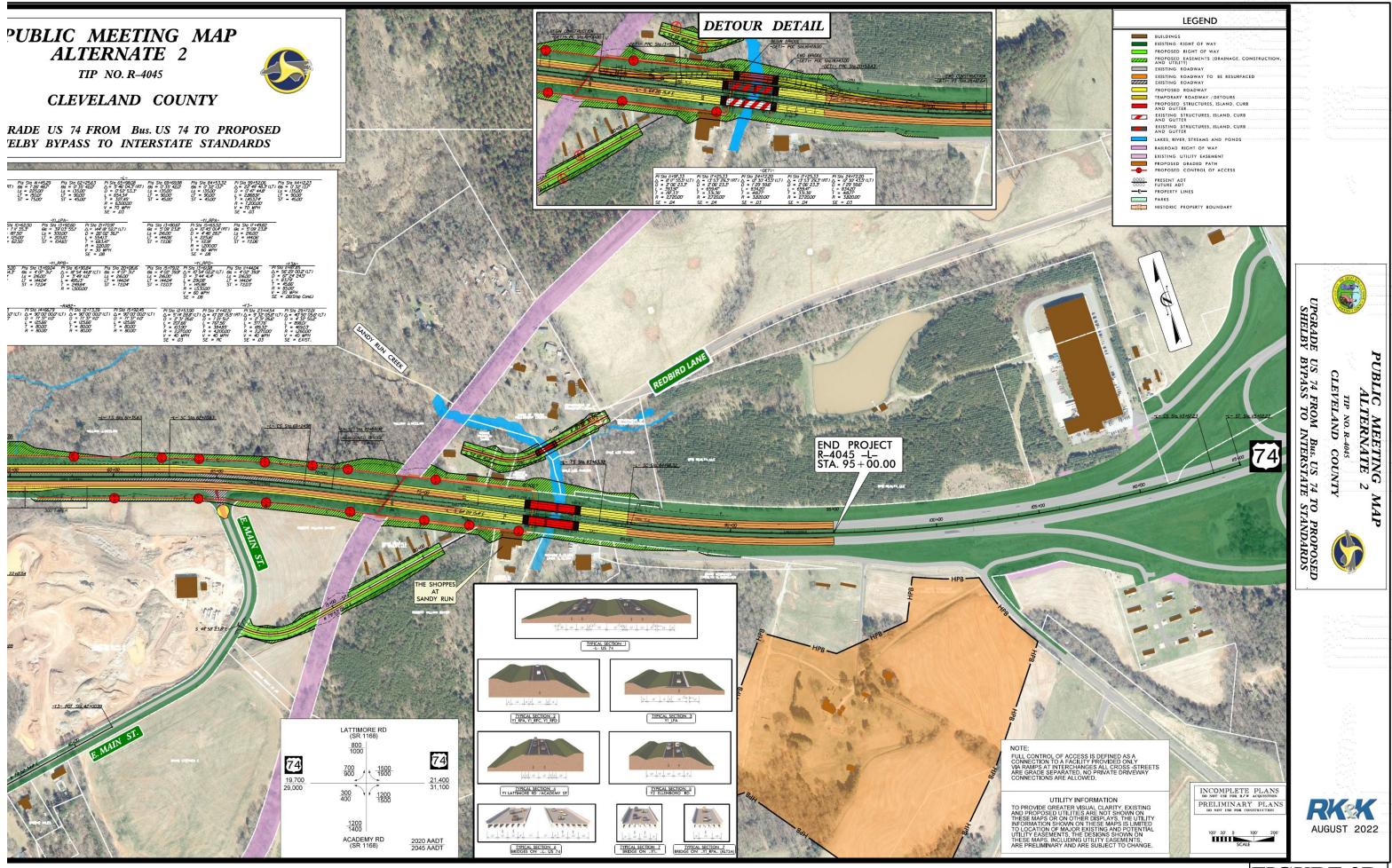
Note: Prior to ROW or Construction authorization, a consultation may be required (please see Section VII of the NCDOT-FHWA CE Programmatic Agreement for more details).

