C202579

STATE OF NORTH CAROLINA **DEPARTMENT OF TRANSPORTATION** RALEIGH, N.C.

CONTRACT AND **CONTRACT BONDS**

FOR CONTRACT NO. C202579

WBS 33523.3.1 BRSTP-1008(12)

T.I.P NO. B-4176

COUNTY OF LINCOLN

THIS IS THE **ROADWAY & STRUCTURE CONTRACT**

ROUTE NUMBER SR 1008 LENGTH 0.130 MILES

LOCATION BRIDGE OVER CLARK CREEK AND APPROACHES ON SR-1008 IN

LINCOLNTON.

CONTRACTOR SMITH-ROWE, LLC

ADDRESS **639 OLD US 52 SOUTH**

MOUNT AIRY, NC 27030

BIDS OPENED **FEBRUARY 15, 2011**

CONTRACT EXECUTION MAR 0 9 2011

NCDOT/berc

Vendor 9965's Bid Information for Call 025, Letting L110215, 2011-02-15

Smith-Rowe, LLC (9965).

Vendor 6 of 6 Vendors for Call Order 025 (Proposal ID C202579).

County: LINCOLN

Address: 639 Old US 52 South

Mount Airy, NC 27030

Phone Number: 336-789-8221

Signature Check: This bid has been properly signed by William_F_Fulp_9965.

Time Bid Received: Tue Feb 15 12:11:02 2011

Bid Checksum: CE57B89A DBE GOAL SET 6.0

Amendment Count: 0

Bidding Errors: This bid contains errors.

Bid Total: \$2,312,867.77 / DBE GOAL MET 6.1

Bond ID: SNC11458069

Bond was Verified: Yes.
Bond Required Percent: 5.00%
Minimum Check Amount: \$115,643.39

Bid Security Maximum: \$
Bond Agency Name:

Agency Execution Date: 2/14/2011 12:15:48 PM

Surety Name: Travelers Casualty and Surety Company of Ame

Surety State of Incorporation:

Bond Company
Bond Type:
Bond Appr Flag:
Bond Affirm:

Bond was Paid by Check: No.

LIST OF DBE PARTICIPANTS

VENDOR NUMBER	DBE NAME ADDRESS	WORK CODE TYPE OF WORK	CERT TYPE	AMOUNT
4898 WB	BULLINGTON CONSTRUCTION INC		Sub	21,327.50 arm
4761 WB	417 FOXGLOVE LANE , INDIAN TRATTRAFFIC CONTROL SAFETY SERVICES	IL, NC 28079	Sub	23,283.25 arm
5796 WB	POST OFFICE BOX 24511 , WINSTO	N-SALEM, NC 27114	Sub	17,786.40 amm
4388°WB	238 N BIVENS RD , MONROE, NC 2 FOOTHILLS CONSTRUCTION SERV INC		Sub	66,898.75 amm
7728 WB	6081 PEA RIDGE PASS , HAMPTONV AMERICAN PRIDE WELDING INC	ILLE, NC 27020	Sub	4,078.27 amm
	3484 NEW SALEM ROAD , CLIMAX, HIATT & MASON ENTERPRISES, INC	NC 27233	Sub	8,323.00 arm
3230 W.D	POST OFFICE BOX 1378 , MOUNT A	IRY, NC 27030	TOTAL:	· ·
			IOIAL:	\$141,697.17 arm

Miscelleneous Data Info - Contractor Responses:

NON-COLLUSION AND DEBARMENT CERTIFICATION

Explanation of the prospective bidder that is unable to certify to any of the statements in this certification:

Explanation.
NOT ANSWERED
NOT ANSWERED
NOT ANSWERED
NOT ANSWERED

AWARD LIMITS ON MULTIPLE PROJECTS

By answering YES to this statement, the bidder acknowleges that they are using the award limits on multiple projects. No

It is the desire of the Bidder to be awarded contracts, the value of which will not exceed a total of NOT ANSWERED for those projects indicated herein, for which bids will be opened on (MM/DD/YY)

The Award Limits shall apply to the following projects:

Contract Number County
NOT ANSWERED
NOT ANSWERED
NOT ANSWERED

NOT ANSWERED NOT ANSWERED

Bid Bond Data Info - Contractor Responses:

BondID: SNC11458069

Surety Registry Agency. surety2000
Verified?. Yes
Surety Agency. Travelers Casualty and Surety Company of America
Bond Execution Date: 2/14/2011 12 15.48 PM
Bond Amount. \$115,643.39 (Five Percent of Bid)

Date: 01-18-11 State of NC Date:
Dept of Transportation Revised
Project(s): BRSTP-1008(12) State of NC

