#### C202931

# STATE OF NORTH CAROLINA DEPARTMENT OF TRANSPORTATION RALEIGH, N.C.

# CONTRACT BONDS

FOR CONTRACT NO. C202931

**WBS** 

14CR.10451.5, 14CR.20451.11 STATE FUNDED

COUNTY OF

**HENDERSON** 

THIS IS THE

**ROADWAY CONTRACT** 

ROUTE NUMBER

**US 25** 

LENGTH

15.460 MILES

LOCATION

US-25 FROM SOUTH CAROLINA STATE LINE TO SR-1858, AND 3

SECTIONS OF SECONDARY ROADS.

CONTRACTOR

ROGERS GROUP, INC.

**ADDRESS** 

P.O. BOX 25250

NASHVILLE, TN 37202

BIDS OPENED

**FEBRUARY 21, 2012** 

CONTRACT EXECUTION

MAR 2 2 2012

Bid Checksum: DED2B891

Time Total: \$0.00

**Bid Total:** \$3,987,213.13

Items Total: \$3,987,213.13

## Vendor 3 of 3: ROGERS GROUP, INC. (9987) Call Order 026 (Proposal: C202931)

#### **Bid Information**

**County: HENDERSON** 

Address: P.O. Box 25250

Nashville, TN, 37202

Signature Check: David\_W.\_Rechter\_9987

Time Bid Received: February 21, 2012 12:14 PM

**Amendment Count: 1** 

#### **Bidding Errors:**

None.

MBE GOAL SET 2.0

MBE GOAL MET 2.0

WBE GOAL SET 3.0

WBE GOAL MET 3.0

**NCDOT** 

# Vendor 3 of 3: ROGERS GROUP, INC. (9987) Call Order 026 (Proposal: C202931)

#### **Bid Bond Information**

Projects: Bond Maximum: Counties: State of Incorporation:

**Bond ID:** KLNP-FC7T-71LM-UW45 **Agency Execution Date:** 02/21/12

Paid by Check: No Surety Name: SurePathNetwork

Bond Percent: Bond Agency Name: Travelers Casualty and

Surety Company of

America

Vendor 9987's Bid Information for Call 026, Letting L120221, 02/21/12

Rogers Group, Inc. (9987)

Call Order 026 (Proposal ID C202931)

LIST OF MBE PARTICIPANTS

VENDOR DBE NAME

WORK

CERT TYPE AMOUNT

Sub

TOTAL:

NUMBER ADDRESS

CODE TYPE OF WORK

12392 MB HAMILTON HAULING LLC

12 BARCLAY RD. , CANDLER, NC 28715

84,923.60

arm committed

\$84,923.60 2.13%

Vendor 9987's Bid Information for Call 026, Letting L120221, 02/21/12

Rogers Group, Inc. (9987)

Call Order 026 (Proposal ID C202931)

LIST OF WBE PARTICIPANTS

VENDOR DBE NAME

WORK

**CFRT** 

NUMBER ADDRESS

CODE TYPE OF WORK

TYPE AMOUNT

arm

2941 WB LAKE VIEW CONSTRUCTION & EQUIPM

P. O. BOX 158 , PINEOLA, NC 28662

Sub

278,325.80

committed

TOTAL:

6.98%

\$278,325.80

Vendor 9987's Bid Information for Call 026, Letting L120221, 02/21/12

Rogers Group, Inc. (9987)

Call Order 026 (Proposal ID C202931)

Miscelleneous Data Info - Contractor Responses:

#### NON-COLLUSION AND DEBARMENT CERTIFICATION

Explanation of the prospective bidder that is unable to certify to any of the statements in this certification:

Explanation:

NOT ANSWERED

NOT ANSWERED

NOT ANSWERED

NOT ANSWERED

#### AWARD LIMITS ON MULTIPLE PROJECTS

By answering YES to this statement, the bidder acknowleges that they are using the award limits on multiple projects. No

It is the desire of the Bidder to be awarded contracts, the value of which will not exceed a total of NOT ANSWERED for those projects indicated herein, for which bids will be opened on (MM/DD/YY)

The Award Limits shall apply to the following projects:

Contract Number County

NOT ANSWERED

NOT ANSWERED

NOT ANSWERED

NOT ANSWERED

NOT ANSWERED

NOT ANSWERED

#### Bid Bond Data Info - Contractor Responses:

BondID: KLNP-FC7T-71LM-UW45

Surety Registry Agency: SurePathNetwork

Verified?: Yes

Surety Agency: Travelers Casualty and Surety Company of America Bond Execution Date: 02/21/12

Bond Amount: \$199,360.66 (Five Percent of Bid)

**NCDOT** 

State of NC

Date: 01-23-12 Dept of Transportation Revised: 02-10-12

Project(s): STATE FUNDED Contract ID: C202931

Letting Date: 02-21-12 Call Order: 026

Bidder: 9987 - Rogers Group, Inc.

Line		Approx.	Unit Price	Bid Amount	
No.	Description	Quantity and Units	Dollars   Cts	Dollars  Ct	

Section 0001 ROADWAY ITEMS

#### Alt Group

0001	0000100000-N MOBILIZATIO	LUM	P	LUMP	49,644.10
0002	124500000-E SHOULDER  RECONSTRUCTION	SMI	19.320	3,962.18000	76,549.32
0003	1297000000-E MILLING  ASPHALT PAVEMENT,  ***"DEPTH (1/2")	SY	57,622.000	0.36000	20,743.92
0004	1297000000-E MILLING  ASPHALT PAVEMENT,  ***"DEPTH (2")	SY	163,328.000	0.67000	109,429.76
0005	1297000000-E MILLING  ASPHALT PAVEMENT,  ***"DEPTH (3")	SY	48,353.000	1.60000	77,364.80
0006	1498000000-E ASPHALT CONC INTERMEDIATE COURSE, TYPE I19.0B	TON	8,270.000	52.32000	432,686.40
0007	1519000000-E ASPHALT CONC SURFACE COURSE, TYPE S9.5B	TON	11,422.000	38.19000	436,206.18
0008	1523000000-E ASPHALT CONC SURFACE COURSE, TYPE S9.5C	TON	14,642.000	40.25000	589,340.50
0009	1575000000-E ASPHALT BINDER FOR PLANT MIX	TON	1,946.000	600.00000	1,167,600.00
0010	1840000000-E MILLED RUMBLE STRIPS (ASPHALT CONCRETE)	LF	122,496.000	0.09000	11,024.64
0011	2815000000-N ADJUSTMENT   OF DROP INLETS	EA	1.000	1,200.00000	1,200.00

Check: DED2B891 Page 1

Contract ID: C202931

Letting Date: 02-21-12 Call Order: 026 Bidder: 9987 - Rogers Group, Inc.

