# STATE OF NORTH CAROLINA DEPARTMENT OF TRANSPORTATION RALEIGH, N.C.

# **PROPOSAL**

### **INCLUDES ADDENDUM No. 1 DATED 03-07-16**

DATE AND TIME OF BID OPENING: MARCH 15, 2016 AT 2:00 PM

CONTRACT ID C203785

WBS 53030.3.1, 53059.3.1

FEDERAL-AID NO. NHPIM-0085(4), NHPIM-0085(8)

COUNTY GUILFORD

T.I.P. NO. I-5790, I-5855

MILES 8.771 ROUTE NO. 1.85

LOCATION I-85 FROM SOUTH ELM EUGENE STREET TO I-40 IN GREENSBORO.

TYPE OF WORK PAVEMENT AND BRIDGE REHABILITATION.

#### NOTICE:

ALL BIDDERS SHALL COMPLY WITH ALL APPLICABLE LAWS REGULATING THE PRACTICE OF GENERAL CONTRACTING AS CONTAINED IN CHAPTER 87 OF THE GENERAL STATUTES OF NORTH CAROLINA WHICH REQUIRES THE BIDDER TO BE LICENSED BY THE N.C. LICENSING BOARD FOR CONTRACTORS WHEN BIDDING ON ANY NON-FEDERAL AID PROJECT WHERE THE BID IS \$30,000 OR MORE, EXCEPT FOR CERTAIN SPECIALTY WORK AS DETERMINED BY THE LICENSING BOARD. BIDDERS SHALL ALSO COMPLY WITH ALL OTHER APPLICABLE LAWS REGULATING THE PRACTICES OF ELECTRICAL, PLUMBING, HEATING AND AIR CONDITIONING AND REFRIGERATION CONTRACTING AS CONTAINED IN CHAPTER 87 OF THE GENERAL STATUTES OF NORTH CAROLINA. NOTWITHSTANDING THESE LIMITATIONS ON BIDDING, THE BIDDER WHO IS AWARDED ANY FEDERAL - AID FUNDED PROJECT SHALL COMPLY WITH CHAPTER 87 OF THE GENERAL STATUTES OF NORTH CAROLINA FOR LICENSING REQUIREMENTS WITHIN 60 CALENDAR DAYS OF BID OPENING.

BIDS WILL BE RECEIVED AS SHOWN BELOW:

THIS IS A ROADWAY & STRUCTURE PROPOSAL

5% BID BOND OR BID DEPOSIT REQUIRED

\_\_\_\_\_

# PROPOSAL FOR THE CONSTRUCTION OF CONTRACT No. C203785 IN GUILFORD COUNTY, NORTH CAROLINA

RALEIGH, NORTH CAROLINA

| Date                  | 20       |
|-----------------------|----------|
| DEPARTMENT OF TRANSPO | RTATION, |

lump sum prices, as the case may be, for the various items given on the sheets contained herein.

The Bidder has carefully examined the location of the proposed work to be known as Contract No. <u>C203785</u>; has carefully examined the plans and specifications, which are acknowledged to be part of the proposal, the special provisions, the proposal, the form of contract, and the forms of contract payment bond and contract performance bond; and thoroughly understands the stipulations, requirements and provisions. The undersigned bidder agrees to be bound upon his execution of the bid and subsequent award to him by the Board of Transportation in accordance with this proposal to provide the necessary contract payment bond and contract performance bond within fourteen days after the written notice of award is received by him. The undersigned Bidder further agrees to provide all necessary machinery, tools, labor, and other means of construction; and to do all the work and to furnish all materials, except as otherwise noted, necessary to perform and complete the said contract in accordance with *the 2012 Standard Specifications for Roads and Structures* by the dates(s) specified in the Project Special Provisions and in accordance with the requirements of the Engineer, and at the unit or

The Bidder shall provide and furnish all the materials, machinery, implements, appliances and tools, and perform the work and required labor to construct and complete State Highway Contract No. <u>C203785</u> in <u>Guilford County</u>, for the unit or lump sum prices, as the case may be, bid by the Bidder in his bid and according to the proposal, plans, and specifications prepared by said Department, which proposal, plans, and specifications show the details covering this project, and hereby become a part of this contract.

The published volume entitled *North Carolina Department of Transportation, Raleigh, Standard Specifications for Roads and Structures, January 2012* with all amendments and supplements thereto, is by reference incorporated into and made a part of this contract; that, except as herein modified, all the construction and work included in this contract is to be done in accordance with the specifications contained in said volume, and amendments and supplements thereto, under the direction of the Engineer.

If the proposal is accepted and the award is made, the contract is valid only when signed either by the Contract Officer or such other person as may be designated by the Secretary to sign for the Department of Transportation. The conditions and provisions herein cannot be changed except over the signature of the said Contract Officer.

The quantities shown in the itemized proposal for the project are considered to be approximate only and are given as the basis for comparison of bids. The Department of Transportation may increase or decrease the quantity of any item or portion of the work as may be deemed necessary or expedient.

An increase or decrease in the quantity of an item will not be regarded as sufficient ground for an increase or decrease in the unit prices, nor in the time allowed for the completion of the work, except as provided for the contract.

Accompanying this bid is a bid bond secured by a corporate surety, or certified check payable to the order of the Department of Transportation, for five percent of the total bid price, which deposit is to be forfeited as liquidated damages in case this bid is accepted and the Bidder shall fail to provide the required payment and performance bonds with the Department of Transportation, under the condition of this proposal, within 14 calendar days after the written notice of award is received by him, as provided in the *Standard Specifications*; otherwise said deposit will be returned to the Bidder.

SEAL 21076

State Contract Officer

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3/7/2016

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| C203785 I-5790, I-5855                | Guilford County |
|---------------------------------------|-----------------|
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#### PROJECT SPECIAL PROVISIONS

#### **GENERAL**

#### **CONTRACT TIME AND LIQUIDATED DAMAGES:**

(7-1-95) (Rev. 12-18-07) 108

SP1 G10 A

The date of availability for this contract is **May 2, 2016**.

The completion date for this contract is **September 15, 2017**.

Except where otherwise provided by the contract, observation periods required by the contract will not be a part of the work to be completed by the completion date and/or intermediate contract times stated in the contract. The acceptable completion of the observation periods that extend beyond the final completion date shall be a part of the work covered by the performance and payment bonds.

The liquidated damages for this contract are **One Thousand Five Hundred Dollars** (\$ 1,500.00) per calendar day.

#### **INTERMEDIATE CONTRACT TIME NUMBER 1 AND LIQUIDATED DAMAGES:**

(2-20-07)

108

SP1 G14 C

The Contractor shall complete the required work of installing, maintaining and removing the traffic control devices for lane closures and restoring traffic to the existing traffic pattern. The Contractor shall not close or narrow **a lane** of traffic on **I-85 and multi-lane ramps** during the following time restrictions:

#### DAY AND TIME RESTRICTIONS

(For All Asphalt Rehabilitation Operations)

Monday to Sunday

From 6:00 a.m. to 8:00 p.m.

(For All Concrete Bridge Rehabilitation Operations)

Monday thru Friday

From 6:00 a.m. to 8:00 p.m.

and

Saturday and Sunday

From 10:00 a.m. to 8:00 p.m.

The time of availability for this intermediate contract time will be the time the Contractor begins to install traffic control devices required for the lane closures according to the time restrictions stated herein.

The completion time for this intermediate contract time will be the time the Contractor is required to complete the removal of traffic control devices required for the lane closures according to the time restrictions stated herein and restore traffic to the existing traffic pattern.

The liquidated damages are **One Thousand Dollars** (\$1,000.00) per 15 minute time period.

#### INTERMEDIATE CONTRACT TIME NUMBER 2 AND LIQUIDATED DAMAGES:

(2-20-07) 108 SP1 G14 C

The Contractor shall complete the required work of installing, maintaining and removing the traffic control devices for lane closures and restoring traffic to the existing traffic pattern. The Contractor shall not close or narrow **two lanes** of traffic on **I-85** during the following time restrictions:

#### DAY AND TIME RESTRICTIONS

(For All Asphalt Rehabilitation Operations)
Monday to Sunday
From 6:00 a.m. to 10:00 p.m.

(For All Concrete Bridge Rehabilitation Operations)

Monday thru Friday

From 6:00 a.m. to 10:00 p.m.

and

Saturday and Sunday

From 10:00 a.m. to 10:00 p.m.

The time of availability for this intermediate contract time will be the time the Contractor begins to install traffic control devices required for the lane closures according to the time restrictions stated herein.

The completion time for this intermediate contract time will be the time the Contractor is required to complete the removal of traffic control devices required for the lane closures according to the time restrictions stated herein and restore traffic to the existing traffic pattern.

The liquidated damages are **One Thousand Five Hundred Dollars** (\$1,500.00) per 15 minute time period.

#### INTERMEDIATE CONTRACT TIME NUMBER 3 AND LIQUIDATED DAMAGES:

2-20-07) 108 SPI G14 C

The Contractor shall complete the required work of installing, maintaining and removing the traffic control devices for lane closures and restoring traffic to the existing traffic pattern. The Contractor shall not close or narrow **three lanes** of traffic on **I-85** during the following time restrictions:

#### **DAY AND TIME RESTRICTIONS**

(For All Asphalt Rehabilitation Operations)
Monday to Sunday
From 6:00 a.m. to 12:00 a.m. (Midnight)

(For All Concrete Bridge Rehabilitation Operations)

Monday thru Friday

From 6:00 a.m. to 12:00 a.m. (Midnight)

and

Saturday and Sunday

From 10:00 a.m. to 12:00 a.m. (Midnight)

The time of availability for this intermediate contract time will be the time the Contractor begins to install traffic control devices required for the lane closures according to the time restrictions stated herein.

The completion time for this intermediate contract time will be the time the Contractor is required to complete the removal of traffic control devices required for the lane closures according to the time restrictions stated herein and restore traffic to the existing traffic pattern.

The liquidated damages are **Two Thousand Dollars** (\$2,000.00) per 15 minute time period.

## INTERMEDIATE CONTRACT TIME NUMBER 4 AND LIQUIDATED DAMAGES:

(2-20-07) 108 SPI G14 B

The Contractor shall not narrow or close a lane of traffic on **I-85**, detain and /or alter the traffic flow on or during holiday weekends, special events, or any other time when traffic is unusually heavy, including the following schedules:

#### HOLIDAY AND HOLIDAY WEEKEND LANE CLOSURE RESTRICTIONS

- 1. For **unexpected occurrence** that creates unusually high traffic volumes, as directed by the Engineer.
- 2. For **New Year's Day**, between the hours of **6:00 a.m.** December 31st and **8:00 p.m.** January 2nd. If New Year's Day is on a Friday, Saturday, Sunday or Monday, then until **8:00 p.m.** the following Tuesday.
- 3. For **Easter**, between the hours of **6:00 a.m.** Thursday and **8:00 p.m.** Monday.
- 4. For **Memorial Day**, between the hours of **6:00 a.m.** Friday and **8:00 p.m.** Tuesday.
- 5. For **Independence Day**, between the hours of **6:00 a.m.** the day before Independence Day and **8:00 p.m.** the day after Independence Day.
  - If **Independence Day** is on a Friday, Saturday, Sunday or Monday, then between the hours of **6:00 a.m.** the Thursday before Independence Day and **8:00 p.m.** the Tuesday after Independence Day.
- 6. For **Labor Day**, between the hours of **6:00 a.m.** Friday and **8:00 p.m.** Tuesday.

- 7. For **Thanksgiving Day**, between the hours of **6:00 a.m.** Tuesday and **8:00 p.m.** Monday.
- 8. For **Christmas**, between the hours of **6:00 a.m.** the Friday before the week of Christmas Day and **8:00 p.m.** the following Tuesday after the week of Christmas Day.

Holidays and holiday weekends shall include New Year's, Easter, Memorial Day, Independence Day, Labor Day, Thanksgiving, and Christmas. The Contractor shall schedule his work so that lane closures are not required during these periods, unless otherwise directed by the Engineer.

# When Holiday and Holiday Weekend Lane Closure Restrictions are no longer in effect, then the Day and Time Restrictions shall be in effect.

The time of availability for this intermediate contract work shall be the time the Contractor begins to install all traffic control devices for lane closures according to the time restrictions listed herein.

The completion time for this intermediate contract work shall be the time the Contractor is required to complete the removal of all traffic control devices for lane closures according to the time restrictions stated herein and place traffic in the existing traffic pattern.

The liquidated damages are **Two Thousand Dollars** (\$2,000.00) per 15 minute time period.

# INTERMEDIATE CONTRACT TIME NUMBER 5 AND LIQUIDATED DAMAGES:

The Contractor shall complete the required work of installing, maintaining and removing the traffic control devices for road closures and restoring traffic to the existing traffic pattern. The Contractor shall not close any **I-85 single lane ramps or loops** during the following time restrictions:

#### **DAY AND TIME RESTRICTIONS**

#### Monday to Sunday From 6:00 a.m. to 12:00 a.m. (Midnight)

The time of availability for this intermediate contract time will be the time the Contractor begins to install traffic control devices required for road closures according to the time restrictions stated herein.

The completion time for this intermediate contract time will be the time the Contractor is required to complete the removal of traffic control devices required for the road closures according to the time restrictions stated herein and restore traffic to the existing traffic pattern

The liquidated damages are **One Thousand Dollars** (\$1,000.00) per 15 minute time period.

### **INTERMEDIATE CONTRACT TIME NUMBER 6 AND LIQUIDATED DAMAGES:**

(5-21-13) 108 SPI G14 I

The Contractor shall complete the work required of installing each new deep cut inductive loop prior to the removal of each existing loop by the milling, patching or resurfacing operations and shall place and maintain traffic on same.

The time of availability for this intermediate contract time is the **day** at **12:00 a.m.** (**Midnight**) that the Contractor elects to begin the work.

The completion time for this intermediate contract time is the **following 6:00 a.m.** after the time of availability.

The liquidated damages are **Five Hundred Dollars** (\$ 500.00) per hour.

#### **MAJOR CONTRACT ITEMS:**

(2-19-02) 104 SPI G28

The following listed items are the major contract items for this contract (see Article 104-5 of the 2012 Standard Specifications):

| Line # | Description                          |
|--------|--------------------------------------|
| 15     | Joint Construction, Repair & Sealing |
| 16     | <b>Diamond Grinding PCC Pavement</b> |

# <u>SPECIALTY ITEMS:</u> (7-1-95)(Rev. 1-17-12)

(7-1-95)(Rev. 1-17-12) 108-6 SPI G37

Items listed below will be the specialty items for this contract (see Article 108-6 of the 2012 Standard Specifications).

| Line # |        | Description                 |
|--------|--------|-----------------------------|
| 30-33, | 37-38, | Long-Life Pavement Markings |
| 42-43  |        |                             |
| 44-45  |        | Permanent Pavement Markers  |
| 47     |        | Signals/ITS System          |

### **FUEL PRICE ADJUSTMENT:**

(11-15-05) (Rev. 2-18-14) 109-8 SPI G43

Revise the 2012 Standard Specifications as follows:

#### Page 1-83, Article 109-8, Fuel Price Adjustments, add the following:

The base index price for DIESEL #2 FUEL is \$ 0.9532 per gallon. Where any of the following are included as pay items in the contract, they will be eligible for fuel price adjustment.

The pay items and the fuel factor used in calculating adjustments to be made will be as follows:

| Description                                    | Units   | Fuel Usage<br>Factor Diesel |
|--|---------|-----------------------------|
| Unclassified Excavation                        | Gal/CY  | 0.29                        |
| Borrow Excavation                              | Gal/CY  | 0.29                        |
| Class IV Subgrade Stabilization                | Gal/Ton | 0.55                        |
| Aggregate Base Course                          | Gal/Ton | 0.55                        |
| Sub-Ballast                                    | Gal/Ton | 0.55                        |
| Asphalt Concrete Base Course, Type             | Gal/Ton | 2.90                        |
| Asphalt Concrete Intermediate Course, Type     | Gal/Ton | 2.90                        |
| Asphalt Concrete Surface Course, Type          | Gal/Ton | 2.90                        |
| Open-Graded Asphalt Friction Course            | Gal/Ton | 2.90                        |
| Permeable Asphalt Drainage Course, Type        | Gal/Ton | 2.90                        |
| Sand Asphalt Surface Course, Type              | Gal/Ton | 2.90                        |
| Aggregate for Cement Treated Base Course       | Gal/Ton | 0.55                        |
| Portland Cement for Cement Treated Base Course | Gal/Ton | 0.55                        |
| " Portland Cement Concrete Pavement            | Gal/SY  | 0.245                       |
| Concrete Shoulders Adjacent to" Pavement       | Gal/SY  | 0.245                       |

### **SCHEDULE OF ESTIMATED COMPLETION PROGRESS:**

(7-15-08) (Rev. 5-19-15) 108-2 SPI G58

The Contractor's attention is directed to the Standard Special Provision entitled *Availability of Funds Termination of Contracts* included elsewhere in this proposal. The Department of Transportation's schedule of estimated completion progress for this project as required by that Standard Special Provision is as follows:

| 2016 | (7/01/15 - 6/30/16) | 18 % of Total Amount Bid        |
|------|---------------------|---------------------------------|
| 2017 | (7/01/16 - 6/30/17) | <b>74</b> % of Total Amount Bid |
| 2018 | (7/01/17 - 6/30/18) | 8 % of Total Amount Bid         |

The Contractor shall also furnish his own progress schedule in accordance with Article 108-2 of the 2012 Standard Specifications. Any acceleration of the progress as shown by the Contractor's progress schedule over the progress as shown above shall be subject to the approval of the Engineer.

#### **DISADVANTAGED BUSINESS ENTERPRISE:**

(10-16-07)(Rev. 2-16-16) 102-15(J) SP1 G61

#### **Description**

The purpose of this Special Provision is to carry out the U.S. Department of Transportation's policy of ensuring nondiscrimination in the award and administration of contracts financed in whole or in part with Federal funds. This provision is guided by 49 CFR Part 26.

#### **Definitions**

Additional DBE Subcontractors - Any DBE submitted at the time of bid that will <u>not</u> be used to meet the DBE goal. No submittal of a Letter of Intent is required.

Committed DBE Subcontractor - Any DBE submitted at the time of bid that is being used to meet the DBE goal by submission of a Letter of Intent. Or any DBE used as a replacement for a previously committed DBE firm.

Contract Goal Requirement - The approved DBE participation at time of award, but not greater than the advertised contract goal.

*DBE Goal* - A portion of the total contract, expressed as a percentage, that is to be performed by committed DBE subcontractor(s).

*Disadvantaged Business Enterprise (DBE)* - A firm certified as a Disadvantaged Business Enterprise through the North Carolina Unified Certification Program.

Goal Confirmation Letter - Written documentation from the Department to the bidder confirming the Contractor's approved, committed DBE participation along with a listing of the committed DBE firms.

*Manufacturer* - A firm that operates or maintains a factory or establishment that produces on the premises, the materials or supplies obtained by the Contractor.

Regular Dealer - A firm that owns, operates, or maintains a store, warehouse, or other establishment in which the materials or supplies required for the performance of the contract are bought, kept in stock, and regularly sold to the public in the usual course of business. A regular dealer engages in, as its principal business and in its own name, the purchase and sale or lease of the products in question. A regular dealer in such bulk items as steel, cement, gravel, stone, and petroleum products need not keep such products in stock, if it owns and operates distribution equipment for the products. Brokers and packagers are not regarded as manufacturers or regular dealers within the meaning of this section.

North Carolina Unified Certification Program (NCUCP) - A program that provides comprehensive services and information to applicants for DBE certification, such that an applicant is required to apply only once for a DBE certification that will be honored by all recipients of USDOT funds in the state and not limited to the Department of Transportation only. The Certification Program is in accordance with 49 CFR Part 26.

*United States Department of Transportation (USDOT)* - Federal agency responsible for issuing regulations (49 CFR Part 26) and official guidance for the DBE program.

#### Forms and Websites Referenced in this Provision

*DBE Payment Tracking System* - On-line system in which the Contractor enters the payments made to DBE subcontractors who have performed work on the project. https://apps.dot.state.nc.us/Vendor/PaymentTracking/

DBE-IS Subcontractor Payment Information - Form for reporting the payments made to all DBE firms working on the project. This form is for paper bid projects only. http://www.ncdot.org/doh/forms/files/DBE-IS.xls

RF-1 *DBE Replacement Request Form* - Form for replacing a committed DBE. http://connect.ncdot.gov/projects/construction/Construction%20Forms/DBE%20MBE%20WBE%20Replacement%20Request%20Form.pdf

SAF *Subcontract Approval Form* - Form required for approval to sublet the contract. http://connect.ncdot.gov/projects/construction/Construction%20Forms/Subcontract%20Approval%20Form%20Rev.%202012.zip

JC-1 *Joint Check Notification Form* - Form and procedures for joint check notification. The form acts as a written joint check agreement among the parties providing full and prompt disclosure of the expected use of joint checks.

http://connect.ncdot.gov/projects/construction/Construction% 20 Forms/Joint% 20 Check% 20 Notification% 20 Form.pdf

Letter of Intent - Form signed by the Contractor and the DBE subcontractor, manufacturer or regular dealer that affirms that a portion of said contract is going to be performed by the signed DBE for the amount listed at the time of bid.

http://connect.ncdot.gov/letting/LetCentral/Letter % 20 of % 20 Intent % 20 to % 20 Perform % 20 as % 20 as % 20 Subcontractor.pdf

Listing of DBE Subcontractors Form - Form for entering DBE subcontractors on a project that will meet this DBE goal. This form is for paper bids only.

http://connect.ncdot.gov/municipalities/Bid%20 Proposals%20 for %20 LGA%20 Content/08%20 DBE%20 Subcontractors%20 (Federal).docx

Subcontractor Quote Comparison Sheet - Spreadsheet for showing all subcontractor quotes in the work areas where DBEs quoted on the project. This sheet is submitted with good faith effort packages.

http://connect.ncdot.gov/business/SmallBusiness/Documents/DBE%20Subcontractor%20Quote%20Comparison%20Example.xls

#### **DBE Goal**

The following DBE goal for participation by Disadvantaged Business Enterprises is established for this contract:

Disadvantaged Business Enterprises 4.0 %

- (A) If the DBE goal is more than zero, the Contractor shall exercise all necessary and reasonable steps to ensure that DBEs participate in at least the percent of the contract as set forth above as the DBE goal.
- (B) If the DBE goal is zero, the Contractor shall make an effort to recruit and use DBEs during the performance of the contract. Any DBE participation obtained shall be reported to the Department.

#### **Directory of Transportation Firms (Directory)**

Real-time information is available about firms doing business with the Department and firms that are certified through NCUCP in the Directory of Transportation Firms. Only firms identified in the Directory as DBE certified shall be used to meet the DBE goal. The Directory can be found at the following link. https://partner.ncdot.gov/VendorDirectory/default.html

The listing of an individual firm in the directory shall not be construed as an endorsement of the firm's capability to perform certain work.

#### **Listing of DBE Subcontractors**

At the time of bid, bidders shall submit <u>all</u> DBE participation that they anticipate to use during the life of the contract. Only those identified to meet the DBE goal will be considered

committed, even though the listing shall include both committed DBE subcontractors and additional DBE subcontractors. Additional DBE subcontractor participation submitted at the time of bid will be used toward the Department's overall race-neutral goal. Only those firms with current DBE certification at the time of bid opening will be acceptable for listing in the bidder's submittal of DBE participation. The Contractor shall indicate the following required information:

#### (A) Electronic Bids

Bidders shall submit a listing of DBE participation in the appropriate section of Expedite, the bidding software of Bid Express<sup>®</sup>.

- (1) Submit the names and addresses of DBE firms identified to participate in the contract. If the bidder uses the updated listing of DBE firms shown in Expedite, the bidder may use the dropdown menu to access the name and address of the DBE firm.
- (2) Submit the contract line numbers of work to be performed by each DBE firm. When no figures or firms are entered, the bidder will be considered to have no DBE participation.
- (3) The bidder shall be responsible for ensuring that the DBE is certified at the time of bid by checking the Directory of Transportation Firms. If the firm is not certified at the time of the bid-letting, that DBE's participation will not count towards achieving the DBE goal.

### (B) Paper Bids

- (1) If the DBE goal is more than zero,
  - (a) Bidders, at the time the bid proposal is submitted, shall submit a listing of *DBE* participation, including the names and addresses on *Listing of DBE Subcontractors* contained elsewhere in the contract documents in order for the bid to be considered responsive. Bidders shall indicate the total dollar value of the DBE participation for the contract.
  - (b) If bidders have no DBE participation, they shall indicate this on the *Listing of DBE Subcontractors* by entering the word "None" or the number "0." This form shall be completed in its entirety. **Blank forms** will not be deemed to represent zero participation. Bids submitted that do not have DBE participation indicated on the appropriate form will not be read publicly during the opening of bids. The Department will not consider these bids for award and the proposal will be rejected.
  - (c) The bidder shall be responsible for ensuring that the DBE is certified at the time of bid by checking the Directory of Transportation Firms. If the

firm is not certified at the time of the bid-letting, that DBE's participation will not count towards achieving the corresponding goal.

(2) If the DBE goal is zero, entries on the Listing of DBE Subcontractors are not required for the zero goal, however any DBE participation that is achieved during the project shall be reported in accordance with requirements contained elsewhere in the special provision.

#### **DBE Prime Contractor**

When a certified DBE firm bids on a contract that contains a DBE goal, the DBE firm is responsible for meeting the goal or making good faith efforts to meet the goal, just like any other bidder. In most cases, a DBE bidder on a contract will meet the DBE goal by virtue of the work it performs on the contract with its own forces. However, all the work that is performed by the DBE bidder and any other DBE subcontractors will count toward the DBE goal. The DBE bidder shall list itself along with any DBE subcontractors, if any, in order to receive credit toward the DBE goal.

For example, if the DBE goal is 45% and the DBE bidder will only perform 40% of the contract work, the prime will list itself at 40%, and the additional 5% shall be obtained through additional DBE participation with DBE subcontractors or documented through a good faith effort.

DBE prime contractors shall also follow Sections A and B listed under *Listing of DBE Subcontractor* just as a non-DBE bidder would.

#### Written Documentation - Letter of Intent

The bidder shall submit written documentation for each DBE that will be used to meet the DBE goal of the contract, indicating the bidder's commitment to use the DBE in the contract. This documentation shall be submitted on the Department's form titled *Letter of Intent*.

The documentation shall be received in the office of the State Contractor Utilization Engineer or at DBE@ncdot.gov no later than 12:00 noon of the sixth calendar day following opening of bids, unless the sixth day falls on an official state holiday. In that situation, it is due in the office of the State Contractor Utilization Engineer no later than 12:00 noon on the next official state business day.

If the bidder fails to submit the Letter of Intent from each committed DBE to be used toward the DBE goal, or if the form is incomplete (i.e. both signatures are not present), the DBE participation will not count toward meeting the DBE goal. If the lack of this participation drops the commitment below the DBE goal, the Contractor shall submit evidence of good faith efforts, completed in its entirety, to the State Contractor Utilization Engineer or DBE@ncdot.gov no later than 12:00 noon on the eighth calendar day following opening of bids, unless the eighth day falls on an official state holiday. In that situation, it is due in the office of the State Contractor Utilization Engineer no later than 12:00 noon on the next official state business day.

#### **Submission of Good Faith Effort**

If the bidder fails to meet or exceed the DBE goal, the apparent lowest responsive bidder shall submit to the Department documentation of adequate good faith efforts made to reach the DBE goal.

A hard copy and an electronic copy of this information shall be received in the office of the State Contractor Utilization Engineer or at DBE@ncdot.gov no later than 12:00 noon of the sixth calendar day following opening of bids unless the sixth day falls on an official state holiday. In that situation, it is due in the office of the State Contractor Utilization Engineer the next official state business day. If the contractor cannot send the information electronically, then one complete set and 9 copies of this information shall be received under the same time constraints above.

Note: Where the information submitted includes repetitious solicitation letters, it will be acceptable to submit a representative letter along with a distribution list of the firms that were solicited. Documentation of DBE quotations shall be a part of the good faith effort submittal. This documentation may include written subcontractor quotations, telephone log notations of verbal quotations, or other types of quotation documentation.

#### Consideration of Good Faith Effort for Projects with DBE Goals More Than Zero

Adequate good faith efforts mean that the bidder took all necessary and reasonable steps to achieve the goal which, by their scope, intensity, and appropriateness, could reasonably be expected to obtain sufficient DBE participation. Adequate good faith efforts also mean that the bidder actively and aggressively sought DBE participation. Mere *pro forma* efforts are not considered good faith efforts.

The Department will consider the quality, quantity, and intensity of the different kinds of efforts a bidder has made. Listed below are examples of the types of actions a bidder will take in making a good faith effort to meet the goal and are not intended to be exclusive or exhaustive, nor is it intended to be a mandatory checklist.

(A) Soliciting through all reasonable and available means (e.g. attendance at pre-bid meetings, advertising, written notices, use of verifiable electronic means through the use of the NCDOT Directory of Transportation Firms) the interest of all certified DBEs who have the capability to perform the work of the contract. The bidder must solicit this interest within at least 10 days prior to bid opening to allow the DBEs to respond to the solicitation. Solicitation shall provide the opportunity to DBEs within the Division and surrounding Divisions where the project is located. The bidder must determine with certainty if the DBEs are interested by taking appropriate steps to follow up initial solicitations.

- (B) Selecting portions of the work to be performed by DBEs in order to increase the likelihood that the DBE goals will be achieved.
  - (1) Where appropriate, break out contract work items into economically feasible units to facilitate DBE participation, even when the prime contractor might otherwise prefer to perform these work items with its own forces.
  - (2) Negotiate with subcontractors to assume part of the responsibility to meet the contract DBE goal when the work to be sublet includes potential for DBE participation (2<sup>nd</sup> and 3<sup>rd</sup> tier subcontractors).
- (C) Providing interested DBEs with adequate information about the plans, specifications, and requirements of the contract in a timely manner to assist them in responding to a solicitation.
- (D) (1) Negotiating in good faith with interested DBEs. It is the bidder's responsibility to make a portion of the work available to DBE subcontractors and suppliers and to select those portions of the work or material needs consistent with the available DBE subcontractors and suppliers, so as to facilitate DBE participation. Evidence of such negotiation includes the names, addresses, and telephone numbers of DBEs that were considered; a description of the information provided regarding the plans and specifications for the work selected for subcontracting; and evidence as to why additional agreements could not be reached for DBEs to perform the work.
  - (2) A bidder using good business judgment would consider a number of factors in negotiating with subcontractors, including DBE subcontractors, and would take a firm's price and capabilities as well as contract goals into consideration. However, the fact that there may be some additional costs involved in finding and using DBEs is not in itself sufficient reason for a bidder's failure to meet the contract DBE goal, as long as such costs are reasonable. Also, the ability or desire of a prime contractor to perform the work of a contract with its own organization does not relieve the bidder of the responsibility to make good faith efforts. Bidding contractors are not, however, required to accept higher quotes from DBEs if the price difference is excessive or unreasonable.
- (E) Not rejecting DBEs as being unqualified without sound reasons based on a thorough investigation of their capabilities. The bidder's standing within its industry, membership in specific groups, organizations, or associates and political or social affiliations (for example, union vs. non-union employee status) are not legitimate causes for the rejection or non-solicitation of bids in the bidder's efforts to meet the project goal.
- (F) Making efforts to assist interested DBEs in obtaining bonding, lines of credit, or insurance as required by the recipient or bidder.

- (G) Making efforts to assist interested DBEs in obtaining necessary equipment, supplies, materials, or related assistance or services.
- (H) Effectively using the services of available minority/women community organizations; minority/women contractors' groups; Federal, State, and local minority/women business assistance offices; and other organizations as allowed on a case-by-case basis to provide assistance in the recruitment and placement of DBEs. Contact within 7 days from the bid opening the Business Development Manager in the Business Opportunity and Work Force Development Unit to give notification of the bidder's inability to get DBE quotes.
- (I) Any other evidence that the bidder submits which shows that the bidder has made reasonable good faith efforts to meet the DBE goal.

In addition, the Department may take into account the following:

- (1) Whether the bidder's documentation reflects a clear and realistic plan for achieving the DBE goal.
- (2) The bidders' past performance in meeting the DBE goals.
- (3) The performance of other bidders in meeting the DBE goal. For example, when the apparent successful bidder fails to meet the DBE goal, but others meet it, you may reasonably raise the question of whether, with additional reasonable efforts the apparent successful bidder could have met the goal. If the apparent successful bidder fails to meet the DBE goal, but meets or exceeds the average DBE participation obtained by other bidders, the Department may view this, in conjunction with other factors, as evidence of the apparent successful bidder having made a good faith effort.

If the Department does not award the contract to the apparent lowest responsive bidder, the Department reserves the right to award the contract to the next lowest responsive bidder that can satisfy to the Department that the DBE goal can be met or that an adequate good faith effort has been made to meet the DBE goal.

#### **Non-Good Faith Appeal**

The State Contractual Services Engineer will notify the contractor verbally and in writing of non-good faith. A contractor may appeal a determination of non-good faith made by the Goal Compliance Committee. If a contractor wishes to appeal the determination made by the Committee, they shall provide written notification to the State Contractual Services Engineer or at DBE@ncdot.gov. The appeal shall be made within 2 business days of notification of the determination of non-good faith.

#### **Counting DBE Participation Toward Meeting DBE Goal**

#### (A) Participation

The total dollar value of the participation by a committed DBE will be counted toward the contract goal requirement. The total dollar value of participation by a committed DBE will be based upon the value of work actually performed by the DBE and the actual payments to DBE firms by the Contractor.

#### (B) Joint Checks

Prior notification of joint check use shall be required when counting DBE participation for services or purchases that involves the use of a joint check. Notification shall be through submission of Form JC-1 (*Joint Check Notification Form*) and the use of joint checks shall be in accordance with the Department's Joint Check Procedures.

#### (C) Subcontracts (Non-Trucking)

A DBE may enter into subcontracts. Work that a DBE subcontracts to another DBE firm may be counted toward the contract goal requirement. Work that a DBE subcontracts to a non-DBE firm does <u>not</u> count toward the contract goal requirement. If a DBE contractor or subcontractor subcontracts a significantly greater portion of the work of the contract than would be expected on the basis of standard industry practices, it shall be presumed that the DBE is not performing a commercially useful function. The DBE may present evidence to rebut this presumption to the Department. The Department's decision on the rebuttal of this presumption is subject to review by the Federal Highway Administration but is not administratively appealable to USDOT.

#### (D) Joint Venture

When a DBE performs as a participant in a joint venture, the Contractor may count toward its contract goal requirement a portion of the total value of participation with the DBE in the joint venture, that portion of the total dollar value being a distinct clearly defined portion of work that the DBE performs with its forces.

#### (E) Suppliers

A contractor may count toward its DBE requirement 60 percent of its expenditures for materials and supplies required to complete the contract and obtained from a DBE regular dealer and 100 percent of such expenditures from a DBE manufacturer.

#### (F) Manufacturers and Regular Dealers

A contractor may count toward its DBE requirement the following expenditures to DBE firms that are not manufacturers or regular dealers:

- (1) The fees or commissions charged by a DBE firm for providing a *bona fide* service, such as professional, technical, consultant, or managerial services, or for providing bonds or insurance specifically required for the performance of a DOT-assisted contract, provided the fees or commissions are determined to be reasonable and not excessive as compared with fees and commissions customarily allowed for similar services.
- (2) With respect to materials or supplies purchased from a DBE, which is neither a manufacturer nor a regular dealer, count the entire amount of fees or commissions charged for assistance in the procurement of the materials and supplies, or fees or transportation charges for the delivery of materials or supplies required on a job site (but not the cost of the materials and supplies themselves), provided the fees are determined to be reasonable and not excessive as compared with fees customarily allowed for similar services.