Contract ID. C202579 Proj Letting Date. 02-15-11 Call Order: 025 Bidder. 9965 - Smith-Rowe, LLC

Lin		Approx	Unit Pr	ıce	Bid Amou	nt
No	Description	Quantity and Units	Dollars	Cts	Dollars	Ct
+						+

Section 0001 ROADWAY ITEMS

Alt Group

0001	0000100000-N MOBILIZATIO N	LUMP		LUMP	115,500.00
0002	0029000000-N REINFORCED BRIDGE APPROACH FILL, STATION ************************************	LUMP		LUMP	21,550.00
0003	0038000000-E SHALLOW UNDERCUT	CY	150.000	4.00000	600.00
0004	004300000-N GRADING	LUMP		LUMP	159,000 00
0005	0050000000-E SUPPLEMENTA RY CLEARING & GRUB-BING	ACR	1.000	1.00000	1.00
0006	0057000000-E UNDERCUT EXCAVATION	CY	750.000	4.00000	3,000 00
0007	0080000000-E CLASS IV SUBGRADE STABILIZA- TION	TON	300.000	30.00000	9,000 00
0008	0196000000-E FABRIC FOR SOIL STABILIZATION	sy	1,050.000	2.00000	2,100 00
0009	0199000000-E TEMPORARY SHORING	SF	3,350.000	0.50000	1,675 00
0010	0318000000-E FOUNDATION CONDITIONING MATE- RIAL, MINOR STRS	TON	60.000	40.00000	2,400.00

Date. 01-18-11

ontra ettin idder	Dept of Ct ID. C202579 g Date 02-15-11 Call Ord	State of NC of Transportation Project(s). der: 025	n Rev BRSTP-1008(12)	Date. 01-18-11 ised:
No.	Item Description	Approx. Quantity and Units	Unit Price Dollars Cts	Bid Amount Dollars Ct
	0320000000-E FOUNDATION CONDITIONING FABRIC	160.000 SY	 	
	0335200000-E 15" DRAINAGE PIPE	128.000 LF	28.00000	3,584.0
	0343000000-E 15" SIDE DRAIN PIPE	72.000 LF	22.00000	1,584.0
	0344000000-E 18" SIDE DRAIN PIPE	36.000 LF	24.00000	864.0
0015	0448000000-E **** RC PIPE CULVERTS, CLASS IV (15")	164.000 LF	24.00000	3,936.0
0016	0582000000-E 15" CS PIPE CULVERTS, 0 064" THICK	214.000 LF	21.00000	4,494.0
	0588000000-E 18" CS PIPE CULVERTS, 0.064" THICK	24.000 LF	23.00000	552.0
0018	0636000000-E **" CS PIPE ELBOWS, ***** THICK (15", 0.064)	4.000 EA	300.00000	1,200.0
0019	0995000000-E PIPE REMOVAL	374.000 LF	5.00000	1,870.0
0020	1121000000-E AGGREGATE BASE COURSE	1,040.000	25.00000	26,000.0
0021	1220000000-E INCIDENTAL STONE BASE	150.000	30.00000	4,500.0
0022	1264000000-E DITCHING	140.000	4.00000	560.0

Check: CE57B89A Page 2

ettin	Dept of C202579 lg Date: 02-15-11 Call Ord . 9965 - Smith-Rowe, LLC	of Tra P	roject(s).		Date. 01-18-11 rised.
Line No.		Qu	pprox. antity d Units	Unit Price Dollars Cts	Bid Amount Dollars C
0023	1275000000-E PRIME COAT	GAL	865.000	4.00000	3,460.0
	1489000000-E ASPHALT CONC BASE COURSE, TYPE B25.0B	TON	510.000	54.25000	27,667.5
0025	1498000000-E ASPHALT CONC INTERMEDIATE COURSE, TYPE I19.0B	TON	340.000	54.25000	18,445.0
0026	1519000000-E ASPHALT CONC SURFACE COURSE, TYPE S9.5B	TON	1,020.000	48.75000	49,725.0
0027	1560000000-E ASPHALT BINDER FOR PLANT MIX, GRADE PG 64-22	TON	100.000	500.00000	50,000.0
0028	2022000000-E SUBDRAIN EXCAVATION	CY	84.000	8.50000	714.0
0029	2033000000-E SUBDRAIN FINE AGGREGATE	CY	42.000	60.00000	2,520.0
	2044000000-E 6" PERFORATED SUBDRAIN PIPE	LF	250.000	6.50000	1,625.0
0031	2070000000-N SUBDRAIN PIPE OUTLETS	EA	1.000	250.00000	250.0
0032	2077000000-E 6" OUTLET PIPE (SUBDRAINS)	LF	6.000	12.00000	72.0
0033	2286000000-N MASONRY DRAINAGE STRUCTURES	EA	8.000	1,250.00000	10,000.0
0034	2366000000-N FRAME WITH TWO GRATES, STD 840.24	EA	4 000	400.00000	1,600.0

