

## DEPARTMENT OF THE ARMY WILMINGTON DISTRICT, CORPS OF ENGINEERS 69 DARLINGTON AVENUE WILMINGTON, NORTH CAROLINA 28403-1343

August 10, 2020

Regulatory Division

Action ID. SAW-2016-01337

Ms. Amy Euliss North Carolina Department of Transportation (NCDOT), Division 9 375 Silas Creek Parkway Winston Salem NC 27127

Dear Ms. Euliss:

In accordance with the written request of May 8, 2020, and the ensuing administrative record, enclosed is a permit to discharge fill material with 0.11 acre permanent wetland impacts, 1,372 linear feet of permanent stream impacts, and 266 linear feet of temporary stream impacts. These impacts are associated with the construction of TIP U-5760, widening and extension of Big Mill Farm Road, and widening of Hopkins Road, from the north end of Harmon Creek Road, to north of West Mountain Street, west of downtown Kernersville, in Forsyth County, North Carolina.

Any deviation in the authorized work will likely require modification of this permit. If any change in the authorized work is necessary, you should promptly submit revised plans to the Corps showing the proposed changes. You may not undertake the proposed changes until the Corps notifies you that your permit has been modified.

Carefully read your permit. The general and special conditions are important. Your failure to comply with these conditions could result in a violation of Federal law. Certain significant general conditions require that:

- a. You must complete construction before December 31, 2025.
- b. You must notify this office in advance as to when you intend to commence and complete work.
- c. You must allow representatives from this office to make periodic visits to your worksite as deemed necessary to assure compliance with permit plans and conditions.

You should address all questions regarding this authorization to Mr. Eric Alsmeyer, Raleigh Regulatory Field Office, at telephone (919) 554-4884, extension 23.

#### FOR THE DISTRICT ENGINEER

Monte Date: 2020.08.10
Matthews 12:27:07 -04'00'

Monte Matthews Lead Project Manager

#### Enclosures:

Department of the Army Permit Special Conditions Permit Drawings

Copies Furnished (with enclosures):

Chief, Source Data Unit NOAA/National Ocean Service 1315 East-west Highway, Room 7316 Silver Spring, Maryland 20910-3282

Copies Furnished with Special Conditions and Plans:

U. S. Fish and Wildlife Asheville Ecological Services Field Office 160 Zillicoa St. Asheville, NC 28801

Mr. Todd Bowers
Wetlands and Marine Regulatory Section
Water Protection Division – Region IV
U. S. Environmental Protection Agency
61 Forsyth St. SW
Atlanta, Georgia 30303-8931

Division of Water Resources Department of Environmental Quality 1617 Mail Service Center Raleigh, North Carolina 27699

#### DEPARTMENT OF THE ARMY PERMIT

Permittee North Carolina Department of Transportation, Division 9, ATTN: Ms. Amy Euliss

Permit No. **AID SAW-2016-01337** 

Issuing Office CESAW-RG

NOTE: The term "you" and its derivatives, as used in this permit, means the permittee or any future transferee. The term "this office" refers to the appropriate district or division office of the Corps of Engineers having jurisdiction over the permitted activity or the appropriate official of that office acting under the authority of the commanding officer.

You are authorized to perform work in accordance with the terms and conditions specified below.

Project Description: TIP U-5760; Big Mill Farm Road and Hopkins Road Widening and Extension; impacting 0.11 acre of wetlands, and 1,638 linear feet of stream.

Project Location: Existing Big Mill Farm Road and Hopkins Road corridors, west of downtown Kernersville, in Forsyth County, NC. Latitude and Longitude (near center of project at Woodfield Drive): 36.123 N, -80.110 W.

Permit Conditions:

#### **General Conditions:**

- 1. The time limit for completing the work authorized ends on <u>December 31, 2025.</u> If you find that you need more time to complete the authorized activity, submit your request for a time extension to this office for consideration at least one month before the above date is reached.
- 2. You must maintain the activity authorized by this permit in good condition and in conformance with the terms and conditions of this permit. You are not relieved of this requirement if you abandon the permitted activity, although you may make a good faith transfer to a third party in compliance with General Condition 4 below. Should you wish to cease to maintain the authorized activity or should you desire to abandon it without a good faith transfer, you must obtain a modification of this permit from this office, which may require restoration of the area.
- 3. If you discover any previously unknown historic or archeological remains while accomplishing the activity authorized by this permit, you must immediately notify this office of what you have found. We will initiate the Federal and state coordination required to determine if the remains warrant a recovery effort or if the site is eligible for listing in the National Register of Historic Places.
- 4. If you sell the property associated with this permit, you must obtain the signature of the new owner in the space provided and forward a copy of the permit to this office to validate the transfer of this authorization.
- 5. If a conditioned water quality certification has been issued for your project, you must comply with the conditions specified in the certification as special conditions to this permit. For your convenience, a copy of the certification is attached if it contains such conditions.

ENG FORM 1721, Nov 86

EDITION OF SEP 82 IS OBSOLETE.

(33 CFR 325 (Appendix A))

6. You must allow representatives from this office to inspect the authorized activity at any time deemed necessary to ensure that it is being or has been accomplished in accordance with the terms and conditions of your permit.

#### **Special Conditions:**

#### SEE ATTACHED SPECIAL CONDITIONS

#### Further Information:

- 1. Congressional Authorities: You have been authorized to undertake the activity described above pursuant to:
  - ( ) Section 10 of the Rivers and Harbors Act of 1899 (33 U.S.C. 403).
  - (X) Section 404 of the Clean Water Act (33 U.S.C. 1344).
  - ( ) Section 103 of the Marine Protection, Research and Sanctuaries Act of 1972 (33 U.S.C. 1413).
- 2. Limits of this authorization.
  - a. This permit does not obviate the need to obtain other Federal, state, or local authorizations required by law.
  - b. This permit does not grant any property rights or exclusive privileges.
  - c. This permit does not authorize any injury to the property or rights of others.
  - d. This permit does not authorize interference with any existing or proposed Federal project.
- 3. Limits of Federal Liability. In issuing this permit, the Federal Government does not assume any liability for the following:
- a. Damages to the permitted project or uses thereof as a result of other permitted or unpermitted activities or from natural causes.
- b. Damages to the permitted project or uses thereof as a result of current or future activities undertaken by or on behalf of the United States in the public interest.
- c. Damages to persons, property, or to other permitted or unpermitted activities or structures caused by the activity authorized by this permit.
  - d. Design or construction deficiencies associated with the permitted work.
  - e. Damage claims associated with any future modification, suspension, or revocation of this permit.
- 4. Reliance on Applicant's Data: The determination of this office that issuance of this permit is not contrary to the public interest was made in reliance on the information you provided.

- 5. Reevaluation of Permit Decision. This office may reevaluate its decision on this permit at any time the circumstances warrant. Circumstances that could require a reevaluation include, but are not limited to, the following:
  - a. You fail to comply with the terms and conditions of this permit.
  - b. The information provided by you in support of your permit application proves to have been false, incomplete, or inaccurate (See 4 above).
  - c. Significant new information surfaces which this office did not consider in reaching the original public interest decision.

Such a reevaluation may result in a determination that it is appropriate to use the suspension, modification, and revocation procedures contained in 33 CFR 325.7 or enforcement procedures such as those contained in 33 CFR 326.4 and 326.5. The referenced enforcement procedures provide for the issuance of an administrative order requiring you to comply with the terms and conditions of your permit and for the initiation of legal action where appropriate. You will be required to pay for any corrective measures ordered by this office, and if you fail to comply with such directive, this office may in certain situations (such as those specified in 33 CFR 209.170) accomplish the corrective measures by contract or otherwise and bill you for the cost.

6. Extensions. General condition 1 establishes a time limit for the completion of the activity authorized by this permit, Unless there are circumstances requiring either a prompt completion of the authorized activity or a reevaluation of the public interest decision, the Corps will normally give favorable consideration to a request for an extension of this time limit.

Your signature below, as permittee, indicates that you accept and agree to comply with the terms and conditions of this permit.

Amy Culiss	August 8, 2020
(PERMITTEE) NCDOT, attn: AMY EULISS	(DATE)
This permit becomes effective when the Federal official, desi	gnated to act for the Secretary of the Army, has signed below.
FOR THE DISTRICT ENGINEER:  Monte  Matthews	Date: 2020.08.10 12:44:27 -04'00'
(DISTRICT COMMANDER) BENJAMIN A. BENNETT, C	COLONEL (DATE)
conditions of this permit will continue to be binding on the ne	Il in existence at the time the property is transferred, the terms and ew owner(s) of the property. To validate the transfer of this permit its terms and conditions, have the transferee sign and date below.
(TRANSFEREE)	(DATE)

# WILMINGTON DISTRICT REGULATORY DIVISION PERMIT SPECIAL CONDITIONS DA# SAW-2016-01337 U-5760 Big Mill Farm Road Widening/Extension,

- 1. **Work Limits:** All work authorized by this permit shall be performed in strict compliance with the attached permit plans (WETLAND AND STREAM DRAFT PERMIT PACKAGE, dated MAY 4, 2020), which are a part of this permit. The Permittee shall ensure that the construction design plans for this project do not deviate from the permit plans attached to this authorization. Any modification to the attached permit plans must be approved by the US Army Corps of Engineers prior to any active construction in waters or wetlands.
- 2. **Unauthorized Dredge or Fill:** Except as authorized by this permit or any U.S. Army Corps of Engineers approved modification to this permit, no excavation, fill, or mechanized land-clearing activities shall take place at any time in the construction or maintenance of this project, within waters or wetlands, or shall any activities take place that cause the degradation of waters or wetlands. There shall be no excavation from, waste disposal into, or degradation of, jurisdictional wetlands or waters associated with this permit without appropriate modification of this permit, including appropriate compensatory mitigation. This prohibition applies to all borrow and waste activities connected with this project. In addition, except as specified in the plans attached to this permit, no excavation, fill or mechanized land-clearing activities shall take place at any time in the construction or maintenance of this project, in such a manner as to impair normal flows and circulation patterns within, into, or out of waters or wetlands or to reduce the reach of waters or wetlands.
- 3. **Permit Distribution:** The Permittee shall require its contractors and/or agents to comply with the terms and conditions of this permit in the construction and maintenance of this project, and shall provide each of its contractors and/or agents associated with the construction or maintenance of this project with a copy of this permit. A copy of this permit, including all conditions, drawings and attachments shall be available at the project site during the construction and maintenance of this project.
- 4. **Pre-Construction Meeting:** The Permittee shall schedule and attend a preconstruction meeting between its representatives, the contractors representatives, and the U.S. Army Corps of Engineers, NCDOT Regulatory Project Manager, prior to any work within jurisdictional waters and wetlands to ensure that there is a mutual understanding of all the terms and conditions contained with this Department of Army Permit. The Permittee shall provide the Corps, NCDOT Project Manager, with a copy of the final permit plans at least two weeks prior to the preconstruction meeting along with a description of any changes that have been made to the project's design, construction methodology or construction timeframe. The Permittee shall schedule the preconstruction meeting for a time frame when the Corps and NCDWR Project Managers can attend. The Permittee shall invite the Corps and NCDWR Project

Managers a minimum of thirty (30) days in advance of the scheduled meeting in order to provide those individuals with ample opportunity to schedule and participate in the required meeting. The thirty (30) day requirement can be waived with the concurrence of the Corps.