Line	Item Description		Approx. Quantity	Unit Price	Bid Amount
			and Units	Dollars   Cts	Dollars  Ct
0012	2845000000-N ADJUSTMENT OF METER BOXES OR VALVE BOXES	EA	6.000	575.0000	0 3,450.00
0013	4400000000-E WORK ZONE SIGNS (STATIONARY)	SF	192.000	4.2500	0 816.00
0014	4405000000-E WORK ZONE SIGNS (PORTABLE)	SF	256.000	8.3300	0 2,132.48
0015	4415000000-N FLASHING ARROW BOARD	EA	2.000	1,334.3800	2,668.76
0016	4420000000-N PORTABLE CHANGEABLE MESSAGE SIGN	EA	2.000	3,202.5000	6,405.00
0017	4430000000-N DRUMS	EA	80.000	28.82000	2,305.60
0018	4480000000-N TMA	EA	2.000	2,668.75000	5,337.50
0019	4516000000-N SKINNY DRUM	EA	525.000	17.61000	9,245.25
0020	4685000000-E THERMOPLAST IC PAVEMENT MARKINGLINES (4", 90 MILS)	LF	124,816.000	0.51000	63,656.16
0021	4686000000-E THERMOPLAST IC PAVEMENT MARKING LINES (4", 120 MILS)	LF	15,312.000	0.60000	9,187.20
0022	4697000000-E THERMOPLAST IC PAVEMENT MARKINGLINES (8", 120 MILS)	LF	4,640.000	2.02000	9,372.80
0023	4725000000-E THERMOPLAST IC PAVEMENT MARKINGSYMBOL (90 MILS)	EA	9.000	126.25000	1,136.25

Check: DED2B891 Page

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Revised: 02-10-12

Dept of Transportation Project(s): STATE FUNDED Contract ID: C202931

Letting Date: 02-21-12 Call Order: 026

Bidder: 9987 - Rogers Group, Inc.

Line	Item		Approx.	Unit Pi	cice	Bid Amount	- 1
No.	Description		Quantity and Units	Dollars	Cts	Dollars  C	t
0024	4810000000-E PAINT PAVEMENT MARKING LINES (4")	LF	411,907.000	0.	11000	45,309.7	7
0025	4820000000-E PAINT PAVEMENT MARKING LINES (8")	LF	60.000	1.	01000	60.60	0
0026	4830000000-E PAINT PAVEMENT MARKING LINES (16")	LF	240.000	2.	02000	484.80	0
0027	4835000000-E PAINT PAVEMENT MARKING LINES (24")	LF	770.000	3.	03000	2,333.10	3   3
0028	4840000000-N PAINT PAVEMENT MARKING CHARAC-TER	EA	42.000	50.	50000	2,121.00	5
	4845000000-N PAINT PAVEMENT MARKING SYMBOL	EA	30.000	50.	50000	1,515.00	)
0030	4905000000-N SNOWPLOWABL E PAVEMENT MARKERS	EA	1,480.000	25.	25000	37,370.00	)
0031	1577000000-E POLYMER MODIFIED ASPHALT BIN- DER FOR PLANT MIX	TON	540.000	750.	00000	405,000.00	,
0032	1662000000-E OPEN-GRADED ASPHALT FRICTION COURSE, TYPE FC-1 MODIFIED	TON	8,856.000	45.	79000	405,516.24	
0033	1577000000-E POLYMER MODIFIED ASPHALT BIN- DER FOR PLANT MIX	TON					
	1839140000-E ULTRA-THIN   BONDED WEARING COURSE	TON	8,856.000				+
0035	1839200000-E APPLICATION OF ULTRATHIN HOT MIX ASPHALT	SY	238,400.000				+

Check: DED2B891 Page

3

Revised: 02-10-12

Revised: 02-10-12

Contract ID: C202931

Letting Date: 02-21-12 Call Order: 026 Bidder: 9987 - Rogers Group, Inc.

Line Item   No.   Description		Approx.	Unit Price	Bid Amount	
NO.	Description	Quantity and Units	Dollars   Cts	Dollars  Ct	
	Section 0001 Total		3,987,213.13		
	Bid Total			3,987,213.13	

#### NON-COLLUSION AND DEBARMENT CERTIFICATION

The bidder certifies that neither he, nor any official, agent or employee of the bidder has entered into any agreement, participated in any collusion, or otherwise taken any action which is in restraint of free competitive bidding in connection with this bid, and that the bidder intends to do the work with its own bonafide employees or subcontractors and is not bidding for the benefit of another contractor. In addition, submitting this electronic bid constitutes the bidder's certification of Status under penalty of perjury under the laws of the United States and in accordance with the Debarment Certification on file with the Department.

By submitting this bid, the bidder certifies to the best of his knowledge and belief that he and his principals:

- a. Are not presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from covered transactions by any Federal department or agency;
- b. Have not within a three-year period preceding this proposal been convicted of or had a civil judgment rendered against them for commission of fraud or a criminal offense in connection with obtaining, attempting to obtain, or performing a public (Federal, State or local) transaction or contract under a public transaction; violation of Federal or State antitrust statutes or commission of embezzlement, theft, forgery, bribery, falsification or destruction of records; making false statements; or receiving stolen property;
- c. Are not presently indicted for or otherwise criminally or civilly charged by a governmental entity (Federal, State or local) with commission of any of the offenses enumerated in paragraph b. of this certification; and
- d. Have not within a three-year period preceding this proposal had one or more public transactions (Federal, State or local) terminated for cause or default.