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ettın	Dept of Ct ID· C202579 g Date. 02-15-11 Call Oro	of T	Project(s):	n Re ^r BRSTP-1008 (12)	Date: 01-18-11 vised.
Line No.	Item Description		Approx. Quantity and Units	Unit Price Dollars Cts	Bid Amount
	2367000000-N FRAME WITH TWO GRATES, STD 840.29	: 	3.000	 	i
0036	2396000000-N FRAME WITH COVER, STD 840.54	EA	1.000	355.00000	355.00
0037	2556000000-E SHOULDER BERM GUTTER	LF	155.000	15.00000	2,325.00
0038	2995000000-N GENERIC DRAINAGE ITEM CONVERT EXISTING DROP INLET TOTBJB	EA	1.000	750.00000	750.00
	3030000000-E STEEL BM GUARDRAIL	LF	275.000	16.00000	4,400.00
0040	3045000000-E STEEL BM GUARDRAIL, SHOP CURVED	LF	62.500	17.00000	1,062.50
	3150000000-N ADDITIONAL GUARDRAIL POSTS	EA	5.000	13.00000	65.00
	3165000000-N GUARDRAIL ANCHOR UNITS, TYPE *********** (350 TL-2)	EA	2.000	1,800.0000	3,600.00
0043	3195000000-N GUARDRAIL ANCHOR UNITS, TYPE AT-1	EA	2.000	500.00000	1,000 00
0044	3215000000-N GUARDRAIL ANCHOR UNITS, TYPE III	EA	4 000	1,300.0000	5,200.00
0045	3380000000-E TEMPORARY STEEL BM GUARDRAIL	LF	300.000	4.00000	1,200.00

State of NC Date. 01-18-11 State of NC Date. Dept of Transportation Revised Project(s). BRSTP-1008(12)

Contract ID. C202579 Proj Letting Date 02-15-11 Call Order: 025 Bidder. 9965 - Smith-Rowe, LLC

Line No.	Item Description	Approx. Quantity and Units	Unit Price	Bid Amount Dollars Ct
0046	3387000000-N GUARDRAIL ANCHOR UNITS, TYPE ********* TEMPORARY (III)	4.000 EA	600.00000	2,400.00
0047	3389000000-N GUARDRAIL ANCHOR UNITS, TYPE ********* TEMPORARY (350 TL-2)	4.000 EA	600.00000	2,400.00
0048	3649000000-E RIP RAP, CLASS B	5.000 TON	31.00000	155.00
ı	3656000000-E FILTER FABRIC FOR DRAINAGE	1,010.000 SY	2.50000	2,525.00
0050	3659000000-N PREFORMED SCOUR HOLES WITH LEVEL SPREADER APRON	1.000 EA	500.00000	500.00
0051	4072000000-E SUPPORTS, 3-LB STEEL U-CHANNEL	73.000 LF	4.25000	310.25
0052	4096000000-N SIGN ERECTION, TYPE D	1.000 EA	88.00000	88 00
0053	4102000000-N SIGN ERECTION, TYPE E	3.000 EA	45.00000	135 00
0054	4116100000-N SIGN ERECTION, RELOCATE, TYPE **** (GROUND MOUNTED) (D)	1.000 EA	88.00000	88.00
0055	4155000000-N DISPOSAL OF SIGN SYSTEM, U- CHANNEL		1.00000	10.00
0056	4400000000-E WORK ZONE SIGNS (STATIONARY)	164.000 SF	4.25000	697 00

State of NC Date.

Dept of Transportation Revised.

Project(s) · BRSTP-1008(12)

Tall Order: 025 Date. 01-18-11

Contract ID C202579 Proj Letting Date: 02-15-11 Call Order: 025 Bidder: 9965 - Smith-Rowe, LLC

Line No.		,	Approx.	Unit Price	Bid Amount
NO.	Descripcion		and Units	Dollars Cts	Dollars Ct
0057	4410000000-E WORK ZONE SIGNS (BARRICADE MOUNTED)	SF	60.000	5.75000	345.00
0058	4430000000-N DRUMS	EA	110.000	50.00000	5,500.00
0059	4445000000-E BARRICADES (TYPE III)	LF	120.000	19.25000	2,310.00
0060	4450000000-N FLAGGER	HR	1,280.000	18.00000	23,040.00
0061	4465000000-N TEMPORARY CRASH CUSHIONS	EA	2.000	4,900.00000	9,800 00
0062	4485000000-E PORTABLE CONCRETE BARRIER	LF	380.000	25.00000	9,500 00
0063	4650000000-N TEMPORARY RAISED PAVEMENT MARKERS	EA	125.000	10.00000	1,250.00
0064	4685000000-E THERMOPLAST IC PAVEMENT MARKINGLINES (4", 90 MILS)	LF	832.000	1.50000	1,248.00
0065	4686000000-E THERMOPLAST IC PAVEMENT MARKING LINES (4", 120 MILS)	I.	1,028.000	1.55000	1,593.40
0066	4770000000-E COLD APPLIED PLASTIC PAVEMENT MARKING LINES, TYPE ** (4") (II)	LF	740.000	2.50000	1,850.00
0067	4810000000-E PAINT PAVEMENT MARKING LINES (4")	LF	22,800.000	0.30000	6,840.00