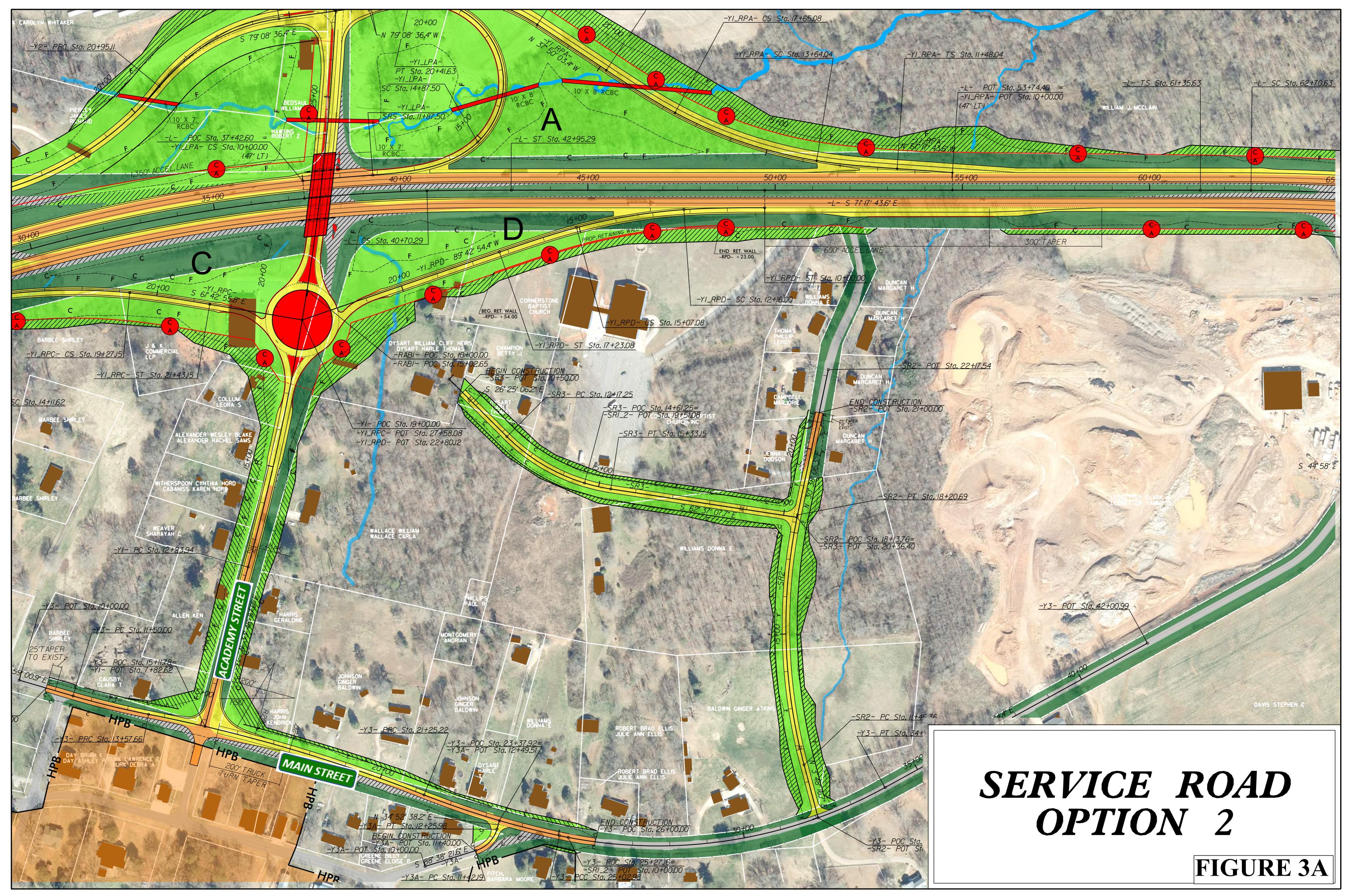
# **FIGURES**

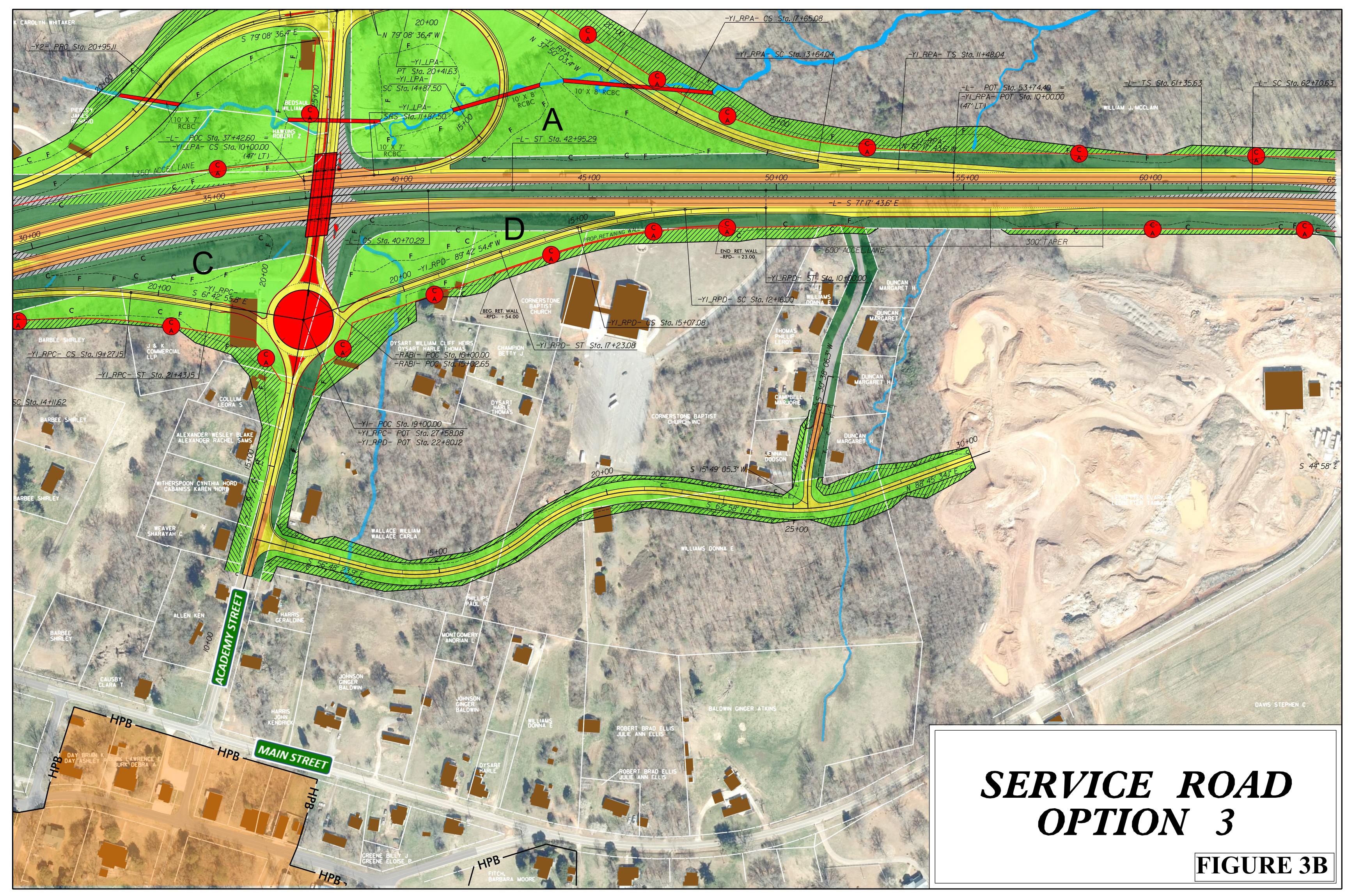






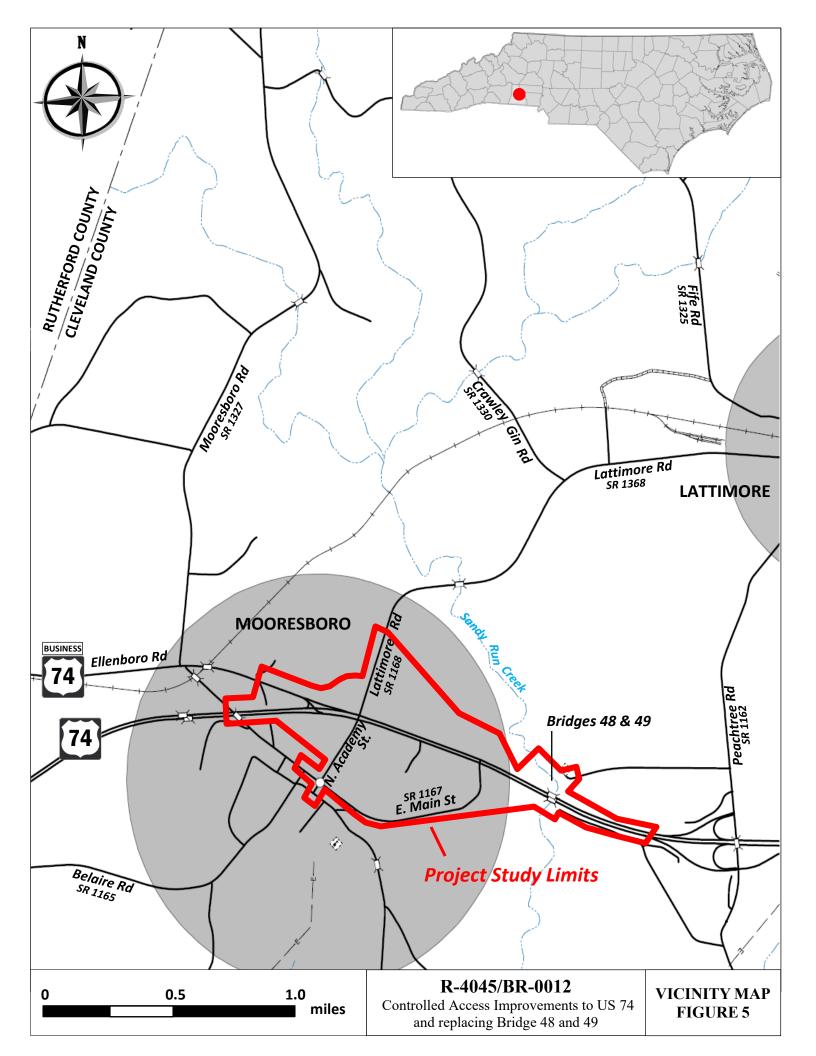






# **Option 3 with Minimized Stream Impact**





# R-4045: US 74 from Structures 6 and 8 over abandoned Railroad to Structures 48 and 49 over Sandy Run Creek (4/1/2015 - 3/31/2020)





# **ATTACHMENTS**

Attachment 1 pg. 1 of 2

# Section 404/NEPA Merger Project Team Meeting Agreement Concurrence Point No. 1 Project Purpose and Need and Study Area Defined

Project Name/Description: Improvements Along US 74 from Main Street (west) and the Shelby Bypass. **STIP Project: R-4045/BR-0012** 

#### **Project Purpose and Need Statement:**

US 74 is currently a 4-lane freeway with a grass median and varying control of access (primarily fully access-controlled). NCDOT and FHWA have functionally classified this portion of US 74 as a Freeway, and NCDOT and their federal/state/regional stakeholders designated it a Strategic Transportation Corridor (Corridor U) for North Carolina. Based upon the NCDOT review of needs in the project vicinity (see memo dated June 2021 and provided to the Merger Team in March 2022), the subject 1.2-mile portion of US 74 does not meet the aforementioned functional classification and is noncompliant with current roadway design requirements. The subject portion of US 74 also does not satisfy the highway network's established long-term vision, and lacks continuity that negatively affect driver expectations and safety. Additionally, NCDOT identified the existing US 74 at-grade intersection at SR 1168 (Academy Street/Lattimore Road) in the 2021 Highway Safety Improvement Program (HSIP) because of the historical pattern of frontal impact crashes, and the relative severity of personal injuries involved in those crashes. The purpose of the R-4045 project is to provide a consistent facility to meet drivers' expectations for the US 74 corridor (from Kings Mountain to Columbus, NC) by upgrading this portion of US 74 to meet NCDOT freeway standards.