- 5. **Notification of Construction Commencement and Completion:** The Permittee shall notify the U.S. Army Corps of Engineers in writing prior to beginning the work authorized by this permit and again upon completion of the work authorized by this permit.
- 6. **Reporting Address:** All reports, documentation, and correspondence required by the conditions of this permit shall be submitted to the following: U.S. Army Corps of Engineers, Wilmington District Raleigh Regulatory Field Office, Attn: Eric Alsmeyer, 3331 Heritage Trade Drive, Suite 105, Wake Forest, NC 27587 or eric.c.alsmeyer@usace.army.mil. The Permittee shall reference the following permit number, SAW-2016-01337, on all submittals.
- 7. **Permit Revocation:** The Permittee, upon receipt of a notice of revocation of this permit or upon its expiration before completion of the work will, without expense to the United States and in such time and manner as the Secretary of the Army or his authorized representative may direct, restore the water or wetland to its pre-project condition.
- 8. **Reporting Violations:** Violation of these permit conditions or violation of Section 404 of the Clean Water Act or Section 10 of the Rivers and Harbors Act shall be reported to the Corps in writing and by telephone at: 919-554-4844, ext. 23, within 24 hours of the Permittee's discovery of the violation.
- 9. **Clean Fill:** The Permittee shall use only clean fill material for this project. The fill material shall be free from items such as trash, construction debris, metal and plastic products, and concrete block with exposed reinforcement bars. Soils used for fill shall not be contaminated with any toxic substance in concentrations governed by Section 307 of the Clean Water Act. Unless otherwise authorized by this permit, all fill material placed in waters or wetlands shall be generated from an upland source.

#### 10. Culverts:

1) Unless otherwise requested in the application and depicted on the approved permit plans, culverts greater than 48 inches in diameter shall be buried at least one foot below the bed of the stream. Culverts 48 inches in diameter and less shall be buried or placed on the stream bed as practicable and appropriate to maintain aquatic passage, and every effort shall be made to maintain existing channel slope. The bottom of the culvert shall be placed at a depth below the natural stream bottom to provide for passage during drought or low flow conditions. Culverts shall be designed and constructed in a manner that minimizes destabilization and head cutting.

- 2) Measures shall be included in the construction/installation that will promote the safe passage of fish and other aquatic organisms. The dimension, pattern, and profile of the stream above and below a pipe or culvert shall not be modified by widening the stream channel or by reducing the depth of the stream in connection with the construction activity. The width, height, and gradient of a proposed opening shall be such as to pass the average historical low flow and spring flow without adversely altering flow velocity. Spring flow should be determined from gauge data, if available. In the absence of such data, bankfull flow can be used as a comparable level.
- 3) The Permittee shall implement all reasonable and practicable measures to ensure that equipment, structures, fill pads, work, and operations associated with this project do not adversely affect upstream and/or downstream reaches. Adverse effects include, but are not limited to, channel instability, flooding, and/or stream bank erosion. The Permittee shall routinely monitor for these effects, cease all work when detected, take initial corrective measures to correct actively eroding areas, and notify this office immediately. Permanent corrective measures may require additional authorization by the U.S. Army Corps of Engineers.
- 4) Culverts placed within wetlands must be installed in a manner that does not restrict the flows and circulation patterns of waters of the United States. Culverts placed across wetland fills purely for the purposes of equalizing surface water shall not be buried, but the culverts must be of adequate size and/or number to ensure unrestricted transmission of water.

#### 11. Sediment and Erosion Control:

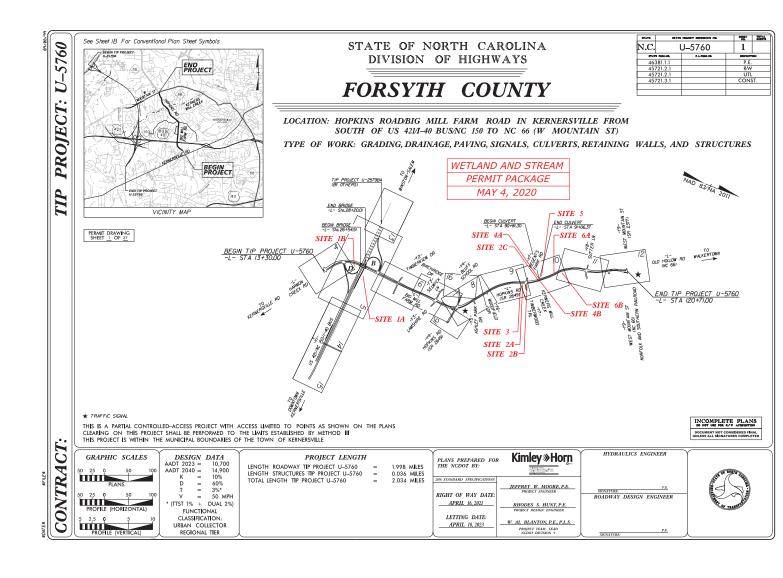
- 1) During the clearing phase of the project, heavy equipment shall not be operated in surface waters or stream channels. Temporary stream crossings will be used to access the opposite sides of stream channels. All temporary diversion channels and stream crossings will be constructed of non-erodible materials. Grubbing of riparian vegetation will not occur until immediately before construction begins on a given segment of stream channel.
- 2) No fill or excavation impacts for the purposes of sedimentation and erosion control shall occur within jurisdictional waters, including wetlands, unless the impacts are included on the plan drawings and specifically authorized by this permit. This includes, but is not limited to, sediment control fences and other barriers intended to catch sediment losses.
- 3) The Permittee shall remove all sediment and erosion control measures placed in waters and/or wetlands, and shall restore natural grades on those areas, prior to project completion.
- 4) The Permittee shall use appropriate sediment and erosion control practices which equal or exceed those outlined in the most recent version of the "North Carolina Sediment and Erosion Control Planning and Design Manual" to ensure compliance with

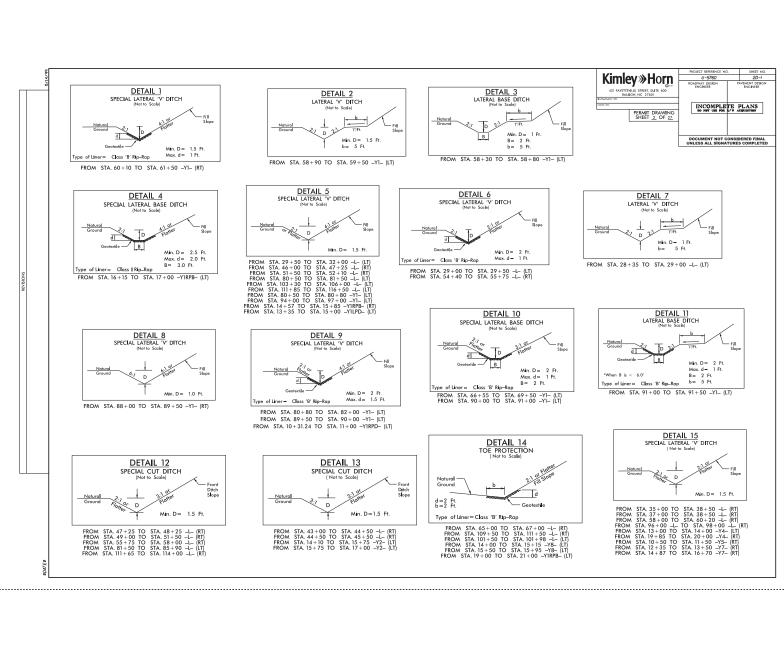
the appropriate turbidity water quality standard. Erosion and sediment control practices shall be in full compliance with all specifications governing the proper design, installation and operation and maintenance of such Best Management Practices in order to ensure compliance with the appropriate turbidity water quality standards. This shall include, but is not limited to, the immediate installation of silt fencing or similar appropriate devices around all areas subject to soil disturbance or the movement of earthen fill, and the immediate stabilization of all disturbed areas. Additionally, the project shall remain in full compliance with all aspects of the Sedimentation Pollution Control Act of 1973 (North Carolina General Statutes Chapter 113A, Article 4). Adequate sedimentation and erosion control measures shall be implemented prior to any ground disturbing activities to minimize impacts to downstream aquatic resources. These measures shall be inspected and maintained regularly, especially following rainfall events. All fill material shall be adequately stabilized at the earliest practicable date to prevent sediment from entering into adjacent waters or wetlands.