State of NC Date. 01-18-11 State of NC Date. Dept of Transportation Revised.

Contract ID C202579 Proj Letting Date. 02-15-11 Call Order: 025 Bidder 9965 - Smith-Rowe, LLC Project(s): BRSTP-1008(12)

Line No.	Item Description		Approx. Quantity and Units	Unit Price Dollars Cts	Bid Amount Dollars Ct
0068	4905000000-N SNOWPLOWABL E PAVEMENT MARKERS	EA	7.000	220.00000	1,540.00
0069	6000000000-E TEMPORARY SILT FENCE	LF	2,100.000	1.65000	3,465.00
0070	6006000000-E STONE FOR EROSION CONTROL, CLASS A	TON	315.000	31.00000	9,765 00
0071	6009000000-E STONE FOR EROSION CONTROL, CLASS B	TON	485.000	31.00000	15,035.00
0072	6012000000-E SEDIMENT CONTROL STONE	TON	465.000	30.00000	13,950.00
0073	6015000000-E TEMPORARY MULCHING	ACR	12 000	550 00000	6,600.00
0074	6018000000-E SEED FOR TEMPORARY SEEDING	LB	400.000	2.50000	1,000 00
0075	6021000000-E FERTILIZER FOR TEMPORARY SEED-ING	TON	2.500	650.00000	1,625.00
0076	6024000000-E TEMPORARY SLOPE DRAINS	LF	500.000	15.00000	7,500.00
0077	6027000000-N INLET PROTECTION AT TEMPORARY SLOPE DRAINS	EA	10.000	150 00000	1,500 00
0078	6029000000-E SAFETY FENCE	LF	650.000	1.25000	812.50
0079	603000000-E SILT EXCAVATION	CY	1,020.000	5.00000	5,100.00

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ettin	Dept of Control of Con	f T	te of NC ransportation Project(s) 025	n Rev BRSTP-1008(12)	Date. 01-18-11 rised:
Line No.			Approx. Quantity	Unit Price	Bid Amount
	6036000000-E MATTING FOR EROSION CONTROL	sy	and Units	Dollars Cts 	
0081	6037000000-E COIR FIBER	sy	200.000	3.75000	750.0
0082	6038000000-E PERMANENT SOIL REINFORCEMENT MAT	sy	275.000	4.25000	1,168.7
0083	6042000000-E 1/4" HARDWARE CLOTH	LF	275.000	5.00000	1,375.0
0084	6070000000-N SPECIAL STILLING BASINS	EA	8.000	200 00000	1,600.0
0085	6071010000-E WATTLE	LF	650.000	6.25000	4,062.5
0086	6071020000-E POLYACRYLAM IDE (PAM)	LB	180.000	12.75000	2,295.0
0087	6071030000-E COIR FIBER BAFFLES	LF	500.000	4.25000	2,125.0
0088	6071050000-E **" SKIMMER (1-1/2")	EA	2.000	850.00000	1,700.0
0089	6084000000-E SEEDING & MULCHING	AC	12.000 R	1,210.00000	14,520.0
0090	6087000000-E MOWING	AC	5.000 R	40.00000	200.0
0091	6090000000-E SEED FOR REPAIR SEEDING	LB	150 000	2.75000	412 5

State of NC

State of NC Date.
Dept of Transportation Revised

Contract ID. C202579 Project(s): BRSTP-1008(12)
Letting Date: 02-15-11 Call Order. 025
Bidder. 9965 - Smith-Rowe, LLC

Line No.	Item Description	n	Approx Quantit		Unit Price	Bid Amount
			and Uni		Dollars Cts	Dollars Ct
0092	6093000000-E FOR REPAIR SE			0.250	650.00000	162.50
+	 		TON			
0093	6096000000-E SUPPLEMENTAL		30 LB	0.000	2.50000	750.00
+	 					 +
0094	6108000000-E TOPDRESSING	FERTILIZER	TON	9.000	650.00000	5,850.00
0095	6114500000-N HAND MOWING	SPECIALIZED	4 MHR	0.000	15.00000	600.00
0096	6117000000-N FOR EROSION C		2 EA	0.000	225.00000	4,500.00
0097		REFORESTATI	ACR	1.000	2,500.00000	2,500.00
	Section 0001	Total				750,154.40
Section	on 0004	STRUCTURE IT			· · · · · · · · · · · · · · · · · · ·	