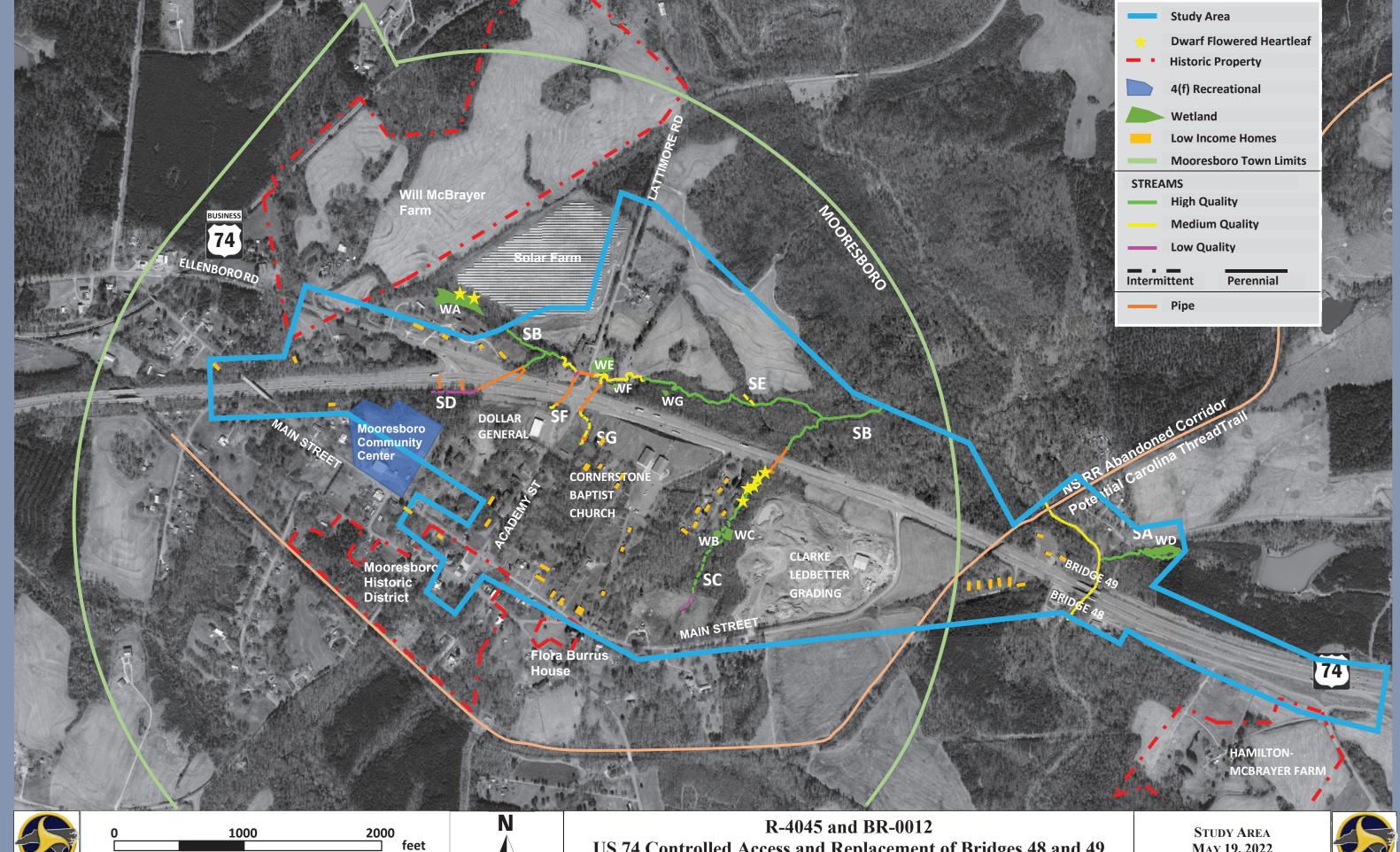
#### **Project Study Area**

The project study area boundaries are shown in the attached figure, dated May 19, 2022. The study area encompasses approximately 321 acres along US 74 between Main Street and the Shelby Bypass.

The Merger Team has concurred on this date of 5/19/22, on the above project purpose and need and the study area as shown in **the attached figure** for STIP Project R-4045/BR-0012.

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USE Amaretta Somerville	NC Bryan Sowell 79EABDC0D6EA4D1
US   Docusigned by:   San B b/r-   68574C520CDE43C	NCH Renee Gledhill-Earley
NCE Omy Chapman	GCLI Randi States  F42B142E3CEC4D5
NCV David Multury	

Attachment 1 pg. 2of 2



US 74 Controlled Access and Replacement of Bridges 48 and 49 MAY 19, 2022



# Section 404/NEPA Merger Project Team Meeting Agreement Concurrence Point No. 2 Detailed Study Alternatives Carried Forward

Project Name/Description: Improvements Along US 74 from Main Street (west) and the Shelby Bypass.

STIP Project: R-4045/BR-0012

#### **Detailed Study Alternatives Carried Forward:**

#### Alternative 1

Replace Bridge 48 and 49 on the existing location while maintaining traffic on a temporary onsite detour to the north. Apply Fully Controlled access to the US 74 within the project study limits. Add an interchange at the current intersection of Lattimore Rd/Academy St. with US 74 with the following configuration:

- 1. in the NE quadrant, include a US 74 off-ramp and a US 74 on-loop combination
- 2. in the NW quadrant, connect Ellenboro Rd. to Lattimore Rd. where the Ramp Loop Combination terminates from item 1 above.
- 3. in the SW quadrant, include a US 74 off ramp terminating to a traffic circle on Academy St.
- 4. in the SE quadrant, include a US 74 on-ramp from the traffic circle.

#### **Alternative 1A (Structure Variation)**

Alternative 1A is identical to Alternative 1 except that the structure on the NE quadrant ramp will be a bridge instead of a culvert.

#### Alternative 2

Alternative 2 is identical to Alternate 1 in the bridge replacements, controlled access, and the configuration southern half of the interchange (bullets 3 and 4 above). The northern half uses the following interchange configuration:

- 1. in the NE quadrant, include a US 74 off-ramp that terminates at an oblong traffic circle.
- 2. in the NW quadrant:
  - a. connect Ellenboro Rd. to Lattimore Rd. across from the NE Quadrant ramp/loop terminus.
  - b. Include a US 74 on-ramp from the oblong traffic circle.

#### **Alternative 2A (Structure Variation)**

Alternative 2A is identical to Alternate 2 except that the structure on the NE quadrant ramp will be a bridge instead of a culvert.

#### **Service Roads Study**

**NW Quadrant of Bridges** – There are three homes cut off from US 74 in the NW quadrant of the bridges that as a result of applying controlled access would lose their access to US 74. A service road to connect the homes to Red Bird Lane via a bridge over Sandy Run Creek is being studied.

**SW Quadrant of Bridges** – There is a business and a mobile home park on the SW quadrant of the bridge that as a result of applying controlled access would lose their access to US 74. A service road study is being conducted. A service road to connect the mobile home park and business to E. Main Street is being studied

**SE Quadrant of Academy Street/US 74 Intersection** - There are residents on Duncan Road and near Academy Street along with Cornerstone Baptist Church that as a result of applying controlled access and construction of the proposed interchange, would lose their access to US 74. In addition, a Grading Company at the corner of E Main St and US 74 would have its direct access to US 74 cut off. Three approaches being studied:

Main Street/Chapel Drive Connector – Study improving E. Main Street including the intersection of Main Street and Academy Street to allow for larger vehicles. Study connecting service road utilizing the existing private narrow gravel path known as Chapel Drive currently connecting Cornerstone Baptist Church to Main Street. This service road would T into another road connecting Duncan Drive and the residents on Main Street

Main Street/Duncan Road Connector— Study improving E. Main Street including the intersection of Main Street and Academy Street to allow for larger vehicles. Study a service road to connect Main Street directly with Duncan Drive with a T-Service Road connecting the church and residents near Main Street.