Where the prospective bidder is unable to certify to any of the statements in this certification, the bidder shall submit an explanation in the blanks provided herein. The explanation will not necessarily result in denial of participation in a contract.

Explanation:

NOT ANSWERED

NOT ANSWERED

NOT ANSWERED

NOT ANSWERED

If the prequalified bidder's status changes, he shall immediately submit a new fully executed non-collusion affidavit and debarment certification with an explanation of the change to the Contract Office prior to submitting the bid.

Failure to furnish a certification or an explanation will be grounds for rejection of a bid

Charle PEROPOSI P

#### AWARD LIMITS ON MULTIPLE PROJECTS

By answering YES to this statement, the bidder acknowleges that they are using the award limits on multiple projects. No

A bidder who desires to bid on more than one project on which bids are to be opened on the same date, and who also desires to avoid receiving an award of more projects than he is equipped to handle, may bid on any number of projects but may limit the total amount of work awarded to him on selected projects by completing the AWARD LIMITS ON MULTIPLE PROJECTS.

The Award Limits on Multiple Projects must be filled in on each project bid for which the Bidder desires protection.

It is the desire of the Bidder to be awarded contracts, the value of which

will not exceed a total of NOT ANSWERED for those

projects indicated herein, for which bids will be opened on

(MM/DD/YY)

The Award Limits shall apply to the following projects:

Contract Number

County

NOT ANSWERED

NOT ANSWERED

NOT ANSWERED

NOT ANSWERED

NOT ANSWERED

NOT ANSWERED

It is agreed that if I am (we are) the low Bidder(s) on indicated projects, the total value of which is more than the above stipulated award limits, the Board of Transportation will award me (us) projects from among those indicated that have a total value not to exceed the award limit and will result in the lowest total bids to the Department of Transportation.

Check: DED2B891 Page 6

MBE COMMITMENT ITEMS

PAGE: 7

PROPOSAL: C202931

CALL: 026 LETTING: L120221

VENDOR: 9987 Rogers Group, Inc.

\_\_\_\_\_\_ LINE ITEM ITEM UNIT SUBCONTRACTOR SUBCONTRACTOR EXTENDED NO. NO. DESC. TYPE QUANTITY UNIT PRICE AMOUNT

MBE SUBCONTRACTOR: 12392 HAMILTON HAULING LLC committed

Will Use Quote: Yes

0008 1523000000-E ASP CONC SUR TON 14642.000

TOTAL MBE COMMITMENT FOR VENDOR:

5.80000 84923.60

MBE COMMITMENT TOTAL FOR SUBCONTRACTOR:

Entered: 2.13% or 84923.60 Required: 2.00% or 79744.26

<GOAL MET>

arm

84,923.60

Check: DED2B891 Page 7

WBE COMMITMENT ITEMS

PAGE: 8

PROPOSAL: C202931

LETTING: L120221 CALL: 026

VENDOR: 9987 Rogers Group, Inc.

ITEM UNIT SUBCONTRACTOR SUBCONTRACTOR EXTENDED DESC. TYPE QUANTITY UNIT PRICE AMOUNT LINE ITEM NO. NO.

\_\_\_\_\_\_\_

WBE SUBCONTRACTOR: 2941 LAKE VIEW CONSTRUCTION & EQUIPMENT INC committed

Will Use Quote: Yes

0006 1498000000-E ASP CONC INT TON 8270.000 0005 1297000000-E MILL ASP PVM SY 48353.000 24.30000 200961.00 1.60000 77364.80

WBE COMMITMENT TOTAL FOR SUBCONTRACTOR: arm 278,325.80

√ arm

Entered: 6.98% or 278325.80 Required: 3.00% or 119616.39 TOTAL WBE COMMITMENT FOR VENDOR:

<GOAL MET>

Mar 06, 2012 10:56 am

# North Carolina Department Of Transportation Contract Item Sheets For C202931

Page: 1 of 3

	Contract Item Sheets For C202931							
Line #	ItemNumber	Sec #	Description	Quantity Unit	Unit Bid Price	Amount Bid		
			ROADWAY ITEMS					
0001	0000100000-N	800	MOBILIZATION	Lump Sum LS	49,644.10	49,644.10		
0002	1245000000-E	SP	SHOULDER RECONSTRUCTION	19.32 SMI	3,962.18	76,549.32		
0003	1297000000-E	607	MILLING ASPHALT PAVEMENT, ***" DEPTH (1/2")	57,622 SY	0.36	20,743.92		
0004	1297000000-E	607	MILLING ASPHALT PAVEMENT, ***" DEPTH (2")	163,328 SY	0.67	109,429.76		
0005	129700000-E	607	MILLING ASPHALT PAVEMENT, ***" DEPTH (3")	48,353 SY	1.60	77,364.80		
0006	149800000-E	610	ASPHALT CONC INTERMEDIATE COURSE, TYPE 119.0B	8,270 TON	52.32	432,686.40		
0007	1519000000-E	610	ASPHALT CONC SURFACE COURSE, TYPE S9.5B	11,422 TON	38.19	436,206.18		
0008	1523000000-E	610	ASPHALT CONC SURFACE COURSE, TYPE S9.5C	14,642 TON	40.25	589,340.50		
0009	1575000000-E	620	ASPHALT BINDER FOR PLANT MIX	1,946 TON	600.00	1,167,600.00		
0010	184000000-E	665	MILLED RUMBLE STRIPS (ASPHALT CONCRETE)	122,496 LF	0.09	11,024.64		
0011	2815000000-N	858	ADJUSTMENT OF DROP INLETS	1 EA	1,200.00	1,200.00		
0012	2845000000-N	858	ADJUSTMENT OF METER BOXES OR VALVE BOXES	6 EA	575.00			
0013			WORK ZONE SIGNS (STATIONARY)	192 SF	4.25	816.00		
0014	4405000000-E	1110	WORK ZONE SIGNS (PORTABLE)	256 SF	8.33	2,132.48		
0015			FLASHING ARROW BOARD	2 EA	1,334.38			
0016	442000000-N	1120	PORTABLE CHANGEABLE MESSAGE SIGN	2 EA	3,202.50	6,405.00		
0017	443000000-N	1130	DRUMS	80 EA	28.82	2,305.60		