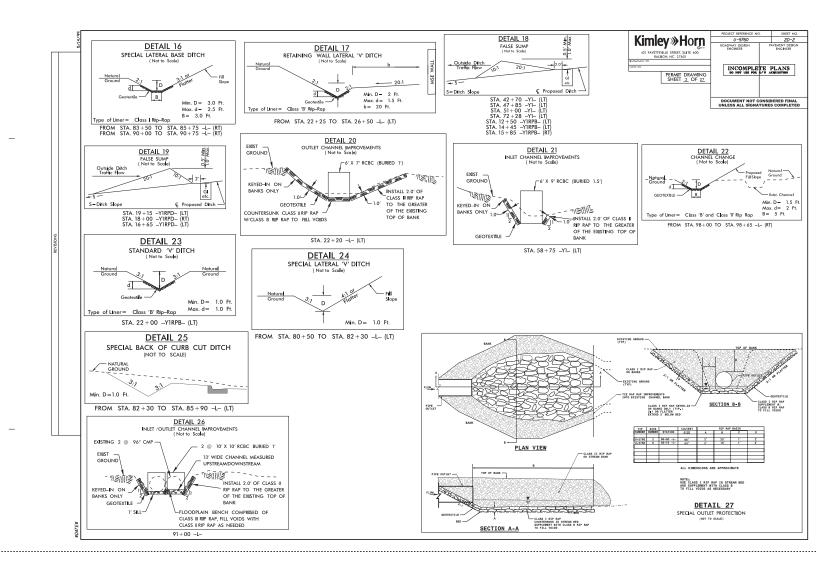
12. Borrow and Waste: To ensure that all borrow and waste activities occur on high ground and do not result in the degradation of adjacent waters and wetlands, except as authorized by this permit, the Permittee shall require its contractors and/or agents to identify all areas to be used as borrow and/or waste sites associated with this project. The Permittee shall provide the U.S. Army Corps of Engineers with appropriate maps indicating the locations of proposed borrow and/or waste sites as soon as such information is available. The Permittee shall submit to the Corps site-specific information needed to ensure that borrow and/or waste sites comply with all applicable Federal requirements, to include compliance with the Endangered Species Act and the National Historic Preservation Act, such as surveys or correspondence with agencies (e.g., the USFWS, the NC-HPO, etc.). The required information shall also include the location of all aquatic features, if any, out to a distance of 400 feet beyond the nearest boundary of the site. The Permittee shall not approve any borrow and/or waste sites before receiving written confirmation from the Corps that the proposed site meets all Federal requirements, whether or not waters of the U.S., including wetlands, are located in the proposed borrow and/or waste site. All delineations of aquatic sites on borrow and/or waste sites shall be verified by the U.S. Army Corps of Engineers and shown on the approved reclamation plans. The Permittee shall ensure that all borrow and/or waste sites comply with Special Condition 2. of this permit. Additionally, the Permittee shall produce and maintain documentation of all borrow and waste sites associated with this project. This documentation will include data regarding soils, vegetation, hydrology, any delineation(s) of aquatic sites, and any jurisdictional determinations made by the Corps to clearly demonstrate compliance with Special Condition 2. All information will be available to the U.S. Army Corps of Engineers upon request. The Permittee shall require its contractors to complete and execute reclamation plans for each borrow and/or waste site and provide written documentation that the reclamation plans have been implemented and all work is completed. This documentation will be provided to the U.S. Army Corps of Engineers within 30 days of the completion of the reclamation work.

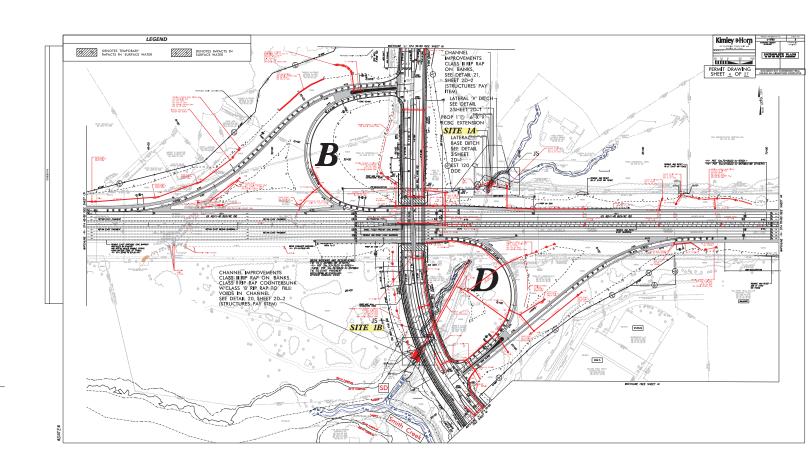
- 13. **Compensatory Mitigation:** In order to compensate for impacts associated with this permit, mitigation shall be provided in accordance with the provisions outlined on the most recent version of the attached Compensatory Mitigation Responsibility Transfer Form. The requirements of this form, including any special conditions listed on this form, are hereby incorporated as special conditions of this permit.
- 14. **Endangered Species Act:** The Permittees shall implement all necessary measures to ensure the authorized activity does not kill, injure, capture, harass, or otherwise harm any federally-listed threatened or endangered species. While accomplishing the authorized work, if the Permittees discover or observe an injured or dead threatened or endangered species, the U.S. Army Corps of Engineers, Wilmington District Raleigh Field Office, Attn: Eric Alsmeyer at 919-554-4884 Ext. 23 / <a href="mailto:Eric.C.Alsmeyer@usace.army.mil">Eric.C.Alsmeyer@usace.army.mil</a> will be immediately notified to initiate the required Federal coordination.
- 15. **Maintain Flows and Circulation Patterns of Waters:** Except as specified in the plans attached to this permit, no excavation, fill or mechanized land-clearing activities shall take place at any time in the construction or maintenance of this project, in such a manner as to impair normal flows and circulation patterns within waters or wetlands or to reduce the reach of waters and/or wetlands.
- 16. **Temporary Fills:** Within thirty (30) days of the date of completing the authorized work, the Permittee shall remove all temporary fills in waters of the United States and restore the affected areas to pre-construction contours and elevations. The affected areas shall be re-vegetated with native, non-invasive vegetation as necessary to minimize erosion and ensure site stability.
- 17. **Water Contamination:** All mechanized equipment shall be regularly inspected and maintained to prevent contamination of waters and wetlands from fuels, lubricants, hydraulic fluids, or other toxic materials. In the event of a spill of petroleum products or any other hazardous waste, the Permittees shall immediately report it to the N.C. Division of Water Resources at (919) 733-3300 or (800) 858-0368 and provisions of the North Carolina Oil Pollution and Hazardous Substances Control Act shall be followed.
- 18. **Aquatic Life Movement:** No activity may substantially disrupt the necessary life cycle movements of those species of aquatic life indigenous to the waterbody, including those species that normally migrate through the area. All discharges of dredged or fill material within waters of the United States shall be designed and constructed, except as authorized as indirect impacts, to maintain low flows to sustain the movement of aquatic species.
- 19. **Prohibitions on Concrete:** The Permittees shall take measures necessary to prevent live or fresh concrete, including bags of uncured concrete, from coming into contact with any water in or entering waters of the United States. Water inside coffer dams or casings that has been in contact with concrete shall only be returned to waters

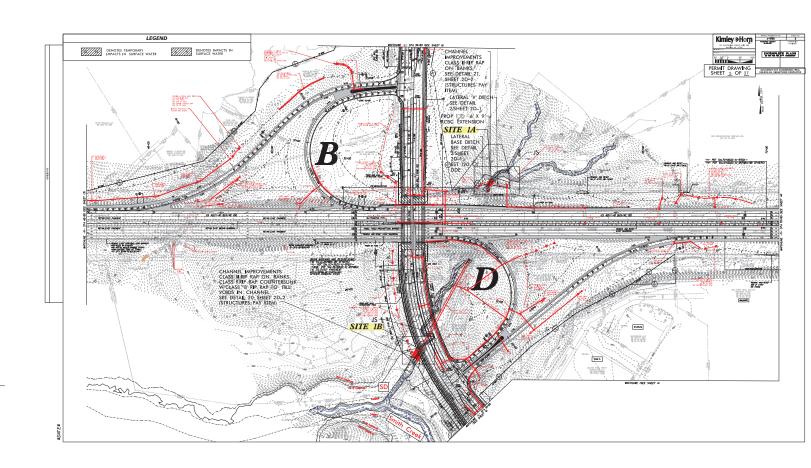
of the United States when it no longer poses a threat to aquatic organisms (concrete is set and cured).	