#### **Commercially Useful Function**

#### (A) DBE Utilization

The Contractor may count toward its contract goal requirement only expenditures to DBEs that perform a commercially useful function in the work of a contract. A DBE performs a commercially useful function when it is responsible for execution of the work of the contract and is carrying out its responsibilities by actually performing, managing, and supervising the work involved. To perform a commercially useful function, the DBE shall also be responsible with respect to materials and supplies used on the contract, for negotiating price, determining quality and quantity, ordering the material and installing (where applicable) and paying for the material itself. To determine whether a DBE is performing a commercially useful function, the Department will evaluate the amount of work subcontracted, industry practices, whether the amount the firm is to be paid under the contract is commensurate with the work it is actually performing and the DBE credit claimed for its performance of the work, and any other relevant factors.

#### (B) DBE Utilization in Trucking

The following factors will be used to determine if a DBE trucking firm is performing a commercially useful function:

(1) The DBE shall be responsible for the management and supervision of the entire trucking operation for which it is responsible on a particular contract, and there shall not be a contrived arrangement for the purpose of meeting DBE goals.

- (2) The DBE shall itself own and operate at least one fully licensed, insured, and operational truck used on the contract.
- (3) The DBE receives credit for the total value of the transportation services it provides on the contract using trucks it owns, insures, and operates using drivers it employs.
- (4) The DBE may subcontract the work to another DBE firm, including an owner-operator who is certified as a DBE. The DBE who subcontracts work to another DBE receives credit for the total value of the transportation services the subcontracted DBE provides on the contract.
- (5) The DBE may also subcontract the work to a non-DBE firm, including from an owner-operator. The DBE who subcontracts the work to a non-DBE is entitled to credit for the total value of transportation services provided by the non-DBE subcontractor not to exceed the value of transportation services provided by DBE-owned trucks on the contract. Additional participation by non-DBE subcontractors receives credit only for the fee or commission it receives as a result of the subcontract arrangement. The value of services performed under subcontract agreements between the DBE and the Contractor will not count towards the DBE contract requirement.
- (6) A DBE may lease truck(s) from an established equipment leasing business open to the general public. The lease must indicate that the DBE has exclusive use of and control over the truck. This requirement does not preclude the leased truck from working for others during the term of the lease with the consent of the DBE, so long as the lease gives the DBE absolute priority for use of the leased truck. This type of lease may count toward the DBE's credit as long as the driver is under the DBE's payroll.
- (7) Subcontracted/leased trucks shall display clearly on the dashboard the name of the DBE that they are subcontracted/leased to and their own company name if it is not identified on the truck itself. Magnetic door signs are not permitted.

#### **DBE Replacement**

When a Contractor has relied on a commitment to a DBE firm (or an approved substitute DBE firm) to meet all or part of a contract goal requirement, the contractor shall not terminate the DBE for convenience. This includes, but is not limited to, instances in which the Contractor seeks to perform the work of the terminated subcontractor with another DBE subcontractor, a non-DBE subcontractor, or with the Contractor's own forces or those of an affiliate. A DBE may only be terminated after receiving the Engineer's written approval based upon a finding of good cause for the termination. The prime contractor must give the DBE firm five (5) calendar days to respond to the prime contractor's notice of termination and advise the prime contractor

and the Department of the reasons, if any, why the firm objects to the proposed termination of its subcontract and why the Department should not approve the action.

All requests for replacement of a committed DBE firm shall be submitted to the Engineer for approval on Form RF-1 (*DBE Replacement Request*). If the Contractor fails to follow this procedure, the Contractor may be disqualified from further bidding for a period of up to 6 months.

The Contractor shall comply with the following for replacement of a committed DBE:

#### (A) Performance Related Replacement

When a committed DBE is terminated for good cause as stated above, an additional DBE that was submitted at the time of bid may be used to fulfill the DBE commitment. A good faith effort will only be required for removing a committed DBE if there were no additional DBEs submitted at the time of bid to cover the same amount of work as the DBE that was terminated.

If a replacement DBE is not found that can perform at least the same amount of work as the terminated DBE, the Contractor shall submit a good faith effort documenting the steps taken. Such documentation shall include, but not be limited to, the following:

- (1) Copies of written notification to DBEs that their interest is solicited in contracting the work defaulted by the previous DBE or in subcontracting other items of work in the contract.
- (2) Efforts to negotiate with DBEs for specific subbids including, at a minimum:
  - (a) The names, addresses, and telephone numbers of DBEs who were contacted.
  - (b) A description of the information provided to DBEs regarding the plans and specifications for portions of the work to be performed.
- (3) A list of reasons why DBE quotes were not accepted.
- (4) Efforts made to assist the DBEs contacted, if needed, in obtaining bonding or insurance required by the Contractor.

#### (B) Decertification Replacement

(1) When a committed DBE is decertified by the Department after the SAF (*Subcontract Approval Form*) has been received by the Department, the Department will not require the Contractor to solicit replacement DBE participation equal to the remaining work to be performed by the decertified firm. The participation equal to the remaining work performed by the decertified firm will count toward the contract goal requirement.

(2) When a committed DBE is decertified prior to the Department receiving the SAF (*Subcontract Approval Form*) for the named DBE firm, the Contractor shall take all necessary and reasonable steps to replace the DBE subcontractor with another DBE subcontractor to perform at least the same amount of work to meet the DBE goal requirement. If a DBE firm is not found to do the same amount of work, a good faith effort must be submitted to NCDOT (see A herein for required documentation).

#### Changes in the Work

When the Engineer makes changes that result in the reduction or elimination of work to be performed by a committed DBE, the Contractor will not be required to seek additional participation. When the Engineer makes changes that result in additional work to be performed by a DBE based upon the Contractor's commitment, the DBE shall participate in additional work to the same extent as the DBE participated in the original contract work.

When the Engineer makes changes that result in extra work, which has more than a minimal impact on the contract amount, the Contractor shall seek additional participation by DBEs unless otherwise approved by the Engineer.

When the Engineer makes changes that result in an alteration of plans or details of construction, and a portion or all of the work had been expected to be performed by a committed DBE, the Contractor shall seek participation by DBEs unless otherwise approved by the Engineer.

When the Contractor requests changes in the work that result in the reduction or elimination of work that the Contractor committed to be performed by a DBE, the Contractor shall seek additional participation by DBEs equal to the reduced DBE participation caused by the changes.

#### **Reports and Documentation**

A SAF (*Subcontract Approval Form*) shall be submitted for all work which is to be performed by a DBE subcontractor. The Department reserves the right to require copies of actual subcontract agreements involving DBE subcontractors.

When using transportation services to meet the contract commitment, the Contractor shall submit a proposed trucking plan in addition to the SAF. The plan shall be submitted prior to beginning construction on the project. The plan shall include the names of all trucking firms proposed for use, their certification type(s), the number of trucks owned by the firm, as well as the individual truck identification numbers, and the line item(s) being performed.

Within 30 calendar days of entering into an agreement with a DBE for materials, supplies or services, not otherwise documented by the SAF as specified above, the Contractor shall furnish the Engineer a copy of the agreement. The documentation shall also indicate the percentage (60% or 100%) of expenditures claimed for DBE credit.

#### **Reporting Disadvantaged Business Enterprise Participation**

The Contractor shall provide the Engineer with an accounting of payments made to all DBE firms, including material suppliers and contractors at all levels (prime, subcontractor, or second tier subcontractor). This accounting shall be furnished to the Engineer for any given month by the end of the following month. Failure to submit this information accordingly may result in the following action:

- (A) Withholding of money due in the next partial pay estimate; or
- (B) Removal of an approved contractor from the prequalified bidders' list or the removal of other entities from the approved subcontractors list.

While each contractor (prime, subcontractor, 2nd tier subcontractor) is responsible for accurate accounting of payments to DBEs, it shall be the prime contractor's responsibility to report all monthly and final payment information in the correct reporting manner.

Failure on the part of the Contractor to submit the required information in the time frame specified may result in the disqualification of that contractor and any affiliate companies from further bidding until the required information is submitted.

Failure on the part of any subcontractor to submit the required information in the time frame specified may result in the disqualification of that contractor and any affiliate companies from being approved for work on future DOT projects until the required information is submitted.

Contractors reporting transportation services provided by non-DBE lessees shall evaluate the value of services provided during the month of the reporting period only.

At any time, the Engineer can request written verification of subcontractor payments.

(A) Electronic Bids Reporting

The Contractor shall report the accounting of payments through the Department's DBE Payment Tracking System.

(B) Paper Bids Reporting

The Contractor shall report the accounting of payments on the Department's DBE-IS (*Subcontractor Payment Information*) with each invoice. Invoices will not be processed for payment until the DBE-IS is received.

#### **Failure to Meet Contract Requirements**

Failure to meet contract requirements in accordance with Subarticle 102-15(J) of the 2012 Standard Specifications may be cause to disqualify the Contractor.

#### **CERTIFICATION FOR FEDERAL-AID CONTRACTS:**

SP1 G85

The prospective participant certifies, by signing and submitting this bid or proposal, to the best of his or her knowledge and belief, that:

- (A) No Federal appropriated funds have been paid or will be paid, by or on behalf of the undersigned, to any person for influencing or attempting to influence an officer or employee of any Federal agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement.
- (B) If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any Federal agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, the undersigned shall complete and submit Standard Form-LLL, Disclosure Form to Report Lobbying, in accordance with its instructions.

This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by Section 1352, Title 31, U.S. Code. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

The prospective participant also agrees by submitting his or her bid or proposal that he or she shall require that the language of this certification be included in all lower tier subcontracts, which exceed \$100,000 and that all such subrecipients shall certify and disclose accordingly.

# **CONTRACTOR'S LICENSE REQUIREMENTS:**102-14

SP1 G88

If the successful bidder does not hold the proper license to perform any plumbing, heating, air conditioning, or electrical work in this contract, he will be required to sublet such work to a contractor properly licensed in accordance with Article 2 of Chapter 87 of the General Statutes (licensing of heating, plumbing, and air conditioning contractors) and Article 4 of Chapter 87 of the General Statutes (licensing of electrical contractors).

#### U.S. DEPARTMENT OF TRANSPORTATION HOTLINE: (11-22-94) 108-5

SP1 G100

To report bid rigging activities call: 1-800-424-9071

The U.S. Department of Transportation (DOT) operates the above toll-free hotline Monday through Friday, 8:00 a.m. to 5:00 p.m. eastern time. Anyone with knowledge of possible bid rigging, bidder collusion, or other fraudulent activities should use the hotline to report such activities.

The hotline is part of the DOT's continuing effort to identify and investigate highway construction contract fraud and abuse is operated under the direction of the DOT Inspector General. All information will be treated confidentially and caller anonymity will be respected.

#### **CARGO PREFERENCE ACT:**

(2-16-16)

Privately owned United States-flag commercial vessels transporting cargoes are subject to the Cargo Preference Act (CPA) of 1954 requirements and regulations found in 46 CFR 381.7. Contractors are directed to clause (b) of 46 CFR 381.7 as follows:

- (b) Contractor and Subcontractor Clauses. "Use of United States-flag vessels: The contractor agrees-
  - "(1) To utilize privately owned United States-flag commercial vessels to ship at least 50 percent of the gross tonnage (computed separately for dry bulk carriers, dry cargo liners, and tankers) involved, whenever shipping any equipment, material, or commodities pursuant to this contract, to the extent such vessels are available at fair and reasonable rates for United States-flag commercial vessels.
  - (2) To furnish within 20 days following the date of loading for shipments originating within the United States or within 30 working days following the date of loading for shipments originating outside the United States a legible copy of a rated, 'on-board' commercial ocean bill-of-lading in English for each shipment of cargo described in paragraph (b) (1) of this section to both the Contracting Officer (through the prime contractor in the case of subcontractor bills-of-lading) and to the Division of National Cargo, Office of Market Development, Maritime Administration, Washington, DC 20590.
  - (3) To insert the substance of the provisions of this clause in all subcontracts issued pursuant to this contract."

#### LOCATING EXISTING UNDERGROUND UTILITIES:

Revise the 2012 Standard Specifications as follows:

SP1 G115

### Page 1-43, Article 105-8, line 28, after the first sentence, add the following:

Identify excavation locations by means of pre-marking with white paint, flags, or stakes or provide a specific written description of the location in the locate request.

#### RESOURCE CONSERVATION AND ENV. SUSTAINABLE PRACTICES:

(5-21-13) (Rev. 5-19-15)

(3-20-12)

104-13

SP1 G118

In accordance with North Carolina Executive Order 156, NCGS 130A-309.14(3), and NCGS 136-28.8, it is the objective of the Department to aid in the reduction of materials that become a part of our solid waste stream, to divert materials from landfills, to find ways to recycle and reuse materials, to consider and minimize, where economically feasible, the

environmental impacts associated with agency land use and acquisition, construction, maintenance and facility management for the benefit of the Citizens of North Carolina.

To achieve the mission of reducing environmental impacts across the state, the Department is committed to supporting the efforts to initiate, develop and use products and construction methods that incorporate the use of recycled, solid waste products and environmentally sustainable practices in accordance with Article 104-13 of the *Standard Specifications*.

Report the quantities of reused or recycled materials either incorporated in the project or diverted from landfills and any practice that minimizes the environmental impact on the project annually on the Project Construction Reuse and Recycling Reporting Form. The Project Construction Reuse and Recycling Reporting Form and a location tool for local recycling facilities are available at:

http://connect.ncdot.gov/resources/Environmental/Pages/North-Carolina-Recycling-Locations.aspx.

Submit the Project Construction Reuse and Recycling Reporting Form by August 1 annually to <u>valuemanagementunit@ncdot.gov</u>. For questions regarding the form or reporting, please contact the State Value Management Engineer at 919-707-4810.

#### **DOMESTIC STEEL:**

(4-16-13) 106 SPI G120

Revise the 2012 Standard Specifications as follows:

Page 1-49, Subarticle 106-1(B) Domestic Steel, lines 2-7, replace the first paragraph with the following:

All steel and iron products that are permanently incorporated into this project shall be produced in the United States except minimal amounts of foreign steel and iron products may be used provided the combined material cost of the items involved does not exceed 0.1% of the total amount bid for the entire project or \$2,500, whichever is greater. If invoices showing the cost of the material are not provided, the amount of the bid item involving the foreign material will be used for calculations. This minimal amount of foreign produced steel and iron products permitted for use is not applicable to high strength fasteners. Domestically produced high strength fasteners are required.

#### MAINTENANCE OF THE PROJECT:

(11-20-07) (Rev. 1-17-12) 104-10 SPI G125

Revise the 2012 Standard Specifications as follows:

Page 1-35, Article 104-10 Maintenance of the Project, line 25, add the following after the first sentence of the first paragraph:

All guardrail/guiderail within the project limits shall be included in this maintenance.

SP1 G133

Page 1-35, Article 104-10 Maintenance of the Project, line 30, add the following as the last sentence of the first paragraph:

The Contractor shall perform weekly inspections of guardrail and guiderail and shall report damages to the Engineer on the same day of the weekly inspection. Where damaged guardrail or guiderail is repaired or replaced as a result of maintaining the project in accordance with this article, such repair or replacement shall be performed within 7 consecutive calendar days of such inspection report.

Page 1-35, Article 104-10 Maintenance of the Project, lines 42-44, replace the last sentence of the last paragraph with the following:

The Contractor will not be directly compensated for any maintenance operations necessary, except for maintenance of guardrail/guiderail, as this work will be considered incidental to the work covered by the various contract items. The provisions of Article 104-7, Extra Work, and Article 104-8, Compensation and Record Keeping will apply to authorized maintenance of guardrail/guiderail. Performance of weekly inspections of guardrail/guiderail, and the damage reports required as described above, will be considered to be an incidental part of the work being paid for by the various contract items.

# COOPERATION BETWEEN CONTRACTORS:

(7-1-95)

The Contractor's attention is directed to Article 105-7 of the 2012 Standard Specifications.

I-5854, I-85 from 0.3 Mile North of I-85 Business to SR-3300 (South Elm-Eugene Street), is located adjacent to this project. I-5854 is tentatively scheduled to be Let on September 20, 2016.

The Contractor on this project shall cooperate with the Contractor working within or adjacent to the limits of this project to the extent that the work can be carried out to the best advantage of all concerned.

# **GIFTS FROM VENDORS AND CONTRACTORS:**

(12-15-09) 107-1 SPI G152

By Executive Order 24, issued by Governor Perdue, and *N.C.G.S.*§ 133-32, it is unlawful for any vendor or contractor (i.e. architect, bidder, contractor, construction manager, design professional, engineer, landlord, offeror, seller, subcontractor, supplier, or vendor), to make gifts or to give favors to any State employee of the Governor's Cabinet Agencies (i.e. Administration, Commerce, Correction, Crime Control and Public Safety, Cultural Resources, Environment and Natural Resources, Health and Human Services, Juvenile Justice and Delinquency Prevention, Revenue, Transportation, and the Office of the Governor). This prohibition covers those vendors and contractors who:

- (A) Have a contract with a governmental agency; or
- (B) Have performed under such a contract within the past year; or
- (C) Anticipate bidding on such a contract in the future.

For additional information regarding the specific requirements and exemptions, vendors and contractors are encouraged to review Executive Order 24 and *N.C.G.S.* § 133-32.

Executive Order 24 also encouraged and invited other State Agencies to implement the requirements and prohibitions of the Executive Order to their agencies. Vendors and contractors should contact other State Agencies to determine if those agencies have adopted Executive Order 24.

### **LIABILITY INSURANCE:**

(5-20-14) SPI G160

Revise the 2012 Standard Specifications as follows:

Page 1-60, Article 107-15 LIABILITY INSURANCE, line 16, add the following as the second sentence of the third paragraph:

Prior to beginning services, all contractors shall provide proof of coverage issued by a workers' compensation insurance carrier, or a certificate of compliance issued by the Department of Insurance for self-insured subcontractors, irrespective of whether having regularly in service fewer than three employees.

#### **EMPLOYMENT:**

(11-15-11) (Rev. 1-17-12) 108, 102 SPI G184

Revise the 2012 Standard Specifications as follows:

Page 1-20, Subarticle 102-15(O), delete and replace with the following:

(O) Failure to restrict a former Department employee as prohibited by Article 108-5.

Page 1-65, Article 108-5 Character of Workmen, Methods, and Equipment, line 32, delete all of line 32, the first sentence of the second paragraph and the first word of the second sentence of the second paragraph.

#### **STATE HIGHWAY ADMINISTRATOR TITLE CHANGE:**

(9-18-12) SPI G185

Revise the 2012 Standard Specifications as follows:

Replace all references to "State Highway Administrator" with "Chief Engineer".

# SUBLETTING OF CONTRACT: (11-18-2014)

(11-18-2014) 108-6 SPI G186

Revise the 2012 Standard Specifications as follows:

Page 1-66, Article 108-6 Subletting of Contract, line 37, add the following as the second sentence of the first paragraph:

All requests to sublet work shall be submitted within 30 days of the date of availability or prior to expiration of 20% of the contract time, whichever date is later, unless otherwise approved by the Engineer.

Page 1-67, Article 108-6 Subletting of Contract, line 7, add the following as the second sentence of the fourth paragraph:

Purchasing materials for subcontractors is not included in the percentage of work required to be performed by the Contractor. If the Contractor sublets items of work but elects to purchase material for the subcontractor, the value of the material purchased will be included in the total dollar amount considered to have been sublet.

#### **NOTE TO CONTRACTOR:**

The Contractor's attention is directed to the fact that Sealing Existing Pavement Cracks and Joints is to be performed on concrete pavement.

#### **PROJECT SPECIAL PROVISIONS**

#### **ROADWAY**

#### **INCIDENTAL STONE BASE:**

(7-1-95) (Rev.3/09/11)

DIV.7

#### **Description**

The Contractor shall place incidental stone base along the edge of pavement where low shoulders are present immediately after paving and shall not have the paving operations exceed stone base placement by more than one week without written permission of the Engineer. All areas shall be backfilled and compacted to the satisfaction of the Engineer. Failure to meet this requirement shall be cause to cease paving operations until it can be met. Place final pavement marking after completion of this work.

#### Construction

Perform work in accordance with all applicable requirements of Section 545 of the *Standard Specifications* and this provision.

#### **Materials**

Refer to Section 545 of the Standard Specifications.

The Contractor will have the option of using Aggregate Shoulder Borrow (ASB) which meets the following gradation on all maps.

| <u>Sieve</u> | Percent Passing |
|--------------|-----------------|
| 1 1/2"       | 100             |
| 1/2"         | 55 - 95         |
| #4           | 35 - 74         |

#### **Measurement and Payment**

*Incidental Stone Base* will be measured and paid for in accordance with Article 545-6 of the *Standard Specifications*.

#### **ASPHALT PAVEMENTS - SUPERPAVE:**

(6-19-12) (Rev. 1-19-16) 605, 609, 610, 650

SP6 R01

Revise the 2012 Standard Specifications as follows:

**Page 6-3, Article 605-7, APPLICATION RATES AND TEMPERATURES,** replace this article, including Table 605-1, with the following:

Apply tack coat uniformly across the existing surface at target application rates shown in Table 605-1.

| TABLE 605-1<br>APPLICATION RATES FOR TACK COAT |                      |  |
|--|----------------------|--|
| E  | Target Rate (gal/sy) |  |
| Existing Surface                               | Emulsified Asphalt   |  |
| New Asphalt                                    | $0.04 \pm 0.01$      |  |
| Oxidized or Milled Asphalt                     | $0.06 \pm 0.01$      |  |
| Concrete                                       | $0.08 \pm 0.01$      |  |

Apply tack coat at a temperature within the ranges shown in Table 605-2. Tack coat shall not be overheated during storage, transport or at application.

| TABLE 605-2<br>APPLICATION TEMPERATURE FOR TACK COAT |                   |  |
|--|-------------------|--|
| Asphalt Material                                     | Temperature Range |  |
| Asphalt Binder, Grade PG 64-22                       | 350 - 400°F       |  |
| Emulsified Asphalt, Grade RS-1H                      | 130 - 160°F       |  |
| Emulsified Asphalt, Grade CRS-1                      | 130 - 160°F       |  |
| Emulsified Asphalt, Grade CRS-1H                     | 130 - 160°F       |  |
| Emulsified Asphalt, Grade HFMS-1                     | 130 - 160°F       |  |
| Emulsified Asphalt, Grade CRS-2                      | 130 - 160°F       |  |

Page 6-7, Article 609-3, FIELD VERIFICATION OF MIXTURE AND JOB MIX FORMULA ADJUSTMENTS, lines 35-37, delete the second sentence of the second paragraph.

Page 6-18, Article 610-1 DESCRIPTION, lines 40-41, delete the last sentence of the last paragraph.

Page 6-19, Subarticle 610-3(A), Mix Design-General, line 5, add the following as the first paragraph:

Warm mix asphalt (WMA) is allowed for use at the Contractor's option in accordance with the NCDOT Approved Products List for WMA Technologies available at:

 $\frac{https://connect.ncdot.gov/resources/Materials/MaterialsResources/Warm\%20}{Mix\%20Asphalt\%20Approved\%20List.pdf}$ 

Page 6-20, Subarticle 610-3(C), Job Mix Formula (JMF), lines 47-48, replace the last sentence of the third paragraph with the following:

The JMF mix temperature shall be within the ranges shown in Table 610-1 unless otherwise approved.

**Page 6-21, Subarticle 610-3(C) Job Mix Formula (JMF)**, replace Table 610-1 with the following:

| TABLE 610-1<br>MIXING TEMPERATURE AT THE ASPHALT PLANT |                     |  |
|--|---------------------|--|
| Binder Grade   | JMF Mix Temperature |  |
| PG 58-28; PG 64-22                                     | 250 - 290°F         |  |
| PG 70-22   | 275- 305°F          |  |
| PG 76-22   | 300- 325°F          |  |

**Page 6-21, Subarticle 610-3(C) Job Mix Formula (JMF)**, lines 1-2, in the first sentence of the first paragraph, delete "and compaction". Lines 4-7, delete the second paragraph and replace with the following:

When RAS is used, the JMF mix temperature shall be established at 275°F or higher.

Page 6-22, Article 610-4, WEATHER, TEMPERATURE AND SEASONAL LIMITATIONS FOR PRODUCING AND PLACING ASPHALT MIXTURES, lines 15-17, replace the second sentence of the first paragraph with the following:

Do not place asphalt material when the air or surface temperatures, measured at the location of the paving operation away from artificial heat, do not meet Table 610-5.

Page 6-23, Article 610-4, WEATHER, TEMPERATURE AND SEASONAL LIMITATIONS FOR PRODUCING AND PLACING ASPHALT MIXTURES, replace Table 610-5 with the following:

| TABLE 610-5 PLACEMENT TEMPERATURES FOR ASPHALT              |                   |  |
|---|-------------------|--|
| Asphalt Concrete Mix Type Minimum Surface and Air Temperatu |                   |  |
| B25.0B, C   | 35°F              |  |
| I19.0B, C, D  | 35°F              |  |
| SF9.5A, S9.5B   | 40°F <sup>A</sup> |  |
| S9.5C, S12.5C   | 45°F <sup>A</sup> |  |
| S9.5D, S12.5D   | 50°F              |  |

**A.** For the final layer of surface mixes containing recycled asphalt shingles (RAS), the minimum surface and air temperature shall be 50°F.

**Page 6-23, Subarticle 610-5(A), General,** lines 33-34, replace the last sentence of the third paragraph with the following:

Produce the mixture at the asphalt plant within  $\pm 25$  °F of the JMF mix temperature. The temperature of the mixture, when discharged from the mixer, shall not exceed 350°F.

**Page 6-26, Article 610-7, HAULING OF ASPHALT MIXTURE**, lines 22-23, in the fourth sentence of the first paragraph replace "so as to overlap the top of the truck bed and" with "to". Line 28, in the last paragraph, replace "+15 °F to -25 °F of the specified JMF temperature." with "±25 °F of the specified JMF mix temperature."

Page 6-26, Article 610-8, SPREADING AND FINISHING, line 34, add the following new paragraph:

As referenced in Section 9.6.3 of the *HMA/QMS Manual*, use the automatic screed controls on the paver to control the longitudinal profile. Where approved by the Engineer, the Contractor has the option to use either a fixed or mobile string line.

Page 6-29, Article 610-13, FINAL SURFACE TESTING AND ACCEPTANCE, line 39, add the following after the first sentence in the first paragraph:

Smoothness acceptance testing using the inertial profiler is not required on ramps, loops and turn lanes.

Page 6-30, Subarticle 610-13(A), Option 1 – Inertial Profiler, lines 15-16, replace the fourth sentence of the fourth paragraph with the following:

The interval at which relative profile elevations are reported shall be 2".

Page 6-30, Subarticle 610-13(A), Option 1 – Inertial Profiler, lines 25-28, replace the ninth paragraph with the following:

Operate the profiler at any speed as per the manufacturer's recommendations to collect valid data.

Page 6-30, Subarticle 610-13(A), Option 1 – Inertial Profiler, lines 30-31, delete the third sentence of the tenth paragraph.

Page 6-31, Subarticle 610-13(A), Option 1 – Inertial Profiler, lines 11-13, replace the first sentence of the third paragraph with the following:

After testing, transfer the profile data from the profiler portable computer's hard drive to a write once storage media (Flash drive, USB, DVD-R or CD-R) or electronic media approved by the Engineer.

Page 6-31, Subarticle 610-13(A), Option 1 – Inertial Profiler, lines 17-18, replace the first sentence of the fourth paragraph with the following:

Submit a report with the documentation and electronic data of the evaluation for each section to the Engineer within 10 days after completion of the smoothness testing. The report shall be in the tabular format for each 0.10 segment or a portion thereof with a summary of the MRI values and the localized roughness areas including corresponding project station numbers or acceptable reference points. Calculate the pay adjustments for all segments in accordance with the formulas in Sections (1) and (2) shown below. The Engineer shall review and approval all pay adjustments unless corrective action is required.

Page 6-31, Subarticle 610-13(A)(1), Acceptance for New Construction, lines 36-37, replace the third paragraph with the following:

The price adjustment will apply to each 0.10-mile section or prorated for a portion thereof, based on the Mean Roughness Index (MRI), the average IRI values from both wheel paths.

Page 6-32, Subarticle 610-13(A)(2), Localized Roughness, lines 12-16, replace the first paragraph with the following:

Areas of localized roughness shall be identified through the "Smoothness Assurance Module (SAM)" provided in the ProVAL software. Use the SAM report to optimize repair strategies by analyzing the measurements from profiles collected using inertial profilers. The ride quality threshold for localized roughness shall be 165 in/mile for any sections that are 15 ft. to 100 ft. in length at the continuous short interval of 25 ft. Submit a continuous roughness report to identify each section with project station numbers or reference points outside the threshold and identify all localized roughness, with the signature of the Operator included with the submitted IRI trace and electronic files.

Page 6-32, Subarticle 610-13(A)(2), Localized Roughness, line 21, add the following new paragraph:

If the Engineer does not require corrective action, the pay adjustment for each area of localized roughness shall be based on the following formula:

PA = (165 - LR#) 5

Where:

PA = Pay Adjustment (dollars)

LR# = The Localized Roughness number determined from SAM report

for the ride quality threshold

11 0

Page 6-41, Subarticle 650-3(B), Mix Design Criteria, replace Table 650-1 with the following:

| TABLE 650-1<br>OGAFC GRADATION CRITERIA |           |                    |                    |  |
|---|-----------|--------------------|--------------------|--|
| Sieve Size (mm)                         | Type FC-1 | Type FC-1 Modified | Type FC-2 Modified |  |
| 19.0                                    | -         | -                  | 100                |  |
| 12.5                                    | 100       | 100                | 80 - 100           |  |
| 9.50                                    | 75 - 100  | 75 - 100           | 55 - 80            |  |
| 4.75                                    | 25 - 45   | 25 - 45            | 15 - 30            |  |
| 2.36                                    | 5 - 15    | 5 - 15             | 5 - 15             |  |
| 0.075                                   | 1.0 - 3.0 | 1.0 - 3.0          | 2.0 - 4.0          |  |

#### **SHOULDER WEDGE:**

(9-20-11) (Rev. 8-21-12) 610 SP6 R03R

Revise the 2012 Standard Specifications as follows:

#### Page 6-26, Article 610-8, add the following after line 43:

Attach a device, mounted on screed of paving equipment, capable of constructing a shoulder wedge with an angle of 30 degrees plus or minus 4 degrees along the outside edge of the roadway, measured from the horizontal plane in place after final compaction on the final surface course. Use an approved mechanical device which will form the asphalt mixture to produce a wedge with uniform texture, shape and density while automatically adjusting to varying heights.

Payment for use of this device will be incidental to the other pay items in the contract.

#### ASPHALT BINDER CONTENT OF ASPHALT PLANT MIXES:

(11-21-00) (Rev. 7-17-12) 609 SP6 R15

The approximate asphalt binder content of the asphalt concrete plant mixtures used on this project will be as follows:

| Asphalt Concrete Base Course         | Type B 25.0  | 4.4% |
|--------------------------------------|--------------|------|
| Asphalt Concrete Intermediate Course | Type I 19.0  | 4.8% |
| Asphalt Concrete Surface Course      | Type S 4.75A | 6.8% |
| Asphalt Concrete Surface Course      | Type SA-1    | 6.8% |
| Asphalt Concrete Surface Course      | Type SF 9.5A | 6.7% |
| Asphalt Concrete Surface Course      | Type S 9.5   | 6.0% |
| Asphalt Concrete Surface Course      | Type S 12.5  | 5.6% |

The actual asphalt binder content will be established during construction by the Engineer within the limits established in the 2012 Standard Specifications.

# PRICE ADJUSTMENT - ASPHALT BINDER FOR PLANT MIX:

1-21-00) 620

SP6 R25

Price adjustments for asphalt binder for plant mix will be made in accordance with Section 620 of the 2012 Standard Specifications.

The base price index for asphalt binder for plant mix is \$ 366.43 per ton.

This base price index represents an average of F.O.B. selling prices of asphalt binder at supplier's terminals on **February 1, 2016**.

# FINAL SURFACE TESTING NOT REQUIRED:

(5-18-04) (Rev. 2-16-16)

610

SP6 R45

Final surface testing is not required on this project in accordance with Section 610-13, *Final Surface Testing and Acceptance*.

**FOG SEAL** 

(1-29-16)

SPI 6-19

# **Description**

Apply an emulsified asphalt and water mixture as an aggregate loss preventative or surface seal.

#### **Materials**

Use a base material from a CRS-1H, CSS-1H, CQS-1H, or an approved emulsion in accordance with the requirements of Article 1020-3 of the *2012 Standard Specifications*. Emulsion will be diluted with water at a 1:1 ratio unless otherwise directed by the Engineer.

For emulsions containing modifiers other than those allowed in Article 1020-3, submit to the Engineer for approval. These emulsions with modifiers shall meet the requirements of Article 1020-3 and manufacturer specifications.

Provide a distributor for heating and uniformly applying the emulsion in accordance with the requirements of Article 600-5 of the 2012 Standard Specifications. Provide a hand spray hose and nozzle to cover areas inaccessible to the spray bars.

## **Construction Methods**

The pavement surface must be clean and dry before applying the fog seal. Apply the mixture when the air temperature is 60°F and above. Do not apply asphalt material when the weather is foggy or rainy. The application temperature will be between 160°F and 170°F or per manufacturer's recommendations. Care is to be taken not to overlap the existing thermoplastic edgeline while spraying. The typical target application rate for diluted emulsions shall be 0.12 gal/sy +/- 0.03 gal/sy. The Engineer may request a test strip prior to construction to determine the application rate.

### **Measurement and Payment**

Asphalt Surface Treatment: Fog Seal will be measured and paid at the contract unit price per square yard. Payment at the above price will be made for replacing any satisfactorily completed asphalt surface treatment when such replacement has been made necessary by defects in subgrade or base constructed by others.

Payment will be made under:

Pay Item Pay Unit

Asphalt Surface Treatment, Fog Seal Square Yard

## DO NOT RESURFACE EXISTING BRIDGES:

(Rev. 8-08-14) Div. 7

The Contractor's attention is directed to the fact that he will NOT be required to resurface the bridges on this project.

No bridges will be resurfaced.

# **3" PATCHING OF EXISTING PAVEMENT:**

(Rev.9-13-10)

#### **Description**

The Contractor's attention is directed to the fact that there are areas of existing pavement on this project that will require repair prior to resurfacing. The areas to be patched will be delineated by the Engineer prior to the Contractor performing repairs. The contractor should note that a milling machine SHALL be required for this operation and that the patches are to be 3' in width and to a length as designated by the engineer. In areas where one side is in concrete, the Contractor shall saw cut concrete 3" in depth prior to the milling operation.

#### **Materials**

The patching consists of Asphalt Concrete Surface Course and binder.

All patching material shall meet the requirements of Section 610 of the Standard Specifications.

#### **Construction Methods**

The repair of the existing pavement includes but is not limited to the following: saw cut 3" in depth prior to milling operation, milling of existing pavement using a milling machine to a neat vertical joint and uniform line; the removal and disposal of pavement, the coating of the area to be repaired, with a tack coat.

Construct the pavement repair in a manner such that the surface of the complete repair will match the surface of existing pavement.

Place Asphalt Concrete Surface Course, Type S95.B in two lifts, 1 ½" inch each lift. Utilize compaction equipment suitable for compacting patches as small as 4 feet by 6 feet on each lift. Use an approved compaction pattern to achieve proper compaction.

Schedule operations so that areas where pavement has been removed will be repaired on the same day and all lanes of traffic will be restored. The Contractor will be restricted to patching one side of the existing pavement at a time unless otherwise permitted by the Engineer.

The Contractor is to <u>only</u> place temporary paint on patch areas, as directed by the Engineer. Temporary Paint will be placed in accordance with Article 1205-8 of the *Standard Specifications*.