Mar 06, 2012 10:56 am

0032

AA1

1662000000-E

650

**OPEN-GRADED ASPHALT FRICTION** 

\*\*\* OR \*\*\*

COURSE, TYPE FC-1 MODIFIED

8,856

TON

45.79

405,516.24

# North Carolina Department Of Transportation Contract Item Sheets For C202931

Page: 2 of 3

		<del></del>	Contract Item Sheets For C20			
Line #	ItemNumber	Sec #	Description	Quantity Unit	Unit Bid Price	Amoun Bi
0018	448000000-N			2 EA	2,668.75	
0019	4516000000-N		SKINNY DRUM	525 EA	17.61	9,245.25
0020	4685000000-E	1205	THERMOPLASTIC PAVEMENT MARKING LINES (4", 90 MILS)	124,816 LF	0.51	
0021	468600000-E		THERMOPLASTIC PAVEMENT MARKING LINES (4", 120 MILS)	15,312 LF	0.60	
0022	4697000000-E	1205	THERMOPLASTIC PAVEMENT MARKING LINES (8", 120 MILS)	4,640 LF	2.02	
0023	 4725000000-Е		THERMOPLASTIC PAVEMENT MARKING SYMBOL (90 MILS)	9 EA	126.25	1,136.25
0024	481000000-E	1205	PAINT PAVEMENT MARKING LINES (4")	411,907 LF	0.11	45,309.77
0025	482000000-E	1205	PAINT PAVEMENT MARKING LINES (8")	60 LF	1.01	60.60
0026	483000000-E	1205	PAINT PAVEMENT MARKING LINES (16")	240 LF	2.02	484.80
 0027	4835000000-E	1205	PAINT PAVEMENT MARKING LINES (24")	770 LF	3.03	2,333.10
0028	4840000000-N	1205	PAINT PAVEMENT MARKING CHARAC- TER	42 EA	50.50	2,121.00
 0029	4845000000-N	1205	PAINT PAVEMENT MARKING SYMBOL	30 EA	50.50	1,515.00
0030	4905000000-N	1253	SNOWPLOWABLE PAVEMENT MARKERS	1,480 EA	25.25	37,370.00
			****** BEGIN SCHEDULE AA '	*****		
0031 <b>AA1</b>	1577000000-E	620	POLYMER MODIFIED ASPHALT BIN- DER FOR PLANT MIX	540 TON	750.00	405,000.00
	166200000 5		OPEN ORA DED A OPUAL T EDIOTIO:		45.70	405 546 64

Mar 06, 2012 10:56 am

## North Carolina Department Of Transportation

Page: 3 of 3

Contract Item Sheets For C202931

Line #	ItemNumber	Sec #	Description	Quantity Unit	Unit Bid Price	Amoun Bio
0033	1577000000-E	620	POLYMER MODIFIED ASPHALT BIN-	460		
AA2			DER FOR PLANT MIX	TON		
0034	1839140000-E	 661	ULTRA-THIN BONDED WEARING	8,856		
AA2			COURSE	TON		
0035	1839200000-E	661	APPLICATION OF ULTRATHIN HOT	238,400		
AA2			MIX ASPHALT	SY		
			***** END SCHEDULE AA	****		
			TOTAL AMOUNT OF BID FOR ENTIRE PE	ROJECT		\$3.987.213.13

1056/Mar06/Q1245583.32/D110989440000/E35

Rev. 5-19-11

# EXECUTION OF CONTRACT NON-COLLUSION AFFIDAVIT, DEBARMENT CERTIFICATION AND GIFT BAN CERTIFICATION

#### CORPORATION

The Contractor being duly sworn, solemnly swears (or affirms) that neither he, nor any official, agent or employee has entered into any agreement, participated in any collusion, or otherwise taken any action which is in restraint of free competitive bidding in connection with this Contract, that the Contractor has not been convicted of violating N.C.G.S. § 133-24 within the last three years, and that the Contractor intends to do the work with its own bonafide employees or subcontractors and did not bid for the benefit of another contractor.

By submitting this Execution of Contract, Non-Collusion Affidavit and Debarment Certification, the Contractor is certifying his status under penalty of perjury under the laws of the United States in accordance with the Debarment Certification attached, provided that the Debarment Certification also includes any required statements concerning exceptions that are applicable.

N.C.G.S. § 133-32 and Executive Order 24 prohibit the offer to, or acceptance by, any State Employee of any gift from anyone with a contract with the State, or from any person seeking to do business with the State. By execution of any response in this procurement, you attest, for your entire organization and its employees or agents, that you are not aware that any such gift has been offered, accepted, or promised by any employees of your organization.