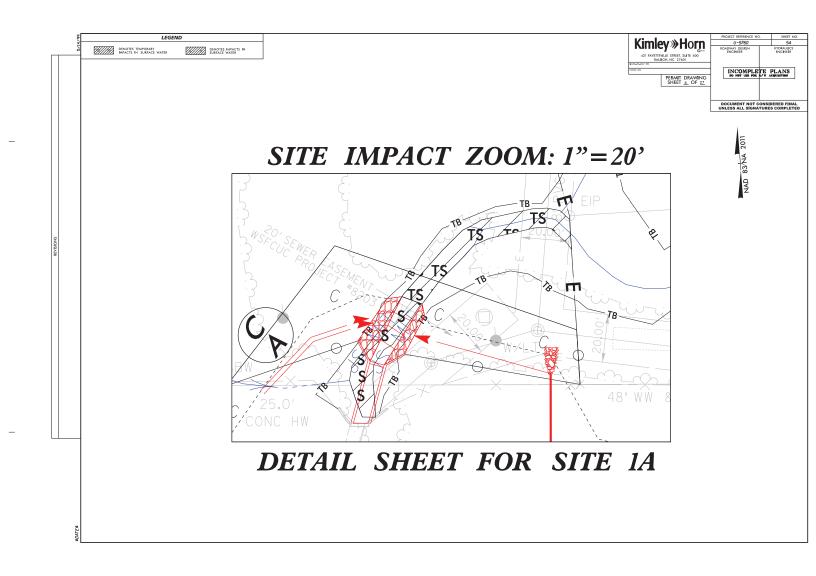


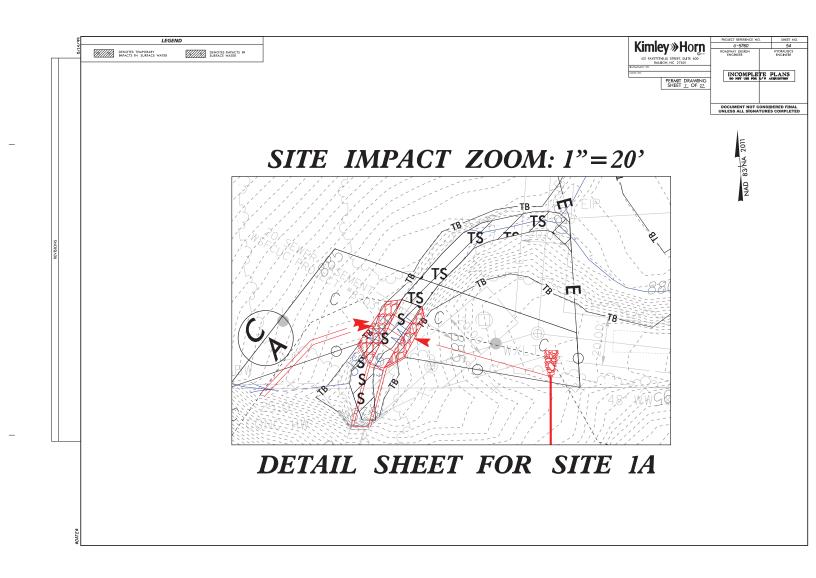


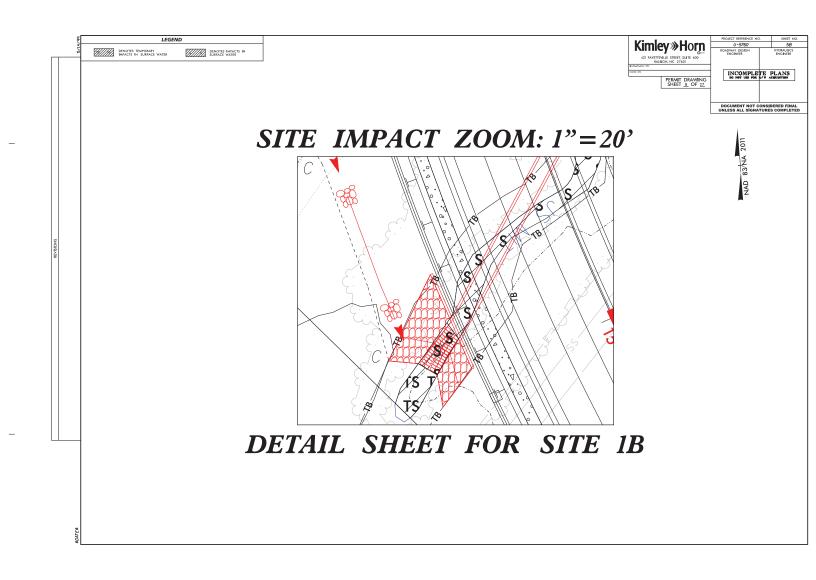


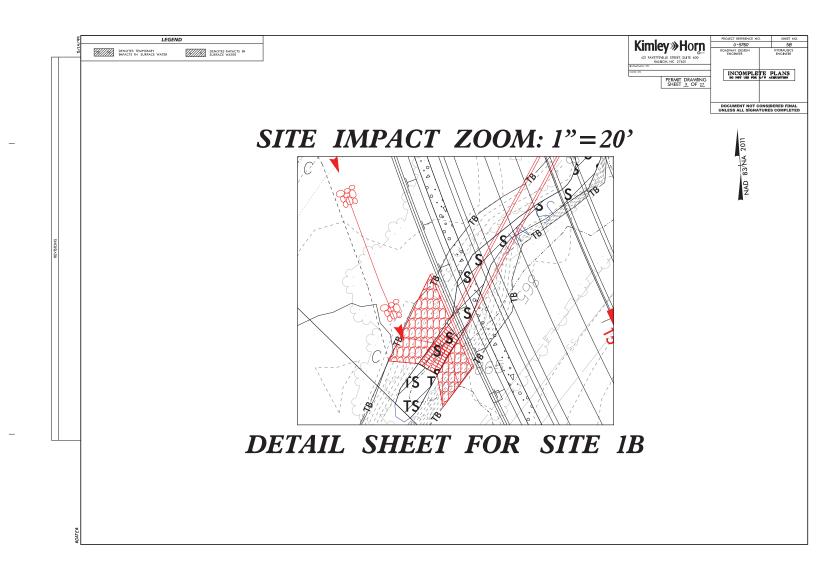






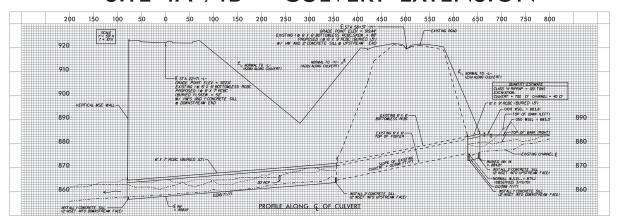


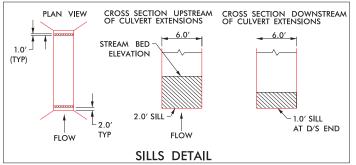




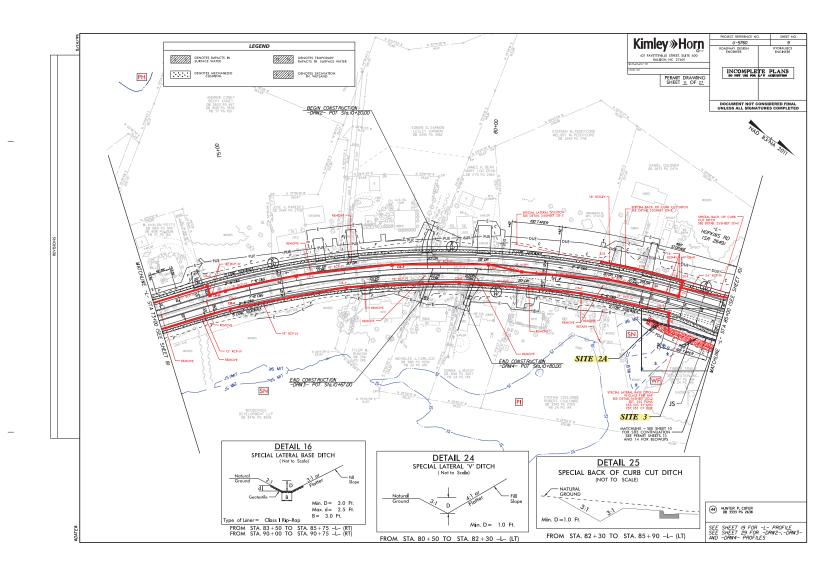


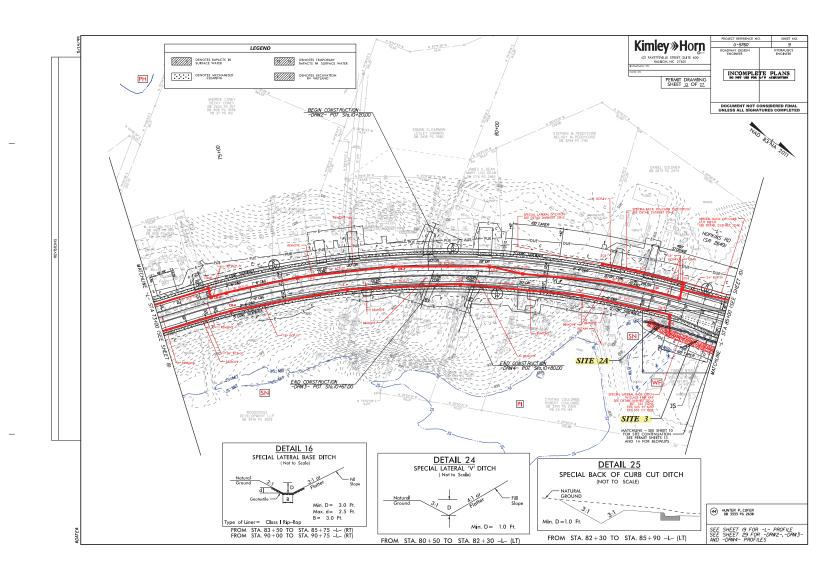
### SITE 1A /1B - CULVERT EXTENSION

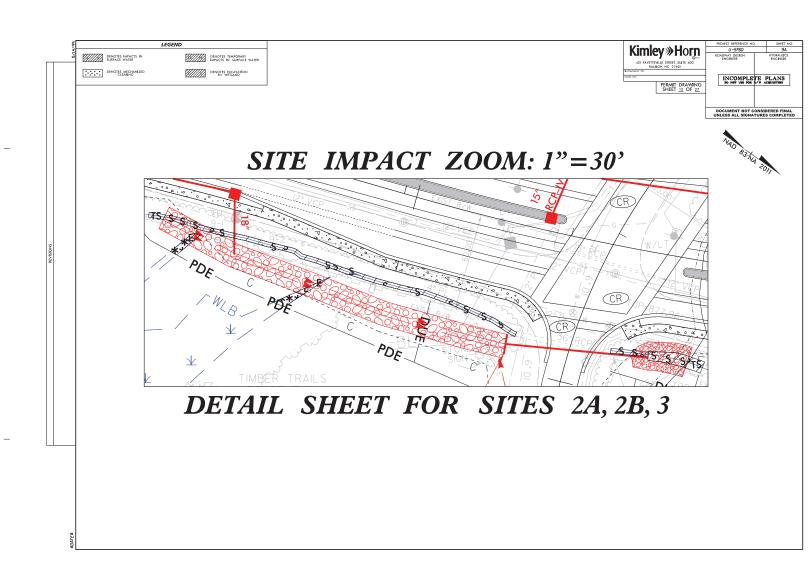


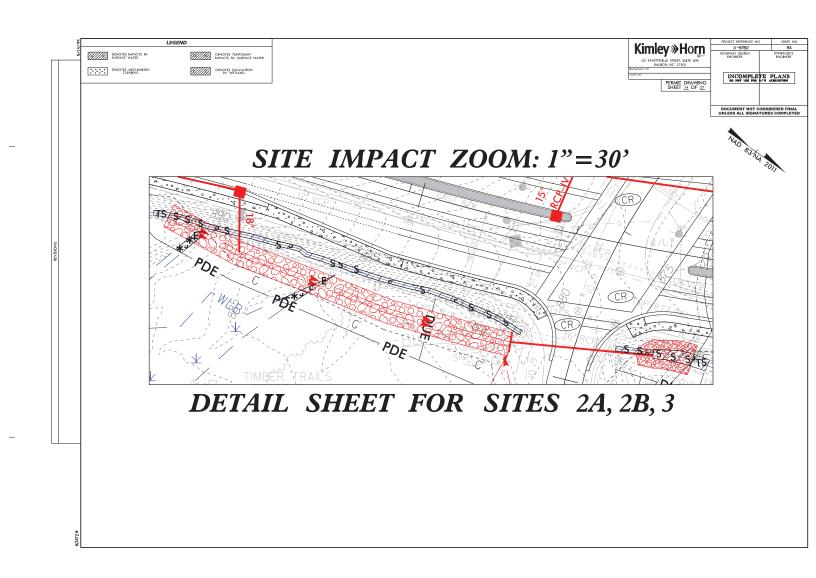


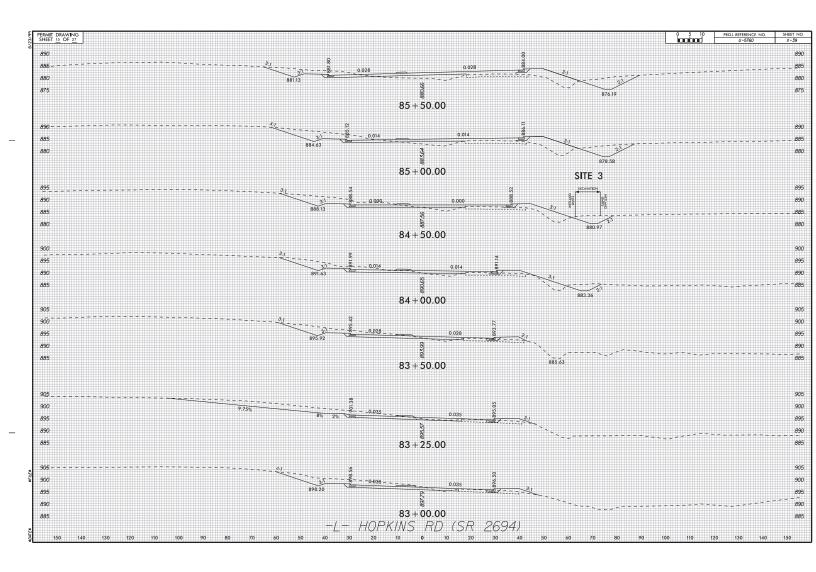
NOTE: NATIVE BED MATERIAL SHALL BE PLACED IN THE PROPOSED CULVERT EXTENSIONS BETWEEN SILLS. NATIVE MATERIALS CONSISTS OF MATERIAL THAT IS EXCAVATED FROM THE STREAM BED OR FLOODPLAIN AT THE PROJECT SITE DURING CULVERT CONSTRUCTION. RIP RAP MAY BE USED TO SUPPLEMENT THE NATIVE MATERIAL. IF RIP RAP IS USED, THE NATIVE MATERIAL SHOULD BE PLACED ON TOP TO FACILITATE ANIMAL PASSAGE. THE TOP SUFFACE OF THE NATURAL STREAM BED MATERIAL SHALL BE PLACED AND LEVELED TO A FLAT SURFACE TO ALLOW FOR ANIMAL PASSAGE. NATIVE MATERIAL AND RIP RAP ARE SUBJECT TO APPROVAL BY THE ENGINEER AND MAY BE SUBJECT TO PERMIT CONDITIONS.