#### **Measurement and Payment**

Temporary Paint will be measured and paid for in accordance with Section 1205-10 of the *Standard Specifications*.

3" Patching of Existing Pavement will be measured and paid for as the actual number of tons of asphalt plant mix complete in place that has been used to make completed and accepted repairs. The asphalt plant mixed material will be measured by being weighed in trucks on certified platform scales or other certified weighing devices. The above price and payment will be full compensation for all work covered by this provision, including but not limited to saw cutting concrete 3" in depth, removal and disposal of pavement; furnishing and applying tack coat; furnishing, placing, and compacting of asphalt plant mix; furnishing of asphalt binder for the asphalt plant mix; and furnishing scales.

3" Patching of Existing Pavement will be considered a minor item. Any provisions included in the contract that provides for adjustments in compensation due to variations in the price of asphalt binder will not be applicable to payment for the work covered by this provision.

Payment will be made under:

Pay ItemPay Unit3" Patching of Existing PavementTon

#### REPAIR OF JOINTED CONCRETE PAVEMENT SLABS:

(4-15-08) (Rev. 1-19-16) SPI 7-08

## **Description:**

The work covered by this provision consists of the removal and satisfactory disposal of the existing damaged jointed concrete pavement slabs, furnishing and placing new jointed concrete pavement slabs as shown in the plans or as directed by the Engineer.

### **Materials:**

Refer to Divisions 6, 7, and 10 of the Standard Specifications.

| Item                              | Section |
|-----------------------------------|---------|
| Portland Cement Concrete          | 1000    |
| Curing Agents                     | 1026    |
| Water                             | 1024-4  |
| Select Material, Class IV         | 1016    |
| Dowels and Tie Bars               | 1070-6  |
| Geotextile for Soil Stabilization | 270     |

Use Select Material, Class IV. If Select Material, Class IV does not meet the requirements of Article 1010-2 of the *Standard Specifications*, the Engineer, at his discretion, may consider the material reasonably acceptable in accordance with Article 105-3 of the *Standard Specifications*.

#### **Methods of Production:**

The repair of jointed concrete pavement slabs shall meet the applicable requirements of Section 700 of the *Standard Specifications* and the following provisions:

The concrete shall meet the requirements given in the Special Provision Titled "Very High Early Strength Concrete for Concrete Pavement Repair".

The repair of jointed concrete pavement slabs shall be conducted in one lane at a time. The work shall be accomplished with other operations in progress in the same area.

In all cases of slab removal, the Contractor shall remove the entire 12 ft width and a minimum of 6 ft in the travel direction. Any remaining portion of a slab that is removed shall not be less than 6 ft in the travel direction.

As a result of the full depth sawing of the existing pavement to remove the distressed area, saw cuts that extend into the adjacent pavement shall be filled with epoxy prior to placing traffic on the new area. The epoxy shall meet the requirements of Section 1081 Type 3 of the *Standard Specifications*.

The Contractor shall take necessary measures to protect the exposed subgrade and base from damage resulting from surface water and/or rain during the period between the pavement removal and replacement. The Contractor shall submit his plan for removing the pavement areas to the Engineer for approval. The removal method shall minimize damage to the subgrade and to adjacent pavement and shoulders.

At locations as directed by the Engineer, the Contractor shall:

1) Remove unsuitable aggregate base material and backfill with Select Material, Class IV as directed by the Engineer; or

2) Remove unsuitable aggregate base material, undercut the subgrade, place Geotextile for Soil Stabilization, and backfill with Select Material, Class IV as directed by the Engineer.

The Geotextile for Soil Stabilization shall conform to the requirements of Section 270 of the *Standard Specifications*.

The Engineer will direct which method of repair is to be used at each location.

The Contractor shall thoroughly tamp any loosened subgrade or base material to the satisfaction of the Engineer before the pavement is replaced. New pavement shall be cast to match the thickness of the adjacent slabs.

Pneumatic or hydraulic drills and bits that will drill a hole in the existing concrete faces for placement of the dowels at location specified on the Plans shall be used. The equipment shall be operated so as to prevent damage to the pavement being drilled. The drilling procedure shall be approved by the Engineer. The drilled holes shall be thoroughly cleaned of all contaminants and the dowels of specified type and size shall then be set into the hardened concrete face of the existing pavement with an epoxy bonding compound meeting the requirements of a Type 3A epoxy detailed in Section 1081 of the Standard Specifications. The specified dowels shall be placed at locations noted on Plan details with one-half of dowel protruding beyond the hardened face of existing pavement and placed at correct horizontal and vertical alignment with misalignment not to exceed 0.4 inches in the vertical or oblique plane. The epoxy shall be allowed to harden sufficiently prior to placing concrete to prevent any movement of the dowels during the placement of the concrete. A sufficient amount of epoxy must be placed in the back of the hole so that the entire cavity around the dowel is completely filled upon insertion of the dowel bars. Any excess epoxy shall be removed. The epoxy adhesive must be packaged in a cartridge with a mixing nozzle that thoroughly mixes the two components as they are dispensed (the mixing nozzle must be a minimum of 8 inches long) or may be placed with a machine which mixes the two components thoroughly and to the proper ratio as the material is being placed.

Use dowels of the type, size, spacing, and at the location specified in *Roadway Standard Drawing* 700.01 Sheet 1 of 2. At no time shall dowels be driven into a dowel hole with sledge hammers or other devices. In all cases, any dowel which cannot be freely inserted into a dowel hole will be rejected for use.

Prior to placing concrete, the vertical exposed faces of the existing slabs shall be thoroughly cleaned of contaminates using wire brushing or other methods approved by the Engineer. Extra care must be taken to remove all existing silicone or other joint sealant from the exposed concrete faces.

The concrete shall be deposited within the slab replacement area in such manner as to require as little re-handing as possible, to prevent segregation of the mix. Hand spreading shall be minimized as much as possible, but where necessary, shall be done with shovels, not rakes. Workers will not be allowed to walk in the fresh concrete with shoes coated with earth or other foreign substances. The replaced slab area shall be filled with concrete and thoroughly consolidated by rodding, spading, and sufficient vibration to form a dense homogeneous mass

throughout the area. The final surface area shall be uniform in appearance and free of irregularities and porous areas.

The finished surface, including joints, shall meet a surface tolerance of 1/8 inch in 10 feet in any direction. Any necessary corrections shall be done by grinding. Any replaced slab which is low in relation to adjacent slabs may be ordered replaced by the Engineer. Replacement of such a slab would generally be required if, in the opinion of the Engineer, excessive grinding of the adjacent pavement is necessary to match the profile of the full depth slab replacement or if a drainage problem would be created by grinding the adjacent pavement.

The surface finish of the proposed concrete pavement shall be a burlap drag finish and conform to the cross-section of adjacent pavement. The method of finishing shall be approved by the Engineer. Immediately after finishing operations have been completed and surface water has disappeared, all exposed surfaces of the pavement shall be cured in accordance with the applicable provisions of Section 700-9 "Curing" and Section 1026 "Curing Agents for Concrete" of the *Standard Specifications*.

# **Measurement and Payment**

The quantity of Jointed Concrete Pavement Slab repair to be paid for at the unit price established herein will be the actual number of square yards of jointed concrete pavement with dowels which has been completed and accepted. In measuring this quantity, the width of the repair will be measured perpendicular to the centerline of the lane. The length will be the actual length constructed, measured along the centerline of the pavement.

The unit price for Repair of Jointed Concrete Pavement Slabs will be full compensation for all work covered by this provision, and applicable sections of the *Standard Specifications* for furnishing all labor, materials, tools, equipment, and incidentals for doing all work involved in placement of the concrete including but not limited to furnishing placing, and curing concrete; dowel bars; sawing and removing concrete; and filling saw cuts around the pavement repair.

The quantity of Select Material, Class IV to be paid for at the unit price established herein will be the actual number of tons of aggregate which has been incorporated into the completed and accepted work. The aggregate will be measured by being weighed in trucks on certified platform scales or other certified weighing devices. No deductions will be made for any moisture contained in the aggregate at the time of weighing.

The unit price for Select Material, Class IV will be full compensation for all work covered by this provision and the *Standard Specifications* including but not limited to removing of existing aggregate base course and backfilling with Select Material, Class IV.

The quantity of material removed from beneath the base course will be measured and paid for in accordance with Section 225 of the *Standard Specifications* for "*Undercut Excavation*".

The quantity of Geotextile for Soil Stabilization furnished and placed as directed will be measured and paid for in accordance with Section 270 of the *Standard Specifications* for "Geotextile for Soil Stabilization".

Payment will be made under:

Pay ItemPay UnitRepair of Jointed Concrete Pavement SlabsSquare YardSelect Material, Class IVTonUndercut ExcavationCubic YardGeotextile for Soil StabilizationSquare Yard

# <u>VERY HIGH EARLY STRENGTH CONCRETE FOR CONCRETE PAVEMENT REPAIR</u>

(3-21-07) SPI 7-10

Submit mix designs for Very High Early Strength Concrete for Concrete Pavement Repair in terms of saturated surface dry weights on M & T Form 312U for acceptance at least 30 days before proposed use.

Use a mix sufficient to obtain at least a flexural strength of 400 psi at 4 hours. Entrain 5 %  $\pm$  1.5% air in the freshly mixed concrete. Produce the mix with a maximum slump of 1.5" for placement by a fully mechanized paving train and a maximum slump of 3" for hand placement.

Use cement, fine aggregate, coarse aggregate, admixtures and, optionally, pozzolan as shown on the Department's approved list.

Submit 4 hour flexural strength results of at least 6 beams made and tested in accordance with AASHTO T126 and T97 with M & T Form 312U. In addition, submit 4 hour compressive strength results of at least six 4" by 8" or 6" by 12" cylinders and maturity test results of the mix. With permission of the Engineer, compressive strength testing and maturity testing may be used in lieu of or concurrent with flexural strength testing to determine the acceptability of the concrete in the field.

Design and produce the mix in accordance with BASF Chemical Company's 4 x 4 Concrete system or a comparable proprietary system. The timing of the addition of hydration control admixtures is critical to the performance of this concrete; therefore, an admixture representative shall be present on the job when Very High Early Strength Concrete is batched.

# **DIAMOND GRINDING CONCRETE PAVEMENT:**

(4-15-08) (Rev 03-15-16) SPI 7-09A

# **Description**

Perform the work covered by this provision including but not limited to diamond grinding and regrinding concrete pavement to meet final surface testing requirements detailed in Article 710-7, selecting diamond tipped saw blades and configuration of cutting head; continual removal of residual slurry from pavement and disposal; furnishing all labor, materials, supplies, tools, equipment and incidentals as necessary. Perform this work at locations indicated in the plans or as directed by the Engineer.

### **Equipment**

Use equipment with diamond tipped saw blades gang mounted on a power driven self propelled machine with a minimum wheel base length of 15 feet (4.6 meter) that is specifically designed to smooth and texture Portland Cement Concrete pavement. Utilize equipment that does not cause ravels; aggregate fracture; spalls or disturbance to the longitudinal or transverse joints; or damage and/or strain to the underlying surface of the pavement. Should any of the above problems occur immediately suspend operations.

Provide a minimum 3 feet (1 meter) wide grinding head with 50 (164) to 60 (200) evenly spaced grooves per foot (meter). Prior to designing the grinding head, evaluate the aggregate hardness of the concrete pavement and select the appropriate diamond size, diamond concentration and bond hardness for the individual saw blades.

Provide vacuuming equipment to continuously remove slurry residue and excess water from the pavement as part of the grinding operation. Do not allow the slurry material to flow into a travel lane occupied by traffic or into any drainage facility.

#### **Method of Construction**

Grind the pavement surface to a uniform appearance with a high skid resistant longitudinal corduroy type texture. Provide grooves between 0.09 (2.28mm) and 0.15 (3.81mm) inches wide with the land area between the grooves between 0.06 (1.52mm) and 0.13 (3.30mm) inches wide. Ensure a ridge peak of approximately 0.0625 inches (1.59mm) higher than the bottom of the grooves.

Begin and end diamond grinding at lines normal to the pavement centerline. Grind only in the longitudinal direction. All grooves and adjacent passes shall be parallel to each other with no variation. Completely lap adjacent passes with no unground surface remaining between passes and no overlap of more than 1½ inches (35 mm). Adjacent passes shall be within 1/8 inch (10 mm) of the same height as measured with a 3 foot (0.914 meter) straightedge. Maintain positive cross-slope drainage for the duration of the grinding operation.

Grind all travel lanes to include auxiliary lanes, ramps and loops with not less than 98 percent of the specified surface being textured by grinding. Grinding of the bridge decks and concrete

shoulders will not be required. Remove a minimum 0.0625 inches at all locations except dips. Extra grinding to eliminate minor depressions is not required. It is anticipated that extra grinding will be required on the high side of existing faults in the pavement. There shall be no ridge between lanes. In a separate operation, transition the grinding of any remaining ridges greater than 1/8 inch (10mm) in height on the outside edge next to the shoulder or at a tie to an existing facility to the satisfaction of the Engineer.

# **Disposal of Residual Slurry**

Diamond grinding slurry disposal shall be in accordance with the Statewide Permit for Land Application of Diamond Grinding Slurry (DGS), Permit No. WQ0035749 dated April 24, 2013. Submit a slurry disposal plan to the Engineer detailing method of handling and disposing of slurry from the diamond grinding operation a minimum of 60 days prior to beginning the diamond grinding operation. Engineer shall review the slurry disposal plan. Plan must be accepted prior to beginning the diamond grinding operation. DGS may also be transported beyond the project limits to an approved permitted site. No additional payment will be made for transporting this slurry material for disposal.

For more detailed information on disposal options, see the NCDOT Guidelines on the Management and Disposal of Concrete Grinding Residuals at the following website:

 $\frac{https://connect.ncdot.gov/resources/Environmental/Environmental%20Permits%20and%20Guidelines/NCDOT%20GUIDELINES%20ON%20MANAGEMENT%20AND%20DISPOSAL%20OF%20CGR%209-14-2015.pdf$ 

#### **Measurement and Payment**

The quantity of *Diamond Grinding PCC Pavement* to be paid for at the contract unit price will be the actual number of square yards of pavement diamond ground in accordance with the requirements of this provision. In measuring this quantity, the length will be the actual length diamond ground measured along the pavement surface. The width will be the actual widths measured along the pavement surface. No separate payment will be made for any overlapping.

Payment is full compensation for the work and includes but is not limited to grinding, disposal of slurry, furnishing all materials, equipment, labor and all incidentals necessary to complete the work satisfactorily.

Payment for *Surface Testing Concrete Pavement* will be in accordance to Article 710-10 of the 2012 Standard Specifications.

Payment will be made under:

**Pay Item**Diamond Grinding PCC Pavement

Pay Unit Square Yard

# **JOINT CONSTRUCTION, REPAIR AND SEALING:**

(4-15-08) (Rev 11-24-09) SPI 7-12A(Rev)

# **Description**

Saw existing backer rods joints, saw existing sawed joints, remove existing deteriorated backer rods and clean and seal joints with Low Modulus Silicone in accordance with *Standard Drawing No.* 700.01 and the manufacturer's recommendations. Also, repair and reseal existing joints with Low Modulus Silicone, form joints in slab replacements and seal with Low Modulus Silicone in accordance with *Standard Drawing No.* 700.01.

#### **Materials**

Low Modulus Silicone Sealant shall meet the requirements of Section 1028-4(A) of the *Standard Specifications* for Low Modulus Silicone Sealant; and shall be on the Department's approved product listing that is being evaluated by National Transportation Product Evaluation Program (NTPEP).

#### Construction

Have on-site, a manufacture's representative during the initial start-up. This requirement will be suspended once the Engineer determines that the installation process is working smoothly.

Saw and seal pavement joints, and form control joints in one lane at a time.

Saw and seal joints at locations shown on the plans or as directed by the Engineer.

Saw and seal the centerline longitudinal joint according to *Standard Drawing No.* 700.01.

Form control joints in the proposed replacement slabs according to the spacing and dimensions as shown on the plans and *Standard Drawing No. 700.01*. Form the control joints by sawing with an approved concrete saw. Saw as soon as the concrete has hardened sufficiently without spalling or raveling, but before the lane is reopened to traffic, and not more than 6 hours after the concrete is placed.

Equip air compressors for cleaning joints with suitable traps capable of removing all surplus water and oil in the compressed air. The Engineer will check the compressed air daily for contamination. Do not use contaminated air.

Cleaning and sealing shall be as follows:

# (A) Cleaning Freshly Cut Sawed Joints

Immediately after sawing the joint, completely remove the resulting slurry from the joint and the immediate area by flushing with a jet of water under pressure, and other tools as necessary. After flushing, blow out the joint with compressed air. After the surfaces are thoroughly clean and dry and just before the joint sealer is placed, blow out the joint with

compressed air having a pressure of at least 90 psi and remove all traces of dust. If freshly cut sawed joints become contaminated before they are sealed, clean as many times as necessary with cleaning methods approved by the Engineer.

## (B) Installing Backup Material

When required, install closed cell, expanded polyethylene foam rod type backup material in a manner that will produce the shape factor specified. If the sealant bonds to the backup material, a bond-breaking type may be required.

## (C) Taping Expansion Joints

When the joints have been cleaned and are thoroughly dry, place bond-breaking adhesive tape on top of the joint material or backup material to prevent any bonding action between the bottom of the joint sealer and the top of underlying material. The tape shall completely cover the top of the underlying material, but at no place shall the tape be allowed to adhere to the sides of the joint.

# (D) Sealing Joints Requirements

- (1) Place joint sealer in accordance with the manufacturer's recommendations and these Specifications. Do not place silicone joint sealer when the air temperature near the joint is less than 50°F or is 50°F and falling or between October 15 and May 1, unless otherwise directed by the Engineer.
- Filling the Joint: Do not seal a joint until the seal is thoroughly clean and dry, and properly taped, if taping is required. Place the sealer in reasonably close conformity with dimensions shown on the plans and *Standard Drawing No.* 700.01. The joints will be rejected for any unreasonable deviation until satisfactory corrective measures are taken.

Apply the joint sealer by an approved mechanical device or by manually pouring or troweling, depending upon the consistency used. When applied mechanically or by pouring, a nozzle or pouring spout shall be shaped to fit inside the joint to introduce the sealer from inside the joint. Pouring consistency shall be used in horizontal joints, and troweling consistency shall be used in vertical joints, unless the pouring consistency is such that it can be satisfactorily placed in vertical joints.

Recess the joint sealer below the adjacent surface as shown in the plans and *Standard Drawing No.* 700.01.

If the joint material fails in either adhesion or cohesion, the joint shall be repaired to the Engineer's satisfaction at the Contractor's expense.

(3) Special Requirements for Installation of Low Modulus Silicone Sealant: The sealant shall be tooled to provide the required recess. The sealant shall be tooled or

applied in a manner which causes it to wet the joint faces.

(4) Cleaning Pavement: Promptly remove surplus joint sealer on the pavement after a joint has been sealed so that the joint sealer is not exposed to direct contact with traffic.

### (E) Opening to Traffic

Do not permit traffic over sealed joints without the approval of the Engineer.

#### **Measurement and Payment**

Joint Construction, Repair and Sealing will be measured and paid for at the contract unit price of the actual number of linear feet of joints, which are satisfactorily constructed, repaired and sealed. The length will be measured along the joints that have been constructed or repaired and sealed. Such price and payment will be full compensation for this work, including but not limited to removal and disposal of existing joint sealant and backer rod, preparation of joints, and furnishing all labor, tools, materials, and supplies, tools equipment and incidentals needed to complete the work.

Payment will be made under:

**Pay Item**Joint Construction, Repair and Sealing

**Pay Unit** Linear Foot

### **PATCHING CONCRETE PAVEMENT SPALLS:**

(1-19-16) 710

SPI 7-13(Revised)

### **Description**

The work covered by this provision consists of the partial and full depth patching of spalls in existing Portland cement concrete pavement by sawing and removing the broken, damaged or disintegrated concrete pavement from the spalled areas of the pavement surface and patching the areas with approved patching materials at locations as directed by the Engineer in accordance with this provision.

Alternate methods and materials for patching concrete spalls may be submitted by the Contractor for approval by the Engineer.

#### **Materials**

The pavement shall not be opened to traffic until concrete is appropriately cured, per manufacturer's recommendations.

The Contractor may at his option use any approved material from the NCDOT Approved Products List.

The material shall be mixed and installed, handled and stored, and cured in accordance with the manufacturer's instructions

#### **Construction Methods**

When the Contractor is working under a lane closure, concrete patching operations shall be conducted in one lane at a time or as directed by the Engineer. The work shall be accomplished with other operations in progress within the same area.

The surface within the repair areas shall be cleaned so as to be free of oil, dust, dirt, deteriorated concrete and other contaminates immediately before placement of the epoxy and patching material.

Epoxy shall be applied to the vertical and flat surface of the cleaned spall areas prior to placing concrete.

## **Measurement and Payment**

Patching Concrete Pavement Spalls will be paid for will be the actual number of square feet of existing concrete which has been patched and accepted. The actual length and width of each completed patch will be measured along the surface of the patch.

The above prices and payments will be full compensation for all work covered by this provision for furnishing all labor, materials, tools, equipment and incidentals for doing all work involved in sawing concrete pavement, removing deteriorated concrete, cleaning surfaces, epoxying, furnishing, placing, finishing, and curing concrete patch.

Payment will be made under:

Pay Item
Patching Concrete Pavement Spalls

Pay Unit Square Foot

# **MILLED RUMBLE STRIPS (Concrete Shoulder):**

(1-24-14)

SPI 07-14

#### **Description**

Mill rumble strips on Portland cement concrete shoulders in accordance with *Roadway Standard Drawing* No. 720.01, the plans, and as directed by the Engineer.

### **Equipment**

Provide equipment consisting of a rotary type cutting head with an outside diameter of no more than 24" and no less than 16" long. Provide a cutting head that has the cutting tips arranged in such a pattern as to provide a relatively smooth cut as well as a cutting head that is on its own independent suspension from that of the power unit to allow the tool to self align with the slope of the shoulder and/or any irregularities in the shoulder surface. Provide a cutting tool equipped

with guides to establish consistent alignment and uniformity of each cut in relation to the roadway.

#### **Construction Methods**

Demonstrate the ability to achieve desired surface inside each depression without tearing or snagging the Portland cement concrete prior to beginning the work.

Provide rumble strips that have finished dimensions and pattern in accordance with *Roadway Standard Drawing* No. 720.01.

Material resulting from the operation shall become the property of the Contractor. Remove and dispose of material in accordance with Section 802 of the *Standard Specifications*.

Remove all equipment to a location where it does not present a traffic hazard and clean pavement before reopening work area to traffic.

# **Measurement and Payment**

Milled Rumble Strips (Concrete Shoulder) will be measured and paid as the actual number of linear feet of shoulder, measured longitudinally along the surface of each shoulder, where rumble strips have been constructed and accepted.

Payment will be made under:

Pay Item
Milled Rumble Strips (Concrete Shoulder)

Pay Unit Linear Foot

### **MATERIALS:**

(2-21-12) (Rev. 3-15-16) 100

1000, 1002, 1005, 1016, 1018, 1024, 1050, 1074, 1078, 1080, 1081, 1086, 1084, 1087, 1092

SP10 R01

Revise the 2012 Standard Specifications as follows:

Page 10-1, Article 1000-1, DESCRIPTION, lines 9-10, replace the last sentence of the first paragraph with the following:

Type IL, IP, IS or IT blended cement may be used instead of Portland cement.

# Page 10-1, Article 1000-1, DESCRIPTION, line 14, add the following:

If any change is made to the mix design, submit a new mix design (with the exception of an approved pozzolan source change).

If any major change is made to the mix design, also submit new test results showing the mix design conforms to the criteria. Define a major change to the mix design as:

(1) A source change in coarse aggregate, fine aggregate or cement.

- (2) A pozzolan class or type change (e.g. Class F fly ash to Class C fly ash).
- (3) A quantitative change in coarse aggregate (applies to an increase or decrease greater than 5%), fine aggregate (applies to an increase or decrease greater than 5%), water (applies to an increase only), cement (applies to a decrease only), or pozzolan (applies to an increase or decrease greater than 5%).

Use materials which do not produce a mottled appearance through rusting or other staining of the finished concrete surface.

Page 10-1, Article 1000-2, MATERIALS, line 16; Page 10-8, Subarticle 1000-7(A), Materials, line 8; and Page 10-18, Article 1002-2, MATERIALS, line 9, add the following to the table of item references:

ItemSectionType IL Blended Cement1024-1

Page 10-1, Subarticle 1000-3(A), Composition and Design, lines 25-27, replace the second paragraph with the following:

Fly ash may be substituted for cement in the mix design up to 30% at a rate of 1.0 lb of fly ash to each pound of cement replaced.

Page 10-2, Subarticle 1000-3(A), Composition and Design, lines 12-21, delete the third paragraph through the sixth paragraph beginning with "If any change is made to the mix design, submit..." through "...(applies to a decrease only)."

Page 10-5, Table 1000-1, REQUIREMENTS FOR CONCRETE, replace with the following:

|   |  |                            | REC                       | TA<br>UIREME         | BLE 100<br>NTS FOI        |   | RETE                  |              |              |              |              |
|---|--|----------------------------|---------------------------|----------------------|---------------------------|---|-----------------------|--------------|--------------|--------------|--------------|
|   | <b>.</b>   | Maximum Water-Cement Ratio |                           |                      |                           | Consiste  | ncy Max.              |              | Cement       | Content      |              |
| Class of<br>Concrete<br>fin. Comp<br>Strength<br>at 28 days | Min. Comp.<br>Strength<br>at 28 days                         | Air-Entrained<br>Concrete  |                           | Non<br>Entra<br>Cond | ained                     | Vibrated  | Non-<br>Vibrated      | Vib          | rated        | Non- V       | ibrated      |
| 90  | Mi<br>S at   | Rounded<br>Aggregate       | Angular<br>Aggre-<br>gate | Rounded<br>Aggregate | Angular<br>Aggre-<br>gate | Vib   | Vib                   | Min.         | Max.         | Min.         | Max.         |
| Units   | psi  |                            |                           |                      |                           | inch  | inch                  | lb/cy        | lb/cy        | lb/cy        | lb/cy        |
| AA  | 4,500  | 0.381                      | 0.426                     | -                    | -                         | 3.5   | -                     | 639          | 715          | -            | -            |
| AA Slip<br>Form   | 4,500  | 0.381                      | 0.426                     | -                    | -                         | 1.5   | -                     | 639          | 715          | -            | -            |
| Drilled Pier  | 4,500  | -                          | -                         | 0.450                | 0.450                     | -   | 5-7 dry<br>7-9<br>wet | -            | -            | 640          | 800          |
| A   | 3,000  | 0.488                      | 0.532                     | 0.550                | 0.594                     | 3.5   | 4                     | 564          | -            | 602          | -            |
| В   | 2,500  | 0.488                      | 0.567                     | 0.559                | 0.630                     | 1.5<br>machine-<br>placed<br>2.5<br>hand-<br>placed | 4                     | 508          | -            | 545          | -            |
| Sand Light-<br>weight                                       | 4,500  | -                          | 0.420                     | -                    | -                         | 4   | -                     | 715          | -            | -            | -            |
| Latex<br>Modified   | 3,000<br>7 day   | 0.400                      | 0.400                     | -                    | -                         | 6   | -                     | 658          | -            | -            | -            |
| Flowable<br>Fill<br>excavatable                             | 150 max.<br>at 56 days                                       | as needed                  | as needed                 | as needed            | as needed                 | -   | Flow-<br>able         | -            | -            | 40           | 100          |
| Flowable<br>Fill<br>non-excavatable                         | 125  | as needed                  | as needed                 | as needed            | as needed                 | -   | Flow-<br>able         | -            | -            | 100          | as<br>needed |
| Pavement  | 4,500<br>design,<br>field<br>650<br>flexural,<br>design only | 0.559                      | 0.559                     | -                    | -                         | 1.5 slip<br>form<br>3.0 hand<br>place               | -                     | 526          | -            | -            | -            |
| Precast   | See<br>Table<br>1077-1                                       | as needed                  | as needed                 | -                    | -                         | 6   | as<br>needed          | as<br>needed | as<br>needed | as<br>needed | as<br>needed |
| Prestress   | per<br>contract  | See Table<br>1078-1        | See<br>Table<br>1078-1    | -                    | -                         | 8   | -                     | 564          | as<br>needed | -            | -            |

Page 10-6, Subarticle 1000-4(I), Use of Fly Ash, lines 36-2, replace the first paragraph with the following:

Fly ash may be substituted for cement in the mix design up to 30% at a rate of 1.0 lb of fly ash to each pound of cement replaced. Use Table 1000-1 to determine the maximum allowable water-cementitious material (cement + fly ash) ratio for the classes of concrete listed.

Page 10-7, Table 1000-3, MAXIMUM WATER-CEMENTITIOUS MATERIAL RATIO, delete the table.

Page 10-7, Article 1000-5, HIGH EARLY STRENGTH PORTLAND CEMENT CONCRETE, lines 30-31, delete the second sentence of the third paragraph.

Page 10-19, Article 1002-3, SHOTCRETE FOR TEMPORARY SUPPORT OF EXCAVATIONS, line 30, add the following at the end of Section 1002:

## (H) Handling and Storing Test Panels

Notify the Area Materials Engineer when preconstruction or production test panels are made within 24 hours of shooting the panels. Field cure and protect test panels from damage in accordance with ASTM C1140 until the Department transports panels to the Materials and Tests Regional Laboratory for coring.

Page 10-23, Table 1005-1, AGGREGATE GRADATION-COARSE AGGREGATE, replace with the following:

|                               |     |            |            | P         | ercen      | Percentage of Total by Weight Passing | f Tota     | d by V    | Veigh     | t Passi | g         | Percentage of Total by Weight Passing |  |
|-------------------------------|-----|------------|------------|-----------|------------|---------------------------------------|------------|-----------|-----------|---------|-----------|---------------------------------------|--|
| Std.<br>Size #                | 2"  | 1<br>1/2"  | 1"         | 3/4"      | 1/2"       | 3/8"                                  | #4         | #8        | #10       | #16     | #40       | #200                                  | Remarks  |
| 4                             | 100 | 90-        | 20-<br>55  | 0-15      |            | 0-5                                   | 1          |           |           | 1       |           | A                                     | Asphalt Plant Mix  |
| 467M                          | 100 | 95-<br>100 | ı          | 35-<br>70 | ı          | 0-30                                  | 0-5        | ı         | ı         | ı       | ı         | Α                                     | Asphalt Plant Mix  |
| 5                             | ı   | 100        | 90-        | 20-<br>55 | 0-10       | 0-5                                   | ı          | ı         | ı         | ı       | ı         | Α                                     | AST, Sediment Control Stone                                      |
| 57                            | 1   | 100        | 95-<br>100 | ı         | 25-<br>60  | ı                                     | 0-10       | 0-5       | ı         | ı       | ı         | A                                     | AST, Str. Concrete,<br>Shoulder Drain,<br>Sediment Control Stone |
| 57M                           |     | 100        | 95-<br>100 | 1         | 25-<br>45  |                                       | 0-10       | 0-5       |           |         | 1         | Α                                     | AST, Concrete Pavement   |
| 6M                            | ı   | 1          | 100        | 90-       | 20-<br>55  | 0-20                                  | 0-8        | ı         | ı         | ı       | ı         | Α                                     | AST  |
| 67                            |     |            | 100        | 90-       | ı          | 20-<br>55                             | 0-10       | 0-5       | ı         | ı       | ı         | Α                                     | AST, Str. Concrete,<br>Asphalt Plant Mix                         |
| 78M                           | 1   | 1          | 1          | 100       | 98-<br>100 | 75-<br>100                            | 20-<br>45  | 0-15      | ı         | ı       | ı         | Α                                     | Asphalt Plant Mix, AST,<br>Str. Conc, Weep Hole Drains           |
| 14M                           | ı   | ı          | ı          | ı         | ı          | 100                                   | 35-<br>70  | 5-20      | ı         | 0-8     | ı         | Α                                     | Asphalt Plant Mix, AST, Weep Hole Drains, Str. Concrete          |
| 9                             | ı   | ı          | ı          | ı         | ı          | 100                                   | 85-<br>100 | 10-<br>40 | ı         | 0-10    | ı         | Α                                     | AST  |
| ABC                           | ı   | 100        | 75-<br>97  | ı         | 55-<br>80  | ı                                     | 35-<br>55  | ı         | 25-<br>45 | ı       | 14-<br>30 | 4-<br>12 <sup>B</sup>                 | Aggregate Base Course,<br>Aggregate Stabilization                |
| ABC<br>(M)                    | ı   | 100        | 75-<br>100 | ı         | 45-<br>79  | ı                                     | 20-<br>40  | ı         | 0-<br>25  | ı       | 1         | 0-<br>12 <sup><b>B</b></sup>          | Maintenance Stabilization  |
| Light-<br>weight <sup>C</sup> | ı   | ı          | 1          | 1         | 100        | 80-                                   | 5-<br>40   | 0-20      | 1         | 0-10    | 1         | 0-2.5                                 | AST  |

C. For Lightweight Aggregate used in Structural Concrete, see Subarticle 1014-2(E)(6).

# Page 10-39, Article 1016-3, CLASSIFICATIONS, lines 27-32, replace with the following:

Select material is clean, unweathered durable, blasted rock material obtained from an approved source. While no specific gradation is required, the below criteria will be used to evaluate the materials for visual acceptance by the Engineer:

- (A) At least 50% of the rock has a diameter of from 1.5 ft to 3 ft,
- **(B)** 30% of the rock ranges in size from 2" to 1.5 ft in diameter, and
- (C) Not more than 20% of the rock is less than 2" in diameter. No rippable rock will be permitted.

Page 10-40, Tables 1018-1 and 1018-2, PIEDMONT, WESTERN AND COASTAL AREA CRITERIA FOR ACCEPTANCE OF BORROW MATERIAL, under second column in both tables, replace second row with the following:

Acceptable, but not to be used in the top 3 ft of embankment or backfill

Page 10-46, Article 1024-1, PORTLAND CEMENT, line 33, add the following as the ninth paragraph:

Use Type IL blended cement that meets AASHTO M 240, except that the limestone content is limited to between 5 and 12% by weight and the constituents shall be interground. Class F fly ash can replace a portion of Type IL blended cement and shall be replaced as outlined in Subarticle 1000-4(I) for Portland cement. For mixes that contain cement with alkali content between 0.6% and 1.0% and for mixes that contain a reactive aggregate documented by the Department, use a pozzolan in the amount shown in Table 1024-1.

Page 10-46, Table 1024-1, POZZOLANS FOR USE IN PORTLAND CEMENT CONCRETE, replace with the following:

| POZZOLANS FO                            | TABLE 1024-1 POZZOLANS FOR USE IN PORTLAND CEMENT CONCRETE   |  |  |  |  |
|---|--|--|--|--|--|
| Pozzolan                                | Rate   |  |  |  |  |
| Class F Fly Ash                         | 20% - 30% by weight of required cement content with 1.0 lb Class F fly ash per lb of cement replaced |  |  |  |  |
| Ground Granulated Blast<br>Furnace Slag | 35%-50% by weight of required cement content with 1.0 lb slag per lb of cement replaced              |  |  |  |  |
| Microsilica                             | 4%-8% by weight of required cement content with 1.0 lb microsilica per lb of cement replaced         |  |  |  |  |

Page 10-47, Subarticle 1024-3(B), Approved Sources, lines 16-18, replace the second sentence of the second paragraph with the following:

Tests shall be performed by AASHTO's designated National Transportation Product Evaluation Program (NTPEP) laboratory for concrete admixture testing.

Page 10-65, Article 1050-1, GENERAL, line 41, replace the first sentence with the following:

All fencing material and accessories shall meet Section 106.