Alt Group

0098	8007000000-N CONSTRUCTIO N, MAINTENANCE, & REMOVAL OF TEMP STRUCTURE AT STA *********************************	LUMP	LUMP	643,300.00
0099	8017000000-N CONSTRUCTIO N, MAINTENANCE, & REMOVAL OF TEMP ACCESS AT STA ************************************	LUMP	LUMP	11,500.00
0100	8035000000-N REMOVAL OF EXISTING STRUCTURE AT STATION ************************************	LUMP	LUMP	98,575.00

Check CE57B89A Page 9

Date. 01-18-11

Date. 01-18-11

State of NC Date.

Dept of Transportation Revised

Contract ID. C202579 Project(s) BRSTP-1008(12)

Letting Date: 02-15-11 Call Order 025

Bidder 9965 - Smith-Rowe, LLC

Line No.	Item Description	Approx. Quantity	Unit Price	Bid Amount
	_	and Units	Dollars Cts	Dollars Ct
0101	8105520000-E 3'-0" DIA DRILLED PIERS IN SOIL	64.500 LF	459.40000	29,631.30
0102	8105620000-E 3'-0" DIA DRILLED PIERS NOT IN SOIL	71.000 LF	642.60000	45,624.60
0103	8111200000-E PERMANENT STEEL CASING FOR 3'-0" DIA DRILLED PIER	72.400 LF	25.50000	1,846.20
0104	8113000000-N SID INSPECTION	1.000 EA	805.00000	805.00
0105	8115000000-N CROSSHOLE SONIC LOGGING	1.000 EA	1,900.00000	1,900.00
0106	8147000000-E REINFORCED CONCRETE DECK SLAB	8,323.000 SF	26.00000	216,398.00
0107	8161000000-E GROOVING BRIDGE FLOORS	6,626.000 SF	0.39000	2,584.14
0108	8182000000-E CLASS A CONCRETE (BRIDGE)	167.000 CY	571.05000	95,365.35
0109	8210000000-N BRIDGE APPROACH SLABS, STATION************************************	LUMP	LUMP	39,715.00
0110	8217000000-E REINFORCING STEEL (BRIDGE)	33,997.000 LB	0.98000	33,317.06
0111	8238000000-E SPIRAL COLUMN REINFORCING STEEL (BRIDGE)	3,879.000 LB	1.70000	6,594.30

State of NC State of NC Date
Dept of Transportation Revised.
Project(s) BRSTP-1008(12)

Date 01-18-11

Contract ID: C202579 Proj Letting Date 02-15-11 Call Order. 025 Bidder. 9965 - Smith-Rowe, LLC

Line No.	Item Description	Approx. Quantity	Unit Price	Bid Amount
	•	and Units	Dollars Cts	Dollars Ct
, ,	8265000000-E 54" PRESTRESSED CONCRETE GIR- DERS	894 900 LF	198.85000	177,950.87
0113	8364000000-E HP12X53 STEEL PILES	475 000 LF	46.47000	22,073.25
0114	8482000000-E THREE BAR METAL RAIL	348.614 LF	181.60000	63,308.30
0115	8608000000-E RIP RAP CLASS II (2'-0" THICK)	1,410.000 TON	30.00000	42,300.00
0116	8622000000-E FILTER FABRIC FOR DRAINAGE	1,570.000 SY	2.50000	3,925.00
1	8657000000-N ELASTOMERIC BEARINGS	LUMP	LUMP	5,300.00
0118	8692000000-N EVAZOTE JOINT SEALS	LUMP	LUMP	20,700.00
ļ +	 Section 0004 Total			1,562,713.37
	Bid Total			2,312,867.77

NON-COLLUSION AND DEBARMENT CERTIFICATION

The bidder certifies that neither he, nor any official, agent or employee of the bidder has entered into any agreement, participated in any collusion, or otherwise taken any action which is in restraint of free competitive bidding in connection with this bid, and that the bidder intends to do the work with its own bonafide employees or subcontractors and is not bidding for the benefit of another contractor. In addition, submitting this electronic bid constitutes the bidder's certification of Status under penalty of perjury under the laws of the United States and in accordance with the Debarment Certification on file with the Department.

By submitting this bid, the bidder certifies to the best of his knowledge and belief that he and his principals.