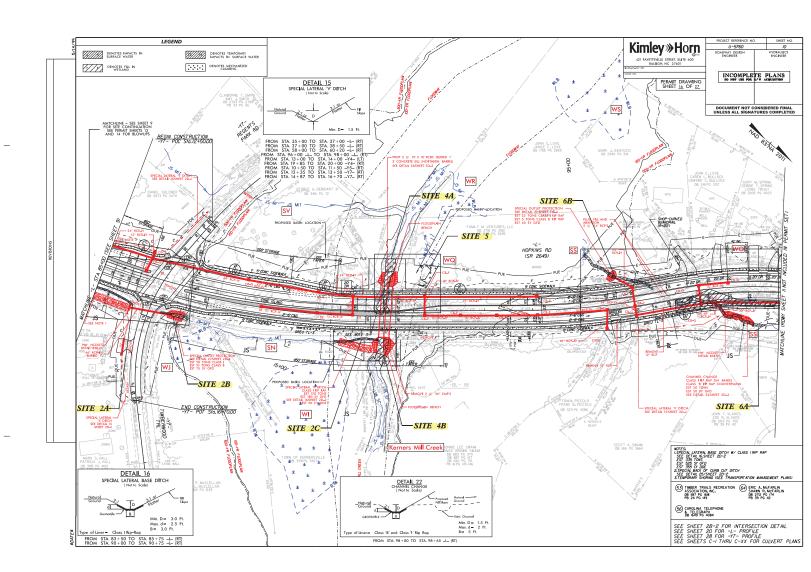


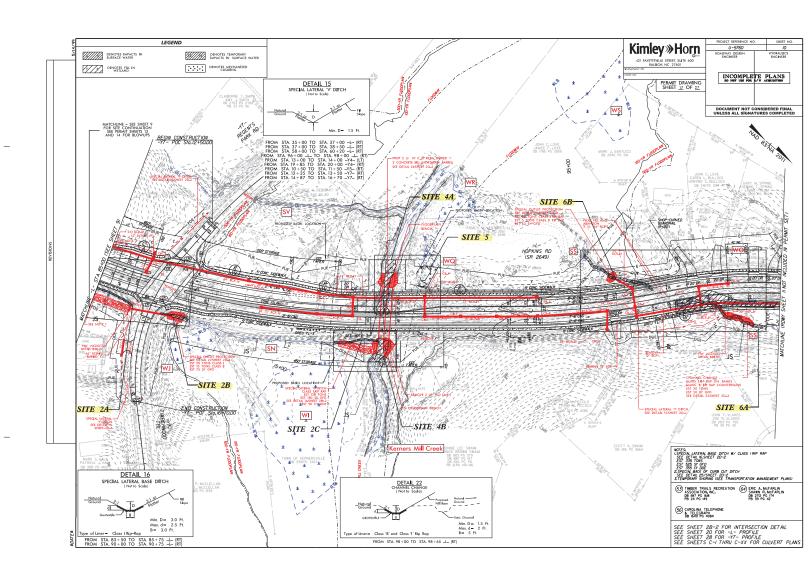


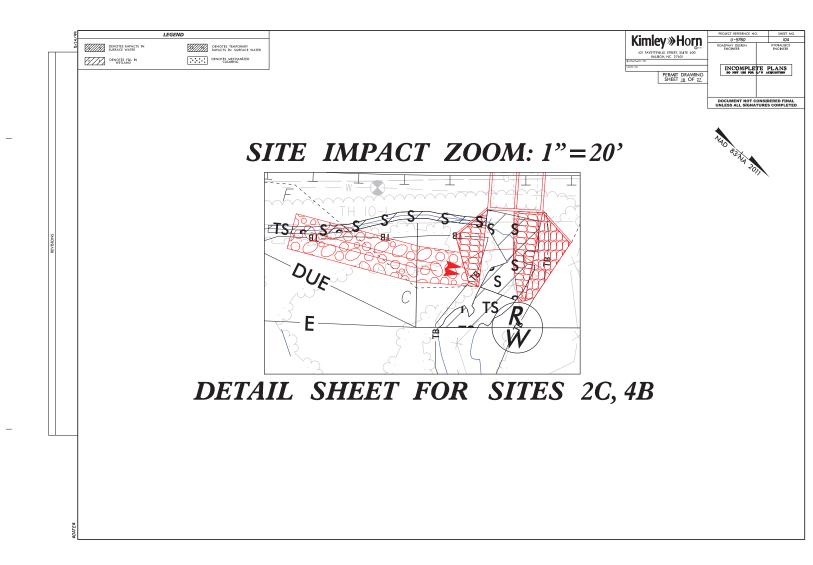


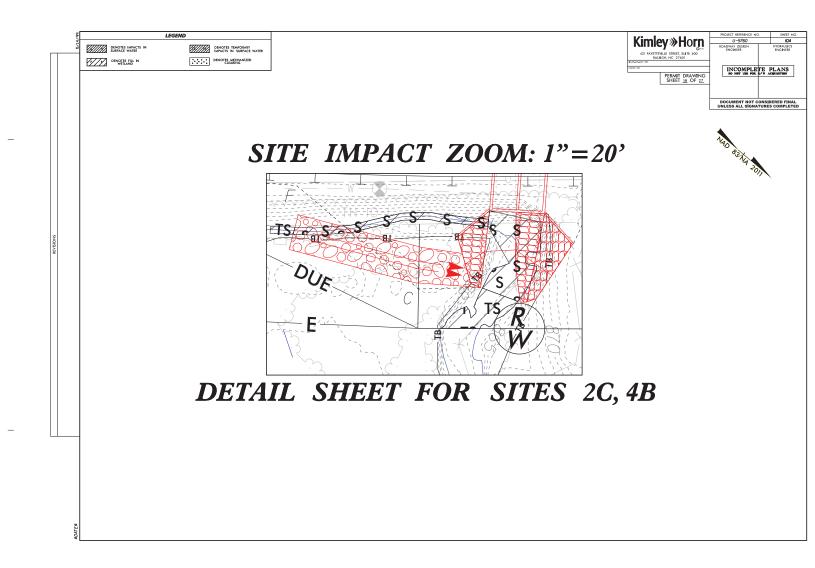


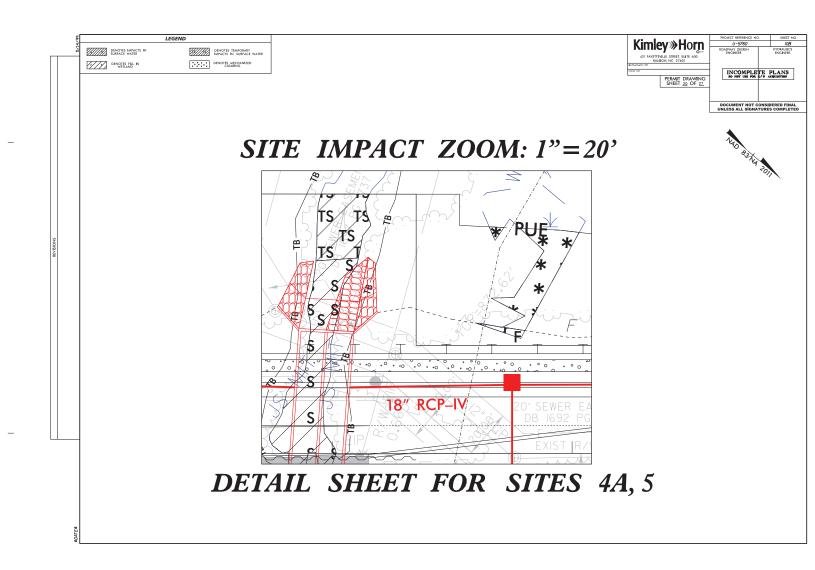


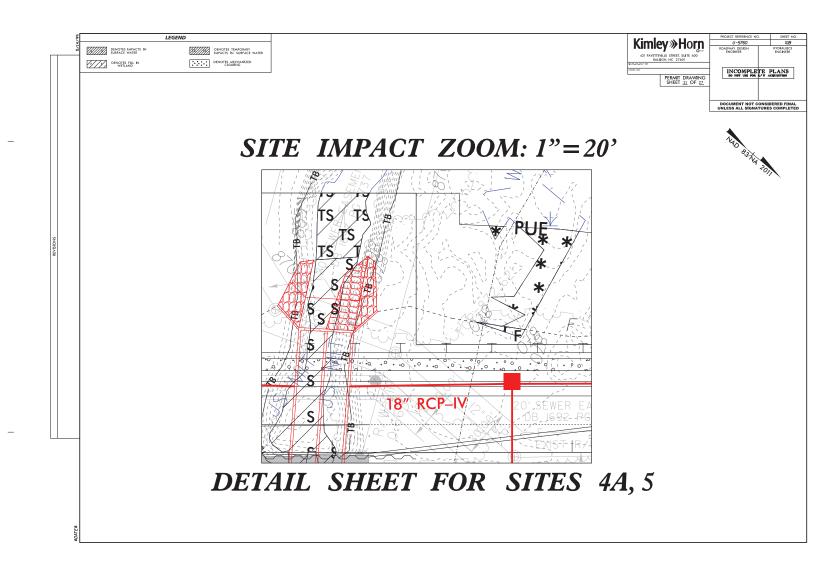


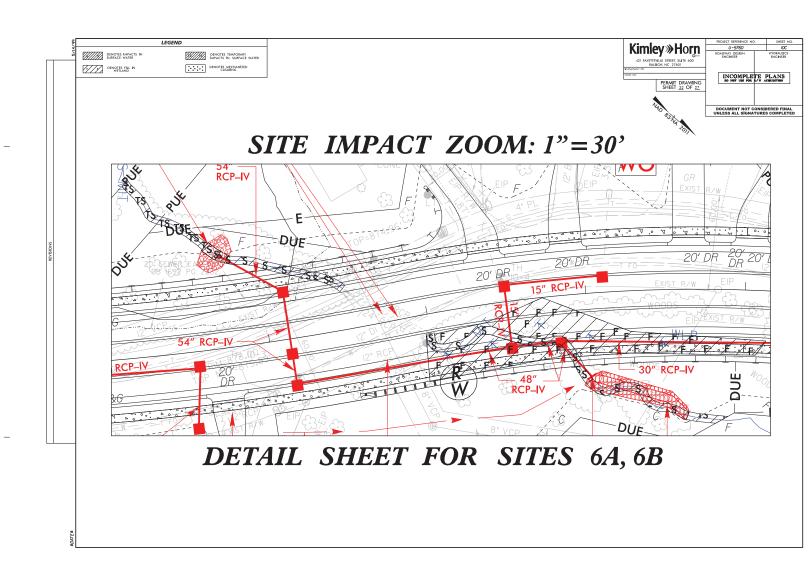


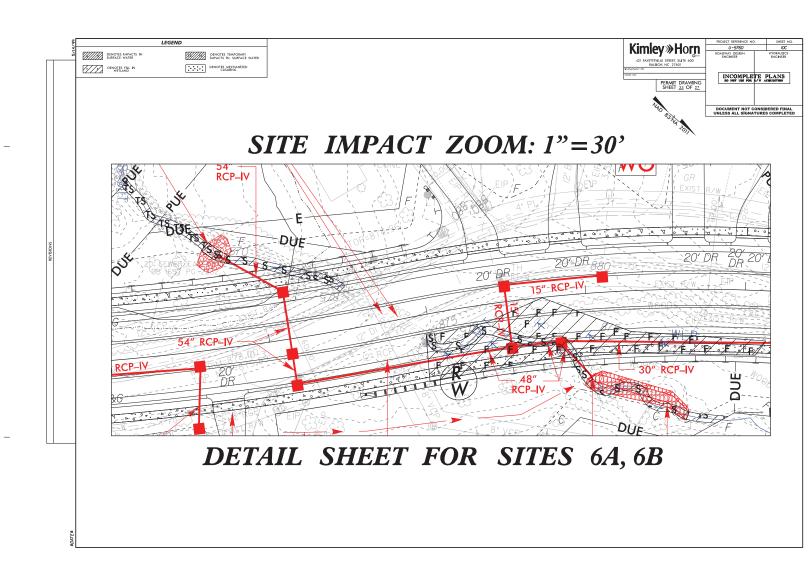


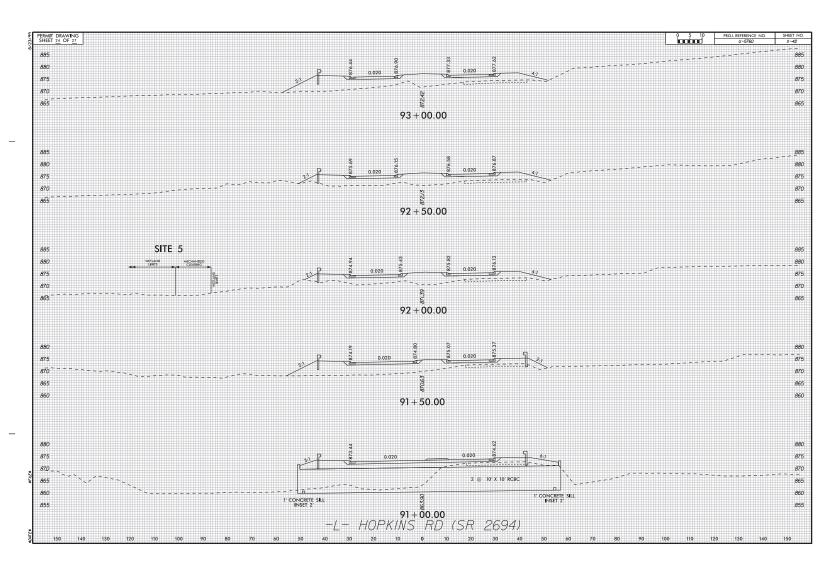


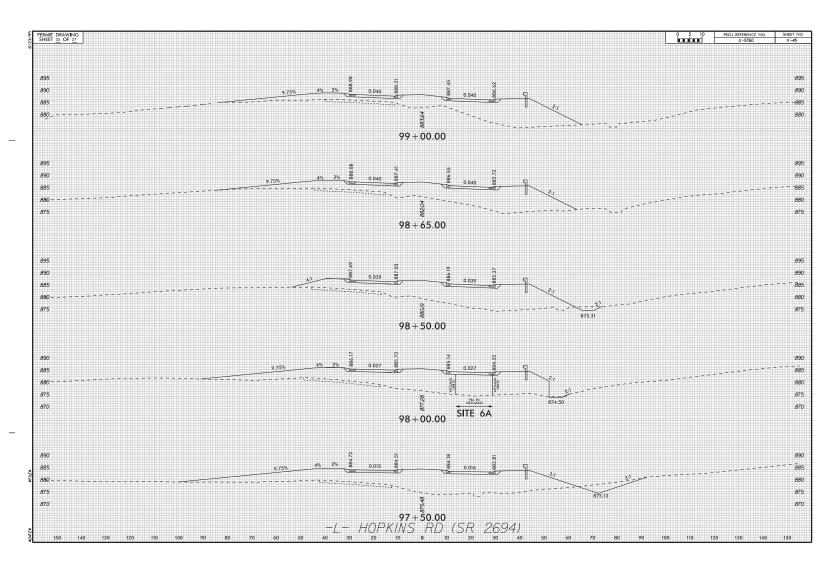


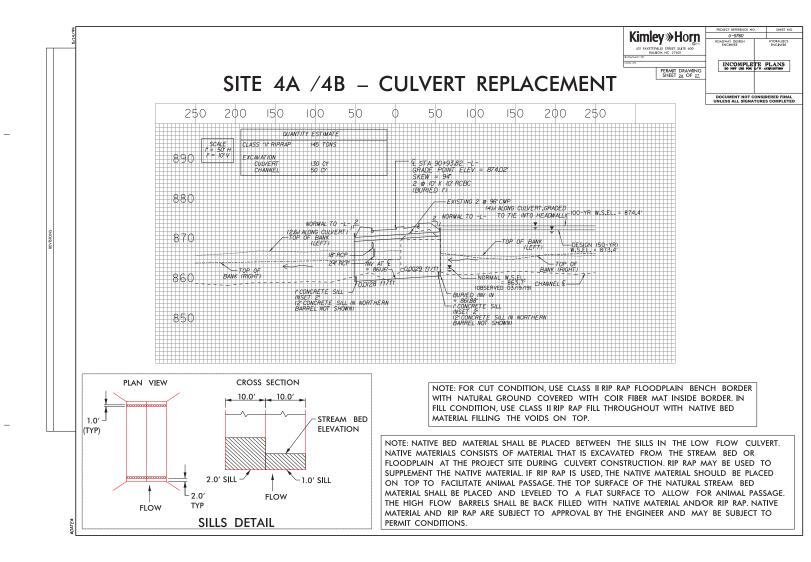












					TLAND IMF	ORACE WA	ATER IMP			WATER IM	PACTS	
			1	VVL	I LAND IIVII	ACTO	Hand	· ·	JOIN ACL	Existing	Existing	
			Permanent	Temp.	Excavation	Mechanized	Clearing	Permanent	Temp.	Channel	Channel	Natural
Site	Station	Structure	Fill In	Fill In	in	Clearing	in	SW	SW	Impacts	Impacts	Stream
No.	(From/To)	Size / Type	Wetlands	Wetlands	Wetlands	in Wetlands	Wetlands	impacts	impacts	Permanent	Temp.	Design
			(ac)	(ac)	(ac)	(ac)	(ac)	(ac)	(ac)	(ft)	(ft)	(ft)
1A	58+66/59+59 -Y1- (LT)	1 @ 6' x 9' RCBC Extension						< 0.01		25		
	58+66/59+59 -Y1- (LT)	Channel Improvements						< 0.01	0.02	31	82	
1B	21+97/25+90 -L- (RT)	1 @ 6' x 7' RCBC Extension						0.09		522		
	21+97/25+90 -L- (RT)	Channel Improvements						< 0.01	< 0.01	20	20	
2A	83+40/85+80 -L- (RT)	Roadway Fill			< 0.01	< 0.01		0.02	< 0.01	233	10	
2B	86+38/86+80 -L- (RT)	66" RCP						< 0.01		24		
		Channel Improvements						< 0.01	< 0.01	25	10	
2C	89+96/90+79 -L- (RT)	Roadway Fill						< 0.01	< 0.01	82	10	
3	83+60/84+62 -L- (RT)	Roadway Fill			< 0.01	< 0.01						
4A	90+74/91+16 -L- (LT)	2 @ 10' x 10' RCBC						0.02		61		
		Channel Improvements						< 0.01	0.01	30	28	
4B	90+59/91+00 -L- (RT)	Channel Improvements						0.01	< 0.01	35	19	
-												
5	91+60/92+06 -L- (LT)	Roadway Fill	< 0.01			0.01						