Page 10-115, Subarticle 1074-7(B), Gray Iron Castings, lines 10-11, replace the first two sentences with the following:

Supply gray iron castings meeting all facets of AASHTO M 306 excluding proof load. Proof load testing will only be required for new casting designs during the design process, and conformance to M306 loading (40,000 lb.) will be required only when noted on the design documents.

Page 10-126, Table 1078-1, REQUIREMENTS FOR CONCRETE, replace with the following:

| TABLE :<br>REQUIREMENTS I                 |   |   |
|---|---|---|
| Property                                  | 28 Day Design<br>Compressive<br>Strength<br>6,000 psi or less | 28 Day Design<br>Compressive<br>Strength<br>greater than<br>6,000 psi |
| Maximum Water/Cementitious Material Ratio | 0.45  | 0.40  |
| Maximum Slump without HRWR                | 3.5"  | 3.5"  |
| Maximum Slump with HRWR                   | 8"  | 8"  |
| Air Content (upon discharge into forms)   | 5 + 2%  | 5 + 2%  |

**Page 10-151, Article 1080-4, INSPECTION AND SAMPLING, lines 18-22,** replace (B), (C) and (D) with the following:

- (B) At least 3 panels prepared as specified in 5.5.10 of AASHTO M 300, Bullet Hole Immersion Test.
- (C) At least 3 panels of 4"x6"x1/4" for the Elcometer Adhesion Pull Off Test, ASTM D4541.
- (D) A certified test report from an approved independent testing laboratory for the Salt Fog Resistance Test, Cyclic Weathering Resistance Test, and Bullet Hole Immersion Test as specified in AASHTO M 300.
- (E) A certified test report from an approved independent testing laboratory that the product has been tested for slip coefficient and meets AASHTO M253, Class B.

Page 10-161, Subarticle 1081-1(A), Classifications, lines 29-33, delete first 3 sentences of the description for Type 2 and replace with the following:

**Type 2 -** A low-modulus, general-purpose adhesive used in epoxy mortar repairs. It may be used to patch spalled, cracked or broken concrete where vibration, shock or expansion and contraction are expected.

Page 10-162, Subarticle 1081-1(A), Classifications, lines 4-7, delete the second and third sentences of the description for Type 3A. Lines 16-22, delete Types 6A, 6B and 6C.

Page 10-162, Subarticle 1081-1(B), Requirements, lines 26-30, replace the second paragraph with the following:

For epoxy resin systems used for embedding dowel bars, threaded rods, rebar, anchor bolts and other fixtures in hardened concrete, the manufacturer shall submit test results showing that the bonding system will obtain 125% of the specified required yield strength of the fixture. Furnish certification that, for the particular bolt grade, diameter and embedment depth required, the anchor system will not fail by adhesive failure and that there is no movement of the anchor bolt. For certification and anchorage, use 3,000 psi as the minimum Portland cement concrete compressive strength used in this test. Use adhesives that meet Section 1081.

List the properties of the adhesive on the container and include density, minimum and maximum temperature application, setting time, shelf life, pot life, shear strength and compressive strength.

Page 10-163, Table 1081-1, PROPERTIES OF MIXED EPOXY RESIN SYSTEMS, replace with the following:

| TABLE 1081-1 PROPERTIES OF MIXED EPOXY RESIN SYSTEMS      | TIES OF         | TABLE 1081-1<br>MIXED EPOX | 1081-1<br>EPOXY F | RESIN SY        | ZSTEMS     |            |        |
|---|-----------------|----------------------------|-------------------|-----------------|------------|------------|--------|
| Property  | Type 1          | Type 2                     | Type 3            | Type<br>3A      | Type<br>4A | Туре<br>4В | Type 5 |
| Viscosity-Poises at 77°F ± 2°F                            | Gel             | 10-30                      | 25-75             | Gel             | 40-150     | 40-150     | 1-6    |
| Spindle No.   | ı               | w                          | 4                 | 1               | 4          | 4          | 2      |
| Speed (RPM)   | 1               | 20                         | 20                | ı               | 10         | 10         | 50     |
| Pot Life (Minutes)  | 20-50           | 30-60                      | 20-50             | 5-50            | 40-80      | 40-80      | 20-60  |
| Minimum Tensile Strength at 7 days (psi)                  | 1,500           | 2,000                      | 4,000             | 4,000           | 1,500      | 1,500      | 4,000  |
| Tensile Elongation at 7 days (%)                          | 30 min.         | 30 min.                    | 2-5               | 2-5             | 5-15       | 5-15       | 2-5    |
| Min. Compressive Strength of 2". mortar cubes at 24 hours | 3,000<br>(Neat) | 4,000-                     | 6,000-            | 6,000<br>(Neat) | 3,000      | 3,000      | 6,000  |
| Min. Compressive Strength of 2" mortar cubes at 7 days    | 5,000<br>(Neat) | ı                          | ı                 | ı               | ı          | 5,000      | ı      |
| Maximum Water Absorption (%)                              | 1.5             | 1.0                        | 1.0               | 1.5             | 1.0        | 1.0        | 1.0    |
| Min. Bond Strength Slant Shear<br>Test at 14 days (psi)   | 1,500           | 1,500                      | 2,000             | 2,000           | 1,500      | 1,500      | 1,500  |

Page 10-164, Subarticle 1081-1(E), Prequalification, lines 31-33, replace the second sentence of the first paragraph with the following:

Manufacturers choosing to supply material for Department jobs must submit an application through the Value Management Unit with the following information for each type and brand name:

Page 10-164, Subarticle 1081-1(E)(3), line 37, replace with the following:

(3) Type of the material in accordance with Articles 1081-1 and 1081-4,

**Page 10-165, Subarticle 1081-1(E)(6), line 1,** in the first sentence of the first paragraph replace "AASHTO M 237" with "the specifications".

Page 10-165, Subarticle 1081-1(E), Prequalification, line 9-10, delete the second sentence of the last paragraph.

Page 10-165, Subarticle 1081-1(F), Acceptance, line 14, in the first sentence of the first paragraph replace "Type 1" with "Type 3".

Page 10-169, Subarticle 1081-3(G), Anchor Bolt Adhesives, delete this subarticle.

**Page 10-170, Article 1081-3, HOT BITUMEN, line 9,** add the following at the end of Section 1081:

### 1081-4 EPOXY RESIN ADHESIVE FOR BONDING TRAFFIC MARKINGS

#### (A) General

This section covers epoxy resin adhesive for bonding traffic markers to pavement surfaces.

#### (B) Classification

The types of epoxies and their uses are as shown below:

**Type I** – Rapid Setting, High Viscosity, Epoxy Adhesive. This type of adhesive provides rapid adherence to traffic markers to the surface of pavement.

**Type II** – Standard Setting, High Viscosity, Epoxy Adhesive. This type of adhesive is recommended for adherence of traffic markers to pavement surfaces when rapid set is not required.

**Type III** – Rapid Setting, Low Viscosity, Water Resistant, Epoxy Adhesive. This type of rapid setting adhesive, due to its low viscosity, is appropriate only for use with embedded traffic markers.

**Type IV** – Standard Set Epoxy for Blade Deflecting-Type Plowable Markers.

## (C) Requirements

Epoxies shall conform to the requirements set forth in AASHTO M 237.

### (D) Prequalification

Refer to Subarticle 1081-1(E).

# (E) Acceptance

Refer to Subarticle 1081-1(F).

Page 10-173, Article 1084-2, STEEL SHEET PILES, lines 37-38, replace first paragraph with the following:

Steel sheet piles detailed for permanent applications shall be hot rolled and meet ASTM A572 or ASTM A690 unless otherwise required by the plans. Steel sheet piles shall be coated as required

by the plans. Galvanized sheet piles shall be coated in accordance with Section 1076. Metallized sheet piles shall be metallized in accordance to the Project Special Provision "Thermal Sprayed Coatings (Metallization)" with an 8 mil, 99.9% aluminum alloy coating and a 0.5 mil seal coating. Any portion of the metallized sheet piling encased in concrete shall receive a barrier coat. The barrier coat shall be an approved waterborne coating with a low-viscosity which readily absorbs into the pores of the aluminum thermal sprayed coating. The waterborne coating shall be applied at a spreading rate that results in a theoretical 1.5 mil dry film thickness. The manufacturer shall issue a letter of certification that the resin chemistry of the waterborne coating is compatible with the 99.9% aluminum thermal sprayed alloy and suitable for tidal water applications.

Page 10-174, Subarticle 1086-1(B)(1), Epoxy, lines 18-24, replace with the following:

The epoxy shall meet Article 1081-4.

The 2 types of epoxy adhesive which may be used are Type I, Rapid Setting, and Type II, Standard Setting. Use Type II when the pavement temperature is above 60°F or per the manufacturer's recommendations whichever is more stringent. Use Type I when the pavement temperature is between 50°F and 60°F or per the manufacturer's recommendations whichever is more stringent. Epoxy adhesive Type I, Cold Set, may be used to attach temporary pavement markers to the pavement surface when the pavement temperature is between 32°F and 50°F or per the manufacturer's recommendations whichever is more stringent.

Page 10-175, Subarticle 1086-2(E), Epoxy Adhesives, line 27, replace "Section 1081" with "Article 1081-4".

Page 10-177, Subarticle 1086-3(E), Epoxy Adhesives, line 22, replace "Section 1081" with "Article 1081-4".

Page 10-179, Subarticle 1087-4(A), Composition, lines 39-41, replace the third paragraph with the following:

All intermixed and drop-on glass beads shall not contain more than 75 ppm arsenic or 200 ppm lead.

Page 10-180, Subarticle 1087-4(B), Physical Characteristics, line 8, replace the second paragraph with the following:

All intermixed and drop-on glass beads shall comply with NCGS § 136-30.2 and 23 USC § 109(r).

Page 10-181, Subarticle 1087-7(A), Intermixed and Drop-on Glass Beads, line 24, add the following after the first paragraph:

Use X-ray Fluorescence for the normal sampling procedure for intermixed and drop-on beads, without crushing, to check for any levels of arsenic and lead. If any arsenic or lead is detected, the sample shall be crushed and repeat the test using X-ray Fluorescence. If the X-ray Fluorescence test shows more than a LOD of 5 ppm, test the beads using United States Environmental Protection Agency Method 6010B, 6010C or 3052 for no more than 75 ppm arsenic or 200 ppm lead.

# **GROUT PRODUCTION AND DELIVERY:**

(3-17-15) 1003 SP10 R20

Revise the 2012 Standard Specifications as follows:

Replace Section 1003 with the following:

# SECTION 1003 GROUT PRODUCTION AND DELIVERY

#### **1003-1 DESCRIPTION**

This section addresses cement grout to be used for structures, foundations, retaining walls, concrete barriers, embankments, pavements and other applications in accordance with the contract. Produce non-metallic grout composed of Portland cement and water and at the Contractor's option or as required, aggregate and pozzolans. Include chemical admixtures as required or needed. Provide sand cement or neat cement grout as required. Define "sand cement grout" as grout with only fine aggregate and "neat cement grout" as grout without aggregate.

The types of grout with their typical uses are as shown below:

**Type 1** – A cement grout with only a 3-day strength requirement and a fluid consistency that is typically used for filling subsurface voids.

**Type 2** – A nonshrink grout with strength, height change and flow conforming to ASTM C1107 that is typically used for foundations, ground anchors and soil nails.

**Type 3** – A nonshrink grout with high early strength and freeze-thaw durability requirements that is typically used in pile blockouts, grout pockets, shear keys, dowel holes and recesses for concrete barriers and structures.

**Type 4** – A neat cement grout with low strength, a fluid consistency and high fly ash content that is typically used for slab jacking.

**Type 5** – A low slump, low mobility sand cement grout with minimal strength that is typically used for compaction grouting.

#### 1003-2 MATERIALS

Refer to Division 10.

| Item                                 | Section |
|--------------------------------------|---------|
| Chemical Admixtures                  | 1024-3  |
| Fine Aggregate                       | 1014-1  |
| Fly Ash                              | 1024-5  |
| Ground Granulated Blast Furnace Slag | 1024-6  |
| Portland Cement                      | 1024-1  |

Silica Fume 1024-7 Water 1024-4

Do not use grout that contains soluble chlorides or more than 1% soluble sulfate. At the Contractor's option, use an approved packaged grout instead of the materials above except for water. Use packaged grouts that are on the NCDOT Approved Products List.

Use admixtures for grout that are on the NCDOT Approved Products List or other admixtures in accordance with Subarticle 1024-3(E) except do not use concrete additives or unclassified or other admixtures in Type 4 or 5 grout. Use Class F fly ash for Type 4 grout and Type II Portland cement for Type 5 grout.

Use well graded rounded aggregate with a gradation, liquid limit (LL) and plasticity index (PI) that meet Table 1003-1 for Type 5 grout. Fly ash may be substituted for a portion of the fines in the aggregate. Do not use any other pozzolans in Type 5 grout.

| AGGREG                            | TABLE 1003-1<br>REGATE REQUIREMENTS FOR TYPE 5 GROUT |               |                  |  |  |  |  |  |
|-----------------------------------|--|---------------|------------------|--|--|--|--|--|
| Grad                              | ation  | Maximum Maxim |                  |  |  |  |  |  |
| Sieve Designation per AASHTO M 92 | Percentage Passing (% by weight)                     | Liquid Limit  | Plasticity Index |  |  |  |  |  |
| 3/8"                              | 100  |               |                  |  |  |  |  |  |
| No. 4                             | 70 – 95  |               |                  |  |  |  |  |  |
| No. 8                             | 50 – 90  |               |                  |  |  |  |  |  |
| No. 16                            | 30 – 80  | N/A           | N/A              |  |  |  |  |  |
| No. 30                            | 25 – 70  |               |                  |  |  |  |  |  |
| No. 50                            | 20 – 50  |               |                  |  |  |  |  |  |
| No. 100                           | 15 – 40  | _             |                  |  |  |  |  |  |
| No. 200                           | 10 – 30  | 25            | 10               |  |  |  |  |  |

#### 1003-3 COMPOSITION AND DESIGN

When using an approved packaged grout, a grout mix design submittal is not required. Otherwise, submit proposed grout mix designs for each grout mix to be used in the work. Mixes for all grout shall be designed by a Certified Concrete Mix Design Technician or an Engineer licensed by the State of North Carolina. Mix proportions shall be determined by a testing laboratory approved by the Department. Base grout mix designs on laboratory trial batches that meet Table 1003-2 and this section. With permission, the Contractor may use a quantity of chemical admixture within the range shown on the current list of approved admixtures maintained by the Materials and Tests Unit.

Submit grout mix designs in terms of saturated surface dry weights on Materials and Tests Form 312U at least 35 days before proposed use. Adjust batch proportions to compensate for surface moisture contained in the aggregates at the time of batching.

Changes in the saturated surface dry mix proportions will not be permitted unless revised grout mix designs have been submitted to the Engineer and approved.

Accompany Materials and Tests Form 312U with a listing of laboratory test results of compressive strength, density and flow or slump and if applicable, aggregate gradation, durability and height change. List the compressive strength of at least three 2" cubes at the age of 3 and 28 days.

The Engineer will review the grout mix design for compliance with the contract and notify the Contractor as to its acceptability. Do not use a grout mix until written notice has been received. Acceptance of the grout mix design or use of approved packaged grouts does not relieve the Contractor of his responsibility to furnish a product that meets the contract. Upon written request from the Contractor, a grout mix design accepted and used satisfactorily on any Department project may be accepted for use on other projects.

Perform laboratory tests in accordance with the following test procedures:

| Property                         | Test Method  |
|----------------------------------|--|
| Aggregate Gradation <sup>A</sup> | AASHTO T 27  |
| Compressive Strength             | AASHTO T 106   |
|                                  | AASHTO T 121,  |
| Density (Unit Weight)            | AASHTO T 133 <sup>B</sup> ,  |
|                                  | ANSI/API RP <sup>C</sup> 13B-1 <sup>B</sup> (Section 4, Mud Balance) |
| Durability                       | AASHTO T 161 <sup>D</sup>  |
| Flow                             | ASTM C939 (Flow Cone)  |
| Height Change                    | ASTM C1090 <sup>E</sup>  |
| Slump                            | AASHTO T 119   |

- **A.** Applicable to grout with aggregate.
- **B.** Applicable to Neat Cement Grout.
- C. American National Standards Institute/American Petroleum Institute Recommended Practice.
- **D.** Procedure A (Rapid Freezing and Thawing in Water) required.
- **E.** Moist room storage required.

### **1003-4 GROUT REQUIREMENTS**

Provide grout types in accordance with the contract. Use grouts with properties that meet Table 1003-2. The compressive strength of the grout will be considered the average compressive strength test results of three 2" cubes at each age. Make cubes that meet AASHTO T 106 from the grout delivered for the work or mixed on-site. Make cubes at such frequencies as the Engineer may determine and cure them in accordance with AASHTO T 106.

|                  | TABLE 1003-2<br>GROUT REQUIREMENTS |                          |                  |  |                       |  |  |
|------------------|------------------------------------|--------------------------|------------------|--|-----------------------|--|--|
| Type of<br>Grout | Comp                               | mum<br>ressive<br>gth at | Height<br>Change | Flow <sup>A</sup> /Slump <sup>B</sup>                  | Minimum<br>Durability |  |  |
|                  | 3 days                             | 28 days                  | at 28 days       |  | Factor                |  |  |
| 1                | 3,000 psi                          | _                        | _                | 10 - 30  sec   | _                     |  |  |
| 2                |                                    | Table 1 <sup>C</sup>     |                  | Fluid Consistency <sup>C</sup>                         | _                     |  |  |
| 3                | 5,000 psi                          | _                        | 0-0.2%           | Per Accepted Grout Mix Design/ Approved Packaged Grout | 80                    |  |  |
| $4^{\mathbf{D}}$ | 600 psi                            | 1,500 psi                | _                | 10 - 26  sec   | _                     |  |  |
| 5                | _                                  | 500 psi                  | _                | 1 – 3"   | _                     |  |  |

- **A.** Applicable to Type 1 through 4 grouts.
- **B.** Applicable to Type 5 grout.
- **C.** ASTM C1107.
- **D.** Use Type 4 grout with proportions by volume of 1 part cement and 3 parts fly ash.

# 1003-5 TEMPERATURE REQUIREMENTS

When using an approved packaged grout, follow the manufacturer's instructions for grout and air temperature at the time of placement. Otherwise, the grout temperature at the time of placement shall be not less than  $50^{\circ}F$  nor more than  $90^{\circ}F$ . Do not place grout when the air temperature measured at the location of the grouting operation in the shade away from artificial heat is below  $40^{\circ}F$ .

#### 1003-6 ELAPSED TIME FOR PLACING GROUT

Agitate grout continuously before placement. Regulate the delivery so the maximum interval between the placing of batches at the work site does not exceed 20 minutes. Place grout before exceeding the times in Table 1003-3. Measure the elapsed time as the time between adding the mixing water to the grout mix and placing the grout.

| ELAPS   | TABLE 1003-3 ED TIME FOR PLACING (with continuous agitatio |                                |
|---|--|--------------------------------|
| A   | Maximum  | Elapsed Time                   |
| Air or Grout<br>Temperature,<br>Whichever is Higher | No Retarding<br>Admixture<br>Used                          | Retarding<br>Admixture<br>Used |
| 90°F or above                                       | 30 minutes   | 1 hr. 15 minutes               |
| 80°F through 89°F                                   | 45 minutes   | 1 hr. 30 minutes               |
| 79°F or below                                       | 60 minutes   | 1 hr. 45 minutes               |

#### 1003-7 MIXING AND DELIVERY

Use grout free of any lumps and undispersed cement. When using an approved packaged grout, mix grout in accordance with the manufacturer's instructions. Otherwise, comply with Articles 1000-8 through 1000-12 to the extent applicable for grout instead of concrete.

# **GEOSYNTHETICS:**

(2-16-16) 1056 SP10 R25

Revise the 2012 Standard Specifications as follows:

Replace Section 1056 with the following:

# SECTION 1056 GEOSYNTHETICS

#### 1056-1 DESCRIPTION

Provide geosynthetics for subsurface drainage, separation, stabilization, reinforcement, erosion control, filtration and other applications in accordance with the contract. Use geotextiles, geocomposite drains and geocells that are on the NCDOT Approved Products List. Prefabricated geocomposite drains include sheet, strip and vertical drains (PVDs), i.e., "wick drains" consisting of a geotextile attached to and/or encapsulating a plastic drainage core. Geocells are comprised of ultrasonically welded polymer strips that when expanded form a 3D honeycomb grid that is typically filled with material to support vegetation.

If necessary or required, hold geotextiles and sheet drains in place with new wire staples, i.e., "sod staples" that meet Subarticle 1060-8(D) or new anchor pins. Use steel anchor pins with a diameter of at least 3/16" and a length of at least 18" and with a point at one end and a head at the other end that will retain a steel washer with an outside diameter of at least 1.5".

### 1056-2 HANDLING AND STORING

Load, transport, unload and store geosynthetics so geosynthetics are kept clean and free of damage. Label, ship and store geosynthetics in accordance with Section 7 of AASHTO M 288. Geosynthetics with defects, flaws, deterioration or damage will be rejected. Do not unwrap geosynthetics until just before installation. Do not leave geosynthetics exposed for more than 7 days before covering except for geosynthetics for temporary wall faces and erosion control.

#### 1056-3 CERTIFICATIONS

Provide Type 1, Type 2 or Type 4 material certifications in accordance with Article 106-3 for geosynthetics. Define "minimum average roll value" (MARV) in accordance with ASTM D4439. Provide certifications with MARV for geosynthetic properties as required. Test geosynthetics using laboratories accredited by the Geosynthetic Accreditation Institute (GAI) to perform the required test methods. Sample geosynthetics in accordance with ASTM D4354.

#### 1056-4 GEOTEXTILES

When required, sew geotextiles together in accordance with Article X1.1.4 of AASHTO M 288. Provide sewn seams with seam strengths meeting the required strengths for the geotextile type and class specified.

Provide geotextile types and classes in accordance with the contract. Geotextiles will be identified by the product name printed directly on the geotextile. When geotextiles are not marked with a product name or marked with only a manufacturing plant identification code, geotextiles will be identified by product labels attached to the geotextile wrapping. When identification is based on labels instead of markings, unwrap geotextiles just before use in the presence of the Engineer to confirm that the product labels on both ends of the outside of the geotextile outer wrapping match the labels affixed to both ends of the inside of the geotextile roll core. Partial geotextile rolls without the product name printed on the geotextile or product labels affixed to the geotextile roll core may not be used.

Use woven or nonwoven geotextiles with properties that meet Table 1056-1. Define "machine direction" (MD) and "cross-machine direction" (CD) in accordance with ASTM D4439.

|                                     |                                   | <b>TABLE 1056-1</b>               |                      | 66-1                              |  |               |
|-------------------------------------|-----------------------------------|-----------------------------------|----------------------|-----------------------------------|--|---------------|
|                                     |                                   | GEOTEX                            |                      | UIREMENTS                         |  |               |
| Property                            |                                   |                                   | Requirer             | nent                              |  |               |
| Troperty                            | Type 1                            | Type 2                            | Type 3 <sup>A</sup>  | Type 4                            | Type 5 <sup>B</sup>  | Test          |
| Typical<br>Application              | Shoulder<br>Drains                | Under<br>Rip Rap                  | Silt Fence<br>Fabric | Soil<br>Stabilization             | Temporary<br>Walls   | Method        |
| Elongation (MD & CD)                | ≥ 50%                             | ≥ 50%                             | ≤ 25%                | < 50%                             | < 50%  | ASTM<br>D4632 |
| Grab<br>Strength<br>(MD & CD)       |                                   |                                   | 100 lb <sup>C</sup>  |                                   |  | ASTM<br>D4632 |
| Tear<br>Strength<br>(MD & CD)       | Table 1 <sup>D</sup> ,<br>Class 3 | Table 1 <sup>D</sup> ,<br>Class 1 | _                    | Table 1 <sup>D</sup> ,<br>Class 3 | -  | ASTM<br>D4533 |
| Puncture<br>Strength                |                                   |                                   | _                    |                                   |  | ASTM<br>D6241 |
| Ultimate Tensile Strength (MD & CD) | _                                 | _                                 | _                    | _                                 | 2,400 lb/ft <sup>C</sup> (unless required otherwise in the contract) | ASTM<br>D4595 |
| Permittivity                        | Table 2 <sup>D</sup> ,            | Table 6 <sup>D</sup> ,            |                      |                                   | 0.20 sec <sup>-1,C</sup>   | ASTM<br>D4491 |
| Apparent<br>Opening<br>Size         | 15% to 50% <i>in Situ</i> Soil    | 15% to 50% <i>in Situ</i> Soil    | Table 7 <sup>D</sup> | Table 5 <sup>D</sup>              | 0.60 mm <sup>E</sup>   | ASTM<br>D4751 |
| UV Stability (Retained Strength)    | Passing 0.075 mm                  | Passing 0.075mm                   |                      |                                   | 70% <sup>C</sup> (after 500 hr of exposure)                          | ASTM<br>D4355 |

- **A.** Minimum roll width of 36" required.
- **B.** Minimum roll width of 13 ft required.
- C. MARV per Article 1056-3.
- **D.** AASHTO M 288.
- **E.** Maximum average roll value.

# 1056-5 GEOCOMPOSITE DRAINS

Provide geocomposite drain types in accordance with the contract and with properties that meet Table 1056-2.

| TABLE 1056-2<br>GEOCOMPOSITE DRAIN REQUIREMENTS |                        |             |            |        |
|---|------------------------|-------------|------------|--------|
| Property  | Requirement            |             |            | Test   |
|   | <b>Sheet Drain</b>     | Strip Drain | Wick Drain | Method |
| Width   | ≥ 12" (unless required | 12" ±1/4"   | 4" ±1/4"   | N/A    |

|                                 | otherwise in the |                    |                      |       |
|---------------------------------|------------------|--------------------|----------------------|-------|
|                                 | contract)        |                    |                      |       |
| In-Plane Flow Rate <sup>A</sup> | 6 gpm/ft         | 15 gpm/ft          | 1.5 gpm <sup>B</sup> |       |
| (with gradient of 1.0           | @ applied normal | @ applied normal   | @ applied normal     | ASTM  |
| and 24-hour seating             | compressive      | compressive        | compressive          | D4716 |
| period)                         | stress of 10 psi | stress of 7.26 psi | stress of 40 psi     |       |

- **A.** MARV per Article 1056-3.
- **B.** Per 4" drain width.

For sheet and strip drains, use accessories (e.g., pipe outlets, connectors, fittings, etc.) recommended by the Drain Manufacturer. Provide sheet and strip drains with Type 1 geotextiles heat bonded or glued to HDPE, polypropylene or high impact polystyrene drainage cores that meet Table 1056-3.

| TABLE 1056-3 DRAINAGE CORE REQUIREMENTS |                    |             |                     |  |
|---|--------------------|-------------|---------------------|--|
| Property                                | Requirement (MARV) |             | <b>Test Method</b>  |  |
|   | <b>Sheet Drain</b> | Strip Drain |                     |  |
| Thickness                               | 1/4"               | 1"          | ASTM D1777 or D5199 |  |
| Compressive Strength                    | 40 psi             | 30 psi      | ASTM D6364          |  |

For wick drains with a geotextile wrapped around a corrugated drainage core and seamed to itself, use drainage cores with an ultimate tensile strength of at least 225 lb per 4" width in accordance with ASTM D4595 and geotextiles with properties that meet Table 1056-4.

| TABLE 1056-4 WICK DRAIN GEOTEXTILE REQUIREMENTS |                                   |                    |  |
|---|-----------------------------------|--------------------|--|
| Property  | Requirement                       | <b>Test Method</b> |  |
| Elongation                                      | ≥ 50%                             | ASTM D4632         |  |
| Grab Strength                                   | Table 1 <sup>A</sup> ,            | ASTM D4632         |  |
| Tear Strength                                   | Class 3                           | ASTM D4533         |  |
| Puncture Strength                               |                                   | ASTM D6241         |  |
| Permittivity                                    | $0.7 \text{ sec}^{-1,\mathbf{B}}$ | ASTM D4491         |  |
| Apparent Opening Size (AOS)                     | Table 2 <sup>A</sup> ,            | ASTM D4751         |  |
| UV Stability                                    | > 50% in Situ Soil                | ASTM D4355         |  |
| (Retained Strength)                             | Passing 0.075 mm                  | ASTIVI D4333       |  |

- **A.** AASHTO M 288.
- **B.** MARV per Article 1056-3.

For wick drains with a geotextile fused to both faces of a corrugated drainage core along the peaks of the corrugations, use wick drains with an ultimate tensile strength of at least 1,650 lb/ft in accordance with ASTM D4595 and geotextiles with a permittivity, AOS and UV stability that meet Table 1056-4.

#### **1056-6 GEOCELLS**

Geocells will be identified by product labels attached to the geocell wrapping. Unwrap geocells just before use in the presence of the Engineer. Previously opened geocell products will be rejected.

Manufacture geocells from virgin polyethylene resin with no more than 10% rework, also called "regrind", materials. Use geocells made from textured and perforated HDPE strips with an open area of 10% to 20% and properties that meet Table 1056-5.

| TABLE 1056-5<br>GEOCELL REQUIREMENTS  |                     |                                |  |
|---|---------------------|--------------------------------|--|
| Property  | Minimum Requirement | Test Method                    |  |
| Cell Depth  | 4"                  | N/A                            |  |
| Sheet Thickness   | 50 mil -5%, +10%    | ASTM D5199                     |  |
| Density   | 58.4 lb/cf          | ASTM D1505                     |  |
| Carbon Black Content  | 1.5%                | ASTM D1603 or D4218            |  |
| ESCR <sup>A</sup>   | 5000 hr             | ASTM D1693                     |  |
| Coefficient of Direct Sliding<br>(with material that meets AASHTO<br>M 145 for soil classification A-2) | 0.85                | ASTM D5321                     |  |
| Short-Term Seam (Peel) Strength (for 4" seam)   | 320 lb              | USACE <sup>C</sup> Technical   |  |
| Long-Term Seam (Hang) Strength <sup>B</sup> (for 4" seam)   | 160 lb              | Report GL-86-19,<br>Appendix A |  |

- **A.** Environmental Stress Crack Resistance.
- **B.** Minimum test period of 168 hr with a temperature change from 74°F to 130°F in 1-hour cycles.
- C. US Army Corps of Engineers.

Provide geocell accessories (e.g., stakes, pins, clips, staples, rings, tendons, anchors, deadmen, etc.) recommended by the Geocell Manufacturer.

# **#57 STONE:**

7-18-06 SPI 10 -01

#### **Description**

The Contractor shall place #57 stone in accordance with the details in the plans and the following provision.

### **Materials**

ItemSection# 57 Stone1005

## **Construction Methods**

The stone shall be placed and compacted as directed by the Engineer.

# **Measurement and Payment**

#57 Stone will be measured and paid in tons that are completed and accepted. The stone will be measured by being weighed in trucks on certified platform scales or other certified weighing devices. The price and payment will be full compensation for furnishing, hauling, placing, and all incidentals necessary to complete the work.

Payment will be made under:

Pay ItemPay Unit#57 StoneTon

# TRUCK MOUNTED CHANGEABLE MESSAGE SIGNS:

(8-21-12)

1101.02

SP11 R10

Revise the 2012 Roadway Standard Drawings as follows:

**Drawing No. 1101.02, Sheet 12, TEMPORARY LANE CLOSURES,** replace General Note #11 with the following:

- 11- TRUCK MOUNTED CHANGEABLE MESSAGE SIGNS (TMCMS) USED ON SHADOW VEHICLES FOR "IN LANE" ACTIVITIES SHALL BE A MINIMUM OF 43" X 73". THE DISPLAY PANEL SHALL HAVE FULL MATRIX CAPABILITY WITH THE CAPABILITY TO PROVIDE 2 MESSAGE LINES WITH 7 CHARACTERS PER LINE WITH A MINIMUM CHARACTER HEIGHT OF 18". FOR ADDITIONAL MESSAGING, CONTACT THE WORK ZONE TRAFFIC CONTROL SECTION.
- 12- TMCMS USED FOR ADVANCED WARNING ON VEHICLES LOCATED ON THE SHOULDER MAY BE SMALLER THAN 43" X 73". THE DISPLAY PANEL SHALL HAVE THE CAPABILITY TO PROVIDE 2 MESSAGE LINES WITH 7 CHARACTERS PER LINE WITH A MINIMUM CHARACTER HEIGHT OF 18". FOR ADDITIONAL MESSAGING, CONTACT THE WORK ZONE TRAFFIC CONTROL SECTION.

**Drawing No. 1101.02, Sheet 13, TEMPORARY LANE CLOSURES,** replace General Note #12 with the following:

- 12- TRUCK MOUNTED CHANGEABLE MESSAGE SIGNS (TMCMS) USED ON SHADOW VEHICLES FOR "IN LANE" ACTIVITIES SHALL BE A MINIMUM OF 43" X 73". THE DISPLAY PANEL SHALL HAVE FULL MATRIX CAPABILITY WITH THE CAPABILITY TO PROVIDE 2 MESSAGE LINES WITH 7 CHARACTERS PER LINE WITH A MINIMUM CHARACTER HEIGHT OF 18". FOR ADDITIONAL MESSAGING, CONTACT THE WORK ZONE TRAFFIC CONTROL SECTION.
- 13- TMCMS USED FOR ADVANCED WARNING ON VEHICLES LOCATED ON THE SHOULDER MAY BE SMALLER THAN 43" X 73". THE DISPLAY PANEL SHALL HAVE

THE CAPABILITY TO PROVIDE 2 MESSAGE LINES WITH 7 CHARACTERS PER LINE WITH A MINIMUM CHARACTER HEIGHT OF 18". FOR ADDITIONAL MESSAGING, CONTACT THE WORK ZONE TRAFFIC CONTROL SECTION.

## REPLACE EXISTING BARRIER DELINEATOR:

(04-25-07) (Rev. 1-17-12)

SP

Replace barrier delineators as directed and in accordance with the applicable requirements Section 1170 of the 2012 Standard Specifications. Replace Existing Barrier Delineator will be measured and paid in units of each for the actual number of barrier delineators completed and accepted.

Payment will be made under:

**Pay Item**Replace Existing Barrier Delineator

Pay Unit

Each

# PAVEMENT MARKING INSTALLATION REQUIREMENTS:

(2-7-14)

SPD 02-500

All pavement markings shall be installed in accordance with Section 1205 of the 2012 Standard Specifications and the following: The Contractor shall install two coats of paint pavement markings and beads on all portions of roadway that will be wintered-over.

# **Measurement and Payment**

No payment will be made for installation or removal of pavement markings installed in order to over-winter a portion of the project.

# STANDARD SPECIAL PROVISION AVAILABILITY OF FUNDS – TERMINATION OF CONTRACTS

(5-20-08) Z-2

General Statute 143C-6-11. (h) Highway Appropriation is hereby incorporated verbatim in this contract as follows:

(h) Amounts Encumbered. – Transportation project appropriations may be encumbered in the amount of allotments made to the Department of Transportation by the Director for the estimated payments for transportation project contract work to be performed in the appropriation fiscal year. The allotments shall be multiyear allotments and shall be based on estimated revenues and shall be subject to the maximum contract authority contained in General Statute 143C-6-11(c). Payment for transportation project work performed pursuant to contract in any fiscal year other than the current fiscal year is subject to appropriations by the General Assembly. Transportation project contracts shall contain a schedule of estimated completion progress, and any acceleration of this progress shall be subject to the approval of the Department of Transportation provided funds are available. The State reserves the right to terminate or suspend any transportation project contract, and any transportation project contract shall be so terminated or suspended if funds will not be available for payment of the work to be performed during that fiscal year pursuant to the contract. In the event of termination of any contract, the contractor shall be given a written notice of termination at least 60 days before completion of scheduled work for which funds are available. In the event of termination, the contractor shall be paid for the work already performed in accordance with the contract specifications.