- Are not presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from covered transactions by any Federal department or agency;
- b. Have not within a three-year period preceding this proposal been convicted of or had a civil judgment rendered against them for commission of fraud or a criminal offense in connection with obtaining, attempting to obtain, or performing a public (Federal, State or local) transaction or contract under a public transaction, violation of Federal or State antitrust statutes or commission of embezzlement, theft, forgery, bribery, falsification or destruction of records, making false statements; or receiving stolen property,
- c. Are not presently indicted for or otherwise criminally or civilly charged by a governmental entity (Federal, State or local) with commission of any of the offenses enumerated in paragraph b. of this certification; and
- Have not within a three-year period preceding this proposal had one or more public transactions (Federal, State or local) terminated for cause or default.

Where the prospective bidder is unable to certify to any of the statements in this certification, the bidder shall submit an explanation in the blanks provided herein. The explanation will not necessarily result in denial of participation in a contract.

Explanation: NOT ANSWERED NOT ANSWERED NOT ANSWERED NOT ANSWERED

If the prequalified bidder's status changes, he shall immediately submit a new fully executed non-collusion affidavit and debarment certification with an explanation of the change to the Contract Office prior to submitting the bid.

Failure to furnish a certification or an explanation will be grounds for rejection of a bid

AWARD LIMITS ON MULTIPLE PROJECTS

By answering YES to this statement, the bidder acknowleges that they are using the award limits on multiple projects. No

A bidder who desires to bid on more than one project on which bids are to be opened on the same date, and who also desires to avoid receiving an award of more projects than he is equipped to handle, may bid on any number of projects but may limit the total amount of work awarded to him on selected projects by completing the AWARD LIMITS ON MULTIPLE PROJECTS.

The Award Limits on Multiple Projects must be filled in on each project bid for which the Bidder desires protection.

It is the desire of the Bidder to be awarded contracts, the value of which

will not exceed a total of NOT ANSWERED for those

projects indicated herein, for which bids will be opened on

(MM/DD/YY)

The Award Limits shall apply to the following projects:

Contract Number

NOT ANSWERED

NOT ANSWERED

NOT ANSWERED

NOT ANSWERED

NOT ANSWERED

NOT ANSWERED

It is agreed that if I am (we are) the low Bidder(s) on indicated projects, the total value of which is more than the above stipulated award limits, the Board of Transportation will award me (us) projects from among those indicated that have a total value not to exceed the award limit and will result in the lowest total bids to the Department of Transportation.

County

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NORTH CAROLINA STATE DEPARTMENT OF TRANSPORTATION DATE:01-18-11 DBE COMMITMENT ITEMS DATE:01-18-11

PROPOSAL: C202579 LETTING: L110215 CALL: 025 VENDOR: 9965 Smith-Rowe, LLC

LINE ITEM NO. NO.	ITEM DESC.		SUBCONTRACTOR QUANTITY	UNIT PRICE	EXTENDED AMOUNT	
DBE SUBCONTRACTOR: Will Use Quote: Ye		TON C				
0039 3030000000-E 0040 3045000000-E	STL BM GUARD SBGR SHOP CU		275.000 62.500	16.00000 17.00000		
0041 3150000000-N	ADDIT GUARDR	EA	5.000			
0042 3165000000-N	GR ANCHOR TY	EA	2.000	1800.00000		
0043 3195000000-N	GR ANCHOR TY	EA	2.000 4.000	500.00000 1300.00000	1000.00	
0044 3215000000-N	GR ANCHOR TY	EA				
0045 3380000000-E			300.000 4.000	4.00000		
0046 3387000000-N 0047 3389000000-N			4.000	600.00000		
			4.000		2400.00	1
DBE COMMITME	NT TOTAL FOR	SUBCO	NTRACTOR:	•	21,327.50	arm
DBE COMMITME	NT TOTAL FOR	VENDO	R (SubContracto	r)	21,327	
					·	
DBE SUBCONTRACTOR:		CONT	ROL SAFETY SERV	ICES, INC.		
Will Use Quote: Ye	s					
0051 4072000000-E	קווסטחפת זבו.	T.F	73.000	4.25000	310.25	
0051 4072000000-N				88.00000	·	
0052 4030000000 N			3.000	45.00000		
0054 4116100000-N						
0055 4155000000-N	DISPOSE SIGN	EA	10.000	1.00000		
0056 4400000000-E	WORK ZONE SI	SF	164.000	4.25000		
0057 4410000000-E	WORK ZONE SI	SF	60.000	5.75000		
0057 4410000000-E 0059 4445000000-E 0061 4465000000-N	BARRICADES (LF	60.000 120.000	19.25000	2210 00	
0061 4465000000-N	TEMPORARY CR	EA	2.000	4900.00000	9800.00	
0061 4465000000-N 0062 4485000000-E	PORT CONC BA	LF	380.000	25.00000.	9500.00	
						arm
	INT TOTAL FOR		NTRACTOR:		23,283.25	303 11 803
DBE COMMITME	INT TOTAL FOR	VENDO	R (SubContracto	er)	23,283	•
DBE SUBCONTRACTOR:	E706 N 1 DNV	TPACE NATED	MADETNO TTO			
Will Use Quote: Ye		CUCN I	MWKYTING TITC			
HIII OBC QUOCE: IC						
0063 4650000000-N	TEMP RAISED	EA	125.000	10,00000	1250.00	
0064 4685000000-E			832.000	1.50000		
0065 4686000000-E	THERMO PVT M	LF	1028.000	1.55000		
0066 4770000000-E	4" COLD APPL	LF	740.000	2.50000		
0067 4810000000-E 0068 4905000000-N	PAINT PVMT M	LF	22800.000		6840.00	
0068 4905000000-N	SNOWPLB PVMT	EA	7.000	220.00000	1540.00	
0069 6000000000-E				1.65000	3465.00	
						√ arm
	ENT TOTAL FOR				17,786.40	7. mm.
DBE COMMITME	ENT TOTAL FOR	AENDO	R (SubContracto	er)	17,786	•