	00.0000.07 (	401.000						0.04		100		
6A	96+98/98+87 -L- (RT)	48" RCP						0.01		122		
		Channel Change	0.00					< 0.01	< 0.01	70	22	
		Roadway Fill	0.09									-
- CD	05.00/00.50   (LT)	54" RCP	-					-0.04		00		-
6B	95+22/96+53 -L- (LT)	Channel Improvements	1					< 0.01	< 0.01	82 10	65	
TOTAL	Q*-	Ghanner improvements	0.09		< 0.01	0.01	-	0.01	0.04	1372	266	0
TOTAL	.0 .		0.09		> 0.01	0.01		0.21	U.U4	1372	∠00	U

\*Rounded totals are sum of actual impacts

NOTES:

NC DEPARTMENT OF TRANSPORTATION
DIVISION OF HIGHWAYS
03/06/2020
FORSYTH
U-5760
46381.1.1

ised 2018 Feb

#### U.S. ARMY CORPS OF ENGINEERS

#### **Wilmington District**

#### **Compensatory Mitigation Responsibility Transfer Form**

Permittee: NCDOT, Div. 9 Action ID: SAW 2016-01337

Project Name: TIP U-5760\_Big Mill Farm Rd wid.ext\_ Hopkins Rd wid.\_new interch. County: Forsyth

Instructions to Permittee: The Permittee must provide a copy of this form to the Mitigation Sponsor, either an approved Mitigation Bank or the North Carolina Division of Mitigation Services (NCDMS), who will then sign the form to verify the transfer of the mitigation responsibility. Once the Sponsor has signed this form, it is the Permittee's responsibility to ensure that Wilmington District Project Manager identified on page two is in receipt of a signed copy of this form before conducting authorized impacts, unless otherwise specified below. If more than one Mitigation Sponsor will be used to provide the mitigation associated with the permit, or if the impacts and/or the mitigation will occur in more than one 8-digit Hydrologic Unit Code (HUC), multiple forms will be attached to the permit, and the separate forms for each Sponsor and/or HUC must be provided to the appropriate Mitigation Sponsors.