**Academy Street Connector** – Study a service road connecting Academy Street to the Grading Company with connections for the residences and the church.

The Merger Team has concurred on this date (5-19-222), on the above Detailed Study Alternatives Carried Forward for STIP Project R-4045/BR-0012.

USA Enc alsmuyer	Docusigned by:  FH Downie Brew
USE Imanetta Somerville	NCI Bryan Sowell  79EABDC0D6EA4D1
USFV DocuSigned by: USFV SB574C520CDE43C	NCH Renee Gledhill-Earley
NCD Ocusigned by:  Omy Chapman  9C9886312DCD474	GCLI Randi Yates
NCW David Muttury	· ·· ··

# Section 404/NEPA Merger Project Team Meeting Agreement Concurrence Point No. 2A Major Hydraulic Structures and Alignment Review

Project Name/Description: Improvements Along US 74 from Main Street (west) and the Shelby Bypass. **STIP Project: R-4045/BR-0012** 

The Merger Team has concurred on this date of 5-19-22, on the use of box culverts for all crossings of Stream B except for the NE quadrants Off-Ramps considered in Alternatives 1 and 2 where both a box culvert and a bridge will be studied. The decision on structure type will be made during CP 3 (LEDPA).

All culverts along stream SB will utilize rock plating to achieve a 1.5:1 slope.

USAC Enc alsmuyer	FHWA Down Brew
USEP Amanetta Somerville	NCDOT  Bryan Sowell  79EABDC0D6EA4D1
USFV Cousigned by: 6B574C520CDE43C	NCHP( Renee Gledhill-Earley
NCDV Ony Chapman  9C9886312DCD474	GCLMF Randi Yates  F42B142E3CEC4D5
NCN David Meteury	

Attachment 4 pg. 1 of 1

## Section 404/NEPA Merger Project Team Meeting Agreement

#### **Concurrence Point No. 3**

#### **Least Environmentally Damaging Practicable Alternative**

Project Name/Description: Improvements Along US 74 from Main Street (west) and the Shelby Bypass. **STIP Project: R-4045/BR-0012** 

The Merger Team has concurred on this date of October 12, 2022, on the following are collectively the Least Environmentally Damaging Practicable Alternative for STIP Project R-4045/BR-0012:

**Alternative 1A** - Replace Bridge 48 and 49 on the existing location while maintaining traffic on a temporary onsite detour to the north. Apply Fully Controlled access to the US 74 within the project study limits. Add an interchange at the current intersection of Lattimore Rd/Academy St. with US 74 with the following configuration:

- 1. in the NE quadrant, include a US 74 off-ramp and a US 74 on-loop combination
- 2. in the NW quadrant, connect Ellenboro Rd. to Lattimore Rd. where the Ramp Loop Combination terminates from item 1 above.
- 3. in the SW quadrant, include a US 74 off ramp terminating to a traffic circle on Academy St.
- 4. in the SE quadrant, include a US 74 on-ramp from the traffic circle.

In the north half of the interchange, the slopes near the streams crossed by Ellenboro Road, Lattimore Road and the Loop will be 1.5:1 rock plated in order to minimize stream impacts. The off-ramp bridge in the NE quadrant will utilize a bridge over the stream.

**Service Road Option 3** (Academy Street Connector)— the service road would run from Academy Street to the grading company near E. Main Street creating access for Cornerstone Baptist Church and Duncan Road as well as a more direct route for the grading company.

**E. Main Street Service Road** – a service road to connect Davis Mobile Home Park with E. Main Street near the SW quadrant of the US 74 bridges over Sandy Run Creek.

USA Enc alsmuyer BBEF8D962D56417	_ FH Donald W. Brw
US Amarutta Somerville 4A37089ED457408	NC
US Lawren Wilson	NC Rence Gledhill-Earley  C26A1556A275464
NCI Ocusigned by: NCI Omy Chapman 909886312D0D474	GCLM Randi Lates  F42B142E3CEC4D5
NCV David Meteury	_

# Attachment 5 pg. 1 of 2

### Section 404/NEPA Merger Project Team Meeting Agreement

#### Concurrence Point No. 4A - Minimization

Project Name/Description: Improvements Along US 74 from Main Street (west) and the Shelby Bypass.

STIP Project: R-4045/BR-0012

As part of CP 2A and CP 3 discussions, the Merger Team has agreed to minimizations to stream impacts and by informal consultation dated August 2, 2022 for the dwarf flowered heartleaf, USFWS has agreed to minimizations for that species as summarized below.

#### **Interchange Minimizations to Streams**

In the north half of the interchange, the slopes near the streams crossed by Ellenboro Road, Lattimore Road and the Loop will be 1.5:1 rock plated in order to minimize stream impacts. The off-ramp bridge in the NE quadrant will utilize a bridge over the stream.

Service Road Option 3 (Academy Street Connector)—Service Road Option 3 will include an alignment shifted approximately 100 feet south on the west end of the alignment near the grading company to avoid a more sinuous portions of stream SC.

Hydraulic Design will follow NCDOT Hydraulic Manual Guidance (Chapter 9) to minimize impacts to aquatic passage.

#### **Dwarf flowered heartleaf**

NCDOT will build a retaining wall along US 74 to avoid direct impacts to the dwarf flowered heartleaf located just south of US 74 along Stream SC. The retaining wall would eliminate the need for tree clearing and construction equipment access to 35% of the dwarf-flowered heartleaf population.