SIGNATURE OF CO	ONTRACTOR					
Rogers Group, Inc.						
Full name of Corporation						
P.O. Box 25250; Nashville, TN	37202					
Address as Prequent Address as Prequent By	is the state of th					
Secretary Assistant Secretary Select appropriate title	President/Vice President/Assistant Vice President  Select appropriate title					
Daniel Rose	Joe Whitehouse					
Print or type Signer's name	Print or type Signer's name					
	CORPORATE SEAL					

#### AFFIDAVIT MUST BE NOTARIZED

Subscribed and sworn to be	fore me this the	
8th day of March	20 <i>_</i>	Me.
Deborah Me	Str	NOTARY SEAL
Signature of Nota	ry Public	in the control of the
of Davidson	County	
State of Tennessee		
My Commission Expires: S	eptember 3, 2012	

#### **DEBARMENT CERTIFICATION**

#### Conditions for certification:

- 1. The prequalified bidder shall provide immediate written notice to the Department if at any time the bidder learns that his certification was erroneous when he submitted his debarment certification or explanation filed with the Department, or has become erroneous because of changed circumstances.
- 2. The terms covered transaction, debarred, suspended, ineligible, lower tier covered transaction, participant, person, primary covered transaction, principal, proposal, and voluntarily excluded, as used in this provision, have the meanings set out in the Definitions and Coverage sections of the rules implementing Executive Order 12549. A copy of the Federal Rules requiring this certification and detailing the definitions and coverages may be obtained from the Contract Officer of the Department.
- 3. The prequalified bidder agrees by submitting this form, that he will not knowingly enter into any lower tier covered transaction with a person who is debarred, suspended, declared ineligible, or voluntarily excluded from participation in NCDOT contracts, unless authorized by the Department.
- 4. For Federal Aid projects, the prequalified bidder further agrees that by submitting this form he will include the Federal-Aid Provision titled *Required Contract Provisions Federal-Aid Construction Contract (Form FHWA PR* 1273) provided by the Department, without subsequent modification, in all lower tier covered transactions.
- 5. The prequalified bidder may rely upon a certification of a participant in a lower tier covered transaction that he is not debarred, suspended, ineligible, or voluntarily excluded from the covered transaction, unless he knows that the certification is erroneous. The bidder may decide the method and frequency by which he will determine the eligibility of his subcontractors.
- 6. Nothing contained in the foregoing shall be construed to require establishment of a system of records in order to render in good faith the certification required by this provision. The knowledge and information of a participant is not required to exceed that which is normally possessed by a prudent person in the ordinary course of business dealings.
- 7. Except as authorized in paragraph 6 herein, the Department may terminate any contract if the bidder knowingly enters into a lower tier covered transaction with a person who is suspended, debarred, ineligible, or voluntarily excluded from participation in this transaction, in addition to other remedies available by the Federal Government.

#### **DEBARMENT CERTIFICATION**

The prequalified bidder certifies to the best of his knowledge and belief, that he and his principals:

- a. Are not presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from covered transactions by any Federal department or agency;
- b. Have not within a three-year period preceding this proposal been convicted of or had a civil judgment rendered against them for commission of fraud or a criminal offense in connection with obtaining, attempting to obtain, or performing a public (Federal, State or local) transaction or contract under a public transaction; violation of Federal or State antitrust statutes or commission of embezzlement, theft, forgery, bribery, falsification or destruction of records; making false statements; or receiving stolen property;
- c. Are not presently indicted for or otherwise criminally or civilly charged by a governmental entity (Federal, State or local) with commission of any of the offenses enumerated in paragraph b. of this certification; and
- d. Have not within a three-year period preceding this proposal had one or more public transactions (Federal, State or local) terminated for cause or default.
- e. Will submit a revised Debarment Certification immediately if his status changes and will show in his bid proposal an explanation for the change in status.

If the prequalified bidder cannot certify that he is not debarred, he shall provide an explanation with this submittal. An explanation will not necessarily result in denial of participation in a contract.

Failure to submit a non-collusion affidavit and debarment certification will result in the prequalified bidder's bid being considered non-responsive.

	7			
<u> </u>	Check here it	an explanation	n is attached to	this certification

Contract No C202931

County (ies): Henderson

ACCEPTED BY THE DEPARTMENT OF TRANSPORTATION

Contract Officer

Execution of Contract and Bonds Approved as to Form:

Attorney General

Signature Sheet (Bid - Acceptance by Department)

Contract No.

C202931	
HENDERSON	

BOND NO. 64S105722697BCM

#### **CONTRACT PAYMENT BOND**

Date of Payment Bond Execution

Name of Principal Contractor

Name of Surety:

Name of Contracting Body:

Amount of Bond:

Contract ID No.:

County Name:

3/8/2012

ROGERS GROUP, INC

TRAVELERS CASUALTY AND SURETY COMPANY OF AMERICA

North Carolina Department of Transportation

Raleigh, North Carolina

\$3,987,213.13

C202931

HENDERSON

KNOW ALL MEN BY THESE PRESENTS, That we, the PRINCIPAL CONTRACTOR (hereafter, PRINCIPAL) and SURETY above named, are held and firmly bound unto the above named Contracting Body, hereinafter called the Contracting Body, in the penal sum of the amount stated above for the payment of which sum well and truly to be made, we bind ourselves, our heirs, executors, administrators, and successors, jointly and severally, firmly by these presents.

THE CONDITION OF THIS OBLIGATION IS SUCH, that whereas the principal entered into a certain contract with the Contracting Body, numbered as shown above and hereto attached:

NOW THEREFORE, if the principal shall promptly make payment to all persons supplying labor and material in the prosecution of the work provided for in said contract, and any and all duly authorized modifications of said contract that may hereafter be made, notice of which modifications to the surety being hereby waived, then this obligation to be void; otherwise to remain in full force and virtue.

IN WITNESS WHEREOF, the above-bound parties have executed this instrument under their several seals on the date indicated above, the name and corporate seal of each corporate party being hereto affixed and these presents duly signed by its undersigned representative, pursuant to authority of its governing body.