Check: CE57B89A Page 14

DATE:01-18-11 PAGE: 15

NO.	ITEM NO.	ITEM DESC.		SUBCONTRACTOR QUANTITY	SUBCONTRACTOR UNIT PRICE	EXTENDED AMOUNT	
BE .			S COM	STRUCTION SERV	INC		
0069	600000000-E	TEMPORARY SI	LF	2100.000	1.65000	3465.00	
0073	6015000000-E	TEMPORARY MU	ACR	12.000	550.00000	6600.00	
074	6018000000-E	SEED FOR TEM	LB	400.000	2.50000	1000.00	
	6029000000-E			650.000	1.25000	812.50	
	6036000000-E			10000.000	1.35000	13500.00	
	6037000000-E			200.000 275.000	3.75000		
	6038000000-E			275.000			
	6071010000-E		LF	650.000	6.25000		
	6071020000-E			180.000 500.000	12.75000		
	6071030000-E			500.000	4.25000		
		SEEDING AND		12.000	1210.00000		
	6087000000-E		ACR	5 000	40 00000		
	6090000000-E			150.000	2.75000		
	6093000000-E			U.Z5U	050.0000		
093	6096000000-E	SEED FOR SUP	LB	300.000			
094	6108000000-E	FERTILIZER T	TON	9.000			
095	6114500000-N 6117000000-N	SPECIALIZED	MHR	40.000	15.00000 225.00000	600.00	
096	6117000000-N	RESPONSE FOR	EA	20.000	225.00000	4500.00	
	6123000000-E		ACR	1.000 2.500	2500.00000	2500.00	
075	6021000000-E	FERT FOR TEM	TON	2.500			2
	DBE COMMITME	NT TOTAL FOR	SUBCO	NTRACTOR:	-	6,898.75	4
	DBE COMMITME	NT TOTAL FOR	VENDO	R (SubContractor	c)	66,898	· .
	SUBCONTRACTOR: Use Quote: Ye		N PRI	DE WELDING INC			
0106	8147000000-E	REINF CONCRE	SF	8323.000	0.49000	4078.27	1
	DBE COMMITME	NT TOTAL FOR	SUBCO	NTRACTOR:	.4	,078.27	~
			VENDO:	P (SubContractor	r 1	4,078.	2
	DBE COMMITME	INT TOTAL FOR		k (bubcontractor	- ,	_,	. 2
		3230 HIATT &		N ENTERPRISES,		-,	.
Will	SUBCONTRACTOR: Use Quote: Ye	3230 HIATT &	MASO		INC		
Will	SUBCONTRACTOR: Use Quote: Ye 8147000000-E DBE COMMITME	3230 HIATT & sering concresion total for	MASO SF SUBCO	N ENTERPRISES, 18323.000	1.00000 1.00000 8		- ✓
Will	SUBCONTRACTOR: Use Quote: Ye 8147000000-E DBE COMMITME	3230 HIATT & sering concresion total for	MASO SF SUBCO	N ENTERPRISES, 1	1.00000 1.00000 8	8323.00	√