A new 54" pipe will be placed parallel to the existing 48" pipe on Stream SC under US 74 ensuring a more stable stream with less susceptibility to stream blockages, minimizing impacts to dwarf-flowered heartleaf plants located feet from the stream edge.

Service Road Option 3 (Academy Street Connector) will cross Stream SC more than 500 feet upstream of the current dwarf-flowered heartleaf locations. The pipe placed at this crossing will be designed at an appropriate size so as not to affect the flow of water either upstream or downstream, thereby avoiding affects to dwarf-flowered heartleaf.

#### **Human Environment Avoidance and Minimization**

Avoidance of 9 low income relocatees took place in the selection of Service Road Option 3.

NCDOT's ROW Relocation reports have verified that comparable housing is available for those relocations that cannot be avoided.

As part of the project, the bridge over US 74 carrying the abandoned NS RR corridor that is a proposed future Carolina Thread Trails foot path will be removed and replaced with a graded area for a future footpath just outside the control of access fence connecting under the proposed US 74 bridges over Sandy Run Creek.

Attachment 5 pg. 2 of 2

The Merger Team has concurred on this date of December 13, 2022 with the Avoidance and Minimization measures listed above for the STIP Project R-4045/BR-0012.

USA Enc alsmuyer	FH Douald W. Brew
USE Amaretta Somerville 4A37089ED457408	NCI Bryan Sowell
USI Lauren B. Wilson 6B574C520CDE43C	NCI Renee Gledhill-Earley  C26A1556A275464
NCI Ocusigned by: NCI Ory Chapman 909886312D0D474	GCLN Randi States  F42B142E3CEC4D5
NCV David Meteury	



# NO NATIONAL REGISTER OF HISTORIC PLACES ELIGIBLE OR LISTED ARCHAEOLOGICAL SITES PRESENT FORM



This form only pertains to ARCHAEOLOGICAL RESOURCES for this project. It is not valid for Historic Architecture and Landscapes. You must consult separately with the Historic Architecture and Landscapes Team.

PROJE	ECT INFORMATION			
Projec	et No: R-4045	County:	Cleveland	
WBS N	No: 34598.1.2	Document:	Federal Cate	egorical Exclusion
F.A. N	vo: TBD	Funding:	State	
Federa	al Permit Required?	Yes No Perm	ait Type: US	ACE
Clevel project (along at the this pr	esboro to an interchange, and land County. The Area of Pot t manager, includes a 320-a US 74) and 1,108 meters (3 east end of the A.P.E. The poject is conducted pursuant to IARY OF ARCHAEOLOG	tential Effects (A.P.E.), because tract that is approximate, 637 ft.) wide (along SR project is federally funde to Section 106 of the National	ased on the stu mately 2,861 1168). Bridge d and will req	ady area provided by the meters (9,387 ft.) long as 48 and 49 are located quire federal permits, so
	orth Carolina Department of		T) Archaeolog	gy Team has reviewed
	ject project and determined:		/	,,
	There are no National Regist of potential effects. (Attach a			within the project's area
	No subsurface archaeologica	•		project.
	Subsurface investigations did			
$\overline{\mathbb{X}}$	Subsurface investigations did	d not reveal the presence	of any archaeo	ological resources
	considered eligible for the N			
	All identified archaeological			
	compliance for archaeologic	al resources with Section	106 of the Na	itional Historic

#### Brief description of review activities, results of review, and conclusions:

Preservation Act and GS 121-12(a) has been completed for this project.

The archaeological survey of the A.P.E. focused on three study areas (1-3) with the potential for archaeological sites that had been identified in the *Archaeology Survey Required* form submitted on 6/23/2021. The field survey was conducted by New South Associates, Inc. on October 11-15, 2021. The survey identified three archaeological sites (31CL175-177) in study area 2, and no sites in study areas 1 and 3. The three sites are the remains of late nineteenth to mid twentieth century farmsteads (on also has a precontact component) that are recommended ineligible for the National Register of Historic Places (NRHP). No additional archaeological work is recommended for this project. (See attached archaeological survey report by Samantha Taylor and Brittany McKee Hyder for a detailed description of the project.)

Project Tracking

Attachment 6 pg. 2 of 2

(This project falls within a North Carolina County in which the following federally recognized tribes have expressed an interest: The Cherokee Nation; the Eastern Band of Cherokee Indians; the United Keetoowah Band of Cherokee Indians; the Catawba Nation. We recommend that you ensure that this documentation is forwarded to these tribes using the process described in the current NCDOT Tribal Protocol and PA Procedures Manual.)

SUPPORT DOCUMENTATION						
See attached:	Map(s)	Previous Survey Info	Photos	Correspondence		
Signed:	Other: see att	ached archaeological survey i	report			
CALEB SMITH				2/28/2022		
NCDOT ARC	HAEOLOGIS	ST		Date		



#### HISTORIC ARCHITECTURE AND LANDSCAPES ASSESSMENT OF EFFECTS FORM

This form only pertains to Historic Architecture and Landscapes for this project. It is not valid for Archaeological Resources. You must consult separately with the Archaeology Group.

#### PROJECT INFORMATION

Project No:	R-4045	County:	Cleveland	
WBS No.:	34598.1.1	Document	CE	
		Type:		
Fed. Aid No:	NHF-74(40)	Funding:	State Federal	
Federal	⊠ Yes □ No	Permit	USACE	
Permit(s):		Type(s):		
Project Description:				
Upgrade the at-grade intersection of US 74 and SR 1168 (Lattimore Rd.) in Mooresboro to an				
interchange and replace Bridges 48 and 49 on US 74 over Sandy Run Creek. The Area of				
Potential Effects (APE) is based on the study area provided by the project manager.				