Rev 5-17-11

#### **CONTRACT PAYMENT BOND**

Affix Seal	of Surety	Company
------------	-----------	---------

TRAVELERS CASUALTY AND SURETY COMPANY OF AMERICA

Print or type Surety Company Name

By JANICE FENNELL, ATTORNEY-IN-FACT

Print, stamp or type name of Attorney-in-Fact

Signature of Attorney-in-Fact

Signature of Witness

KIRAN KOCHHAR

Print or type Signer's name

265 BROOKVIEW CENTRE WAY, SUITE 505, KNOXVILLE, TN 37919

Rev 5-17-11

#### **CONTRACT PAYMENT BOND**

#### **CORPORATION**

SIGNATURE OF CONTRACTOR (Principal)

# ROGERS GROUP, INC.

Full name of Corporation

## P.O. BOX 25250, NASHVILLE, TN 37202

Address as prequalified

Ву

Signature of President, Vice President, Assistant Vice President-

Select appropriate title

Print or type Signer's name

Affix Corporate Seal

Attest

Signature of Secretary Assistant Secretary

Select appropriate title

Print or type Signer's name



#### **POWER OF ATTORNEY**

Farmington Casualty Company
Fidelity and Guaranty Insurance Company
Fidelity and Guaranty Insurance Underwriters, Inc.
St. Paul Fire and Marine Insurance Company
St. Paul Guardian Insurance Company

St. Paul Mercury Insurance Company Travelers Casualty and Surety Company Travelers Casualty and Surety Company of America United States Fidelity and Guaranty Company

Attorney-In Fact No.

221886

Certificate No. 004503066

KNOW ALL MEN BY THESE PRESENTS: That St. Paul Fire and Marine Insurance Company, St. Paul Guardian Insurance Company and St. Paul Mercury Insurance Company are corporations duly organized under the laws of the State of Minnesota, that Farmington Casualty Company, Travelers Casualty and Surety Company, and Travelers Casualty and Surety Company of America are corporations duly organized under the laws of the State of Connecticut, that United States Fidelity and Guaranty Company is a corporation duly organized under the laws of the State of Iowa, and that Fidelity and Guaranty Insurance Underwriters, Inc., is a corporation duly organized under the laws of the State of Wisconsin (herein collectively called the "Companies"), and that the Companies do hereby make, constitute and appoint

Richard C. Rose, Janice Fennell, and Jeremy C. Rose

of the City ofKnoxville_ each in their separate capacity if more than one is named above, other writings obligatory in the nature thereof on behalf of the contracts and executing or guaranteeing bonds and undertakings	to sign, execute, seal Companies in their b	and acknowledge an ousiness of guarantee	y and all bonds, receing the fidelity of p	ognizances, conditionersons, guaranteein	
IN WITNESS WHEREOF, the Companies have caused this instituted at the companies have caused this instituted at the caused the ca	pany rance Company rance Underwriters surance Company	St Tr , Inc.	seals to be hereto aff . Paul Mercury Ins avelers Casualty a avelers Casualty a nited States Fidelity	surance Company nd Surety Compai nd Surety Compai	ny of America
St. Paul Guardian Insurance	e Company	SEAL)	HARTFORD, OF THE PARTY OF THE P	MARTITORIO IL COMPANIO IL COMP	THE TY AND REST
State of Connecticut City of Hartford ss.		Ву:	George Thomp	Joson, Senior Vice Presi	dent
On this the 31st day of himself to be the Senior Vice President of Farmington Casualty Configuration of Paul Fire and Marine Insurance Company, St. Paul Gompany, Travelers Casualty and Surety Company of America, executed the foregoing instrument for the purposes therein contains	Company, Fidelity as uardian Insurance C and United States F	, second the person of Guaranty Insurance ompany, St. Paul Mo idelity and Guaranty	e Company, Fidelity ercury Insurance Co Company, and that	y and Guaranty Instruments, Travelers he, as such, being	urance Underwriters, Casualty and Surety authorized so to do,
In Witness Whereof, I hereunto set my hand and official seal.  My Commission expires the 30th day of June, 2016.	SECTION E	<u></u>	Man	rie C. Tetreault, Notar	theault y Public

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#### WARNING: THIS POWER OF ATTORNEY IS INVALID WITHOUT THE RED BORDER

This Power of Attorney is granted under and by the authority of the following resolutions adopted by the Boards of Directors of Farmington Casualty Company, Fidelity and Guaranty Insurance Company, Fidelity and Guaranty Insurance Underwriters, Inc., St. Paul Fire and Marine Insurance Company, St. Paul Guardian Insurance Company, St. Paul Mercury Insurance Company, Travelers Casualty and Surety Company, Travelers Casualty and Surety Company of America, and United States Fidelity and Guaranty Company, which resolutions are now in full force and effect, reading as follows:

RESOLVED, that the Chairman, the President, any Vice Chairman, any Executive Vice President, any Senior Vice President, any Vice President, and Vi President, the Treasurer, any Assistant Treasurer, the Corporate Secretary or any Assistant Secretary may appoint Attorneys-in-Fact and Agents to act for and on behalf of the Company and may give such appointee such authority as his or her certificate of authority may prescribe to sign with the Company's name and seal with the Company's seal bonds, recognizances, contracts of indemnity, and other writings obligatory in the nature of a bond, recognizance, or conditional undertaking, and any of said officers or the Board of Directors at any time may remove any such appointee and revoke the power given him or her; and it is

FURTHER RESOLVED, that the Chairman, the President, any Vice Chairman, any Executive Vice President, any Senior Vice President or any Vice President may delegate all or any part of the foregoing authority to one or more officers or employees of this Company, provided that each such delegation is in writing and a copy thereof is filed in the office of the Secretary; and it is

FURTHER RESOLVED, that any bond, recognizance, contract of indemnity, or writing obligatory in the nature of a bond, recognizance, or conditional undertaking shall be valid and binding upon the Company when (a) signed by the President, any Vice Chairman, any Executive Vice President, any Senior Vice President or any Vice President, any Second Vice President, the Treasurer, any Assistant Treasurer, the Corporate Secretary or any Assistant Secretary and duly attested and sealed with the Company's seal by a Secretary or Assistant Secretary; or (b) duly executed (under seal, if required) by one or more Attorneys-in-Fact and Agents pursuant to the power prescribed in his or her certificate or their certificates of authority or by one or more Company officers pursuant to a written delegation of authority; and it is

FURTHER RESOLVED, that the signature of each of the following officers: President, any Executive Vice President, any Senior Vice President, any Vice President, any Assistant Vice President, any Secretary, any Assistant Secretary, and the seal of the Company may be affixed by facsimile to any Power of Attorney or to any certificate relating thereto appointing Resident Vice Presidents, Resident Assistant Secretaries or Attorneys-in-Fact for purposes only of executing and attesting bonds and undertakings and other writings obligatory in the nature thereof, and any such Power of Attorney or certificate bearing such facsimile signature or facsimile seal shall be valid and binding upon the Company and any such power so executed and certified by such facsimile signature and facsimile seal shall be valid and binding on the Company in the future with respect to any bond or understanding to which it is attached.