Payment will be made on any contract terminated pursuant to the special provision in accordance with Subarticle 108-13(E) of the 2012 Standard Specifications.

# **ERRATA**

(1-17-12) (Rev. 04-21-15)

Z-4

Revise the 2012 Standard Specifications as follows:

#### **Division 2**

Page 2-7, line 31, Article 215-2 Construction Methods, replace "Article 107-26" with "Article 107-25".

Page 2-17, Article 226-3, Measurement and Payment, line 2, delete "pipe culverts,".

Page 2-20, Subarticle 230-4(B), Contractor Furnished Sources, change references as follows: Line 1, replace "(4) Buffer Zone" with "(c) Buffer Zone"; Line 12, replace "(5) Evaluation for Potential Wetlands and Endangered Species" with "(d) Evaluation for Potential Wetlands and Endangered Species"; and Line 33, replace "(6) Approval" with "(4) Approval".

#### **Division 3**

Page 3-1, after line 15, Article 300-2 Materials, replace "1032-9(F)" with "1032-6(F)".

# **Division 4**

Page 4-77, line 27, Subarticle 452-3(C) Concrete Coping, replace "sheet pile" with "reinforcement".

#### **Division 6**

Page 6-7, line 31, Article 609-3 Field Verification of Mixture and Job Mix Formula Adjustments, replace "30" with "45".

Page 6-10, line 42, Subarticle 609-6(C)(2), replace "Subarticle 609-6(E)" with "Subarticle 609-6(D)".

**Page 6-11, Table 609-1 Control Limits,** replace "Max. Spec. Limit" for the Target Source of  $P_{0.075}/P_{be}$  Ratio with "1.0".

**Page 6-40, Article 650-2 Materials,** replace "Subarticle 1012-1(F)" with "Subarticle 1012-1(E)"

# **Division 7**

Page 7-1, Article 700-3, CONCRETE HAULING EQUIPMENT, line 33, replace "competion" with "completion".

## **Division 8**

Page 8-23, line 10, Article 838-2 Materials, replace "Portland Cement Concrete, Class B" with "Portland Cement Concrete, Class A".

#### **Division 10**

**Page 10-166, Article 1081-3 Hot Bitumen,** replace "Table 1081-16" with "Table 1081-2", replace "Table 1081-17" with "Table 1081-3", and replace "Table 1081-18" with "Table 1081-4".

# **Division 12**

Page 12-7, Table 1205-3, add "FOR THERMOPLASTIC" to the end of the title.

Page 12-8, Subarticle 1205-5(B), line 13, replace "Table 1205-2" with "Table 1205-4".

Page 12-8, Table 1205-4 and 1205-5, replace "THERMOPLASTIC" in the title of these tables with "POLYUREA".

**Page 12-9, Subarticle 1205-6(B), line 21,** replace "Table 1205-4" with "Table 1205-6".

Page 12-11, Subarticle 1205-8(C), line 25, replace "Table 1205-5" with "Table 1205-7".

#### **Division 15**

**Page 15-4, Subarticle 1505-3(F) Backfilling, line 26,** replace "Subarticle 235-4(C)" with "Subarticle 235-3(C)".

Page 15-6, Subarticle 1510-3(B), after line 21, replace the allowable leakage formula with the following:  $W=LD\sqrt{P} \div 148,000$ 

Page 15-6, Subarticle 1510-3(B), line 32, delete "may be performed concurrently or" and replace with "shall be performed".

**Page 15-17, Subarticle 1540-3(E), line 27,** delete "Type 1".

# **Division 17**

Page 17-26, line 42, Subarticle 1731-3(D) Termination and Splicing within Interconnect Center, delete this subarticle.

Revise the 2012 Roadway Standard Drawings as follows:

**1633.01 Sheet 1 of 1, English Standard Drawing for Matting Installation,** replace "1633.01" with "1631.01".

# **PLANT AND PEST QUARANTINES**

(Imported Fire Ant, Gypsy Moth, Witchweed, And Other Noxious Weeds)

(3-18-03) (Rev. 10-15-13)

Z-04a

# Within Quarantined Area

This project may be within a county regulated for plant and/or pests. If the project or any part of the Contractor's operations is located within a quarantined area, thoroughly clean all equipment prior to moving out of the quarantined area. Comply with federal/state regulations by obtaining a certificate or limited permit for any regulated article moving from the quarantined area.

# **Originating in a Quarantined County**

Obtain a certificate or limited permit issued by the N.C. Department of Agriculture/United States Department of Agriculture. Have the certificate or limited permit accompany the article when it arrives at the project site.

#### Contact

Contact the N.C. Department of Agriculture/United States Department of Agriculture at 1-800-206-9333, 919-733-6932, or <a href="http://www.ncagr.gov/plantind/">http://www.ncagr.gov/plantind/</a> to determine those specific project sites located in the quarantined area or for any regulated article used on this project originating in a quarantined county.

# **Regulated Articles Include**

- 1. Soil, sand, gravel, compost, peat, humus, muck, and decomposed manure, separately or with other articles. This includes movement of articles listed above that may be associated with cut/waste, ditch pulling, and shoulder cutting.
- 2. Plants with roots including grass sod.
- 3. Plant crowns and roots.
- 4. Bulbs, corms, rhizomes, and tubers of ornamental plants.
- 5. Hay, straw, fodder, and plant litter of any kind.
- 6. Clearing and grubbing debris.
- 7. Used agricultural cultivating and harvesting equipment.
- 8. Used earth-moving equipment.
- 9. Any other products, articles, or means of conveyance, of any character, if determined by an inspector to present a hazard of spreading imported fire ant, gypsy moth, witchweed or other noxious weeds.

# **AWARD OF CONTRACT**

(6-28-77)(Rev 2/16/2016)

Z-6

"The North Carolina Department of Transportation, in accordance with the provisions of *Title VI* of the Civil Rights Act of 1964 (78 Stat. 252) and the Regulations of the Department of Transportation (49 C.F.R., Part 21), issued pursuant to such act, hereby notifies all bidders that it will affirmatively insure that the contract entered into pursuant to this advertisement will be awarded to the lowest responsible bidder without discrimination on the ground of race, color, or national origin".

# TITLE VI AND NONDISCRIMINATION

# I. Title VI Assurance

During the performance of this contract, the contractor, for itself, its assignees and successors in interest (hereinafter referred to as the "contractor") agrees as follows:

- (1) Compliance with Regulations: The contractor shall comply with the Regulation relative to nondiscrimination in Federally-assisted programs of the Department of Transportation (hereinafter, "DOT") Title 49, Code of Federal Regulations, Part 21, as they may be amended from time to time, (hereinafter referred to as the Regulations), which are herein incorporated by reference and made a part of this contract.
- (2) Nondiscrimination: The Contractor, with regard to the work performed by it during the contract, shall not discriminate on the grounds of race, color, or national origin in the selection and retention of subcontractors, including procurements of materials and leases of equipment. The contractor shall not participate either directly or indirectly in the discrimination prohibited by section 21.5 of the Regulations, including employment practices when the contract covers a program set forth in Appendix B of the Regulations.
- (3) Solicitations for Subcontractors, Including Procurements of Materials and Equipment: In all solicitations either by competitive bidding or negotiation made by the contractor for work to be performed under a subcontract, including procurements of materials or leases of equipment, each potential subcontractor or supplier shall be notified by the contractor of the contractor's obligations under this contract and the Regulations relative to nondiscrimination on the grounds of race, color, or national origin.
- (4) Information and Reports: The contractor shall provide all information and reports required by the Regulations or directives issued pursuant thereto, and shall permit access to its books, records, accounts, other sources of information, and its facilities as may be determined by the North Carolina Department of Transportation (NCDOT) or the Federal Highway Administration (FHWA) to be pertinent to ascertain compliance with such Regulations, orders and instructions. Where any information required of a contractor is in the exclusive possession of another who fails or refuses to furnish this information the contractor shall so certify to the NCDOT, or the FHWA as appropriate, and shall set forth what efforts it has made to obtain the information.

- (5) Sanctions for Noncompliance: In the event of the contractor's noncompliance with the nondiscrimination provisions of this contract, the NCDOT shall impose such contract sanctions as it or the FHWA may determine to be appropriate, including, but not limited to:
  - (a) Withholding of payments to the contractor under the contract until the contractor complies, and/or
  - (b) Cancellation, termination or suspension of the contract, in whole or in part.
- **(6) Incorporation of Provisions:** The contractor shall include the provisions of paragraphs (1) through (6) in every subcontract, including procurements of materials and leases of equipment, unless exempt by the Regulations, or directives issued pursuant thereto.

The contractor shall take such action with respect to any subcontractor procurement as the NCDOT or the FHWA may direct as a means of enforcing such provisions including sanctions for noncompliance: provided, however, that, in the event a contractor becomes involved in, or is threatened with, litigation with a subcontractor or supplier as a result of such direction, the contractor may request the NCDOT to enter into such litigation to protect the interests of the NCDOT, and, in addition, the contractor may request the United States to enter into such litigation to protect the interests of the United States.

# II. <u>Title VI Nondiscrimination Program</u>

Title VI of the 1964 Civil Rights Act, 42 U.S.C. 2000d, provides that: "No person in the United States shall, on the grounds of race, color, or national origin, be excluded from participation in, be denied the benefits of, or be subjected to discrimination under any program or activity receiving Federal financial assistance." The broader application of nondiscrimination law is found in other statutes, executive orders, and regulations (see Section III, Pertinent Nondiscrimination Authorities), which provide additional protections based on age, sex, disability and religion. In addition, the 1987 Civil Rights Restoration Act extends nondiscrimination coverage to all programs and activities of federal-aid recipients and contractors, including those that are not federally-funded.

# Nondiscrimination Assurance

The North Carolina Department of Transportation (NCDOT) hereby gives assurance that no person shall on the ground of race, color, national origin, sex, age, and disability, be excluded from participation in, be denied the benefits of, or be otherwise subjected to discrimination under any program or activity conducted by the recipient, as provided by Title VI of the Civil Rights Act of 1964, the Civil Rights Restoration Act of 1987, and any other related Civil Rights authorities, whether those programs and activities are federally funded or not.

# **Obligation**

During the performance of this contract, the Contractor and its subcontractors are responsible for complying with NCDOT's Title VI Program. The Contractor must ensure that NCDOT's Notice of Nondiscrimination is posted in conspicuous locations accessible to all employees and subcontractors on the jobsite, along with the Contractor's own Equal Employment Opportunity (EEO) Policy Statement. The Contractor shall physically incorporate this "TITLE VI AND NONDISCRIMINATION" language, in its entirety, into all its subcontracts on federally-assisted and state-funded NCDOT-owned projects, and ensure its inclusion by subcontractors into all subsequent lower tier subcontracts. The Contractor and its subcontractors shall also physically incorporate the FHWA-1273, in its entirety, into all subcontracts and subsequent lower tier subcontracts on Federal-aid highway construction contracts only. The Contractor is

also responsible for making its subcontractors aware of NCDOT's Discrimination Complaints Process, as follows:

#### FILING OF COMPLAINTS

- 1. Applicability These complaint procedures apply to the beneficiaries of the NCDOT's programs, activities, and services, including, but not limited to, members of the public, contractors, subcontractors, consultants, and other sub-recipients of federal and state funds.
- 2. Eligibility Any person or class of persons who believes he/she has been subjected to discrimination or retaliation prohibited by any of the Civil Rights authorities, based upon race, color, sex, age, national origin, or disability, may file a written complaint with NCDOT's Civil Rights office. The law prohibits intimidation or retaliation of any sort. The complaint may be filed by the affected individual or a representative, and must be in writing.
- **3.** Time Limits and Filing Options A complaint must be filed no later than 180 calendar days after the following:
  - > The date of the alleged act of discrimination; or
  - The date when the person(s) became aware of the alleged discrimination; or
  - ➤ Where there has been a continuing course of conduct, the date on which that conduct was discontinued or the latest instance of the conduct.

Title VI and other discrimination complaints may be submitted to the following entities:

- ➤ North Carolina Department of Transportation, Office of Equal Opportunity & Workforce Services (EOWS), External Civil Rights Section, 1511 Mail Service Center, Raleigh, NC 27699-1511; 919-508-1808 or toll free 800-522-0453
- ➤ US Department of Transportation, Departmental Office of Civil Rights, External Civil Rights Programs Division, 1200 New Jersey Avenue, SE, Washington, DC 20590; 202-366-4070

**Federal Highway Administration**, North Carolina Division Office, 310 New Bern Avenue, Suite 410, Raleigh, NC 27601, 919-747-7010

**Federal Highway Administration**, Office of Civil Rights, 1200 New Jersey Avenue, SE, 8<sup>th</sup> Floor, E81-314, Washington, DC 20590, 202-366-0693 / 366-0752

**Federal Transit Administration**, Office of Civil Rights, ATTN: Title VI Program Coordinator, East Bldg. 5<sup>th</sup> Floor – TCR, 1200 New Jersey Avenue, SE, Washington, DC 20590

**Federal Aviation Administration**, Office of Civil Rights, 800 Independence Avenue, SW, Washington, DC 20591, 202-267-3258

- ➤ US Department of Justice, Special Litigation Section, Civil Rights Division, 950 Pennsylvania Avenue, NW, Washington, DC 20530, 202-514-6255 or toll free 877-218-5228
- **4. Format for Complaints** Complaints must be in **writing** and **signed** by the complainant(s) or a representative and include the complainant's name, address, and telephone number. Complaints received by fax or e-mail will be acknowledged and processed. Allegations received by telephone will be reduced to writing and provided to the complainant for confirmation or revision before processing. Complaints will be accepted in other languages including Braille.

- **5. Discrimination Complaint Form** Contact NCDOT EOWS at the phone number above to receive a full copy of the Discrimination Complaint Form and procedures.
- **6.** Complaint Basis Allegations must be based on issues involving race, color, national origin, sex, age, or disability. The term "basis" refers to the complainant's membership in a protected group category. Contact this office to receive a Discrimination Complaint Form.

| Protected<br>Categories | Definition   | Examples  | Applicable Statutes and Regulations   |  |
|-------------------------|--|---|---|--|
| Categories              |  |   | FHWA  | FTA  |
| Race                    | An individual belonging to one of the accepted racial groups; or the perception, based usually on physical characteristics that a person is a member of a racial group | Black/African American,<br>Hispanic/Latino, Asian,<br>American Indian/Alaska<br>Native, Native<br>Hawaiian/Pacific<br>Islander, White | Title VI of<br>the Civil<br>Rights Act<br>of 1964;<br>49 CFR Part<br>21;                        | Title VI of<br>the Civil<br>Rights Act<br>of 1964;<br>49 CFR<br>Part 21; |
| Color                   | Color of skin, including shade of skin within a racial group   | Black, White, brown, yellow, etc.   | 23 CFR 200  | Circular<br>4702.1B  |
| National<br>Origin      | Place of birth. Citizenship is not a factor. Discrimination based on language or a person's accent is also covered.  | Mexican, Cuban,<br>Japanese, Vietnamese,<br>Chinese   |   |  |
| Sex                     | Gender   | Women and Men   | 1973<br>Federal-Aid<br>Highway<br>Act   | Title IX of<br>the<br>Education<br>Amendmen<br>ts of 1972                |
| Age                     | Persons of any age   | 21 year old person  | Age Discrimi<br>1975  | nation Act of  |
| Disability              | Physical or mental impairment, permanent or temporary, or perceived.   | Blind, alcoholic, para-<br>amputee, epileptic,<br>diabetic, arthritic   | Section 504 of the<br>Rehabilitation Act of<br>1973; Americans with<br>Disabilities Act of 1990 |  |

# **III.** Pertinent Nondiscrimination Authorities

During the performance of this contract, the contractor, for itself, its assignees, and successors in interest agrees to comply with the following non-discrimination statutes and authorities, including, but not limited to:

- Title VI of the Civil Rights Act of 1964 (42 U.S.C. § 2000d *et seq.*, 78 stat. 252), (prohibits discrimination on the basis of race, color, national origin); and 49 CFR Part 21.
- The Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970, (42 U.S.C. § 4601), (prohibits unfair treatment of persons displaced or whose property has been acquired because of Federal or Federal-aid programs and projects);
- Federal-Aid Highway Act of 1973, (23 U.S.C. § 324 *et seq.*), (prohibits discrimination on the basis of sex);

- Section 504 of the Rehabilitation Act of 1973, (29 U.S.C. § 794 *et seq.*), as amended, (prohibits discrimination on the basis of disability); and 49 CFR Part 27;
- The Age Discrimination Act of 1975, as amended, (42 U.S.C. § 6101 *et seq.*), (prohibits discrimination on the basis of age);
- Airport and Airway Improvement Act of 1982, (49 USC § 471, Section 47123), as amended, (prohibits discrimination based on race, creed, color, national origin, or sex);
- The Civil Rights Restoration Act of 1987, (PL 100-209), (Broadened the scope, coverage and applicability of Title VI of the Civil Rights Act of 1964, The Age Discrimination Act of 1975 and Section 504 of the Rehabilitation Act of 1973, by expanding the definition of the terms "programs or activities" to include all of the programs or activities of the Federal-aid recipients, sub-recipients and contractors, whether such programs or activities are Federally funded or not);
- Titles II and III of the Americans with Disabilities Act, which prohibit discrimination on the basis of disability in the operation of public entities, public and private transportation systems, places of public accommodation, and certain testing entities (42 U.S.C. §§ 12131 12189) as implemented by Department of Transportation regulations at 49 C.F.R. parts 37 and 38;
- The Federal Aviation Administration's Non-discrimination statute (49 U.S.C. § 47123) (prohibits discrimination on the basis of race, color, national origin, and sex);
- Executive Order 12898, Federal Actions to Address Environmental Justice in Minority Populations and Low-Income Populations, which ensures discrimination against minority populations by discouraging programs, policies, and activities with disproportionately high and adverse human health or environmental effects on minority and low-income populations;
- Executive Order 13166, Improving Access to Services for Persons with Limited English Proficiency, and resulting agency guidance, national origin discrimination includes discrimination because of limited English proficiency (LEP). To ensure compliance with Title VI, you must take reasonable steps to ensure that LEP persons have meaningful access to your programs (70 Fed. Reg. at 74087 to 74100);
- Title IX of the Education Amendments of 1972, as amended, which prohibits you from discriminating because of sex in education programs or activities (20 U.S.C. 1681 et seq).
- Title VII of the Civil Rights Act of 1964 (42 U.S.C. § 2000e *et seq.*, Pub. L. 88-352), (prohibits employment discrimination on the basis of race, color, religion, sex, or national origin);
- 49 CFR Part 26, regulation to ensure nondiscrimination in the award and administration of DOT-assisted contracts in the Department's highway, transit, and airport financial assistance programs, as regards the use of Disadvantaged Business Enterprises (DBEs);
- Form FHWA-1273, "Required Contract Provisions," a collection of contract provisions and proposal notices that are generally applicable to *all Federal-aid construction projects* and must be made a part of, and physically incorporated into, *all federally-assisted contracts*, as well as appropriate subcontracts and purchase orders, particularly Sections II (Nondiscrimination) and III (Nonsegregated Facilities).

# **MINORITY AND FEMALE EMPLOYMENT REQUIREMENTS**

Z-7

NOTICE OF REQUIREMENTS FOR AFFIRMATIVE ACTION TO ENSURE EQUAL EMPLOYMENT OPPORTUNITY (EXECUTIVE NUMBER 11246)

1. The goals and timetables for minority and female participation, expressed in percentage terms for the Contractor's aggregate workforce in each trade on all construction work in the covered area, see as shown on the attached sheet entitled "Employment Goals for Minority and Female participation".

These goals are applicable to all the Contractor's construction work (whether or not it is Federal or federally assisted) performed in the covered area. If the Contractor performs construction work in a geographical area located outside of the covered area, it shall apply the goals established for such geographical area where the work is actually performed. With regard to this second area, the Contractor also is subject to the goals for both its federally involved and nonfederally involved construction.

The Contractor's compliance with the Executive Order and the regulations in 41 CFR Part 60-4 shall be based on its implementation of the Equal Opportunity Clause, specific affirmative action obligations required by the specifications set forth in 41 CFR 60-4.3(a), and its effort to meet the goals. The hours of minority and female employment and training must be substantially uniform throughout the length of the contract, and in each trade and the Contractor shall make a good faith effort to employ minorities and women evenly on each of its projects. The transfer of minority or female employees or trainees from Contractor to Contractor or from project to project or the sole purpose of meeting the Contractor's goals shall be a violation of the contract, the executive Order and the regulations in 41 CFR Part 60-4. Compliance with the goals will be measured against the total work hours performed.

2. As used in this Notice and in the contract resulting from this solicitation, the "covered area" is the county or counties shown on the cover sheet of the proposal form and contract.

# EMPLOYMENT GOALS FOR MINORITY AND FEMALE PARTICIPATION

# Economic Areas

Area 023 29.7%
Bertie County
Camden County
Chowan County
Gates County
Hertford County

Pasquotank County Perquimans County

Area 024 31.7%

Beaufort County Carteret County Craven County Dare County

Edgecombe County
Green County
Halifax County
Hyde County
Jones County
Lenoir County
Martin County

Northampton County Pamlico County

Pitt County
Tyrrell County
Washington County

Nash County

Wayne County
Wilson County

Area 025 23.5%

Columbus County Duplin County Onslow County Pender County Area 026 33.5%
Bladen County
Hoke County
Richmond County
Robeson County
Sampson County
Scotland County

Area 027 24.7%

Chatham County
Franklin County
Granville County
Harnett County
Johnston County
Lee County
Person County

Vance County
Warren County

Area 028 15.5%

Alleghany County
Ashe County
Caswell County
Davie County
Montgomery County

Moore County Rockingham County

Surry County Watauga County Wilkes County Area 029 15.7%

Alexander County
Anson County
Burke County
Cabarrus County
Caldwell County
Catawba County
Cleveland County
Iredell County
Lincoln County
Polk County
Rowan County
Rutherford County

Area 0480 8.5% Buncombe County Madison County

**Stanly County** 

Area 030 6.3%

Avery County
Cherokee County
Clay County
Graham County
Haywood County
Henderson County
Jackson County
McDowell County
Macon County
Mitchell County
Swain County

Transylvania County Yancey County

# **SMSA Areas**

Area 5720 26.6%

Currituck County

Area 9200 20.7%

Brunswick County
New Hanover County

Area 2560 24.2% Cumberland County Area 6640 22.8%

Durham County
Orange County
Wake County

Area 1300 16.2%

Alamance County

Area 3120 16.4%

Davidson County Forsyth County

**Guilford County** 

Randolph County Stokes County

Yadkin County

Area 1520 18.3%

Gaston County Mecklenburg County

Union County

Goals for Female

Participation in Each Trade

(Statewide) 6.9%

# REQUIRED CONTRACT PROVISIONS FEDERAL - AID CONSTRUCTION CONTRACTS

FHWA - 1273 Electronic Version - May 1, 2012

Z-8

I. General

II. Nondiscrimination

III. Nonsegregated Facilities

IV. Davis-Bacon and Related Act Provisions

V. Contract Work Hours and Safety Standards Act Provisions

VI. Subletting or Assigning the Contract

VII. Safety: Accident Prevention

VIII. False Statements Concerning Highway Projects

IX. Implementation of Clean Air Act and Federal Water Pollution Control Act

X. Compliance with Governmentwide Suspension and Debarment Requirements

XI. Certification Regarding Use of Contract Funds for Lobbying

#### ATTACHMENTS

A. Employment and Materials Preference for Appalachian Development Highway System or Appalachian Local Access Road Contracts (included in Appalachian contracts only)

#### I. GENERAL

1. Form FHWA-1273 must be physically incorporated in each construction contract funded under Title 23 (excluding emergency contracts solely intended for debris removal). The contractor (or subcontractor) must insert this form in each subcontract and further require its inclusion in all lower tier subcontracts (excluding purchase orders, rental agreements and other agreements for supplies or services).

The applicable requirements of Form FHWA-1273 are incorporated by reference for work done under any purchase order, rental agreement or agreement for other services. The prime contractor shall be responsible for compliance by any subcontractor, lower-tier subcontractor or service provider.

Form FHWA-1273 must be included in all Federal-aid design-build contracts, in all subcontracts and in lower tier subcontracts (excluding subcontracts for design services, purchase orders, rental agreements and other agreements for supplies or services). The design-builder shall be responsible for compliance by any subcontractor, lower-tier subcontractor or service provider.

Contracting agencies may reference Form FHWA-1273 in bid proposal or request for proposal documents, however, the Form FHWA-1273 must be physically incorporated (not referenced) in all contracts, subcontracts and lower-tier subcontracts (excluding purchase orders, rental agreements and other agreements for supplies or services related to a construction contract).

- Subject to the applicability criteria noted in the following sections, these contract provisions shall apply to all work performed on the contract by the contractor's own organization and with the assistance of workers under the contractor's immediate superintendence and to all work performed on the contract by piecework, station work, or by subcontract.
- 3. A breach of any of the stipulations contained in these Required Contract Provisions may be sufficient grounds for withholding of progress payments, withholding of final payment, termination of the contract, suspension / debarment or any other action determined to be appropriate by the contracting agency and FHWA.
- 4. Selection of Labor: During the performance of this contract, the contractor shall not use convict labor for any purpose within the limits of a construction project on a Federal-aid highway unless it is labor performed by convicts who are on parole, supervised release, or probation. The term Federal-aid highway does not include roadways functionally classified as local roads or rural minor collectors.

#### II. NONDISCRIMINATION

The provisions of this section related to 23 CFR Part 230 are applicable to all Federal-aid construction contracts and to all related construction subcontracts of \$10,000 or more. The provisions of 23 CFR Part 230 are not applicable to material supply, engineering, or architectural service contracts.

In addition, the contractor and all subcontractors must comply with the following policies: Executive Order 11246, 41 CFR 60, 29 CFR 1625-1627, Title 23 USC Section 140, the Rehabilitation Act of 1973, as amended (29 USC 794), Title VI of the Civil Rights Act of 1964, as amended, and related regulations including 49 CFR Parts 21, 26 and 27; and 23 CFR Parts 200, 230, and 633.

The contractor and all subcontractors must comply with: the requirements of the Equal Opportunity Clause in 41 CFR 60-1.4(b) and, for all construction contracts exceeding \$10,000, the Standard Federal Equal Employment Opportunity Construction Contract Specifications in 41 CFR 60-4.3.

Note: The U.S. Department of Labor has exclusive authority to determine compliance with Executive Order 11246 and the policies of the Secretary of Labor including 41 CFR 60, and 29 CFR 1625-1627. The contracting agency and the FHWA have the authority and the responsibility to ensure compliance with Title 23 USC Section 140, the Rehabilitation Act of 1973, as amended (29 USC 794), and Title VI of the Civil Rights Act of 1964, as amended, and related regulations including 49 CFR Parts 21, 26 and 27; and 23 CFR Parts 200, 230, and 633.

The following provision is adopted from 23 CFR 230, Appendix A, with appropriate revisions to conform to the U.S. Department of Labor (US DOL) and FHWA requirements.

Equal Employment Opportunity: Equal employment opportunity (EEO) requirements not to discriminate and to take affirmative action to
assure equal opportunity as set forth under laws, executive orders, rules, regulations (28 CFR 35, 29 CFR 1630, 29 CFR 1625-1627,
41 CFR 60 and 49 CFR 27) and orders of the Secretary of Labor as modified by the provisions prescribed herein, and imposed pursuant to
23 U.S.C. 140 shall constitute the EEO and specific affirmative action standards for the contractor's project activities under this contract.
The provisions of the Americans with Disabilities Act of 1990 (42 U.S.C. 12101 et seq.) set forth under 28 CFR 35 and 29 CFR 1630 are

incorporated by reference in this contract. In the execution of this contract, the contractor agrees to comply with the following minimum specific requirement activities of EEO:

- a. The contractor will work with the contracting agency and the Federal Government to ensure that it has made every good faith effort to provide equal opportunity with respect to all of its terms and conditions of employment and in their review of activities under the contract.
- The contractor will accept as its operating policy the following statement:

  "It is the policy of this Company to assure that applicants are employed, and that employees are treated during employment, without regard to their race, religion, sex, color, national origin, age or disability. Such action shall include: employment, upgrading, demotion, or transfer; recruitment or recruitment advertising; layoff or termination; rates of pay or other forms of compensation; and selection for training, including apprenticeship, pre-apprenticeship, and/or on-the-job training."
- 2. **EEO Officer:** The contractor will designate and make known to the contracting officers an EEO Officer who will have the responsibility for and must be capable of effectively administering and promoting an active EEO program and who must be assigned adequate authority and responsibility to do so.
- 3. **Dissemination of Policy:** All members of the contractor's staff who are authorized to hire, supervise, promote, and discharge employees, or who recommend such action, or who are substantially involved in such action, will be made fully cognizant of, and will implement, the contractor's EEO policy and contractual responsibilities to provide EEO in each grade and classification of employment. To ensure that the above agreement will be met, the following actions will be taken as a minimum:
  - a. Periodic meetings of supervisory and personnel office employees will be conducted before the start of work and then not less often than once every six months, at which time the contractor's EEO policy and its implementation will be reviewed and explained. The meetings will be conducted by the EEO Officer.
  - b. All new supervisory or personnel office employees will be given a thorough indoctrination by the EEO Officer, covering all major aspects of the contractor's EEO obligations within thirty days following their reporting for duty with the contractor.
  - c. All personnel who are engaged in direct recruitment for the project will be instructed by the EEO Officer in the contractor's procedures for locating and hiring minorities and women.
  - d. Notices and posters setting forth the contractor's EEO policy will be placed in areas readily accessible to employees, applicants for employment and potential employees.
  - e. The contractor's EEO policy and the procedures to implement such policy will be brought to the attention of employees by means of meetings, employee handbooks, or other appropriate means.
- 4. Recruitment: When advertising for employees, the contractor will include in all advertisements for employees the notation: "An Equal Opportunity Employer." All such advertisements will be placed in publications having a large circulation among minorities and women in the area from which the project work force would normally be derived.
  - a. The contractor will, unless precluded by a valid bargaining agreement, conduct systematic and direct recruitment through public and private employee referral sources likely to yield qualified minorities and women. To meet this requirement, the contractor will identify sources of potential minority group employees, and establish with such identified sources procedures whereby minority and women applicants may be referred to the contractor for employment consideration.
  - b. In the event the contractor has a valid bargaining agreement providing for exclusive hiring hall referrals, the contractor is expected to observe the provisions of that agreement to the extent that the system meets the contractor's compliance with EEO contract provisions. Where implementation of such an agreement has the effect of discriminating against minorities or women, or obligates the contractor to do the same, such implementation violates Federal nondiscrimination provisions.
  - c. The contractor will encourage its present employees to refer minorities and women as applicants for employment. Information and procedures with regard to referring such applicants will be discussed with employees.
- 5. **Personnel Actions:** Wages, working conditions, and employee benefits shall be established and administered, and personnel actions of every type, including hiring, upgrading, promotion, transfer, demotion, layoff, and termination, shall be taken without regard to race, color, religion, sex, national origin, age or disability. The following procedures shall be followed:
  - a. The contractor will conduct periodic inspections of project sites to insure that working conditions and employee facilities do not indicate discriminatory treatment of project site personnel.
  - The contractor will periodically evaluate the spread of wages paid within each classification to determine any evidence of discriminatory wage practices.
  - c. The contractor will periodically review selected personnel actions in depth to determine whether there is evidence of discrimination. Where evidence is found, the contractor will promptly take corrective action. If the review indicates that the discrimination may extend beyond the actions reviewed, such corrective action shall include all affected persons.
  - d. The contractor will promptly investigate all complaints of alleged discrimination made to the contractor in connection with its obligations under this contract, will attempt to resolve such complaints, and will take appropriate corrective action within a reasonable time. If the investigation indicates that the discrimination may affect persons other than the complainant, such corrective action shall include such other persons. Upon completion of each investigation, the contractor will inform every complainant of all of their avenues of appeal.

#### 6. Training and Promotion:

- a. The contractor will assist in locating, qualifying, and increasing the skills of minorities and women who are applicants for employment or current employees. Such efforts should be aimed at developing full journey level status employees in the type of trade or job classification involved.
- b. Consistent with the contractor's work force requirements and as permissible under Federal and State regulations, the contractor shall make full use of training programs, i.e., apprenticeship, and on-the-job training programs for the geographical area of contract performance. In the event a special provision for training is provided under this contract, this subparagraph will be superseded as indicated in the special provision. The contracting agency may reserve training positions for persons who receive welfare assistance in accordance with 23 U.S.C. 140(a).
- The contractor will advise employees and applicants for employment of available training programs and entrance requirements for each.
- d. The contractor will periodically review the training and promotion potential of employees who are minorities and women and will encourage eligible employees to apply for such training and promotion.

- 7. **Unions:** If the contractor relies in whole or in part upon unions as a source of employees, the contractor will use good faith efforts to obtain the cooperation of such unions to increase opportunities for minorities and women. Actions by the contractor, either directly or through a contractor's association acting as agent, will include the procedures set forth below:
  - a. The contractor will use good faith efforts to develop, in cooperation with the unions, joint training programs aimed toward qualifying more minorities and women for membership in the unions and increasing the skills of minorities and women so that they may qualify for higher paying employment.
  - b. The contractor will use good faith efforts to incorporate an EEO clause into each union agreement to the end that such union will be contractually bound to refer applicants without regard to their race, color, religion, sex, national origin, age or disability.
  - c. The contractor is to obtain information as to the referral practices and policies of the labor union except that to the extent such information is within the exclusive possession of the labor union and such labor union refuses to furnish such information to the contractor, the contractor shall so certify to the contracting agency and shall set forth what efforts have been made to obtain such information.
  - d. In the event the union is unable to provide the contractor with a reasonable flow of referrals within the time limit set forth in the collective bargaining agreement, the contractor will, through independent recruitment efforts, fill the employment vacancies without regard to race, color, religion, sex, national origin, age or disability; making full efforts to obtain qualified and/or qualifiable minorities and women. The failure of a union to provide sufficient referrals (even though it is obligated to provide exclusive referrals under the terms of a collective bargaining agreement) does not relieve the contractor from the requirements of this paragraph. In the event the union referral practice prevents the contractor from meeting the obligations pursuant to Executive Order 11246, as amended, and these special provisions, such contractor shall immediately notify the contracting agency.
- 8. **Reasonable Accommodation for Applicants / Employees with Disabilities:** The contractor must be familiar with the requirements for and comply with the Americans with Disabilities Act and all rules and regulations established there under. Employers must provide reasonable accommodation in all employment activities unless to do so would cause an undue hardship.
- 9. Selection of Subcontractors, Procurement of Materials and Leasing of Equipment: The contractor shall not discriminate on the grounds of race, color, religion, sex, national origin, age or disability in the selection and retention of subcontractors, including procurement of materials and leases of equipment. The contractor shall take all necessary and reasonable steps to ensure nondiscrimination in the administration of this contract.
  - a. The contractor shall notify all potential subcontractors and suppliers and lessors of their EEO obligations under this contract.
  - b. The contractor will use good faith efforts to ensure subcontractor compliance with their EEO obligations.

#### 10. Assurance Required by 49 CFR 26.13(b):

- a. The requirements of 49 CFR Part 26 and the State DOT's U.S. DOT-approved DBE program are incorporated by reference.
- b. The contractor or subcontractor shall not discriminate on the basis of race, color, national origin, or sex in the performance of this contract. The contractor shall carry out applicable requirements of 49 CFR Part 26 in the award and administration of DOT-assisted contracts. Failure by the contractor to carry out these requirements is a material breach of this contract, which may result in the termination of this contract or such other remedy as the contracting agency deems appropriate.
- 11. **Records and Reports:** The contractor shall keep such records as necessary to document compliance with the EEO requirements. Such records shall be retained for a period of three years following the date of the final payment to the contractor for all contract work and shall be available at reasonable times and places for inspection by authorized representatives of the contracting agency and the FHWA.
  - a. The records kept by the contractor shall document the following:
    - The number and work hours of minority and non-minority group members and women employed in each work classification on the project;
    - (2) The progress and efforts being made in cooperation with unions, when applicable, to increase employment opportunities for minorities and women; and
    - (3) The progress and efforts being made in locating, hiring, training, qualifying, and upgrading minorities and women;
  - b. The contractors and subcontractors will submit an annual report to the contracting agency each July for the duration of the project, indicating the number of minority, women, and non-minority group employees currently engaged in each work classification required by the contract work. This information is to be reported on Form FHWA-1391. The staffing data should represent the project work force on board in all or any part of the last payroll period preceding the end of July. If on-the-job training is being required by special provision, the contractor will be required to collect and report training data. The employment data should reflect the work force on board during all or any part of the last payroll period preceding the end of July.