#### SUMMARY OF HISTORIC ARCHITECTURE AND LANDSCAPES REVIEW

### Description of review activities, results, and conclusions:

Project area first surveyed in 2002 and HPO concurred that there were two eligible properties in the APE: Mooresboro Historic District (CL0576) and Will McBrayer Farm (CL0122). In 2006 the APE was expanded, and surveys concluded that the Flora Burrus House (CL0803) was also eligible. In 2006, the project alternatives were reviewed and HPO, FHWA, and NCDOT agreed that Alternative 1A would have no effect on any of the eligible properties and Alternative 4A would have an adverse effect on the Mooresboro Historic District. In May 2013 an architectural historian revisited the three eligible properties and confirmed that they remain eligible. In June 2013 work on the project was suspended because the project was placed on hold. The project was reactivated in April 2020 and NCDOT architectural historian indicated that the sites remained eligible, and an effects assessment would be required if the design plans had changed. In May 2022 two new alternatives were shown to the Merger Team at a CP2 meeting. Effects need to be assessed for the three eligible resources.

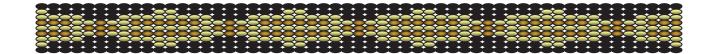
#### ASSESSMENT OF EFFECTS

Property Name:	Mooresboro Historic	Status:	DE (Criterion C)
	District		
Survey Site No.:	CL0576	PIN:	multiple
Effects  No Effect	No Adve	rse Effect	Adverse Effect

Explanation of E	Explanation of Effects Determination:					
Alt. 1. 1a 3	Alt. 1 1a 2 3 2a  Alt. 1 1a 2 3 2a  No ROW required w/in historic district 3  Cross Section will remain the same  Option 3 for service road no effect  List of Environmental Commitments:					
No. K	no ROW required w/in historic district 3					
A.I. CYOS	s section wil	I remai	n the same			
Uption 3 L	or service road	d no e	ffect			
List of Environme	ental Commitments:					
	,					
Property Name:	Will McBrayer Farm	Status:	DE (Criteria A & C)			
Survey Site No.:	CL0122	PIN:	22 (***********************************			
Effects/	000122					
No Effect	☐ No Adve	erse Effect	Adverse Effect			
Explanation of Ef	fects Determination:					
No cons	truction activity boundary.	ies wlin	or adjacent			
to hish	ory boundary		or adjacency			
10 /01310	ory isocrating.					
	O					
List of Environme	ental Commitments:					
Property Name:	Flora Burrus House	Status:	DE (Criterion C)			
Survey Site No.:	CL0803	PIN:				
Effects	Mara Adva	una Effort	Adverse Effect			
☐ No Effect	No Adve	rse Effect	Adverse Effect			
Explanation of Effects Determination:						
Alt 1, 1a, 2, 3 Za no ROW required w/in historic boundary 3 cross section the same Option 3 for sorvice road no effect						
no Row required whin historic boundary						
3 CY09	is section the	Same	9			
Ophon 3 for sorvice road no effect						

	Attachm				
List of Environmental Commitments:	pg. 3 (				
List of Divisormental Communicals.					
FHWA Intends to use the State Historic Preservation Office's concurrence as a basis for a "deminimis" finding for the following properties, pursuant to Section 4(f):					
SUPPORT DOCUMENTATION					
Map(s) Previous Survey Info. Photos Correspondence Des	ign Plans				
FINDING BY NCDOT AND STATE HISTORIC PRESERVATION OFFICE Historic Architecture and Landscapes – ASSESSMENT OF EFFECTS	Œ				
ManyPopehin 7/28/2022					
NCDOT Architectural Historian  Date    Perce   State Historic Preservation Office Representative   Date					
Federal Agency Representative Date					

Office 803-328-2427 Fax 803-328-5791



May 18, 2021

Attention: Bryan K. Sowell NC Department of Transportation

P.O. Box 47 Shelby, NC 28151

Re. THPO # TCNS # Project Description

US 74 from Ellenboro Road to east of the bridges over Sandy Run Creek as projects R-

2021-193-103 4045 and BR-0012

Dear Mr. Sowell,

The Catawba have no immediate concerns with regard to traditional cultural properties, sacred sites or Native American archaeological sites within the boundaries of the proposed project areas. However, the Catawba are to be notified if Native American artifacts and / or human remains are located during the ground disturbance phase of this project.

If you have questions please contact Caitlin Rogers at 803-328-2427 ext. 226, or e-mail Caitlin.Rogers@catawba.com.

Sincerely,

Wenonah G. Haire

Tribal Historic Preservation Officer

Caithe Rogers for



## United States Department of the Interior



#### FISH AND WILDLIFE SERVICE Asheville Field Office 160 Zillicoa Street Suite B Asheville, North Carolina 28801

August 02, 2022

Philip S. Harris III, P.E., C.P.M Environmental Analysis Unit Head North Carolina Department of Transportation 1000 Birch Ridge Drive Raleigh, North Carolina 27610

Subject: Informal Consultation for Proposed Controlled Access Improvements to US 74 and Bridge 48 and 49 Replacements in Mooresboro, Cleveland County (WBS No. 34598.1.2, TIP R-4045, FWS Log No. 21-232)

Dear Philip S. Harris III:

On June 14, 2022, we received (via e-mail) your request for informal consultation and section 7 concurrence on effects the subject project may have on federally listed species. We reviewed the revised information you submitted on July 25, 2022 along with information from the Merger process and a site visit we conducted on June 17, 2022, and the following is provided in accordance with the provisions of the National Environmental Policy Act (42 U.S.C.§ 4321 et seq.); the Fish and Wildlife Coordination Act, as amended (16 U.S.C. 661 - 667e); and section 7 of the Endangered Species Act of 1973, as amended (16 U.S.C. 1531 - 1543) (Act).

#### **Project Description**

According to the information provided, the North Carolina Department of Transportation (NCDOT) proposes controlled access improvements to 1.2 miles of US 74 and replacement of Bridges 48 and 49 over Sandy Creek in and near the town of Mooresboro, Cleveland County. The purpose of R-4045 is to provide a consistent facility to meet drivers' expectations for the US 74 corridor by upgrading this portion of US 74 to meet NCDOT freeway standards. This project proposes to close all driveways with access to US 74, build additional roads in the town of Mooresboro to connect all businesses and residences to other roads, make roadway improvements in the town of Mooresboro as needed to accommodate expected traffic changes, and create one controlled access point to US 74 at the existing intersection of US 74 and SR 1168 (Academy Street/Lattimore Road). The project involves extensive yet typical road construction activities, bridge demolition and construction activities, and roadway operations and maintenance activities on several sections of road. Extensive stream and wetland impacts are expected.