**Instructions to Sponsor:** The Sponsor verifies that the mitigation requirements (credits) shown below have been released and are available at the identified site. By signing below, the Sponsor is accepting full responsibility for the identified mitigation, regardless of whether they have received payment from the Permittee. Once the form is signed, the Sponsor must update the bank ledger and provide a copy of the signed form and the updated ledger to the Permittee, the Project Manager who issued the permit, the Bank Project Manager, and the District Mitigation Office (see contact information on page 2). The Sponsor must also comply with all reporting requirements established in their authorizing instrument.

#### **Permitted Impacts and Compensatory Mitigation Requirements**

Permitted Impacts Requiring Mitigation\*: 8-digit HUC and Basin: 03040101, Yadkin River Basin

Stream Impacts (linear feet)			Wetland Impacts (acres)			
Warm	Cool	Cold	Riparian Riverine	Riparian Non-Riverine	Non-Riparian	Coastal
1,372				0.11		

<sup>\*</sup>If more than one mitigation sponsor will be used for the permit, only include impacts to be mitigated by this sponsor.

Compensatory Mitigation Requirements: 8-digit HUC and Basin: Choose the 8-digit HUC

	. 0		0		,	
Stream	Mitigation (credi	ts)	Wetland Mitigation (credits)			
Warm	Cool	Cold	Riparian Riverine	Riparian Non-Riverine	Non-Riparian	Coastal
2,744				0.22		

#### Mitigation Site Debited: NCDMS

(List the name of the bank to be debited. For umbrella banks, also list the specific site. For NCDMS, list NCDMS. If the NCDMS acceptance letter identifies a specific site, also list the specific site to be debited).

#### Section to be completed by the Mitigation Sponsor

**Statement of Mitigation Liability Acceptance**: I, the undersigned, verify that I am authorized to approve mitigation transactions for the Mitigation Sponsor shown below, and I certify that the Sponsor agrees to accept full responsibility for providing the mitigation identified in this document (see the table above), associated with the USACE Permittee and Action ID number shown. I also verify that released credits (and/or advance credits for NCDMS), as approved by the Wilmington District, are currently available at the mitigation site identified above. Further, I understand that if the Sponsor fails to provide the required compensatory mitigation, the USACE Wilmington District Engineer may pursue measures against the Sponsor to ensure compliance associated with the mitigation requirements.

ensure compliance associated with the mitigation requirements.	
Mitigation Sponsor Name:	
Name of Sponsor's Authorized Representative:	
Signature of Sponsor's Authorized Representative	Date of Signature

Page 1 of 2 Form Date July 7, 2020

## USACE Wilmington District Compensatory Mitigation Responsibility Transfer Form, Page 2

#### **Conditions for Transfer of Compensatory Mitigation Credit:**

- Once this document has been signed by the Mitigation Sponsor and the District is in receipt of the signed form, the Permittee is no longer responsible for providing the mitigation identified in this form, though the Permittee remains responsible for any other mitigation requirements stated in the permit conditions.
- Construction within jurisdictional areas authorized by the permit identified on page one of this form can begin only after
  the District is in receipt of a copy of this document signed by the Sponsor, confirming that the Sponsor has accepted
  responsibility for providing the mitigation requirements listed herein. When NCDMS provides mitigation for authorized
  impacts conducted by the North Carolina Department of Transportation (NCDOT), construction within jurisdictional areas
  may proceed upon permit issuance; however, a copy of this form signed by NCDMS must be provided to the District
  within 30 days of permit issuance. NCDOT remains fully responsible for the mitigation until the District has received this
  form, confirming that the Sponsor has accepted responsibility for providing the mitigation requirements listed herein.
- Signed copies of this document must be retained by the Permittee, Mitigation Sponsor, and in the USACE administrative records for both the permit and the Bank/ILF Instrument. It is the Permittee's responsibility to ensure that the District Project Manager (address below) is provided with a signed copy of this form.
- If changes are proposed to the type, amount, or location of mitigation after this form has been signed and returned to the District, the Sponsor must obtain case-by-case approval from the District Project Manager and/or North Carolina Interagency Review Team (NCIRT). If approved, higher mitigation ratios may be applied, as per current District guidance and a new version of this form must be completed and included in the District administrative records for both the permit and the Bank/ILF Instrument.

#### **Comments/Additional Conditions:**

This form is not valid unless signed below by the District Project Manager and by the Mitigation Sponsor on Page 1. *Once signed, the Sponsor should provide copies of this form along with an updated bank ledger to: 1) the Permittee, 2) the District Project Manager at the address below, 3) the Bank Manager listed in RIBITS, and 4) the Wilmington District Mitigation Office, 3331 Heritage Trade Drive, Suite 105, Wake Forest, NC 27587 (or by email to <u>SAWMIT@usace.army.mil</u>). Questions regarding this form or any of the permit conditions may be directed to the District Mitigation Office.* 

**USACE Project Manager:** Eric Alsmeyer

**USACE Field Office:** Raleigh Regulatory Field Office

**US Army Corps of Engineers** 

3331 Heritage Trade Drive, Suite 105

Wake Forest, NC 27587

Email: eric.c.alsmeyer@usace.army.mil

Eric C. Alsmeyer 2020.07.29 12:47:34

-04 00

July 29, 2020

Wilmington District Project Manager Signature

**Date of Signature** 

Current Wilmington District mitigation guidance, including information on mitigation ratios, functional assessments, and mitigation bank location and availability, and credit classifications (including stream temperature and wetland groupings) is available at http://ribits.usace.army.mil.

ROY COOPER Governor MICHAEL S. REGAN Secretary S. DANIEL SMITH Director



July 13, 2020

Mr. Philip S. Harris, III, P.E., CPM Natural Environment Section Head Project Development and Environmental Analysis North Carolina Department of Transportation 1598 Mail Service Center Raleigh, North Carolina, 27699-1598

Subject: 401 Water Quality Certification Pursuant to Section 401 of the Federal Clean Water Act for the proposed widening and extension of Big Mill Farm Road and widening of Hopkins Road From I-40 Business/US 421 to West Mountain Street with a new partial cloverleaf interchange at I-40 Business/US 421 in Forsyth County; STIP

No. U-5760; WBS Element NO. 46381.1.1. NCDWR Project No. 20200628.

Dear Mr. Harris:

Attached hereto is a copy of Certification No. WQ004231 issued to The North Carolina Department of Transportation (NCDOT) dated July 13, 2020.

If we can be of further assistance, do not hesitate to contact us.

Sincerely,

amy Chapman

S. Daniel Smith, Director Division of Water Resources

#### Attachments

Electronic copy only distribution:

Eric Alsmeyer, US Army Corps of Engineers, Raleigh Field Office Amy Euliss, Division 9 Environmental Officer Claire Ellwanger, US Fish and Wildlife Service Marla Chambers, NC Wildlife Resources Commission Beth Harmon, Division of Mitigation Services File Copy



## 401 Water Quality Certification Pursuant to Section 401 of the Federal Clean Water Act with ADDITIONAL CONDITIONS

**THIS CERTIFICATION** is issued in conformity with the requirements of Section 401 Public Laws 92-500 and 95-217 of the United States and subject to the North Carolina Division of Water Resources (NCDWR) Regulations in 15 NCAC 2H .0500. This certification authorizes the NCDOT to impact 0.110 acres of jurisdictional wetlands and 1,638 linear feet of jurisdictional streams in Forsyth County. The project shall be constructed pursuant to the application dated received May 7, 2020. The authorized impacts are as described below:

Stream Impacts in the Yadkin Pee Dee River Basin (U-5760)

	Permanent Fill in Perennial Stream (linear ft)					Temporary Fill in	Total Stream	Stream Impacts	
Site	12911	Cı	lvert Ch		hannel	Perennial Stream (linear	Impact (linear ft)	Requiring Mitigation	
	Fill			Change	Improvements	ft)	(inicar it)	(linear ft)	
1A	-	-	25	-	31	82	138	-	
1B	i=	-	522	-	20	20	562	542	
2A	233	-	-	-	-	10	243	233	
2B	-	24	-	₩	25	10	59	49	
2C	82	-	-	_	-	10	92	82	
4A	-	-	61	-	30	28	119	-	
4B	-	-	-	Ĩ	35	19	54	-	
6A	1-	122	-	70	-	22	214	-	
6B	ı. <b>-</b>	82	-	_	10	65	157	-	
Totals	315	228	608	70	151	266	1,638	906	

Total Stream Impacts for this Section: 1,638 linear feet

Wetland (Riverine) Impacts in the Yadkin Pee Dee River Basin (U-5760)

Site	Fill (ac)	Excavation (ac)	Mechanized Clearing (ac)	Total Wetland Impact (ac)	Impacts Requiring Mitigation (ac)
2A	-	0.001	0.001	0.002	-
3	-	0.001	0.001	0.002	-
5	0.001	-	0.012	0.013	=
6A	0.093	-	-	0.093	-
Total	0.094	0.002	0.014	0.110	=

Total Wetland Impacts: 0.110 acres.

The application provides adequate assurance that the discharge of fill material into the waters of the Yadkin River Basin in conjunction with the proposed development will not result in a violation of applicable Water Quality Standards and discharge guidelines. Therefore, the State of North Carolina certifies that this activity will not violate the applicable portions of Sections 301, 302, 303, 306, 307 of PL 92-500 and PL 95-217 if conducted in accordance with the application and conditions hereinafter set forth.