#### III. NONSEGREGATED FACILITIES

This provision is applicable to all Federal-aid construction contracts and to all related construction subcontracts of \$10,000 or more.

The contractor must ensure that facilities provided for employees are provided in such a manner that segregation on the basis of race, color, religion, sex, or national origin cannot result. The contractor may neither require such segregated use by written or oral policies nor tolerate such use by employee custom. The contractor's obligation extends further to ensure that its employees are not assigned to perform their services at any location, under the contractor's control, where the facilities are segregated. The term "facilities" includes waiting rooms, work areas, restaurants and other eating areas, time clocks, restrooms, washrooms, locker rooms, and other storage or dressing areas, parking lots, drinking fountains, recreation or entertainment areas, transportation, and housing provided for employees. The contractor shall provide separate or single-user restrooms and necessary dressing or sleeping areas to assure privacy between sexes.

#### IV. DAVIS-BACON AND RELATED ACT PROVISIONS

This section is applicable to all Federal-aid construction projects exceeding \$2,000 and to all related subcontracts and lower-tier subcontracts (regardless of subcontract size). The requirements apply to all projects located within the right-of-way of a roadway that is functionally classified as Federal-aid highway. This excludes roadways functionally classified as local roads or rural minor collectors, which are exempt. Contracting agencies may elect to apply these requirements to other projects.

The following provisions are from the U.S. Department of Labor regulations in 29 CFR 5.5 "Contract provisions and related matters" with minor revisions to conform to the FHWA-1273 format and FHWA program requirements.

# 1. Minimum wages

a. All laborers and mechanics employed or working upon the site of the work, will be paid unconditionally and not less often than once a week, and without subsequent deduction or rebate on any account (except such payroll deductions as are permitted by regulations issued by the

Secretary of Labor under the Copeland Act (29 CFR part 3)), the full amount of wages and bona fide fringe benefits (or cash equivalents thereof) due at time of payment computed at rates not less than those contained in the wage determination of the Secretary of Labor which is attached hereto and made a part hereof, regardless of any contractual relationship which may be alleged to exist between the contractor and such laborers and mechanics.

Contributions made or costs reasonably anticipated for bona fide fringe benefits under section 1(b)(2) of the Davis-Bacon Act on behalf of laborers or mechanics are considered wages paid to such laborers or mechanics, subject to the provisions of paragraph 1.d. of this section; also, regular contributions made or costs incurred for more than a weekly period (but not less often than quarterly) under plans, funds, or programs which cover the particular weekly period, are deemed to be constructively made or incurred during such weekly period. Such laborers and mechanics shall be paid the appropriate wage rate and fringe benefits on the wage determination for the classification of work actually performed, without regard to skill, except as provided in 29 CFR 5.5(a)(4). Laborers or mechanics performing work in more than one classification may be compensated at the rate specified for each classification for the time actually worked therein: Provided, That the employer's payroll records accurately set forth the time spent in each classification in which work is performed. The wage determination (including any additional classification and wage rates conformed under paragraph 1.b. of this section) and the Davis-Bacon poster (WH–1321) shall be posted at all times by the contractor and its subcontractors at the site of the work in a prominent and accessible place where it can be easily seen by the workers.

- b. (1) The contracting officer shall require that any class of laborers or mechanics, including helpers, which is not listed in the wage determination and which is to be employed under the contract shall be classified in conformance with the wage determination. The contracting officer shall approve an additional classification and wage rate and fringe benefits therefore only when the following criteria have been met:
  - (i) The work to be performed by the classification requested is not performed by a classification in the wage determination; and
  - (ii) The classification is utilized in the area by the construction industry; and
  - (iii) The proposed wage rate, including any bona fide fringe benefits, bears a reasonable relationship to the wage rates contained in the wage determination.
  - (2) If the contractor and the laborers and mechanics to be employed in the classification (if known), or their representatives, and the contracting officer agree on the classification and wage rate (including the amount designated for fringe benefits where appropriate), a report of the action taken shall be sent by the contracting officer to the Administrator of the Wage and Hour Division, Employment Standards Administration, U.S. Department of Labor, Washington, DC 20210. The Administrator, or an authorized representative, will approve, modify, or disapprove every additional classification action within 30 days of receipt and so advise the contracting officer or will notify the contracting officer within the 30-day period that additional time is necessary.
  - (3) In the event the contractor, the laborers or mechanics to be employed in the classification or their representatives, and the contracting officer do not agree on the proposed classification and wage rate (including the amount designated for fringe benefits, where appropriate), the contracting officer shall refer the questions, including the views of all interested parties and the recommendation of the contracting officer, to the Wage and Hour Administrator for determination. The Wage and Hour Administrator, or an authorized representative, will issue a determination within 30 days of receipt and so advise the contracting officer or will notify the contracting officer within the 30-day period that additional time is necessary.
  - (4) The wage rate (including fringe benefits where appropriate) determined pursuant to paragraphs 1.b.(2) or 1.b.(3) of this section, shall be paid to all workers performing work in the classification under this contract from the first day on which work is performed in the classification.
- c. Whenever the minimum wage rate prescribed in the contract for a class of laborers or mechanics includes a fringe benefit which is not expressed as an hourly rate, the contractor shall either pay the benefit as stated in the wage determination or shall pay another bona fide fringe benefit or an hourly cash equivalent thereof.
- d. If the contractor does not make payments to a trustee or other third person, the contractor may consider as part of the wages of any laborer or mechanic the amount of any costs reasonably anticipated in providing bona fide fringe benefits under a plan or program, Provided, That the Secretary of Labor has found, upon the written request of the contractor, that the applicable standards of the Davis-Bacon Act have been met. The Secretary of Labor may require the contractor to set aside in a separate account assets for the meeting of obligations under the plan or program.
- 2. Withholding. The contracting agency shall upon its own action or upon written request of an authorized representative of the Department of Labor, withhold or cause to be withheld from the contractor under this contract, or any other Federal contract with the same prime contractor, or any other federally-assisted contract subject to Davis-Bacon prevailing wage requirements, which is held by the same prime contractor, so much of the accrued payments or advances as may be considered necessary to pay laborers and mechanics, including apprentices, trainees, and helpers, employed by the contractor or any subcontractor the full amount of wages required by the contract. In the event of failure to pay any laborer or mechanic, including any apprentice, trainee, or helper, employed or working on the site of the work, all or part of the wages required by the contract, the contracting agency may, after written notice to the contractor, take such action as may be necessary to cause the suspension of any further payment, advance, or guarantee of funds until such violations have ceased.

#### 3. Payrolls and basic records

- a. Payrolls and basic records relating thereto shall be maintained by the contractor during the course of the work and preserved for a period of three years thereafter for all laborers and mechanics working at the site of the work. Such records shall contain the name, address, and social security number of each such worker, his or her correct classification, hourly rates of wages paid (including rates of contributions or costs anticipated for bona fide fringe benefits or cash equivalents thereof of the types described in section 1(b)(2)(B) of the Davis-Bacon Act), daily and weekly number of hours worked, deductions made and actual wages paid. Whenever the Secretary of Labor has found under 29 CFR 5.5(a)(1)(iv) that the wages of any laborer or mechanic include the amount of any costs reasonably anticipated in providing benefits under a plan or program described in section 1(b)(2)(B) of the Davis-Bacon Act, the contractor shall maintain records which show that the commitment to provide such benefits is enforceable, that the plan or program is financially responsible, and that the plan or program has been communicated in writing to the laborers or mechanics affected, and records which show the costs anticipated or the actual cost incurred in providing such benefits. Contractors employing apprentices or trainees under approved programs shall maintain written evidence of the registration of apprenticeship programs and certification of trainee programs, the registration of the apprentices and trainees, and the ratios and wage rates prescribed in the applicable programs.
- b. (1) The contractor shall submit weekly for each week in which any contract work is performed a copy of all payrolls to the contracting agency. The payrolls submitted shall set out accurately and completely all of the information required to be maintained under 29 CFR 5.5(a)(3)(i), except that full social security numbers and home addresses shall not be included on weekly transmittals. Instead the

payrolls shall only need to include an individually identifying number for each employee (e.g. , the last four digits of the employee's social security number). The required weekly payroll information may be submitted in any form desired. Optional Form WH–347 is available for this purpose from the Wage and Hour Division Web site at <a href="http://www.dol.gov/esa/whd/forms/">http://www.dol.gov/esa/whd/forms/</a> wh347instr.htm or its successor site. The prime contractor is responsible for the submission of copies of payrolls by all subcontractors. Contractors and subcontractors shall maintain the full social security number and current address of each covered worker, and shall provide them upon request to the contracting agency for transmission to the State DOT, the FHWA or the Wage and Hour Division of the Department of Labor for purposes of an investigation or audit of compliance with prevailing wage requirements. It is not a violation of this section for a prime contractor to require a subcontractor to provide addresses and social security numbers to the prime contractor for its own records, without weekly submission to the contracting agency.

- (2) Each payroll submitted shall be accompanied by a "Statement of Compliance," signed by the contractor or subcontractor or his or her agent who pays or supervises the payment of the persons employed under the contract and shall certify the following:
  - (i) That the payroll for the payroll period contains the information required to be provided under §5.5 (a)(3)(ii) of Regulations, 29 CFR part 5, the appropriate information is being maintained under §5.5 (a)(3)(i) of Regulations, 29 CFR part 5, and that such information is correct and complete;
  - (ii) That each laborer or mechanic (including each helper, apprentice, and trainee) employed on the contract during the payroll period has been paid the full weekly wages earned, without rebate, either directly or indirectly, and that no deductions have been made either directly or indirectly from the full wages earned, other than permissible deductions as set forth in Regulations, 29 CFR part 3;
  - (iii) That each laborer or mechanic has been paid not less than the applicable wage rates and fringe benefits or cash equivalents for the classification of work performed, as specified in the applicable wage determination incorporated into the contract.
- (3) The weekly submission of a properly executed certification set forth on the reverse side of Optional Form WH–347 shall satisfy the requirement for submission of the "Statement of Compliance" required by paragraph 3.b.(2) of this section.
- (4) The falsification of any of the above certifications may subject the contractor or subcontractor to civil or criminal prosecution under section 1001 of title 18 and section 231 of title 31 of the United States Code.
- c. The contractor or subcontractor shall make the records required under paragraph 3.a. of this section available for inspection, copying, or transcription by authorized representatives of the contracting agency, the State DOT, the FHWA, or the Department of Labor, and shall permit such representatives to interview employees during working hours on the job. If the contractor or subcontractor fails to submit the required records or to make them available, the FHWA may, after written notice to the contractor, the contracting agency or the State DOT, take such action as may be necessary to cause the suspension of any further payment, advance, or guarantee of funds. Furthermore, failure to submit the required records upon request or to make such records available may be grounds for debarment action pursuant to 29 CFR 5.12.

#### 4. Apprentices and trainees

a. Apprentices (programs of the USDOL). Apprentices will be permitted to work at less than the predetermined rate for the work they performed when they are employed pursuant to and individually registered in a bona fide apprenticeship program registered with the U.S. Department of Labor, Employment and Training Administration, Office of Apprenticeship Training, Employer and Labor Services, or with a State Apprenticeship Agency recognized by the Office, or if a person is employed in his or her first 90 days of probationary employment as an apprentice in such an apprenticeship program, who is not individually registered in the program, but who has been certified by the Office of Apprenticeship Training, Employer and Labor Services or a State Apprenticeship Agency (where appropriate) to be eligible for probationary employment as an apprentice.

The allowable ratio of apprentices to journeymen on the job site in any craft classification shall not be greater than the ratio permitted to the contractor as to the entire work force under the registered program. Any worker listed on a payroll at an apprentice wage rate, who is not registered or otherwise employed as stated above, shall be paid not less than the applicable wage rate on the wage determination for the classification of work actually performed. In addition, any apprentice performing work on the job site in excess of the ratio permitted under the registered program shall be paid not less than the applicable wage rate on the wage determination for the work actually performed. Where a contractor is performing construction on a project in a locality other than that in which its program is registered, the ratios and wage rates (expressed in percentages of the journeyman's hourly rate) specified in the contractor's or subcontractor's registered program shall be observed.

Every apprentice must be paid at not less than the rate specified in the registered program for the apprentice's level of progress, expressed as a percentage of the journeymen hourly rate specified in the applicable wage determination. Apprentices shall be paid fringe benefits in accordance with the provisions of the apprenticeship program. If the apprenticeship program does not specify fringe benefits, apprentices must be paid the full amount of fringe benefits listed on the wage determination for the applicable classification. If the Administrator determines that a different practice prevails for the applicable apprentice classification, fringes shall be paid in accordance with that determination.

In the event the Office of Apprenticeship Training, Employer and Labor Services, or a State Apprenticeship Agency recognized by the Office, withdraws approval of an apprenticeship program, the contractor will no longer be permitted to utilize apprentices at less than the applicable predetermined rate for the work performed until an acceptable program is approved.

b. Trainees (programs of the USDOL). Except as provided in 29 CFR 5.16, trainees will not be permitted to work at less than the predetermined rate for the work performed unless they are employed pursuant to and individually registered in a program which has received prior approval, evidenced by formal certification by the U.S. Department of Labor, Employment and Training Administration.

The ratio of trainees to journeymen on the job site shall not be greater than permitted under the plan approved by the Employment and Training Administration.

Every trainee must be paid at not less than the rate specified in the approved program for the trainee's level of progress, expressed as a percentage of the journeyman hourly rate specified in the applicable wage determination. Trainees shall be paid fringe benefits in accordance with the provisions of the trainee program. If the trainee program does not mention fringe benefits, trainees shall be paid the full amount of fringe benefits listed on the wage determination unless the Administrator of the Wage and Hour Division determines that there is an apprenticeship program associated with the corresponding journeyman wage rate on the wage determination which provides for less than full fringe benefits for apprentices. Any employee listed on the payroll at a trainee rate who is not registered and participating in a training plan approved by the Employment and Training Administration shall be paid not less than the applicable wage rate on the wage determination for the classification of work actually performed. In addition, any trainee performing work on the job site in excess of the ratio permitted under the registered program shall be paid not less than the applicable wage rate on the wage determination for the work actually performed.

In the event the Employment and Training Administration withdraws approval of a training program, the contractor will no longer be permitted to utilize trainees at less than the applicable predetermined rate for the work performed until an acceptable program is approved.

- c. Equal employment opportunity. The utilization of apprentices, trainees and journeymen under this part shall be in conformity with the equal employment opportunity requirements of Executive Order 11246, as amended, and 29 CFR part 30.
- d. Apprentices and Trainees (programs of the U.S. DOT). Apprentices and trainees working under apprenticeship and skill training programs which have been certified by the Secretary of Transportation as promoting EEO in connection with Federal-aid highway construction programs are not subject to the requirements of paragraph 4 of this Section IV. The straight time hourly wage rates for apprentices and trainees under such programs will be established by the particular programs. The ratio of apprentices and trainees to journeymen shall not be greater than permitted by the terms of the particular program.
- Compliance with Copeland Act requirements. The contractor shall comply with the requirements of 29 CFR part 3, which are incorporated by reference in this contract.
- Subcontracts. The contractor or subcontractor shall insert Form FHWA-1273 in any subcontracts and also require the subcontractors to include Form FHWA-1273 in any lower tier subcontracts. The prime contractor shall be responsible for the compliance by any subcontractor or lower tier subcontractor with all the contract clauses in 29 CFR 5.5.
- 7. Contract termination: debarment. A breach of the contract clauses in 29 CFR 5.5 may be grounds for termination of the contract, and for debarment as a contractor and a subcontractor as provided in 29 CFR 5.12.
- Compliance with Davis-Bacon and Related Act requirements. All rulings and interpretations of the Davis-Bacon and Related Acts contained in 29 CFR parts 1, 3, and 5 are herein incorporated by reference in this contract.
- 9. Disputes concerning labor standards. Disputes arising out of the labor standards provisions of this contract shall not be subject to the general disputes clause of this contract. Such disputes shall be resolved in accordance with the procedures of the Department of Labor set forth in 29 CFR parts 5, 6, and 7. Disputes within the meaning of this clause include disputes between the contractor (or any of its subcontractors) and the contracting agency, the U.S. Department of Labor, or the employees or their representatives.

#### 10. Certification of eligibility.

- a. By entering into this contract, the contractor certifies that neither it (nor he or she) nor any person or firm who has an interest in the contractor's firm is a person or firm ineligible to be awarded Government contracts by virtue of section 3(a) of the Davis-Bacon Act or 29 CFR 5.12(a)(1).
- b. No part of this contract shall be subcontracted to any person or firm ineligible for award of a Government contract by virtue of section 3(a) of the Davis-Bacon Act or 29 CFR 5.12(a)(1).
- c. The penalty for making false statements is prescribed in the U.S. Criminal Code, 18 U.S.C. 1001.

#### V. CONTRACT WORK HOURS AND SAFETY STANDARDS ACT

The following clauses apply to any Federal-aid construction contract in an amount in excess of \$100,000 and subject to the overtime provisions of the Contract Work Hours and Safety Standards Act. These clauses shall be inserted in addition to the clauses required by 29 CFR 5.5(a) or 29 CFR 4.6. As used in this paragraph, the terms laborers and mechanics include watchmen and guards.

- 1. Overtime requirements. No contractor or subcontractor contracting for any part of the contract work which may require or involve the employment of laborers or mechanics shall require or permit any such laborer or mechanic in any workweek in which he or she is employed on such work to work in excess of forty hours in such workweek unless such laborer or mechanic receives compensation at a rate not less than one and one-half times the basic rate of pay for all hours worked in excess of forty hours in such workweek.
- 2. Violation; liability for unpaid wages; liquidated damages. In the event of any violation of the clause set forth in paragraph (1.) of this section, the contractor and any subcontractor responsible therefor shall be liable for the unpaid wages. In addition, such contractor and subcontractor shall be liable to the United States (in the case of work done under contract for the District of Columbia or a territory, to such District or to such territory), for liquidated damages. Such liquidated damages shall be computed with respect to each individual laborer or mechanic, including watchmen and guards, employed in violation of the clause set forth in paragraph (1.) of this section, in the sum of \$10 for each calendar day on which such individual was required or permitted to work in excess of the standard workweek of forty hours without payment of the overtime wages required by the clause set forth in paragraph (1.) of this section.
- 3. Withholding for unpaid wages and liquidated damages. The FHWA or the contacting agency shall upon its own action or upon written request of an authorized representative of the Department of Labor withhold or cause to be withheld, from any moneys payable on account of work performed by the contractor or subcontractor under any such contract or any other Federal contract with the same prime contractor, or any other federally-assisted contract subject to the Contract Work Hours and Safety Standards Act, which is held by the same prime contractor, such sums as may be determined to be necessary to satisfy any liabilities of such contractor or subcontractor for unpaid wages and liquidated damages as provided in the clause set forth in paragraph (2.) of this section.
- 4. **Subcontracts.** The contractor or subcontractor shall insert in any subcontracts the clauses set forth in paragraph (1.) through (4.) of this section and also a clause requiring the subcontractors to include these clauses in any lower tier subcontracts. The prime contractor shall be responsible for compliance by any subcontractor or lower tier subcontractor with the clauses set forth in paragraphs (1.) through (4.) of this section.

#### VI. SUBLETTING OR ASSIGNING THE CONTRACT

This provision is applicable to all Federal-aid construction contracts on the National Highway System.

- 1. The contractor shall perform with its own organization contract work amounting to not less than 30 percent (or a greater percentage if specified elsewhere in the contract) of the total original contract price, excluding any specialty items designated by the contracting agency. Specialty items may be performed by subcontract and the amount of any such specialty items performed may be deducted from the total original contract price before computing the amount of work required to be performed by the contractor's own organization (23 CFR 635.116).
  - a. The term "perform work with its own organization" refers to workers employed or leased by the prime contractor, and equipment owned or rented by the prime contractor, with or without operators. Such term does not include employees or equipment of a subcontractor or lower tier subcontractor, agents of the prime contractor, or any other assignees. The term may include payments for the costs of hiring leased employees from an employee leasing firm meeting all relevant Federal and State regulatory requirements. Leased employees may only be included in this term if the prime contractor meets all of the following conditions:
    - (1) the prime contractor maintains control over the supervision of the day-to-day activities of the leased employees;

- (2) the prime contractor remains responsible for the quality of the work of the leased employees;
- (3) the prime contractor retains all power to accept or exclude individual employees from work on the project; and
- (4) the prime contractor remains ultimately responsible for the payment of predetermined minimum wages, the submission of payrolls, statements of compliance and all other Federal regulatory requirements.
- b. "Specialty Items" shall be construed to be limited to work that requires highly specialized knowledge, abilities, or equipment not ordinarily available in the type of contracting organizations qualified and expected to bid or propose on the contract as a whole and in general are to be limited to minor components of the overall contract.
- 2. The contract amount upon which the requirements set forth in paragraph (1) of Section VI is computed includes the cost of material and manufactured products which are to be purchased or produced by the contractor under the contract provisions.
- 3. The contractor shall furnish (a) a competent superintendent or supervisor who is employed by the firm, has full authority to direct performance of the work in accordance with the contract requirements, and is in charge of all construction operations (regardless of who performs the work) and (b) such other of its own organizational resources (supervision, management, and engineering services) as the contracting officer determines is necessary to assure the performance of the contract.
- 4. No portion of the contract shall be sublet, assigned or otherwise disposed of except with the written consent of the contracting officer, or authorized representative, and such consent when given shall not be construed to relieve the contractor of any responsibility for the fulfillment of the contract. Written consent will be given only after the contracting agency has assured that each subcontract is evidenced in writing and that it contains all pertinent provisions and requirements of the prime contract.
- 5. The 30% self-performance requirement of paragraph (1) is not applicable to design-build contracts; however, contracting agencies may establish their own self-performance requirements.

#### VII. SAFETY: ACCIDENT PREVENTION

This provision is applicable to all Federal-aid construction contracts and to all related subcontracts.

- 1. In the performance of this contract the contractor shall comply with all applicable Federal, State, and local laws governing safety, health, and sanitation (23 CFR 635). The contractor shall provide all safeguards, safety devices and protective equipment and take any other needed actions as it determines, or as the contracting officer may determine, to be reasonably necessary to protect the life and health of employees on the job and the safety of the public and to protect property in connection with the performance of the work covered by the contract.
- 2. It is a condition of this contract, and shall be made a condition of each subcontract, which the contractor enters into pursuant to this contract, that the contractor and any subcontractor shall not permit any employee, in performance of the contract, to work in surroundings or under conditions which are unsanitary, hazardous or dangerous to his/her health or safety, as determined under construction safety and health standards (29 CFR 1926) promulgated by the Secretary of Labor, in accordance with Section 107 of the Contract Work Hours and Safety Standards Act (40 U.S.C. 3704).
- 3. Pursuant to 29 CFR 1926.3, it is a condition of this contract that the Secretary of Labor or authorized representative thereof, shall have right of entry to any site of contract performance to inspect or investigate the matter of compliance with the construction safety and health standards and to carry out the duties of the Secretary under Section 107 of the Contract Work Hours and Safety Standards Act (40 U.S.C.3704).

#### VIII. FALSE STATEMENTS CONCERNING HIGHWAY PROJECTS

This provision is applicable to all Federal-aid construction contracts and to all related subcontracts.

In order to assure high quality and durable construction in conformity with approved plans and specifications and a high degree of reliability on statements and representations made by engineers, contractors, suppliers, and workers on Federal-aid highway projects, it is essential that all persons concerned with the project perform their functions as carefully, thoroughly, and honestly as possible. Willful falsification, distortion, or misrepresentation with respect to any facts related to the project is a violation of Federal law. To prevent any misunderstanding regarding the seriousness of these and similar acts, Form FHWA-1022 shall be posted on each Federal-aid highway project (23 CFR 635) in one or more places where it is readily available to all persons concerned with the project:

18 U.S.C. 1020 reads as follows:

"Whoever, being an officer, agent, or employee of the United States, or of any State or Territory, or whoever, whether a person, association, firm, or corporation, knowingly makes any false statement, false representation, or false report as to the character, quality, quantity, or cost of the material used or to be used, or the quantity or quality of the work performed or to be performed, or the cost thereof in connection with the submission of plans, maps, specifications, contracts, or costs of construction on any highway or related project submitted for approval to the Secretary of Transportation; or

Whoever knowingly makes any false statement, false representation, false report or false claim with respect to the character, quality, or cost of any work performed or to be performed, or materials furnished or to be furnished, in connection with the construction of any highway or related project approved by the Secretary of Transportation; or

Whoever knowingly makes any false statement or false representation as to material fact in any statement, certificate, or report submitted pursuant to provisions of the Federal-aid Roads Act approved July 1, 1916, (39 Stat. 355), as amended and supplemented;

Shall be fined under this title or imprisoned not more than 5 years or both."

#### IX. IMPLEMENTATION OF CLEAN AIR ACT AND FEDERAL WATER POLLUTION CONTROL ACT

This provision is applicable to all Federal-aid construction contracts and to all related subcontracts.

By submission of this bid/proposal or the execution of this contract, or subcontract, as appropriate, the bidder, proposer, Federal-aid construction contractor, or subcontractor, as appropriate, will be deemed to have stipulated as follows:

- 1. That any person who is or will be utilized in the performance of this contract is not prohibited from receiving an award due to a violation of Section 508 of the Clean Water Act or Section 306 of the Clean Air Act.
- 2. That the contractor agrees to include or cause to be included the requirements of paragraph (1) of this Section X in every subcontract, and further agrees to take such action as the contracting agency may direct as a means of enforcing such requirements.

#### X. CERTIFICATION REGARDING DEBARMENT, SUSPENSION, INELIGIBILITY AND VOLUNTARY EXCLUSION

This provision is applicable to all Federal-aid construction contracts, design-build contracts, subcontracts, lower-tier subcontracts, purchase orders, lease agreements, consultant contracts or any other covered transaction requiring FHWA approval or that is estimated to cost \$25,000 or more – as defined in 2 CFR Parts 180 and 1200.

#### 1. Instructions for Certification – First Tier Participants:

- a. By signing and submitting this proposal, the prospective first tier participant is providing the certification set out below.
- b. The inability of a person to provide the certification set out below will not necessarily result in denial of participation in this covered transaction. The prospective first tier participant shall submit an explanation of why it cannot provide the certification set out below. The certification or explanation will be considered in connection with the department or agency's determination whether to enter into this transaction. However, failure of the prospective first tier participant to furnish a certification or an explanation shall disqualify such a person from participation in this transaction.
- c. The certification in this clause is a material representation of fact upon which reliance was placed when the contracting agency determined to enter into this transaction. If it is later determined that the prospective participant knowingly rendered an erroneous certification, in addition to other remedies available to the Federal Government, the contracting agency may terminate this transaction for cause of default.
- d. The prospective first tier participant shall provide immediate written notice to the contracting agency to whom this proposal is submitted if any time the prospective first tier participant learns that its certification was erroneous when submitted or has become erroneous by reason of changed circumstances.
- e. The terms "covered transaction," "debarred," "suspended," "ineligible," "participant," "person," "principal," and "voluntarily excluded," as used in this clause, are defined in 2 CFR Parts 180 and 1200. "First Tier Covered Transactions" refers to any covered transaction between a grantee or subgrantee of Federal funds and a participant (such as the prime or general contract). "Lower Tier Covered Transactions" refers to any covered transaction under a First Tier Covered Transaction (such as subcontracts). "First Tier Participant" refers to the participant who has entered into a covered transaction with a grantee or subgrantee of Federal funds (such as the prime or general contractor). "Lower Tier Participant" refers any participant who has entered into a covered transaction with a First Tier Participant or other Lower Tier Participants (such as subcontractors and suppliers).
- f. The prospective first tier participant agrees by submitting this proposal that, should the proposed covered transaction be entered into, it shall not knowingly enter into any lower tier covered transaction with a person who is debarred, suspended, declared ineligible, or voluntarily excluded from participation in this covered transaction, unless authorized by the department or agency entering into this transaction.
- g. The prospective first tier participant further agrees by submitting this proposal that it will include the clause titled "Certification Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion-Lower Tier Covered Transactions," provided by the department or contracting agency, entering into this covered transaction, without modification, in all lower tier covered transactions and in all solicitations for lower tier covered transactions exceeding the \$25,000 threshold.
- h. A participant in a covered transaction may rely upon a certification of a prospective participant in a lower tier covered transaction that is not debarred, suspended, ineligible, or voluntarily excluded from the covered transaction, unless it knows that the certification is erroneous. A participant is responsible for ensuring that its principals are not suspended, debarred, or otherwise ineligible to participate in covered transactions. To verify the eligibility of its principals, as well as the eligibility of any lower tier prospective participants, each participant may, but is not required to, check the Excluded Parties List System website (https://www.epls.gov/), which is compiled by the General Services Administration.
- i. Nothing contained in the foregoing shall be construed to require the establishment of a system of records in order to render in good faith the certification required by this clause. The knowledge and information of the prospective participant is not required to exceed that which is normally possessed by a prudent person in the ordinary course of business dealings.
- j. Except for transactions authorized under paragraph (f) of these instructions, if a participant in a covered transaction knowingly enters into a lower tier covered transaction with a person who is suspended, debarred, ineligible, or voluntarily excluded from participation in this transaction, in addition to other remedies available to the Federal Government, the department or agency may terminate this transaction for cause or default.

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#### 2. Certification Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion – First Tier Participants:

a. The prospective first tier participant certifies to the best of its knowledge and belief, that it and its principals:

- (1) Are not presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from participating in covered transactions by any Federal department or agency;
- (2) Have not within a three-year period preceding this proposal been convicted of or had a civil judgment rendered against them for commission of fraud or a criminal offense in connection with obtaining, attempting to obtain, or performing a public (Federal, State or local) transaction or contract under a public transaction; violation of Federal or State antitrust statutes or commission of embezzlement, theft, forgery, bribery, falsification or destruction of records, making false statements, or receiving stolen property;
- (3) Are not presently indicted for or otherwise criminally or civilly charged by a governmental entity (Federal, State or local) with commission of any of the offenses enumerated in paragraph (a)(2) of this certification; and
- (4) Have not within a three-year period preceding this application/proposal had one or more public transactions (Federal, State or local) terminated for cause or default.
- b. Where the prospective participant is unable to certify to any of the statements in this certification, such prospective participant shall attach an explanation to this proposal.

#### 2. Instructions for Certification - Lower Tier Participants:

(Applicable to all subcontracts, purchase orders and other lower tier transactions requiring prior FHWA approval or estimated to cost \$25,000 or more - 2 CFR Parts 180 and 1200)

- a. By signing and submitting this proposal, the prospective lower tier is providing the certification set out below.
- b. The certification in this clause is a material representation of fact upon which reliance was placed when this transaction was entered into. If it is later determined that the prospective lower tier participant knowingly rendered an erroneous certification, in addition to other remedies available to the Federal Government, the department, or agency with which this transaction originated may pursue available remedies, including suspension and/or debarment.

- c. The prospective lower tier participant shall provide immediate written notice to the person to which this proposal is submitted if at any time
- the prospective lower tier participant learns that its certification was erroneous by reason of changed circumstances.

  The terms "covered transaction," "debarred," "suspended," "ineligible," "participant," "person," "principal," and "voluntarily excluded," as used in this clause, are defined in 2 CFR Parts 180 and 1200. You may contact the person to which this proposal is submitted for assistance in obtaining a copy of those regulations. "First Tier Covered Transactions" refers to any covered transaction between a grantee or subgrantee of Federal funds and a participant (such as the prime or general contract). "Lower Tier Covered Transactions" refers to any covered transaction under a First Tier Covered Transaction (such as subcontracts). "First Tier Participant" refers to the participant who has entered into a covered transaction with a grantee or subgrantee of Federal funds (such as the prime or general contractor). "Lower Tier Participant" refers any participant who has entered into a covered transaction with a First Tier Participant or other Lower Tier Participants (such as subcontractors and suppliers).
- The prospective lower tier participant agrees by submitting this proposal that, should the proposed covered transaction be entered into, it shall not knowingly enter into any lower tier covered transaction with a person who is debarred, suspended, declared ineligible, or voluntarily excluded from participation in this covered transaction, unless authorized by the department or agency with which this transaction originated.
- The prospective lower tier participant further agrees by submitting this proposal that it will include this clause titled "Certification Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion-Lower Tier Covered Transaction," without modification, in all lower tier covered transactions and in all solicitations for lower tier covered transactions exceeding the \$25,000 threshold.
- A participant in a covered transaction may rely upon a certification of a prospective participant in a lower tier covered transaction that is not debarred, suspended, ineligible, or voluntarily excluded from the covered transaction, unless it knows that the certification is erroneous. A participant is responsible for ensuring that its principals are not suspended, debarred, or otherwise ineligible to participate in covered transactions. To verify the eligibility of its principals, as well as the eligibility of any lower tier prospective participants, each participant may, but is not required to, check the Excluded Parties List System website (https://www.epls.gov/), which is compiled by the General Services
- h. Nothing contained in the foregoing shall be construed to require establishment of a system of records in order to render in good faith the certification required by this clause. The knowledge and information of participant is not required to exceed that which is normally possessed by a prudent person in the ordinary course of business dealings.
- Except for transactions authorized under paragraph e of these instructions, if a participant in a covered transaction knowingly enters into a lower tier covered transaction with a person who is suspended, debarred, ineligible, or voluntarily excluded from participation in this transaction, in addition to other remedies available to the Federal Government, the department or agency with which this transaction originated may pursue available remedies, including suspension and/or debarment.

#### Certification Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion--Lower Tier Participants:

- 1. The prospective lower tier participant certifies, by submission of this proposal, that neither it nor its principals is presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from participating in covered transactions by any Federal department or
- 2. Where the prospective lower tier participant is unable to certify to any of the statements in this certification, such prospective participant shall attach an explanation to this proposal.

#### XI. CERTIFICATION REGARDING USE OF CONTRACT FUNDS FOR LOBBYING

This provision is applicable to all Federal-aid construction contracts and to all related subcontracts which exceed \$100,000 (49 CFR 20).

- 1. The prospective participant certifies, by signing and submitting this bid or proposal, to the best of his or her knowledge and belief, that:
  - a. No Federal appropriated funds have been paid or will be paid, by or on behalf of the undersigned, to any person for influencing or attempting to influence an officer or employee of any Federal agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement.
  - b. If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any Federal agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, the undersigned shall complete and submit Standard Form-LLL, "Disclosure Form to Report Lobbying," in accordance with its instructions.
- 2. This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by 31 U.S.C. 1352. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.
- The prospective participant also agrees by submitting its bid or proposal that the participant shall require that the language of this certification be included in all lower tier subcontracts, which exceed \$100,000 and that all such recipients shall certify and disclose accordingly.

# **ON-THE-JOB TRAINING**

(10-16-07) (Rev. 4-21-15)

Z-10

# **Description**

The North Carolina Department of Transportation will administer a custom version of the Federal On-the-Job Training (OJT) Program, commonly referred to as the Alternate OJT Program. All contractors (existing and newcomers) will be automatically placed in the Alternate Program. Standard OJT requirements typically associated with individual projects will no longer be applied at the project level. Instead, these requirements will be applicable on an annual basis for each contractor administered by the OJT Program Manager.