Six alternative configurations are under consideration. All alternatives replace the 48-inch diameter reinforced concrete pipe culvert that carries Stream SC under US 74 (Figure 1) with a 54-inch diameter culvert. One alternative (Figure 2) includes a new road crossing about 430 feet upstream of the dwarf-flowered heartleaf population over Stream SC but would not affect stream flow.

The project is in the process of moving through the NCDOT - U.S. Army Corp of Engineers Merger Process with numerous partner agencies. For R-4045, the Federal Highway Administration is the lead federal agency for section 7 purposes.

NCDOT has committed to the following conservation measures: NCDOT will build a retaining wall (Figure 3) to reduce the road fill adjacent to the dwarf-flowered heartleaf population at Stream SC. The retaining wall would eliminate the need for tree clearing and construction equipment access to the dwarf-flowered heartleaf population. Without the retaining wall, approximately 35% of the dwarf-flowered heartleaf population would be impacted.

#### **Federally Listed Species**

Your letter provides a recent and complete list of federally listed species that may occur within the project area per our Information for Planning and Consultation (IPaC) website and an effect determination for each species.

Suitable occupied habitat for dwarf-flowered heartleaf (*Hexastylis naniflora*) is present within the action area. Biologists performed a field survey in May 2021 and observed 283 plants on the north facing slopes along Stream SC in mixed hardwood forest. The moist soils and semi-open canopy provide habitat for this species. There is another population located about 300 feet outside of the action area along Stream SB and Wetland WA; no impacts are expected.

The dwarf-flowered heartleaf population located along Stream SC occurs within several feet of the banks of Stream SC, which is classified as a high-quality stream. The population is also located within a few dozen feet of the 48-inch culvert that carries Stream SC under US 74. Due to the upsizing of the culvert, the stream is expected to remain stable and susceptibility to stream blockages should be reduced. If a service road is built across Stream SC (Figure 2), it will be placed about 430 feet upstream from the current dwarf-flowered heartleaf population and would be designed to have no effect on stream flow. Based on the proposed conservation measures which should minimize any impact to the dwarf-flowered heartleaf populations, we concur with the NCDOT's determination that the project may affect, but is not likely to adversely affect the species.

Based on the information provided, suitable summer roosting habitat for northern long-eared bat in the form of trees, bridges, and culverts may be present in the action area. Based on the information provided, the project is consistent with the final section 4(d) rule, codified at 50 C.F.R. § 17.40(o) and effective February 16, 2016 for northern long-eared bat. This rule exempts take of this species for any tree cutting activity that occurs more than 0.25 mile from a known hibernation site or more than 150 feet from a known maternity roost during the pup rearing season (June 1 - July 31). Because this project meets the "exempt" criteria, any take associated with the project has already been addressed in the Biological Opinion for the 4(d) rule, and no further action under section 7 of the Act is required for this species. Although not required by the 4(d) rule, we encourage the project proponent to avoid any associated tree clearing activities during the northern long-eared bat active season from April 1 – October 15.

On March 23, 2022, the Service published a proposal to reclassify the northern long-eared bat as endangered under the Act. The U.S. District Court for the District of Columbia has ordered the Service to complete a new final listing determination for the northern long-eared bat by November 2022 (Case 1:15-cv-00477, March 1, 2021). The bat, currently listed as threatened, faces extinction due to the range-wide impacts of white-nose syndrome, a deadly fungal disease affecting cave-dwelling bats across the continent. The proposed reclassification, if finalized, would remove the current 4(d) rule for the northern long-eared bat, as these rules may be applied only to threatened species. Depending on the type of effects a project has on northern long-eared bats, the change in the species' status may trigger the need to reinitiate consultation for any actions that are not completed and for which the Federal action agency retains discretion once the new listing determination becomes effective (anticipated to occur by December 30, 2022). If your project may result in incidental take of northern long-eared bat after the new listing goes into effect this will first need to be addressed in an updated consultation that includes an incidental take

statement. If your project may require re-initiation of consultation, please contact our office for additional guidance

Little brown bat (*Myotis lucifugus*), tricolored bat (*Perimyotis subflavus*), and Carolina hemlock (*Tsuga caroliniana*) are at-risk species and Monarch butterfly (*Danaus plexippus*) is a candidate species. These species occur or have the potential to occur in Cleveland County. At-risk and candidate species are not legally protected under the Act and are not subject to any of its provisions, including section 7, unless they are formally proposed or listed as endangered or threatened. The Service is expected to make listing determinations for some of these species in the near future. While lead federal agencies are not prohibited from jeopardizing the continued existence of an ARS or proposed species unless the species becomes listed, the prohibition against jeopardy and taking a listed species under section 9 of the Act applies as soon as a listing becomes effective, regardless of the stage of completion of the proposed action. We include this notification to make you aware of these species' current status and potential occurrence within the action area.

#### **Reinitiation Notice**

We believe the requirements under section 7 of the Act are fulfilled for the federally listed species discussed above. However, obligations under section 7 must be reconsidered if: (1) new information reveals impacts of this proposed action may affect listed species or critical habitat in a manner not previously considered, (2) this proposed action is subsequently modified in a manner that was not considered in this review, or (3) a new species is listed, or critical habitat is determined that may be affected by the proposed action.

We appreciate the opportunity to provide these comments. Please contact Ms. Lauren B. Wilson of our staff at <a href="mailto:lauren\_wilson@fws.gov">lauren\_wilson@fws.gov</a> if you have any questions. In any future correspondence concerning this project, please reference our Log Number 21-232.

Sincerely,

-- original signed --

Janet Mizzi Field Supervisor

**Enclosures: Figures**