I, Kevin E. Hughes, the undersigned, Assistant Secretary, of Farmington Casualty Company, Fidelity and Guaranty Insurance Company, Fidelity and Guaranty Insurance Underwriters, Inc., St. Paul Fire and Marine Insurance Company, St. Paul Guardian Insurance Company, St. Paul Mercury Insurance Company, Travelers Casualty and Surety Company, Travelers Casualty and Surety Company of America, and United States Fidelity and Guaranty Company do hereby certify that the above and foregoing is a true and correct copy of the Power of Attorney executed by said Companies, which is in full force and effect and has not been revoked.

IN TESTIMONY WHEREOF, I have hereunto set my hand and affixed the seals of said Companies this \_



















To verify the authenticity of this Power of Attorney, call 1-800-421-3880 or contact us at www.travelersbond.com. Please refer to the Attorney-In-Fact number, the above-named individuals and the details of the bond to which the power is attached.

Contract No. County

C202931	
HENDERSON	

BOND NO. 64S105722697BCM

#### CONTRACT PERFORMANCE BOND

Date of Performance Bond Execution:

Name of Principal Contractor:

Name of Surety:

Name of Contracting Body:

Amount of Bond:

Contract ID No.:

County Name:

3/8/2012

ROGERS GROUP, INC

TRAVELERS CASUALTY AND SURETY COMPANY OF AMERICA

North Carolina Department of Transportation

Raleigh, North Carolina

\$3,987,213.13

C202931

HENDERSON

KNOW ALL MEN BY THESE PRESENTS, That we, the PRINCIPAL CONTRACTOR (hereafter, PRINCIPAL) and SURETY above named, are held and firmly bound unto the above named Contracting Body, hereinafter called the Contracting Body, in the penal sum of the amount stated above for the payment of which sum well and truly to be made, we bind ourselves, our heirs, executors, administrators, and successors, jointly and severally, firmly by these presents.

THE CONDITION OF THIS OBLIGATION IS SUCH, that whereas the principal entered into a certain contract with the Contracting Body, numbered as shown above and hereto attached:

NOW THEREFORE, if the principal shall well and truly perform and fulfill all the undertakings, covenants, terms, conditions, and agreements of said contract during the original term of said contract and any extensions thereof that may be granted by the Contracting Body, with or without notice to the Surety, and during the life of any guaranty required under the contract, and shall also well and truly perform and fulfill all the undertakings, covenants, terms, conditions, and agreements of any and all duly authorized modifications of said contract that may hereafter be made, notice of which modifications to the surety being hereby waived, then this obligation to be void; otherwise to remain in full force and virtue.

IN WITNESS WHEREOF, the above-bound parties have executed this instrument under their several seals on the date indicated above, the name and corporate seal of each corporate party being hereto affixed and these presents duly signed by its undersigned representative, pursuant to authority of its governing body.

Rev 5-17-11

#### **CONTRACT PERFORMANCE BOND**

<b>Affix</b>	Seal	of	Surety	Com	pany

TRAVELERS CASUALTY AND SURETY COMPANY OF AMERICA

Print or type Surety Company Name

By JANICE FENNELL, ATTORNEY-IN-FACT

Print, stamp or type name of Attorney-in-Fact

Signature of Attorney-in-Fact

Signature of Witness

KIRAN KOCHHAR

Print or type Signer's name

265 BROOKVIEW CENTRE WAY, SUITE 505, KNOXVILLE, TN 37919

Address of Attorney-in-Fact

C202931
HENDERSON

#### CONTRACT PERFORMANCE BOND

#### **CORPORATION**

SIGNATURE OF CONTRACTOR (Principal)

# ROGERS GROUP, INC.

Full name of Corporation

### P.O. BOX 25250, NASHVILLE, TN 37202

Address as prequalified

By

Signature of President, Vice President, Assistant Vice President Select appropriate title

Print or type Signer's name

Affix Corporate Seal

Attest

Signature of Secretary, Assistant Sec

Select appropriate title

Print or type Signer's name



#### POWER OF ATTORNEY

Farmington Casualty Company
Fidelity and Guaranty Insurance Company
Fidelity and Guaranty Insurance Underwriters, Inc.
St. Paul Fire and Marine Insurance Company
St. Paul Guardian Insurance Company

St. Paul Mercury Insurance Company Travelers Casualty and Surety Company Travelers Casualty and Surety Company of America United States Fidelity and Guaranty Company

Attorney-In Fact No.