On the Job Training shall meet the requirements of 23 CFR 230.107 (b), 23 USC – Section 140, this provision and the On-the-Job Training Program Manual.

The Alternate OJT Program will allow a contractor to train employees on Federal, State and privately funded projects located in North Carolina. However, priority shall be given to training employees on NCDOT Federal-Aid funded projects.

#### **Minorities and Women**

Developing, training and upgrading of minorities and women toward journeyman level status is a primary objective of this special training provision. Accordingly, the Contractor shall make every effort to enroll minority and women as trainees to the extent that such persons are available within a reasonable area of recruitment. This training commitment is not intended, and shall not be used, to discriminate against any applicant for training, whether a member of a minority group or not.

# **Assigning Training Goals**

The Department, through the OJT Program Manager, will assign training goals for a calendar year based on the contractors' past three years' activity and the contractors' anticipated upcoming year's activity with the Department. At the beginning of each year, all contractors eligible will be contacted by the Department to determine the number of trainees that will be assigned for the upcoming calendar year. At that time the Contractor shall enter into an agreement with the Department to provide a self-imposed on-the-job training program for the calendar year. This agreement will include a specific number of annual training goals agreed to by both parties. The number of training assignments may range from 1 to 15 per contractor per calendar year. The Contractor shall sign an agreement to fulfill their annual goal for the year.\

# **Training Classifications**

The Contractor shall provide on-the-job training aimed at developing full journeyman level workers in the construction craft/operator positions. Preference shall be given to providing training in the following skilled work classifications:

Equipment Operators Office Engineers

Truck Drivers Estimators

Carpenters Iron / Reinforcing Steel Workers

Concrete Finishers Mechanics
Pipe Layers Welders

The Department has established common training classifications and their respective training requirements that may be used by the contractors. However, the classifications established are not all-inclusive. Where the training is oriented toward construction applications, training will be allowed in lower-level management positions such as office engineers and estimators. Contractors shall submit new classifications for specific job functions that their employees are performing. The Department will review and recommend for acceptance to FHWA the new classifications proposed by contractors, if applicable. New classifications shall meet the following requirements:

Proposed training classifications are reasonable and realistic based on the job skill classification needs, and

The number of training hours specified in the training classification is consistent with common practices and provides enough time for the trainee to obtain journeyman level status.

The Contractor may allow trainees to be trained by a subcontractor provided that the Contractor retains primary responsibility for meeting the training and this provision is made applicable to the subcontract. However, only the Contractor will receive credit towards the annual goal for the trainee.

Where feasible, 25 percent of apprentices or trainees in each occupation shall be in their first year of apprenticeship or training. The number of trainees shall be distributed among the work classifications on the basis of the contractor's needs and the availability of journeymen in the various classifications within a reasonable area of recruitment.

No employee shall be employed as a trainee in any classification in which they have successfully completed a training course leading to journeyman level status or in which they have been employed as a journeyman.

# **Records and Reports**

The Contractor shall maintain enrollment, monthly and completion reports documenting company compliance under these contract documents. These documents and any other information as requested shall be submitted to the OJT Program Manager.

Upon completion and graduation of the program, the Contractor shall provide each trainee with a certification Certificate showing the type and length of training satisfactorily completed.

#### **Trainee Interviews**

All trainees enrolled in the program will receive an initial and Trainee/Post graduate interview conducted by the OJT program staff.

# **Trainee Wages**

Contractors shall compensate trainees on a graduating pay scale based upon a percentage of the prevailing minimum journeyman wages (Davis-Bacon Act). Minimum pay shall be as follows:

| 60 percent | of the journeyman wage for the first half of the training period    |
|------------|---|
| 75 percent | of the journeyman wage for the third quarter of the training period |
| 90 percent | of the journeyman wage for the last quarter of the training period  |

In no instance shall a trainee be paid less than the local minimum wage. The Contractor shall adhere to the minimum hourly wage rate that will satisfy both the NC Department of Labor (NCDOL) and the Department.

# **Achieving or Failing to Meet Training Goals**

The Contractor will be credited for each trainee employed by him on the contract work who is currently enrolled or becomes enrolled in an approved program and who receives training for at least 50 percent of the specific program requirement. Trainees will be allowed to be transferred between projects if required by the Contractor's scheduled workload to meet training goals.

If a contractor fails to attain their training assignments for the calendar year, they may be taken off the NCDOT's Bidders List.

# **Measurement and Payment**

No compensation will be made for providing required training in accordance with these contract documents.

# NAME CHANGE FOR NCDENR

(1-19-16) Z-11

# **Description**

Wherever in the 2012 Standard Specifications, Project Special Provisions, Standard Special Provisions, Permits or Plans that reference is made to "NCDENR" or "North Carolina Department of Environment and Natural Resources", replace with "NCDEQ" or "North Carolina Department of Environmental Quality" respectively, as the case may be.

# STANDARD SPECIAL PROVISION MINIMUM WAGES GENERAL DECISION NC160101 01/08/2016 NC101

Z-101

Date: January 8, 2016

General Decision Number: NC160101 01/08/2016 NC101

Superseded General Decision Numbers: NC20150101

State: North Carolina

Construction Type: HIGHWAY

#### **COUNTIES:**

| Alamance | Forsyth     | Randolph   |
|----------|-------------|------------|
| Anson    | Gaston      | Rockingham |
| Cabarrus | Guilford    | Stokes     |
| Chatham  | Mecklenburg | Union      |
| Davie    | Orange      | Yadkin     |
| Durham   | Person      |            |

HIGHWAY CONSTRUCTION PROJECTS (excluding tunnels, building structures in rest area projects & railroad construction; bascule, suspension & spandrel arch bridges designed for commercial navigation, bridges involving marine construction; and other major bridges).

Note: Executive Order (EO) 13658 establishes an hourly minimum wage of \$10.15 for calendar year 2016 that applies to all contracts subject to the Davis-Bacon Act for which the solicitation is issued on or after January 1, 2015. If this contract is covered by the EO, the contractor must pay all workers in any classification listed on this wage determination at least \$10.15 (or the applicable wage rate listed on this wage determination, if it is higher) for all hours spent performing on the contract for calendar year 2016. The EO minimum wage rate will be adjusted annually. Additional information on contractor requirements and worker protections under the EO is available at www.dol.gov/whd/govcontracts.

Modification Number

Publication Date 01/08/2016

SUNC2014-003 11/14/2014

|                                | <u>u</u> | 01102014 003 1 |
|--------------------------------|----------|----------------|
|                                | Rates    | Fringes        |
| BLASTER                        | 18.64    |                |
| CARPENTER                      | 13.68    | .05            |
| CEMENT MASON/CONCRETE FINISHER | 13.93    |                |
| ELECTRICIAN                    |          |                |
| Electrician                    | 18.79    | 2.72           |
| Telecommunications Technician  | 15.19    | 1.25           |
| IRONWORKER                     | 13.30    |                |
| LABORER                        |          |                |
| Asphalt Raker and Spreader     | 12.78    |                |
| Asphalt Screed/Jackman         | 14.50    |                |

|   | Rates | Fringes |
|---|-------|---------|
| Carpenter Tender                          | 12.51 | .27     |
| Cement Mason/Concrete Finisher Tender     | 11.04 |         |
| Common or General                         | 10.40 | .01     |
| Guardrail/Fence Installer                 | 13.22 |         |
| Pipelayer                                 | 12.43 |         |
| Traffic Signal/Lighting Installer         | 15.65 | .24     |
| PAINTER                                   |       |         |
| Bridge                                    | 23.77 |         |
| POWER EQUIPMENT OPERATORS                 |       |         |
| Asphalt Broom Tractor                     | 10.00 |         |
| Bulldozer Fine                            | 16.13 |         |
| Bulldozer Rough                           | 14.36 |         |
| Concrete Grinder/Groover                  | 17.92 |         |
| Crane Boom Trucks                         | 18.19 |         |
| Crane Other                               | 19.83 |         |
| Crane Rough/All-Terrain                   | 19.10 |         |
| Drill Operator Rock                       | 14.28 |         |
| Drill Operator Structure                  | 20.89 |         |
| Excavator Fine                            | 16.95 |         |
| Excavator Rough                           | 13.63 |         |
| Grader/Blade Fine                         | 19.84 |         |
| Grader/Blade Rough                        | 15.47 |         |
| Loader 2 Cubic Yards or Less              | 13.31 |         |
| Loader Greater Than 2 Cubic Yards         | 16.19 |         |
| Material Transfer Vehicle (Shuttle Buggy) | 15.44 |         |
| Mechanic                                  | 17.51 |         |
| Milling Machine                           | 15.22 |         |
| Off-Road Hauler/Water Tanker              | 11.83 |         |
| Oiler/Greaser                             | 14.16 |         |
| Pavement Marking Equipment                | 12.05 |         |
| Paver Asphalt                             | 15.97 |         |
| Paver Concrete                            | 18.20 |         |
| Roller Asphalt Breakdown                  | 12.79 |         |
| Roller Asphalt Finish                     | 13.76 |         |
| Roller Other                              | 12.08 |         |
| Scraper Finish                            | 12.65 |         |
| Scraper Rough                             | 11.50 |         |
| Slip Form Machine                         | 19.60 |         |
| Tack Truck/Distributor Operator           | 14.82 |         |
| TRUCK DRIVER                              |       |         |
| GVWR of 26,000 Lbs or Less                | 11.45 |         |
| GVWR of 26,000 Lbs or Greater             | 13.57 | .03     |

Welders – Receive rate prescribed for craft performing operation to which welding is incidental.

Unlisted classifications needed for work not included within the scope of the classifications listed may be added after award only as provided in the labor standards contract clauses (29 CFR 5.5(a)(1)(ii)).

The body of each wage determination lists the classification and wage rates that have been found to be prevailing for the cited type(s) of construction in the area covered by the wage determination. The classifications are listed in alphabetical order of "identifiers" that indicate whether the particular rate is a union rate (current union negotiated rate for local), a survey rate (weighted average rate) or a union average rate (weighted union average rate).

#### Union Rate Identifiers

A four letter classification abbreviation identifier enclosed in dotted lines beginning with characters other than "SU" or "UAVG" denotes that the union classification and rate were prevailing for that classification in the survey. Example: PLUM0198-005 07/01/2014. PLUM is an abbreviation identifier of the union which prevailed in the survey for this classification, which in this example would be Plumbers. 0198 indicates the local union number or district council number where applicable, i.e., Plumbers Local 0198. The next number, 005 in the example, is an internal number used in processing the wage determination. 07/01/2014 is the effective date of the most current negotiated rate, which in this example is July 1, 2014.

Union prevailing wage rates are updated to reflect all rate changes in the collective bargaining agreement (CBA) governing this classification and rate.

# Survey Rate Identifiers

Classifications listed under the "SU" identifier indicate that no one rate prevailed for this classification in the survey and the published rate is derived by computing a weighted average rate based on all the rates reported in the survey for that classification. As this weighted average rate includes all rates reported in the survey, it may include both union and non-union rates. Example: SULA2012-007 5/13/2014. SU indicates the rates are survey rates based on a weighted average calculation of rates and are not majority rates. LA indicates the State of Louisiana. 2012 is the year of survey on which these classifications and rates are based. The next number, 007 in the example, is an internal number used in producing the wage determination. 5/13/2014 indicates the survey completion date for the classifications and rates under that identifier.

Survey wage rates are not updated and remain in effect until a new survey is conducted.

# Union Average Rate Identifiers

Classification(s) listed under the UAVG identifier indicate that no single majority rate prevailed for those classifications; however, 100% of the data reported for the classifications was union data. EXAMPLE: UAVG-OH-0010 08/29/2014. UAVG indicates that the rate is a weighted union average rate. OH indicates the state. The next number, 0010 in the example, is an internal number used in producing the wage determination. 08/29/2014 indicates the survey completion date for the classifications and rates under that identifier.

A UAVG rate will be updated once a year, usually in January of each year, to reflect a weighted average of the current negotiated/CBA rate of the union locals from which the rate is based.

# WAGE DETERMINATION APPEALS PROCESS

- 1.) Has there been an initial decision in the matter? This can be:
  - \* an existing published wage determination
  - \* a survey underlying a wage determination
  - \* a Wage and Hour Division letter setting forth a position on a wage determination matter
  - \* a conformance (additional classification and rate) ruling

On survey related matters, initial contact, including requests for summaries of surveys, should be with the Wage and Hour Regional Office for the area in which the survey was conducted because those Regional Offices have responsibility for the Davis-Bacon survey program. If the response from this initial contact is not satisfactory, then the process described in 2.) and 3.) should be followed.

With regard to any other matter not yet ripe for the formal process described here, initial contact should be with the Branch of Construction Wage Determinations. Write to:

Branch of Construction Wage Determinations Wage and Hour Division U. S. Department of Labor 200 Constitution Avenue, N.W. Washington, D.C. 20210

2.) If the answer to the question in 1.) is yes, then an interested party (those affected by the action) can request review and reconsideration from the Wage and Hour Administrator (See 29 CFR Part 1.8 and 29 CFR Part 7). Write to:

Wage and Hour Administrator U.S. Department of Labor 200 Constitution Avenue, N.W. Washington, D.C. 20210

The request should be accompanied by a full statement of the interested party's position and by any information (wage payment data, project description, area practice material, etc.) that the requestor considers relevant to the issue.

3.) If the decision of the Administrator is not favorable, an interested party may appeal directly to the Administrative Review Board (formerly the Wage Appeals Board). Write to:

Administrative Review Board U.S. Department of Labor 200 Constitution Avenue, N.W. Washington, D.C. 20210

4.) All decisions by the Administrative Review Board are final.

END OF GENERAL DECISION



# **EPOXY PAVEMENT MARKING MATERIAL:**

(01-15-08) SP

# **Description**

This work shall consist of applying black epoxy pavement marking material on concrete or asphalt pavements.

# **Materials**

Epoxy Pavement Marking Material shall conform to the requirements of Section 1087 of the *Standard Specifications* and the following:

# **Epoxy Composition**

Epoxy pavement marking shall conform to the following materials:

| Component   | By Weight |
|---|-----------|
| Binder - Epoxy Resin                                      | 77% Max.  |
| Titanium Dioxide (ASTM D-476-73 Type II & III)            | 18% Min.  |
| Chrome Yellow (for yellow markings) (ASTM D-211 Type III) | 23% Min.  |

The epoxy resin proportion of component A white, and component A yellow shall be identical, if the same component B is used for both white and yellow.

Combine the two components of the resin in the manner and proportions as recommended by the manufacturer based on tested pavement marking performance.

# **Epoxy Pavement Marking Material**

# (A) Formulation

Use epoxy pavement marking material consisting of 100% solid two-part system formulated and designed to provide a simple volumetric mixing ratio of the two components.

# **(B) Epoxide Value**: ASTM D1652

WPE of the epoxy resin shall be  $250 \pm 50$  for both white and yellow component A on a pigment free basis.

(C) Amine Value ASTM D2074

The total amine value of the curing agent (component B) shall be  $450 \pm 50$ 

- (D) Requirements
  - (1) Color

Black: Must meet ASTM standard

(2) Hardness: ASTM D2240

Minimum Shore D hardness: 80

(3) Abrasion Resistance: ASTM C-501

Minimum wear index of catalyzed sample: 80

(4) Adhesion to Concrete: ASTM D4541

At 100% concrete failure: greater than 325 psi

(5) Tensile Strength: ASTM D638

Minimum average tensile strength: 6000 psi

(6) Compressive Strength: ASTM D695

Minimum compressive strength: 12000 psi

(7) Drying Time: ASTM D711

Maximum drying time at  $75\pm2^{\circ}F$ : 10 minutes

(8) Gel Time: ASTM D2471

Maximum gel time: 3 minutes

(E) Material Certification: Type 3 Material Certification and Type 4 Material Certification

# **Construction Methods**

Epoxy Pavement Marking Material shall conform to the requirements of Section 1205 of the *Standard Specifications*.

# (A) Application Equipment

Use epoxy application equipment, which is equipped with or capable of the following:

Precisely metering the two components in the ratio of proportion recommended by the manufacturer.

Producing the required amount of heat at the mixing head and gun tip.

Maintaining temperatures within the tolerances recommended.

Gauges for each proportioning pump so that any pressure difference can be easily monitored.

A minimum 24" long static mixer unit for proper mixing of the two components of the epoxy marking material.

Each component of the epoxy pavement marking shall be in a homogeneous state prior to mixing,

Have the capability to totally mix component A with component B immediately prior to the marking application.

Have the capability to spray both yellow and white pavement marking material and have the equipment mounted on a truck of sufficient size and stability with an adequate power source to produce uniform lines of the specified dimension.

A metering device to register the accumulated installed footage for each gun

#### **(B)** Weather Limitations

Apply epoxy pavement marking only when the ambient air temperature and the pavement surface temperature is a minimum of 35°F and rising.

# (C) Application

Produce epoxy pavement marking lines that have a minimum dry thickness of 15 mils when placed on concrete pavements and 20 mils when placed on asphalt pavements.

Use **Type I** epoxy material (fast dry) for epoxy pavement markings except when otherwise specified in the contract documents.

**Type II** epoxy material may be used with lane closures as approved by the Engineer to allow for curing time.

Do not place epoxy markings on fresh asphalt pavements until 15 days have elapsed after the last asphalt layer is placed.

Using the epoxy application equipment, apply the pavement marking materials simultaneously. Hot-spray the epoxy resin, mixed in accordance with the manufacturer's recommendations, onto the pavement surface within an application temperature range

recommended by the manufacturer. Inject retroreflective glass beads into the molten (liquid) Epoxy Marking.

Individual Components: Before mixing, heat the individual components to within the temperature range of 100°F to 170°F. Do not exceed the upper limit of the manufacturer's recommended heating temperature at any time under any circumstances.

Mixed Material: After mixing, ensure that the application temperatures for the combined materials at the gun tip are within the temperature range recommended by the manufacturer for the particular product used.

Produce marking, which upon cooling, has the ability to resist deformation caused by traffic throughout its entire length.

# (D) Observation Period

Epoxy pavement markings will be subject to a 30 day observation period.

Maintain responsibility for the pavement markings for a 30 day observation period beginning upon the satisfactory completion of all work required in the plans. Guarantee the markings under the payment and performance bond in accordance with Article 105-17.

Have traffic operating on the facility during the entire 30 day observation period unless otherwise directed.

Provide pavement marking material, which during the 30 day observation period, shows no signs of failure due to blistering, excessive cracking, chipping, bleeding, staining, discoloration, oil content of the pavement materials, smearing or spreading under heat, deterioration due to contact with grease deposits, oil, diesel fuel, or gasoline drippings, spilling, poor adhesion to the pavement materials, vehicular damage, debonding and normal wear.

Replace, at no additional expense to the Department, any pavement markings that do not perform satisfactorily under traffic during the 30 day observation period.

# **Measurement and Payment**

| Epoxy  | Pavement      | Marking     | Lines | (Black) | ′     | " will | be  | measured   | and   | paid    | for | as  | the | actual |
|--------|---------------|-------------|-------|---------|-------|--------|-----|------------|-------|---------|-----|-----|-----|--------|
| number | r of linear f | feet of pav | ement | marking | lines | that h | ave | been satis | facto | rily pl | ace | and | acc | epted. |

Payment will be made under:

Pay ItemPay UnitEpoxy Pavement Marking Lines, (Black) \_\_\_\_"Linear Foot

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# STRUCTURE PROJECT SPECIAL PROVISIONS

# **SCOPE OF WORK**

This work shall consist of furnishing all labor, equipment, and materials to replace the existing armored bridge deck joints with elastomeric concrete and foam joint seals. Work includes: removal and replacement of existing armored joints with foam joint seals as directed in the plans, seeding and mulching all disturbed grassed areas; and all incidental items necessary to complete the project as specified and shown on the plans. No separate payment will be made for portable lighting as the cost of such is incidental to the work being performed.

Work will be performed on the existing bridges at the following locations:

- 1.) Guilford County Bridge# 786 & 787- Bridges on I-85 over Little Alamance Creek
- 2.) Guilford County Bridge# 788 & 789- Bridges on I-85 over SR 3029 (Youngs Mill Road)
- 3.) Guilford County Bridge# 790 & 791- Bridges on I-85 over Little Alamance Creek

Contractor shall provide all necessary access; all staging areas, material storage, waste disposal, provide environmental controls to limit loss of materials from sawing equipment, and chipping equipment; and all else necessary to complete the work.

The contractor shall be responsible for fulfilling all requirements of the NCDOT Standard Specifications for Roads and Structures dated January 2012, except as otherwise specified herein.

# **BRIDGE JOINT DEMOLITION**

(SPECIAL)

# **DESCRIPTION**

This provision addresses the removal of existing joint material and adjacent concrete to facilitate the installation of new bridge joints at the locations noted in the contract plans.

# **EQUIPMENT**

Use the following surface preparation equipment:

- Sawing equipment capable of sawing concrete to a specified depth.
- Power driven hand tools for removal of concrete are required that meet the following requirements:

Pneumatic hammers weighing a nominal 15 lbs (7 kg) or less.

Pneumatic hammer chisel-type bits that do not exceed the diameter of the shaft in width.

• Hand tools such as hammers and chisels for removal of final particles of concrete.

#### REMOVAL AND PREPARATION

Prior to any construction, take the necessary precautions to ensure debris from joint construction is not allowed to fall below the bridge deck.

Remove existing joint material by methods approved by the Engineer. Provide a 1" deep saw cut around the perimeter of areas noted for bridge deck removal.

Remove by chipping with hand tools concrete adjacent to the joint to the limits shown on the contract plans. Use a small chipping hammer (15 lb. class) to prepare the edges of the repair area to limit micro fractures. In addition, all loose and unsound concrete shall be removed.

In overhangs, removing concrete areas greater than 0.60 ft2/ft length of bridge will require overhang support. Submit the overhang support method to the Engineer for approval.

Care shall be taken not to cut, stretch, or damage any exposed reinforcing steel. Dispose of the removed concrete.

If the condition of the concrete is such that deep spalls or sheer faces result, notify the Engineer for the proper course of action.

Clean, repair or replace rusted or loose reinforcing steel. Thoroughly clean the newly exposed surface to be free of all grease, oil, curing compounds, acids, dirt, or loose debris.

#### MEASUREMENT AND PAYMENT

*Bridge Joint Demolition* will be measured and paid for at the contract unit price bid per square foot and will be full compensation for removal, containment and disposal of existing joint material and concrete and shall include the cost of labor, tools, equipment and incidentals necessary to complete the work.

Pay ItemPay UnitBridge Joint DemolitionSquare Feet

# **ELASTOMERIC CONCRETE**

(SPECIAL)

#### 1.0 DESCRIPTION

Elastomeric concrete is a mixture of a two-part polymer consisting of polyurethane and/or epoxy and kiln-dried aggregate. Provide an elastomeric concrete and binder system that is preapproved. Use the concrete in the blocked out areas on both sides of the bridge deck joints as indicated on the plans.

# 2.0 MATERIALS

Provide materials that comply with the following minimum requirements at 14 days (or at the end of the specified curing time).

| ELASTOMERIC CONCRETE<br>PROPERTIES | TEST METHOD       | MINIMUM<br>REQUIREMENT |
|------------------------------------|-------------------|------------------------|
| Compressive Strength, psi          | ASTM D695         | 2000                   |
| 5% Deflection Resilience           | ASTM D695         | 95                     |
| Splitting Tensile Strength, psi    | ASTM D3967        | 625                    |
| Bond Strength to Concrete, psi     | ASTM D882 (D882M) | 450                    |
| Durometer Hardness                 | ASTM D2240        | 50                     |

| BINDER PROPERTIES (without aggregate) | TEST METHOD | MINIMUM<br>REQUIREMENT |  |  |
|---------------------------------------|-------------|------------------------|--|--|
| Tensile Strength, psi                 | ASTM D638   | 1000                   |  |  |
| Ultimate Elongation                   | ASTM D638   | 150%                   |  |  |
| Tear Resistance, lb/in                | ASTM D624   | 200                    |  |  |

In addition to the requirements above, the elastomeric concrete must be resistant to water, chemical, UV and ozone exposure and withstand temperature extremes. Elastomeric concrete systems requiring preheated aggregates are not allowed.

# 3.0 PREQUALIFICATION

Manufacturers of elastomeric concrete materials shall submit samples (including aggregate, primer and binder materials) and a Type 3 certification in accordance with Article 106-3 of the Standard Specifications for prequalification to:

North Carolina Department of Transportation Materials and Tests Unit 1801 Blue Ridge Road Raleigh, NC 27607 Prequalification will be determined for the system. Individual components will not be evaluated, nor will individual components of previously evaluated systems be deemed prequalified for use.

The submitted binder (a minimum volume of 1 gallon) and corresponding aggregate samples will be evaluated for compliance with the Materials requirements specified above. Systems satisfying all of the Materials requirements will be prequalified for a one year period. Before the end of this period new product samples shall be resubmitted for prequalification evaluation.

If, at any time, any formulation or component modifications are made to a prequalified system that system will no longer be approved for use.

## 4.0 Installation

The elastomeric concrete shall not be placed until the reinforced concrete deck slab has cured for seven full days and reached a minimum strength of 3000 psi.

Provide a manufacturer's representative at the bridge site during the installation of the elastomeric concrete to ensure that all steps being performed comply with all manufacturer installation requirements including, but not limited to weather conditions (ambient temperature, relative humidity, precipitation, wind, etc), concrete deck surface preparation, binder and aggregate mixing, primer application, elastomeric concrete placement, curing conditions and minimum curing time before joint exposure to traffic. Do not place elastomeric concrete if the ambient air or surface temperature is below 45°F.

Prepare the concrete surface within 48 hours prior to placing the elastomeric concrete. Before placing the elastomeric concrete, all concrete surfaces shall be thoroughly cleaned and dry. Sandblast the concrete surface in the blockout and clear the surface of all loose debris. Do not place the elastomeric concrete until the surface preparation is completed and approved.

Prepare and apply a primer, as per manufacturer's recommendations, to all concrete faces to be in contact with elastomeric concrete, and to areas specified by the manufacturer.

Prepare, batch, and place the elastomeric concrete in accordance with the manufacturer's instructions. Place the elastomeric concrete in the areas specified on the plans while the primer is still tacky and within 2 hours after applying the primer. Trowel the elastomeric concrete to a smooth finish.

The joint opening in the elastomeric concrete shall match the formed opening in the concrete deck prior to sawing the joint.

# 5.0 FIELD SAMPLING

Provide additional production material to allow freshly mixed elastomeric concrete to be sampled for acceptance. A minimum of six 2 inch cube molds and three 3x6 inch cylinders will be taken by the Department for each day's production. Compression, splitting tensile,

and durometer hardness testing will be performed by the Department to determine acceptance. Materials failing to meet the requirements listed above are subject to removal and replacement at no cost to the Department.

### **6.0 BASIS OF PAYMENT**

*Elastomeric Concrete* will be measured and paid for at the contract unit price bid per cubic foot and will be full compensation for furnishing and placing the Elastomeric Concrete.

Pay ItemPay UnitElastomeric ConcreteCubic Feet

# **FOAM JOINT SEALS**

(SPECIAL)

# **SEALS**

Use preformed seals compatible with concrete and resistant to abrasion, oxidation, oils, gasoline, salt and other materials that are spilled on or applied to the surface. Use a resilient, UV stable, preformed, impermeable, flexible, expansion joint seal. The joint seal shall consist of low-density, closed cell, cross-linked polyethylene non-extrudable, foam. The joint seal shall contain no EVA (Ethylene Vinyl Acetate). Cell generation shall be achieved by being physically blown using nitrogen. No chemical blowing agents shall be used in the cell generation process.

Use seals manufactured with grooves 1/8"± wide by 1/8"± deep and spaced between 1/4" and 1/2" apart along the bond surface running the length of the joint. Use seals with a depth that meets the manufacturer's recommendation, but is not less than 70% of the uncompressed width. Provide a seal designed so that, when compressed, the center portion of the top does not extend upward above the original height of the seal by more than 1/4". Provide a seal that has a working range of 30% tension and 60% compression and meets the requirements given below.

| TEST                | TEST METHOD                                  | REQUIREMENT              |
|---------------------|--|--------------------------|
| Tensile strength    | ASTM D3575-08, Suffix T                      | 110 – 130 psi            |
| Compression Set     | ASTM D1056<br>Suffix B, 2 hr recovery        | 10% - 16%                |
| Water Absorption    | ASTM D3575                                   | $< 0.03 \text{ lb/ft}^2$ |
| Elongation at Break | ASTM D3575                                   | 180% - 210%              |
| Tear Strength       | ASTM D624 (D3575-08, Suffix G)               | 14 – 20 pli              |
| Density             | Density ASTM D3575-08,<br>Suffix W, Method A |                          |
| Toxicity            | ISO-10993.5                                  | Pass (not cytotoxic)     |

Have the top of the joint seal clearly shop marked. Inspect the joint seals upon receipt to ensure that the marks are clearly visible before installation.

#### **BONDING ADHESIVE**

Use a two component, 100% solid, modified epoxy adhesive supplied by the joint seal manufacturer that meets the requirements given below.

| TEST                 | TEST METHOD   | REQUIREMENT          |
|----------------------|---------------|----------------------|
| Tensile strength     | ASTM D638     | 3000 psi (min.)      |
| Compressive strength | ASTM D695     | 7000 psi (min.)      |
| Hardness             | Shore D Scale | 75-85 psi            |
| Water Absorption     | ASTM D570     | 0.25% by weight max. |
| Elongation to Break  | ASTM D638     | 5% (max.)            |
| Bond Strength        | ASTM C882     | 2000 psi (min.)      |

Use an adhesive that is workable to 40°F. When installing in ambient air or surface temperatures below 40°F or for application on moist, difficult to dry concrete surfaces, use an adhesive specified by the manufacturer of the joint seal.

### **SEAL INSTALLATION**

Install the joint seal according to the manufacturer's procedures and recommendations and as recommended below. Do not install the joint seal if the ambient air or surface temperature is below 45°F. Have a manufacturer's certified trained factory representative present during the installation of the first seal of the project.

Before installing the joint seal, check the uninstalled seal length to insure the seal is the same length as the deck opening. When the joint seal requires splicing, use the heat welding method by placing the joint material ends against a teflon heating iron of 425-475°F for 7 - 10 seconds, then pressing the ends together tightly. Do not test the welding until the material has completely cooled.

Begin installation by protecting the top edges of the concrete deck adjacent to the vertical walls of the joint as a means to minimize clean up. After opening both cans of the bonding agent, stir each can using separate stirring rods for each component to prevent premature curing of the bonding agent. Pour the two components, at the specified mixing ratio, into a clean mixing bucket. Mix the components with a low speed drill (400 rpm max.) until a uniform gray color is achieved without visible marbling. Apply bonding agent to both sides of the armored angles as well as both sides of the joint seal, making certain to completely fill the grooves with epoxy. With gloved hands, compress the joint seal and with the help of a blunt probe, push the seal into the joint opening until the seal is recessed approximately 1/4" below the surface. When pushing down on the joint seal, apply pressure only in a downward direction. Do not push the joint seal into the joint opening at

an angle that would stretch the material. Seals that are stretched during installation shall be removed and rejected. Once work on placing a seal begins, do not stop until it is completed. Clean the excess epoxy from the top of the joint seal immediately with a trowel. Do not use solvents or any cleaners to remove the excess epoxy from the top of the seal. Remove the protective cover at the joint edges and check for any excess epoxy on the surface. Remove excess epoxy with a trowel, the use of solvents or any cleaners will not be allowed.

The installed system shall be watertight and will be monitored until final inspection and approval. Do not place pavement markings on top of foam joint seals.

# **BASIS OF PAYMENT**

Payment for all foam joint seals will be at the lump sum contract price bid for "Foam Joint Seals". Prices and payment will be full compensation for furnishing all material, including elastomeric concrete, labor, tools and equipment necessary for installing these units in place and accepted.

# **GROUT FOR STRUCTURES**

(9-30-11)

#### 1.0 DESCRIPTION

This special provision addresses grout for use in pile blockouts, grout pockets, shear keys, dowel holes and recesses for structures. This provision does not apply to grout placed in post-tensioning ducts for bridge beams, girders, or decks. Mix and place grout in accordance with the manufacturer's recommendations, the applicable sections of the Standard Specifications and this provision.

# 2.0 MATERIAL REQUIREMENTS

Use a Department approved pre-packaged, non-shrink, non-metallic grout. Contact the Materials and Tests Unit for a list of approved pre-packaged grouts and consult the manufacturer to determine if the pre-packaged grout selected is suitable for the required application.

When using an approved pre-packaged grout, a grout mix design submittal is not required.

The grout shall be free of soluble chlorides and contain less than one percent soluble sulfate. Supply water in compliance with Article 1024-4 of the Standard Specifications.

Aggregate may be added to the mix only where recommended or permitted by the manufacturer and Engineer. The quantity and gradation of the aggregate shall be in accordance with the manufacturer's recommendations.

Admixtures, if approved by the Department, shall be used in accordance with the manufacturer's recommendations. The manufacture date shall be clearly stamped on each container. Admixtures with an expired shelf life shall not be used.

The Engineer reserves the right to reject material based on unsatisfactory performance.

Initial setting time shall not be less than 10 minutes when tested in accordance with ASTM C266.

Test the expansion and shrinkage of the grout in accordance with ASTM C1090. The grout shall expand no more than 0.2% and shall exhibit no shrinkage. Furnish a Type 4 material certification showing results of tests conducted to determine the properties listed in the Standard Specifications and to assure the material is non-shrink.

Unless required elsewhere in the contract the compressive strength at 3 days shall be at least 5000 psi. Compressive strength in the laboratory shall be determined in accordance with ASTM C109 except the test mix shall contain only water and the dry manufactured material. Compressive strength in the field will be determined by molding and testing 4" x 8" cylinders in accordance with AASHTO T22. Construction loading and traffic loading shall not be allowed until the 3 day compressive strength is achieved.

When tested in accordance with ASTM C666, Procedure A, the durability factor of the grout shall not be less than 80.

## 3.0 SAMPLING AND PLACEMENT

Place and maintain components in final position until grout placement is complete and accepted. Concrete surfaces to receive grout shall be free of defective concrete, laitance, oil, grease and other foreign matter. Saturate concrete surfaces with clean water and remove excess water prior to placing grout.

Do not place grout if the grout temperature is less than 50°F or more than 90°F or if the air temperature measured at the location of the grouting operation in the shade away from artificial heat is below 45°F.

Provide grout at a rate that permits proper handling, placing and finishing in accordance with the manufacturer's recommendations unless directed otherwise by the Engineer. Use grout free of any lumps and undispersed cement. Agitate grout continuously before placement.

Control grout delivery so the interval between placing batches in the same component does not exceed 20 minutes.

The Engineer will determine the locations to sample grout and the number and type of samples collected for field and laboratory testing. The compressive strength of the grout will be considered the average compressive strength test results of 3 cube or 2 cylinder specimens at 28 days.

### 4.0 BASIS OF PAYMENT

No separate payment will be made for "Grout for Structures". The cost of the material, equipment, labor, placement, and any incidentals necessary to complete the work shall be considered incidental to the structure item requiring grout.

# FALSEWORK AND FORMWORK

(4-5-12)

# 1.0 DESCRIPTION

Use this Special Provision as a guide to develop temporary works submittals required by the Standard Specifications or other provisions; no additional submittals are required herein. Such temporary works include, but are not limited to, falsework and formwork.

Falsework is any temporary construction used to support the permanent structure until it becomes self-supporting. Formwork is the temporary structure or mold used to retain plastic or fluid concrete in its designated shape until it hardens. Access scaffolding is a temporary structure that functions as a work platform that supports construction personnel, materials, and tools, but is not intended to support the structure. Scaffolding systems that are used to temporarily support permanent structures (as opposed to functioning as work platforms) are considered to be falsework under the definitions given. Shoring is a component of falsework such as horizontal, vertical, or inclined support members. Where the term "temporary works" is used, it includes all of the temporary facilities used in bridge construction that do not become part of the permanent structure.