This approval is only valid for the purpose and design that you submitted in your application dated received May 7, 2020. Should your project change, you are required to notify the NCDWR and submit a new application. If the property is sold, the new owner must be given a copy of this Certification and approval letter and is thereby responsible for complying with all the conditions. If any additional wetland impacts, or stream impacts, for this project (now or in the future) exceed one acre or 300 linear feet, respectively, additional compensatory mitigation may be required as described in 15A NCAC 2H .0506 (h) (6) and (7). For this approval to remain valid, you are required to comply with all the conditions listed below. In addition, you should obtain all other federal, state or local permits before proceeding with your project including (but not limited to) Sediment and Erosion control, Coastal Stormwater, Non-discharge and Water Supply watershed regulations. This Certification shall expire on the same day as the expiration date of the corresponding Corps of Engineers Permit.

#### Condition(s) of Certification:

#### Mitigation Requirements

Compensatory mitigation for 906 linear feet of impact to streams is required. We understand that you have chosen to perform compensatory mitigation for impacts to streams through the North Carolina Division of Mitigation Service (DMS) (formerly NCEEP), and that the DMS has agreed to implement the mitigation for the project. The DMS has indicated in a letter dated April 13, 2020 that they will assume responsibility for satisfying the federal Clean Water Act compensatory mitigation requirements for the above-referenced project, in accordance with the DMS Mitigation Banking Instrument signed July 28, 2010.

- 1. Placement of culverts and other structures in open waters and streams shall be placed below the elevation of the streambed by one foot for all culverts with a diameter greater than 48 inches, and 20 percent of the culvert diameter for culverts having a diameter less than 48 inches, to allow low flow passage of water and aquatic life. However, the pipe system at Site 6 is exempt from this condition as was explained in the application. Design and placement of culverts and other structures including temporary erosion control measures shall not be conducted in a manner that may result in disequilibrium of wetlands or streambeds or banks, adjacent to or upstream and downstream of the above structures. The applicant is required to provide evidence that the equilibrium is being maintained if requested in writing by NCDWR. If this condition is unable to be met due to bedrock or other limiting features encountered during construction, please contact NCDWR for guidance on how to proceed and to determine whether a permit modification will be required. [15A NCAC 02H.0506(b)(2)]
- 2. If concrete is used during construction, a dry work area shall be maintained to prevent direct contact between curing concrete and stream water. Water that inadvertently contacts uncured concrete shall not be discharged to surface waters due to the potential for elevated pH and possible aquatic life and fish kills. [15A NCAC 02B.0200]
- 3. During the construction of the project, no staging of equipment of any kind is permitted in waters of the U.S. [15A NCAC 02H.0506(b)(2)]
- 4. The dimension, pattern and profile of the stream above and below the crossing shall not be modified. Disturbed floodplains and streams shall be restored to natural geomorphic conditions. [15A NCAC 02H.0506(b)(2)]
- 5. The use of rip-rap above the Normal High Water Mark shall be minimized. Any rip-rap placed for stream stabilization shall be placed in stream channels in such a manner that it does not impede aquatic life passage. [15A NCAC 02H.0506(b)(2)]
- 6. The Permittee shall ensure that the final design drawings adhere to the permit and to the permit drawings submitted for approval. [15A NCAC 02H .0507(c) and 15A NCAC 02H .0506 (b)(2) and (c)(2)]
- 7. All work in or adjacent to stream waters shall be conducted in a dry work area. Approved BMP measures from the most current version of NCDOT Construction and Maintenance Activities manual such as sandbags, rock berms, cofferdams and other diversion structures shall be used to prevent excavation in flowing water. [15A NCAC 02H.0506(b)(3) and (c)(3)]

- 8. Heavy equipment shall be operated from the banks rather than in the stream channel to minimize sedimentation and reduce the introduction of other pollutants into the stream. [15A NCAC 02H.0506(b)(3)]
- 9. All mechanized equipment operated near surface waters must be regularly inspected and maintained to prevent contamination of stream waters from fuels, lubricants, hydraulic fluids, or other toxic materials. [15A NCAC 02H.0506(b)(3)]
- 10. No rock, sand or other materials shall be dredged from the stream channel except where authorized by this certification. [15A NCAC 02H.0506(b)(3)]
- 11. Discharging hydroseed mixtures and washing out hydroseeders and other equipment in or adjacent to surface waters is prohibited. [15A NCAC 02H.0506(b)(3)]
- 12. The permittee and its authorized agents shall conduct its activities in a manner consistent with State water quality standards (including any requirements resulting from compliance with §303(d) of the Clean Water Act) and any other appropriate requirements of State and Federal law. If the NCDWR determines that such standards or laws are not being met (including the failure to sustain a designated or achieved use) or that State or federal law is being violated, or that further conditions are necessary to assure compliance, the NCDWR may reevaluate and modify this certification. [15A NCAC 02B.0200]
- 13. All fill slopes located in jurisdictional wetlands shall be placed at slopes no flatter than 3:1, unless otherwise authorized by this certification. [15A NCAC 02H.0506(b)(2)]
- 14. A copy of this Water Quality Certification shall be maintained on the construction site at all times. In addition, the Water Quality Certification and all subsequent modifications, if any, shall be maintained with the Division Engineer and the on-site project manager. [15A NCAC 02H .0507(c) and 15A NCAC 02H .0506 (b)(2) and (c)(2)]
- 15. The outside buffer, wetland or water boundary located within the construction corridor approved by this authorization shall be clearly marked by highly visible fencing or flagging prior to any land disturbing activities. Impacts to areas within the fencing are prohibited unless otherwise authorized by this certification. [15A NCAC 02H.0501 and .0502]
- 16. The issuance of this certification does not exempt the Permittee from complying with any and all statutes, rules, regulations, or ordinances that may be imposed by other government agencies (i.e. local, state, and federal) having jurisdiction, including but not limited to applicable buffer rules, stormwater management rules, soil erosion and sedimentation control requirements, etc.
- 17. The Permittee shall report any violations of this certification to the Division of Water Resources within 24 hours of discovery. [15A NCAC 02B.0506(b)(2)]
- 18. Upon completion of the project (including any impacts at associated borrow or waste sites), the NCDOT Division Engineer (or appointee) shall complete and return the enclosed "Certification of Completion Form" to notify the NCDWR when all work included in the 401 Certification has been completed. [15A NCAC 02H.0502(f)]
- 19. Native riparian vegetation must be reestablished in the riparian areas within the construction limits of the project by the end of the growing season following completion of construction. [15A NCAC 02H.0506(b)(2)]
- 20. There shall be no excavation from, or waste disposal into, jurisdictional wetlands or waters associated with this permit without appropriate modification. Should waste or borrow sites, or access roads to waste or borrow sites, be located in wetlands or streams, compensatory mitigation will be required since that is a direct impact from road construction activities.[15A NCAC 02H.0506(b)(3) and (c)(3)]
- 21. Erosion and sediment control practices must be in full compliance with all specifications governing the proper design, installation and operation and maintenance of such Best Management Practices in order to protect surface waters standards [15A NCAC 02H.0506(b)(3) and (c)(3]):
  - a. The erosion and sediment control measures for the project must be designed, installed, operated, and maintained in accordance with the most recent version of the *North Carolina Sediment and Erosion Control Planning and Design Manual*.

- b. The design, installation, operation, and maintenance of the sediment and erosion control measures must be such that they equal, or exceed, the requirements specified in the most recent version of the *North Carolina Sediment and Erosion Control Manual*. The devices shall be maintained on all construction sites, borrow sites, and waste pile (spoil) projects, including contractor-owned or leased borrow pits associated with the project.
- c. For borrow pit sites, the erosion and sediment control measures must be designed, installed, operated, and maintained in accordance with the most recent version of the *North Carolina Surface Mining Manual*.
- d. The reclamation measures and implementation must comply with the reclamation in accordance with the requirements of the Sedimentation Pollution Control Act.
- 22. Sediment and erosion control measures shall not be placed in wetlands or waters unless otherwise approved by this Certification. [15A NCAC 02H.0506(b)(3) and (c)(3)]

Violations of any condition herein set forth may result in revocation of this Certification and may result in criminal and/or civil penalties. This Certification shall become null and void unless the above conditions are made conditions of the Federal 404 and/or Coastal Area Management Act Permit. This Certification shall expire upon the expiration of the 404 or CAMA permit.

If you wish to contest any statement in the attached Certification you must file a petition for an administrative hearing. You may obtain the petition form from the office of Administrative hearings. You must file the petition with the office of Administrative Hearings within sixty (60) days of receipt of this notice. A petition is considered filed when it is received in the office of Administrative Hearings during normal office hours. The Office of Administrative Hearings accepts filings Monday through Friday between the hours of 8:00am and 5:00pm, except for official state holidays. The original and one (1) copy of the petition must be filed with the Office of Administrative Hearings.

The petition may be faxed-provided the original and one copy of the document is received by the Office of Administrative Hearings within five (5) business days following the faxed transmission.

The mailing address for the Office of Administrative Hearings is:

Office of Administrative Hearings 6714 Mail Service Center Raleigh, NC 27699-6714 Telephone: (919) 431-3000, Facsimile: (919) 431-3100

A copy of the petition must also be served on DEQ as follows:

Mr. Bill F. Lane, General Counsel Department of Environmental Quality 1601 Mail Service Center

This the 13th day of July in 2020

DIVISION OF WATER RESOURCES

Docusigned by:

S. Daniel Smith, Director

WQC No. WQC004231

ROY COOPER Governor MICHAEL S. REGAN Secretary S. DANIEL SMITH Director



NCDWR Project No.:	County:
Applicant	:
Project N	ame:
Date of Is	suance of 401 Water Quality Certification:
any subsequent modifications, the Unit, North Carolina Division of	roved within the 401 Water Quality Certification or applicable Buffer Rules, and e applicant is required to return this certificate to the 401 Transportation Permitting Water Resources, 1617 Mail Service Center, Raleigh, NC, 27699-1617. This form the applicant, the applicant's authorized agent, <b>or</b> the project engineer. It is not in all of these.
Applicant's Certification	
was used in the observation of the	, hereby state that, to the best of my abilities, due care and diligence construction such that the construction was observed to be built within substantial Water Quality Certification and Buffer Rules, the approved plans and ng materials.
Signature:	Date:
Agent's Certification	
was used in the observation of the	, hereby state that, to the best of my abilities, due care and diligence construction such that the construction was observed to be built within substantial Water Quality Certification and Buffer Rules, the approved plans and ng materials.
Signature:	Date:
Engineer's Certification	
Partial	Final
Permittee hereby state that, to the construction such that the constru	, as a duly registered Professional Engineer in the State of North to observe (periodically, weekly, full time) the construction of the project for the best of my abilities, due care and diligence was used in the observation of the ction was observed to be built within substantial compliance and intent of the 401 Buffer Rules, the approved plans and specifications, and other supporting materials.
SignatureDate	Registration No