221886

Certificate No. 004503073

KNOW ALL MEN BY THESE PRESENTS: That St. Paul Fire and Marine Insurance Company, St. Paul Guardian Insurance Company and St. Paul Mercury Insurance Company are corporations duly organized under the laws of the State of Minnesota, that Farmington Casualty Company, Travelers Casualty and Surety Company, and Travelers Casualty and Surety Company of America are corporations duly organized under the laws of the State of Connecticut, that United States Fidelity and Guaranty Company is a corporation duly organized under the laws of the State of Maryland, that Fidelity and Guaranty Insurance Company is a corporation duly organized under the laws of the State of Iowa, and that Fidelity and Guaranty Insurance Underwriters, Inc., is a corporation duly organized under the laws of the State of Wisconsin (herein collectively called the "Companies"), and that the Companies do hereby make, constitute and appoint

Richard C. Rose, Janice Fennell, and Jeremy C. Rose

of the City of <u>Knoxville</u> each in their separate capacity if mother writings obligatory in the nat contracts and executing or guarante	ure thereof on behalf of the C	o sign, execute, seal and companies in their busine	acknowledge any and ess of guaranteeing t	d all bonds, recogni the fidelity of perso	izances, condition ons, guaranteeing	al undertakings and
	*					21-4
IN WITNESS WHEREOF, the Co	ompanies have caused this inst , 2011	rument to be signed and	their corporate seals	to be hereto affixed	d, this	31st
] ] ;	Farmington Casualty Comparing Fidelity and Guaranty Insur- Fidelity and Guaranty Insur- St. Paul Fire and Marine Insur- St. Paul Guardian Insurance	ance Company ance Underwriters, Inc. urance Company	Travel Travel	ul Mercury Insura ers Casualty and S ers Casualty and S States Fidelity an	Surety Company Surety Company	
1977	MCOPPORTED 1951	SEAL STATE	SEAL S	HARTFORD, P. CONN.	Insertropa.	THE TY AND THE
State of Connecticut City of Hartford ss.			Ву:	Hey Hompson,	Senior Vice Preside	nt
On this the 31st dishimself to be the Senior Vice Preside Inc., St. Paul Fire and Marine Insu Company, Travelers Casualty and Sexecuted the foregoing instrument for	rance Company, St. Paul Guarrety Company of America, a	ompany, Fidelity and Gu ardian Insurance Compa nd United States Fidelity	ny, St. Paul Mercur y and Guaranty Com	ompany, Fidelity an ry Insurance Comp opany, and that he,	nd Guaranty Insur- pany, Travelers Ca as such, being au	ance Underwriters, asualty and Surety athorized so to do,
In Witness Whereof, I hereunto set My Commission expires the 30th da	<b>8</b> 1	ACTION ACTION OF THE PROPERTY		Marie 6	C. Tetreault, Notary	

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#### WARNING: THIS POWER OF ATTORNEY IS INVALID WITHOUT THE RED BORDER

This Power of Attorney is granted under and by the authority of the following resolutions adopted by the Boards of Directors of Farmington Casualty Company, Fidelity and Guaranty Insurance Company, Fidelity and Guaranty Insurance Company, St. Paul Fire and Marine Insurance Company, St. Paul Guardian Insurance Company, St. Paul Mercury Insurance Company, Travelers Casualty and Surety Company of America, and United States Fidelity and Guaranty Company, which resolutions are now in full force and effect, reading as follows:

RESOLVED, that the Chairman, the President, any Vice Chairman, any Executive Vice President, any Senior Vice President, any Vice President, any Second Vice President, the Treasurer, any Assistant Treasurer, the Corporate Secretary or any Assistant Secretary may appoint Attorneys-in-Fact and Agents to act for and on behalf of the Company and may give such appointee such authority as his or her certificate of authority may prescribe to sign with the Company's name and seal with the Company's seal bonds, recognizances, contracts of indemnity, and other writings obligatory in the nature of a bond, recognizance, or conditional undertaking, and any of said officers or the Board of Directors at any time may remove any such appointee and revoke the power given him or her; and it is

FURTHER RESOLVED, that the Chairman, the President, any Vice Chairman, any Executive Vice President, any Senior Vice President or any Vice President may delegate all or any part of the foregoing authority to one or more officers or employees of this Company, provided that each such delegation is in writing and a copy thereof is filed in the office of the Secretary; and it is

FURTHER RESOLVED, that any bond, recognizance, contract of indemnity, or writing obligatory in the nature of a bond, recognizance, or conditional undertaking shall be valid and binding upon the Company when (a) signed by the President, any Vice Chairman, any Executive Vice President, any Senior Vice President or any Vice President, any Second Vice President, the Treasurer, any Assistant Treasurer, the Corporate Secretary or any Assistant Secretary and duly attested and sealed with the Company's seal by a Secretary or Assistant Secretary; or (b) duly executed (under seal, if required) by one or more Attorneys-in-Fact and Agents pursuant to the power prescribed in his or her certificate or their certificates of authority or by one or more Company officers pursuant to a written delegation of authority; and it is

FURTHER RESOLVED, that the signature of each of the following officers: President, any Executive Vice President, any Senior Vice President, any Vice President, any Assistant Vice President, any Assistant Secretary, and the seal of the Company may be affixed by facsimile to any Power of Attorney or to any certificate relating thereto appointing Resident Vice Presidents, Resident Assistant Secretaries or Attorneys-in-Fact for purposes only of executing and attesting bonds and undertakings and other writings obligatory in the nature thereof, and any such Power of Attorney or certificate bearing such facsimile signature or facsimile seal shall be valid and binding upon the Company and any such power so executed and certified by such facsimile signature and facsimile seal shall be valid and binding on the Company in the future with respect to any bond or understanding to which it is attached.

I, Kevin E. Hughes, the undersigned, Assistant Secretary, of Farmington Casualty Company, Fidelity and Guaranty Insurance Company, Fidelity and Guaranty Insurance Company, Fidelity and Guaranty Insurance Company, St. Paul Fire and Marine Insurance Company, St. Paul Guardian Insurance Company, St. Paul Mercury Insurance Company, Travelers Casualty and Surety Company of America, and United States Fidelity and Guaranty Company do hereby certify that the above and foregoing is a true and correct copy of the Power of Attorney executed by said Companies, which is in full force and effect and has not been revoked.

IN TESTIMONY WHEREOF, I have hereunto set my hand and affixed the seals of said Companies this \_\_\_\_

TH day of MARCH

2012

Kevin E. Hughes, Assistant Secretary



















To verify the authenticity of this Power of Attorney, call 1-800-421-3880 or contact us at www.travelersbond.com. Please refer to the Attorney-In-Fact number, the above-named individuals and the details of the bond to which the power is attached.