Design and construct safe and adequate temporary works that will support all loads imposed and provide the necessary rigidity to achieve the lines and grades shown on the plans in the final structure.

# 2.0 MATERIALS

Select materials suitable for temporary works; however, select materials that also ensure the safety and quality required by the design assumptions. The Engineer has authority to reject material on the basis of its condition, inappropriate use, safety, or nonconformance with the plans. Clearly identify allowable loads or stresses for all materials or manufactured devices on the plans. Revise the plan and notify the Engineer if any change to materials or material strengths is required.

# 3.0 DESIGN REQUIREMENTS

# A. Working Drawings

Provide working drawings for items as specified in the contract, or as required by the Engineer, with design calculations and supporting data in sufficient detail to permit a structural and safety review of the proposed design of the temporary work.

On the drawings, show all information necessary to allow the design of any component to be checked independently as determined by the Engineer.

When concrete placement is involved, include data such as the drawings of proposed sequence, rate of placement, direction of placement, and location of all construction joints. Submit the number of copies as called for by the contract.

When required, have the drawings and calculations prepared under the guidance of, and sealed by, a North Carolina Registered Professional Engineer who is knowledgeable in temporary works design.

If requested by the Engineer, submit with the working drawings manufacturer's catalog data listing the weight of all construction equipment that will be supported on the temporary work. Show anticipated total settlements and/or deflections of falsework and forms on the working drawings. Include falsework footing settlements, joint take-up, and deflection of beams or girders.

As an option for the Contractor, overhang falsework hangers may be uniformly spaced, at a maximum of 36 inches, provided the following conditions are met:

| Member<br>Type<br>(PCG) | Member<br>Depth,<br>(inches) | Max. Overhang<br>Width,<br>(inches) | Max. Slab Edge<br>Thickness,<br>(inches) | Max. Screed<br>Wheel Weight,<br>(lbs.) | Bracket Min. Vertical Leg Extension, (inches) |
|-------------------------|------------------------------|-------------------------------------|--|--|---|
| II                      | 36                           | 39                                  | 14                                       | 2000                                   | 26  |
| III                     | 45                           | 42                                  | 14                                       | 2000                                   | 35  |
| IV                      | 54                           | 45                                  | 14                                       | 2000                                   | 44  |
| MBT                     | 63                           | 51                                  | 12                                       | 2000                                   | 50  |
| MBT                     | 72                           | 55                                  | 12                                       | 1700                                   | 48  |

Overhang width is measured from the centerline of the girder to the edge of the deck slab.

For Type II, III & IV prestressed concrete girders (PCG), 45-degree cast-in-place half hangers and rods must have a minimum safe working load of 6,000 lbs.

For MBT prestressed concrete girders, 45-degree angle holes for falsework hanger rods shall be cast through the girder top flange and located, measuring along the top of the member, 1'-2 ½" from the edge of the top flange. Hanger hardware and rods must have a minimum safe working load of 6,000 lbs.

The overhang bracket provided for the diagonal leg shall have a minimum safe working load of 3,750 lbs. The vertical leg of the bracket shall extend to the point that the heel bears on the girder bottom flange, no closer than 4 inches from the bottom of the member. However, for 72-inch members, the heel of the bracket shall bear on the web, near the bottom flange transition.

Provide adequate overhang falsework and determine the appropriate adjustments for deck geometry, equipment, casting procedures and casting conditions.

If the optional overhang falsework spacing is used, indicate this on the falsework submittal and advise the girder producer of the proposed details. Failure to notify the Engineer of hanger type and hanger spacing on prestressed concrete girder casting drawings may delay the approval of those drawings.

Falsework hangers that support concentrated loads and are installed at the edge of thin top flange concrete girders (such as bulb tee girders) shall be spaced so as not to exceed 75% of the manufacturer's stated safe working load. Use of dual leg hangers (such as Meadow Burke HF-42 and HF-43) are not allowed on concrete girders with thin top flanges. Design the falsework and forms supporting deck slabs and overhangs on girder bridges so that there will be no differential settlement between the girders and the deck forms during placement of deck concrete.

When staged construction of the bridge deck is required, detail falsework and forms for screed and fluid concrete loads to be independent of any previous deck pour components when the mid-span girder deflection due to deck weight is greater than 34".

Note on the working drawings any anchorages, connectors, inserts, steel sleeves or other such devices used as part of the falsework or formwork that remains in the permanent structure. If the plan notes indicate that the structure contains the necessary corrosion protection required for a Corrosive Site, epoxy coat, galvanize or metalize these devices. Electroplating will not be allowed. Any coating required by the Engineer will be considered incidental to the various pay items requiring temporary works.

Design falsework and formwork requiring submittals in accordance with the 1995 AASHTO *Guide Design Specifications for Bridge Temporary Works* except as noted herein.

# 1. Wind Loads

Table 2.2 of Article 2.2.5.1 is modified to include wind velocities up to 110 mph. In addition, Table 2.2A is included to provide the maximum wind speeds by county in North Carolina.

**Table 2.2 - Wind Pressure Values** 

| Height Zone       | Pressure, lb/ft <sup>2</sup> for Indicated Wind Velocity, mph |    |    |     |     |
|-------------------|---|----|----|-----|-----|
| feet above ground | 70  | 80 | 90 | 100 | 110 |
| 0 to 30           | 15  | 20 | 25 | 30  | 35  |
| 30 to 50          | 20  | 25 | 30 | 35  | 40  |
| 50 to 100         | 25  | 30 | 35 | 40  | 45  |

| over 100 | 30 | 35 | 40 | 45 | 50 |
|----------|----|----|----|----|----|
|----------|----|----|----|----|----|

# 2. Time of Removal

The following requirements replace those of Article 3.4.8.2.

Do not remove forms until the concrete has attained strengths required in Article 420-16 of the Standard Specifications and these Special Provisions.

Do not remove forms until the concrete has sufficient strength to prevent damage to the surface.

Table 2.2A - Steady State Maximum Wind Speeds by Counties in North Carolina

| COUNTY    | 25 YR (mph) | COUNTY    | 25 YR (mph) | COUNTY       | 25 YR (mph) |
|-----------|-------------|-----------|-------------|--------------|-------------|
| Alamance  | 70          | Franklin  | 70          | Pamlico      | 100         |
| Alexander | 70          | Gaston    | 70          | Pasquotank   | 100         |
| Alleghany | 70          | Gates     | 90          | Pender       | 100         |
| Anson     | 70          | Graham    | 80          | Perquimans   | 100         |
| Ashe      | 70          | Granville | 70          | Person       | 70          |
| Avery     | 70          | Greene    | 80          | Pitt         | 90          |
| Beaufort  | 100         | Guilford  | 70          | Polk         | 80          |
| Bertie    | 90          | Halifax   | 80          | Randolph     | 70          |
| Bladen    | 90          | Harnett   | 70          | Richmond     | 70          |
| Brunswick | 100         | Haywood   | 80          | Robeson      | 80          |
| Buncombe  | 80          | Henderson | 80          | Rockingham   | 70          |
| Burke     | 70          | Hertford  | 90          | Rowan        | 70          |
| Cabarrus  | 70          | Hoke      | 70          | Rutherford   | 70          |
| Caldwell  | 70          | Hyde      | 110         | Sampson      | 90          |
| Camden    | 100         | Iredell   | 70          | Scotland     | 70          |
| Carteret  | 110         | Jackson   | 80          | Stanley      | 70          |
| Caswell   | 70          | Johnston  | 80          | Stokes       | 70          |
| Catawba   | 70          | Jones     | 100         | Surry        | 70          |
| Cherokee  | 80          | Lee       | 70          | Swain        | 80          |
| Chatham   | 70          | Lenoir    | 90          | Transylvania | 80          |
| Chowan    | 90          | Lincoln   | 70          | Tyrell       | 100         |
| Clay      | 80          | Macon     | 80          | Union        | 70          |
| Cleveland | 70          | Madison   | 80          | Vance        | 70          |
| Columbus  | 90          | Martin    | 90          | Wake         | 70          |
| Craven    | 100         | McDowell  | 70          | Warren       | 70          |

| Cumberland | 80  | Mecklenburg | 70  | Washington | 100 |
|------------|-----|-------------|-----|------------|-----|
| Currituck  | 100 | Mitchell    | 70  | Watauga    | 70  |
| Dare       | 110 | Montgomery  | 70  | Wayne      | 80  |
| Davidson   | 70  | Moore       | 70  | Wilkes     | 70  |
| Davie      | 70  | Nash        | 80  | Wilson     | 80  |
| Duplin     | 90  | New Hanover | 100 | Yadkin     | 70  |
| Durham     | 70  | Northampton | 80  | Yancey     | 70  |
| Edgecombe  | 80  | Onslow      | 100 |            |     |
| Forsyth    | 70  | Orange      | 70  |            |     |

# B. Review and Approval

The Engineer is responsible for the review and approval of temporary works' drawings.

Submit the working drawings sufficiently in advance of proposed use to allow for their review, revision (if needed), and approval without delay to the work.

The time period for review of the working drawings does not begin until complete drawings and design calculations, when required, are received by the Engineer.

Do not start construction of any temporary work for which working drawings are required until the drawings have been approved. Such approval does not relieve the Contractor of the responsibility for the accuracy and adequacy of the working drawings.

# 4.0 CONSTRUCTION REQUIREMENTS

All requirements of Section 420 of the Standard Specifications apply.

Construct temporary works in conformance with the approved working drawings. Ensure that the quality of materials and workmanship employed is consistent with that assumed in the design of the temporary works. Do not weld falsework members to any portion of the permanent structure unless approved. Show any welding to the permanent structure on the approved construction drawings.

Provide tell-tales attached to the forms and extending to the ground, or other means, for accurate measurement of falsework settlement. Make sure that the anticipated compressive settlement and/or deflection of falsework does not exceed 1 inch. For cast-in-place concrete structures, make sure that the calculated deflection of falsework flexural members does not exceed 1/240 of their span regardless of whether or not the deflection is compensated by camber strips.

# A. Maintenance and Inspection

Inspect and maintain the temporary work in an acceptable condition throughout the period of its use. Certify that the manufactured devices have been maintained in a

condition to allow them to safely carry their rated loads. Clearly mark each piece so that its capacity can be readily determined at the job site.

Perform an in-depth inspection of an applicable portion(s) of the temporary works, in the presence of the Engineer, not more than 24 hours prior to the beginning of each concrete placement. Inspect other temporary works at least once a month to ensure that they are functioning properly. Have a North Carolina Registered Professional Engineer inspect the cofferdams, shoring, sheathing, support of excavation structures, and support systems for load tests prior to loading.

### B. Foundations

Determine the safe bearing capacity of the foundation material on which the supports for temporary works rest. If required by the Engineer, conduct load tests to verify proposed bearing capacity values that are marginal or in other high-risk situations.

The use of the foundation support values shown on the contract plans of the permanent structure is permitted if the foundations are on the same level and on the same soil as those of the permanent structure.

Allow for adequate site drainage or soil protection to prevent soil saturation and washout of the soil supporting the temporary works supports.

If piles are used, the estimation of capacities and later confirmation during construction using standard procedures based on the driving characteristics of the pile is permitted. If preferred, use load tests to confirm the estimated capacities; or, if required by the Engineer conduct load tests to verify bearing capacity values that are marginal or in other high risk situations.

The Engineer reviews and approves the proposed pile and soil bearing capacities.

### 5.0 REMOVAL

Unless otherwise permitted, remove and keep all temporary works upon completion of the work. Do not disturb or otherwise damage the finished work.

Remove temporary works in conformance with the contract documents. Remove them in such a manner as to permit the structure to uniformly and gradually take the stresses due to its own weight.

# 6.0 METHOD OF MEASUREMENT

Unless otherwise specified, temporary works will not be directly measured.

#### 7.0 BASIS OF PAYMENT

Payment at the contract unit prices for the various pay items requiring temporary works will be full compensation for the above falsework and formwork.

# **SUBMITTAL OF WORKING DRAWINGS**

(6-19-15)

### 8.0 GENERAL

Submit working drawings in accordance with Article 105-2 of the Standard Specifications and this provision. For this provision, "submittals" refers to only those listed in this provision. The list of submittals contained herein does not represent a list of required submittals for the project. Submittals are only necessary for those items as required by the contract. Make submittals that are not specifically noted in this provision directly to the Engineer. Either the Structures Management Unit or the Geotechnical Engineering Unit or both units will jointly review submittals.

If a submittal contains variations from plan details or specifications or significantly affects project cost, field construction or operations, discuss the submittal with and submit all copies to the Engineer. State the reason for the proposed variation in the submittal. To minimize review time, make sure all submittals are complete when initially submitted. Provide a contact name and information with each submittal. Direct any questions regarding submittal requirements to the Engineer, Structures Management Unit contacts or the Geotechnical Engineering Unit contacts noted below.

In order to facilitate in-plant inspection by NCDOT and approval of working drawings, provide the name, address and telephone number of the facility where fabrication will actually be done if different than shown on the title block of the submitted working drawings. This includes, but is not limited to, precast concrete items, prestressed concrete items and fabricated steel or aluminum items.

#### 9.0 ADDRESSES AND CONTACTS

For submittals to the Structures Management Unit, use the following addresses:

Via US mail:

Mr. T. K. Koch, P. E. State Structures Engineer North Carolina Department of Transportation Structures Management Unit

1581 Mail Service Center Raleigh, NC 27699-1581

Attention: Mr. P. D. Lambert, P. E.

Submittals may also be made via email.

Send submittals to:

plambert@ncdot.gov (Paul Lambert)

Send an additional e-copy of the submittal to the following address:

jgaither@ncdot.gov (James Gaither) Via other delivery service:

Mr. T. K. Koch, P. E. State Structures Engineer North Carolina Department of Transportation

Structures Management Unit

1000 Birch Ridge Drive Raleigh, NC 27610

Attention: Mr. P. D. Lambert, P. E.

mrorie@ncdot.gov (Madonna Rorie)

For submittals to the Geotechnical Engineering Unit, use the following addresses:

For projects in Divisions 1-7, use the following Eastern Regional Office address:

Via US mail: Via other delivery service:

Mr. K. J. Kim, Ph. D., P. E.
Eastern Regional Geotechnical

Mr. K. J. Kim, Ph. D., P. E.
Eastern Regional Geotechnical

Manager Manager

North Carolina Department North Carolina Department

of Transportation of Transportation

Geotechnical Engineering Unit Geotechnical Engineering Unit

Eastern Regional Office Eastern Regional Office

1570 Mail Service Center 3301 Jones Sausage Road, Suite 100

Raleigh, NC 27699-1570 Garner, NC 27529

For projects in Divisions 8-14, use the following Western Regional Office address:

Via US mail: Via other delivery service:

Mr. Eric Williams, P. E.

Mr. Eric Williams, P. E.

Western Regional Geotechnical Western Region Geotechnical

Manager Manager

North Carolina Department North Carolina Department

of Transportation of Transportation

Geotechnical Engineering Unit Geotechnical Engineering Unit

Western Regional Office
5253 Z Max Boulevard
5253 Z Max Boulevard
Harrisburg, NC 28075
Western Regional Office
5253 Z Max Boulevard
Harrisburg, NC 28075

The status of the review of structure-related submittals sent to the Structures Management Unit can be viewed from the Unit's web site, via the "Drawing Submittal Status" link.

Direct any questions concerning submittal review status, review comments or drawing markups to the following contacts:

| Primary Structures Contact: | Paul Lambert | (919) 707 – 6407  |
|-----------------------------|--------------|-------------------|
|                             | 0 1 11       | (0.4.0) 0.70 4000 |

facsimile (919) 250 – 4082

plambert@ncdot.gov

Secondary Structures Contacts: James Gaither (919) 707 – 6409

Madonna Rorie (919) 707 – 6508

Eastern Regional Geotechnical Contact (Divisions 1-7):

K. J. Kim (919) 662 –4710 facsimile (919) 662 –3095

(919) 662 –3095 kkim@ncdot.gov Western Regional Geotechnical Contact (Divisions 8-14):

Eric Williams
facsimile

(704) 455 – 8902
(704) 455 – 8912
ewilliams3@ncdot.gov

## 10.0 SUBMITTAL COPIES

- Furnish one complete copy of each submittal, including all attachments, to the Engineer. At the same time, submit the number of hard copies shown below of the same complete submittal directly to the Structures Management Unit and/or the Geotechnical Engineering Unit.
- The first table below covers "Structure Submittals". The Engineer will receive review comments and drawing markups for these submittals from the Structures Management Unit. The second table in this section covers "Geotechnical Submittals". The Engineer will receive review comments and drawing markups for these submittals from the Geotechnical Engineering Unit.
- Unless otherwise required, submit one set of supporting calculations to either the Structures Management Unit or the Geotechnical Engineering Unit unless both units require submittal copies in which case submit a set of supporting calculations to each unit. Provide additional copies of any submittal as directed.

# STRUCTURE SUBMITTALS

| Submittal  | Copies Required by Structures Management Unit | Copies Required by Geotechnical Engineering Unit | Contract Reference<br>Requiring Submittal <sup>1</sup> |
|--|---|--|--|
| Arch Culvert Falsework                                       | 5   | 0  | Plan Note, SN Sheet & "Falsework and Formwork"         |
| Box Culvert Falsework <sup>7</sup>                           | 5   | 0  | Plan Note, SN Sheet & "Falsework and Formwork"         |
| Cofferdams   | 6   | 2  | Article 410-4  |
| Foam Joint Seals 6   | 9   | 0  | "Foam Joint Seals"                                     |
| Expansion Joint Seals (hold down plate type with base angle) | 9   | 0  | "Expansion Joint Seals"                                |
| Expansion Joint Seals (modular)                              | 2, then 9                                     | 0  | "Modular Expansion Joint Seals"                        |

| Expansion Joint Seals (strip seals)  | 9                         | 0 | "Strip Seals"  |
|--|---------------------------|---|--|
| Falsework & Forms <sup>2</sup> (substructure)                                    | 8                         | 0 | Article 420-3 & "Falsework and Formwork"   |
| Falsework & Forms (superstructure)   | 8                         | 0 | Article 420-3 & "Falsework and Formwork"   |
| Girder Erection over Railroad  | 5                         | 0 | <b>Railroad Provisions</b>   |
| Maintenance and Protection of<br>Traffic Beneath Proposed<br>Structure           | 8                         | 0 | "Maintenance and<br>Protection of Traffic<br>Beneath Proposed Structure<br>at Station" |
| Metal Bridge Railing   | 8                         | 0 | Plan Note  |
| Metal Stay-in-Place Forms  | 8                         | 0 | Article 420-3  |
| Metalwork for Elastomeric<br>Bearings <sup>4,5</sup>                             | 7                         | 0 | Article 1072-8   |
| Miscellaneous Metalwork <sup>4,5</sup>   | 7                         | 0 | Article 1072-8   |
| Disc Bearings <sup>4</sup>   | 8                         | 0 | "Disc Bearings"  |
| Overhead and Digital Message<br>Signs (DMS) (metalwork and<br>foundations)       | 13                        | 0 | Applicable Provisions  |
| Placement of Equipment on Structures (cranes, etc.)                              | 7                         | 0 | Article 420-20   |
| Precast Concrete Box Culverts  | 2, then<br>1 reproducible | 0 | "Optional Precast<br>Reinforced Concrete Box<br>Culvert at Station"                    |
| Prestressed Concrete Cored Slab (detensioning sequences) 3                       | 6                         | 0 | Article 1078-11  |
| Prestressed Concrete Deck Panels   | 6 and<br>1 reproducible   | 0 | Article 420-3  |
| Prestressed Concrete Girder<br>(strand elongation and<br>detensioning sequences) | 6                         | 0 | Articles 1078-8 and 1078-<br>11  |
| Removal of Existing Structure over Railroad                                      | 5                         | 0 | Railroad Provisions  |
| Revised Bridge Deck Plans  | 2, then                   | 0 | Article 420-3  |

| (adaptation to prestressed deck panels)                                       | 1 reproducible            |   |   |
|---|---------------------------|---|---|
| Revised Bridge Deck Plans<br>(adaptation to modular<br>expansion joint seals) | 2, then<br>1 reproducible | 0 | "Modular Expansion Joint Seals"   |
| Sound Barrier Wall (precast items)  | 10                        | 0 | Article 1077-2 & "Sound Barrier Wall"   |
| Sound Barrier Wall Steel<br>Fabrication Plans <sup>5</sup>                    | 7                         | 0 | Article 1072-8 & "Sound Barrier Wall"   |
| Structural Steel <sup>4</sup>   | 2, then 7                 | 0 | Article 1072-8  |
| Temporary Detour Structures   | 10                        | 2 | Article 400-3 & "Construction, Maintenance and Removal of Temporary Structure at Station" |
| TFE Expansion Bearings <sup>4</sup>   | 8                         | 0 | Article 1072-8  |

# **FOOTNOTES**

- 1. References are provided to help locate the part of the contract where the submittals are required. References in quotes refer to the provision by that name. Articles refer to the *Standard Specifications*.
- 2. Submittals for these items are necessary only when required by a note on plans.
- 3. Submittals for these items may not be required. A list of pre-approved sequences is available from the producer or the Materials & Tests Unit.
- 4. The fabricator may submit these items directly to the Structures Management Unit.
- 5. The two sets of preliminary submittals required by Article 1072-8 of the *Standard Specifications* are not required for these items.
- 6. Submittals for Fabrication Drawings are not required. Submittals for Catalogue Cuts of Proposed Material are required. See Section 5.A of the referenced provision.
- 7. Submittals are necessary only when the top slab thickness is 18" or greater.

# GEOTECHNICAL SUBMITTALS

|           | Copies             | Copies      |                                  |
|-----------|--------------------|-------------|----------------------------------|
|           | Required by        | Required by |                                  |
|           | Geotechnical       | Structures  |                                  |
|           | <b>Engineering</b> | Management  | Contract Reference               |
| Submittal | Unit               | Unit        | Requiring Submittal <sup>1</sup> |
|           |                    |             |                                  |

### **FOOTNOTES**

1. References are provided to help locate the part of the contract where the submittals are required. References in quotes refer to the provision by that name. Subarticles refer to the *Standard Specifications*.

Walls"

2 calculations

- 2. Submit one hard copy of submittal to the Engineer. Submit a second copy of submittal electronically (PDF via email) or by facsimile, US mail or other delivery service to the appropriate Geotechnical Engineering Unit regional office. Electronic submission is preferred.
- 3. The Pile Driving Equipment Data Form is available from: <a href="https://connect.ncdot.gov/resources/Geological/Pages/Geotech\_Forms\_Details.aspx">https://connect.ncdot.gov/resources/Geological/Pages/Geotech\_Forms\_Details.aspx</a> See second page of form for submittal instructions.
- 4. Electronic copy of submittal is required. See referenced provision.

CRANE SAFETY (8-15-05)

Comply with the manufacturer specifications and limitations applicable to the operation of any and all cranes and derricks. Prime contractors, sub-contractors, and fully operated rental companies shall comply with the current Occupational Safety and Health Administration regulations (OSHA).

Submit all items listed below to the Engineer prior to beginning crane operations involving critical lifts. A critical lift is defined as any lift that exceeds 75 percent of the manufacturer's crane chart capacity for the radius at which the load will be lifted or requires the use of more than one crane. Changes in personnel or equipment must be reported to the Engineer and all applicable items listed below must be updated and submitted prior to continuing with crane operations.

### CRANE SAFETY SUBMITTAL LIST

- A. <u>Competent Person:</u> Provide the name and qualifications of the "Competent Person" responsible for crane safety and lifting operations. The named competent person will have the responsibility and authority to stop any work activity due to safety concerns.
- B. <u>Riggers:</u> Provide the qualifications and experience of the persons responsible for rigging operations. Qualifications and experience should include, but not be limited to, weight calculations, center of gravity determinations, selection and inspection of sling and rigging equipment, and safe rigging practices.
- C. <u>Crane Inspections:</u> Inspection records for all cranes shall be current and readily accessible for review upon request.
- D. <u>Certifications:</u> By July 1, 2006, crane operators performing critical lifts shall be certified by NC CCO (National Commission for the Certification of Crane Operators), or satisfactorily complete the Carolinas AGC's Professional Crane Operator's Proficiency Program. Other approved nationally accredited programs will be considered upon request. All crane operators shall also have a current CDL medical card. Submit a list of anticipated critical lifts and corresponding crane operator(s). Include current certification for the type of crane operated (small hydraulic, large hydraulic, small lattice, large lattice) and medical evaluations for each operator.

Mar 07, 2016 12:19 pm

# ITEMIZED PROPOSAL FOR CONTRACT NO. C203785

| Р | aq | е | 1 | of | 4 |
|---|----|---|---|----|---|
|   |    |   |   |    |   |

County: Guilford

|              |  |  |  | Unit Cost   | Amount             |
|--------------|--|--|--|---|--------------------|
|              | F  | ROADWAY ITEMS  |  |   |                    |
| 0000100000-N | 800  | MOBILIZATION   | Lump Sum   | L.S.  |                    |
| 0036000000-Е |  |  | 293<br>CY  |   |                    |
| 0196000000-E |  |  | 1,758<br>SY  |   |                    |
| 0314000000-Е | SP   | SELECT MATERIAL, CLASS ***** (IV)  | 791<br>TON   |   |                    |
| 1077000000-Е | SP   | #57 STONE  | 264<br>TON   |   |                    |
| 1220000000-E |  | INCIDENTAL STONE BASE  | 1,184<br>TON   |   |                    |
| 1297000000-E | 607  |  | 56,203<br>SY   |   |                    |
| 1523000000-E |  | ASPHALT CONC SURFACE COURSE,<br>TYPE S9.5C   | 4,756<br>TON   |   |                    |
| 1575000000-E | 620  | ASPHALT BINDER FOR PLANT MIX   | 280<br>TON   |   |                    |
| 1693000000-E |  |  | 74<br>TON  |   |                    |
| 1702000000-E | 657  | SEALING EXISTING PAVEMENT<br>CRACKS & JOINTS   | 250.7<br>LB  |   |                    |
| 1737000000-E | SP   | PATCHING CONCRETE PAVEMENT<br>SPALLS   | 3,255<br>SF  |   |                    |
| 1820000000-E | SP   | ASPHALT SURFACE TREATMENT,<br>FOG SEAL   | 220,909<br>SY  |   |                    |
| 1880000000-E | SP   | GENERIC PAVING ITEM<br>3" PATCHING OF EXISTING PAVE-<br>MENT   | 14,676<br>TON  |   |                    |
| 1881000000-E | SP   | GENERIC PAVING ITEM JOINT CONSTRUCTION, REPAIR & SEALING   | 521,620<br>LF  |   |                    |
| 1891000000-E | SP   | GENERIC PAVING ITEM DIAMOND GRINDING PCC PAVEMENT  | 444,692<br>SY  |   |                    |
|              | 0036000000-E  0196000000-E  1077000000-E  1220000000-E  1227000000-E  1575000000-E  1693000000-E  1702000000-E  1820000000-E  1880000000-E | 0036000000-E 225 0196000000-E 270 0314000000-E SP 1077000000-E SP 1220000000-E 545 1297000000-E 607 1523000000-E 610 1575000000-E 620 1693000000-E 654 1702000000-E SP 1820000000-E SP | 003600000-E         225         UNDERCUT EXCAVATION           0196000000-E         270         GEOTEXTILE FOR SOIL STABILIZATION           0314000000-E         SP         SELECT MATERIAL, CLASS *******           1077000000-E         SP         #57 STONE           1220000000-E         545         INCIDENTAL STONE BASE           1297000000-E         607         MILLING ASPHALT PAVEMENT, ******           1523000000-E         610         ASPHALT CONC SURFACE COURSE, TYPE S9.5C           1575000000-E         620         ASPHALT BINDER FOR PLANT MIX           1693000000-E         654         ASPHALT PLANT MIX, PAVEMENT           1702000000-E         657         SEALING EXISTING PAVEMENT           1737000000-E         SP         PATCHING CONCRETE PAVEMENT           1820000000-E         SP         ASPHALT SURFACE TREATMENT, FOG SEAL           1880000000-E         SP         GENERIC PAVING ITEM 3" PATCHING OF EXISTING PAVEMENT           1881000000-E         SP         GENERIC PAVING ITEM JOINT CONSTRUCTION, REPAIR & SEALING           1891000000-E         SP         GENERIC PAVING ITEM SEALING | 0036000000-E 225 UNDERCUT EXCAVATION 293 CY 0196000000-E 270 GEOTEXTILE FOR SOIL STABILIZA- 1,758 SY 0314000000-E SP SELECT MATERIAL, CLASS ***** 791 TON 1077000000-E SP #57 STONE 264 TON 1220000000-E 545 INCIDENTAL STONE BASE 1,184 TON 1297000000-E 607 MILLING ASPHALT PAVEMENT, **** 56,203 SY 1523000000-E 610 ASPHALT CONC SURFACE COURSE, TON 1575000000-E 620 ASPHALT BINDER FOR PLANT MIX 280 TON 1693000000-E 654 ASPHALT PLANT MIX, PAVEMENT 74 REPAIR TON 1702000000-E 657 SEALING EXISTING PAVEMENT 250.7 LB 1737000000-E SP PATCHING CONCRETE PAVEMENT 3,255 SF 1820000000-E SP ASPHALT SURFACE TREATMENT, 220,909 FOG SEAL 1881000000-E SP GENERIC PAVING ITEM 414,676 TON 1881000000-E SP GENERIC PAVING ITEM 521,620 LF 1881000000-E SP GENERIC PAVING ITEM 521,620 LF | 0036000000-E   225 |

County: Guilford

| Line<br># | Item Number  | Sec<br># | Description   | Quantity      | Unit Cost | Amoun |
|-----------|--------------|----------|---|---------------|-----------|-------|
|           |              |          |   |               |           |       |
| 0017      | 1891000000-E | SP       | GENERIC PAVING ITEM<br>REPAIR OF JOINTED CONCRETE<br>PAVEMENT SLABS | 879<br>SY     |           |       |
| 0018      | 1902000000-N | 710      | SURFACE TESTING CONCRETE PAVE-<br>MENT                              | Lump Sum      | L.S.      |       |
| 0019      | 2752000000-E | SP       | GENERIC PAVING ITEM<br>MILLED RUMBLE STRIPS (CONCRETE<br>SHOULDER)  | 194,081<br>LF |           |       |
| 0020      | 440000000-E  |          | WORK ZONE SIGNS (STATIONARY)  | 600<br>SF     |           |       |
| 0021      | 4405000000-E |          | WORK ZONE SIGNS (PORTABLE)  | 758<br>SF     |           |       |
| 0022      | 4410000000-E | 1110     | WORK ZONE SIGNS (BARRICADE<br>MOUNTED)                              | 32<br>SF      |           |       |
| 0023      | 4415000000-N |          | FLASHING ARROW BOARD  | 6<br>EA       |           |       |
| 0024      | 4420000000-N | 1120     | PORTABLE CHANGEABLE MESSAGE<br>SIGN                                 | 12<br>EA      |           |       |
| 0025      | 443000000-N  | 1130     | DRUMS   | 250<br>EA     |           |       |
|           |              |          | BARRICADES (TYPE III)   | 40<br>LF      |           |       |
|           | 448000000-N  | 1165     |   | 6<br>EA       |           |       |
| 0028      | 4516000000-N | 1180     | SKINNY DRUM   | 250<br>EA     |           |       |
| 0029      | 4520000000-N | 1266     | TUBULAR MARKERS (FIXED)   | 20<br>EA      |           |       |
| 0030      | 4700000000-E | 1205     | THERMOPLASTIC PAVEMENT MARKING<br>LINES (12", 90 MILS)              | 330<br>LF     |           |       |
| 0031      | 4710000000-E | 1205     | THERMOPLASTIC PAVEMENT MARKING<br>LINES (24", 120 MILS)             | 318<br>LF     |           |       |
| 0032      | 4725000000-E | 1205     | THERMOPLASTIC PAVEMENT MARKING<br>SYMBOL (90 MILS)                  | 24<br>EA      |           |       |
| 0033      | 4805000000-N | 1205     | COLD APPLIED PLASTIC PAVEMENT MARKING SYMBOL, TYPE ** (II)          | 38<br>EA      |           |       |

County: Guilford

| Line<br># | Item Number  | Sec<br># | Description   | Quantity      | Unit Cost | Amount |
|-----------|--------------|----------|---|---------------|-----------|--------|
|           |              |          |   |               |           |        |
| 0034      | 4815000000-E | 1205     | PAINT PAVEMENT MARKING LINES (6")   | 563,360<br>LF |           |        |
| 0035      | 4825000000-E | 1205     | PAINT PAVEMENT MARKING LINES<br>(12")   | 34,720<br>LF  |           |        |
| 0036      | 4845000000-N | 1205     | PAINT PAVEMENT MARKING SYMBOL   | 80<br>EA      |           |        |
| 0037      | 4847100000-E | 1205     | POLYUREA PAVEMENT MARKING<br>LINES (6", *********)<br>(HIGHLY REFLECTIVE ELEMENTS)  | 325,568<br>LF |           |        |
| 0038      | 4847120000-E | 1205     | POLYUREA PAVEMENT MARKING<br>LINES (12", *********)<br>(HIGHLY REFLECTIVE ELEMENTS) | 21,944<br>LF  |           |        |
| 0039      | 4855000000-E | 1205     | REMOVAL OF PAVEMENT MARKING<br>LINES (6")   | 330,401<br>LF |           |        |
| 0040      | 4865000000-E | 1205     | REMOVAL OF PAVEMENT MARKING<br>LINES (12")  | 24,732<br>LF  |           |        |
| 0041      | 4875000000-N | 1205     | REMOVAL OF PAVEMENT MARKING<br>SYMBOLS & CHARACTERS                                 | 35<br>EA      |           |        |
| 0042      | 489000000-E  | SP       | GENERIC PAVEMENT MARKING ITEM<br>EPOXY PAVEMENT MARKING LINES<br>(BLACK, 12")       | 744<br>LF     |           |        |
|           | 489000000-E  | SP       | GENERIC PAVEMENT MARKING ITEM<br>EPOXY PAVEMENT MARKING LINES<br>(BLACK, 6")        | 63,520<br>LF  |           |        |
| 0044      | 4905000000-N | 1253     | SNOWPLOWABLE PAVEMENT MARKERS   | 4,248<br>EA   |           |        |
| 0045      | 496000000-N  | SP       | GENERIC TRAFFIC CONTROL ITEM<br>REPLACE EXISTING BARRIER<br>DELINEATOR              | 535<br>EA     |           |        |
| 0046      | 5255000000-N | 1413     | PORTABLE LIGHTING   | Lump Sum      | L.S.      |        |
| 0047      | 7444000000-E | 1725     | INDUCTIVE LOOP SAWCUT   | 600<br>LF     |           |        |

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# ITEMIZED PROPOSAL FOR CONTRACT NO. C203785

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County: Guilford

| Line<br># | Item Number  | Sec<br># | Description                                       | Quantity    | Unit Cost | Amount |
|-----------|--------------|----------|---|-------------|-----------|--------|
|           |              | S        | TRUCTURE ITEMS                                    |             |           |        |
| 0048      | 8692000000-N | SP       | FOAM JOINT SEALS                                  | Lump Sum    | L.S.      |        |
| 0049      | 8882000000-E | SP       | GENERIC STRUCTURE ITEM<br>ELASTOMERIC CONCRETE    | 291<br>CF   |           |        |
| 0050      | 8892000000-E | SP       | GENERIC STRUCTURE ITEM<br>BRIDGE JOINT DEMOLITION | 1,160<br>SF |           |        |
|           |              |          |   |             |           |        |

**Total Amount Of Bid For Entire Project:**