Check: CE57B89A Page 15

North Carolina Department Of Transportation Contract Item Sheets For C202579

Page: 1 of 8

	Contract Item Sheets For C202579								
Line #	ItemNumber	Sec #	Description	Quantity Unit	Unit Bid Price	Amount Bid			
			ROADWAY ITEMS						
0001	0000100000-N	800	MOBILIZATION	Lump Sum LS	115,500.00	115,500.00			
0002	0029000000-N	SP	REINFORCED BRIDGE APPROACH FILL, STATION ************************************	Lump Sum LS	21,550.00	21,550.00			
0003	0038000000-E	SP	SHALLOW UNDERCUT	150 CY	4.00	600.00			
0004	0043000000-N	226	GRADING	Lump Sum LS	159,000.00	159,000.00			
0005	0050000000-E	226	SUPPLEMENTARY CLEARING & GRUB- BING	1 ACR	1.00	1.00			
0006	0057000000-E	226	UNDERCUT EXCAVATION	750 CY	4.00	3,000.00			
0007	0080000000-E	SP	CLASS IV SUBGRADE STABILIZA- TION	300 TON	30.00	9,000.00			
0008	0196000000-E	270	FABRIC FOR SOIL STABILIZATION	1,050 SY	2.00	2,100.00			
0009	0199000000-E	SP	TEMPORARY SHORING	3,350 SF	0.50	1,675.00			
0010	0318000000-E	SP	FOUNDATION CONDITIONING MATE- RIAL, MINOR STRS	60 TON	40.00	2,400.00			
0011	0320000000-E	SP	FOUNDATION CONDITIONING FABRIC	160 SY	2.50	400.00			
0012	0335200000-E	SP	15" DRAINAGE PIPE	128 LF	28.00	3,584.00			
0013	0343000000-E	SP	15" SIDE DRAIN PIPE	72 LF	22.00	1,584.00			
0014	0344000000-E	SP	18" SIDE DRAIN PIPE	36 LF	24.00	864.00			
0015	0448000000-E	SP	****" RC PIPE CULVERTS, CLASS IV (15")	164 LF	24.00	3,936.00			
0016	0582000000-E	SP	15" CS PIPE CULVERTS, 0.064" THICK	214 LF	21.00	4,494.00			
0017	0588000000-E	SP	18" CS PIPE CULVERTS, 0.064" THICK	24 LF	23.00	552.00			
0018	0636000000-E	SP	**" CS PIPE ELBOWS, *****" THICK (15", 0.064)	4 EA	300.00	1,200.00			

North Carolina Department Of Transportation Contract Item Sheets For C202579

Page: 2 of 8

	Contract Item Sheets For C202579								
Line #	ItemNumber	Sec #	Description	Quantity Unit	Unit Bid Price	Amount Bid			
0019	0995000000-E	340	PIPE REMOVAL	374 LF	5.00	1,870.00			
0020	1121000000-E	520	AGGREGATE BASE COURSE	1,040 TON	25.00	26,000.00			
0021	1220000000-E	545	INCIDENTAL STONE BASE	150 TON	30.00	4,500.00			
0022	1264000000-E	SP	DITCHING	140 LF	4.00	560.00			
0023	1275000000-E	600	PRIME COAT	865 GAL	4.00	3,460.00			
0024	1489000000-E	610	ASPHALT CONC BASE COURSE, TYPE B25.0B	510 TON	54.25	27,667.50			
0025	1498000000-E	610	ASPHALT CONC INTERMEDIATE COURSE, TYPE I19.0B	340 TON	54.25	18,445.00			
0026	1519000000-E	610	ASPHALT CONC SURFACE COURSE, TYPE S9.5B	1,020 TON	48.75	49,725.00			
0027	1560000000-E	620	ASPHALT BINDER FOR PLANT MIX, GRADE PG 64-22	100 TON	500.00	50,000.00			
0028	2022000000-E	SP	SUBDRAIN EXCAVATION	84 CY	8.50	714.00			
0029	2033000000-E	SP	SUBDRAIN FINE AGGREGATE	42 CY	60.00	2,520.00			
0030	2044000000-E	SP	6" PERFORATED SUBDRAIN PIPE	250 LF	6.50	1,625.00			
0031	2070000000-N	SP	SUBDRAIN PIPE OUTLETS	1 EA	250.00	250.00			
0032	2077000000-E	SP	6" OUTLET PIPE (SUBDRAINS)	6 LF	12.00	72.00			
0033	2286000000-N	840	MASONRY DRAINAGE STRUCTURES	8 EA	1,250.00	10,000.00			
0034	2366000000-N	840	FRAME WITH TWO GRATES, STD 840.24	4 EA	400.00	1,600.00			
0035	2367000000-N	840	FRAME WITH TWO GRATES, STD 840.29	3 EA	400.00	1,200.00			
0036	2396000000-N	840	FRAME WITH COVER, STD 840.54	1 EA	355.00	355.00			
0037	2556000000-E	846	SHOULDER BERM GUTTER	155 LF	15.00	2,325.00			

North Carolina Department Of Transportation

Page: 3 of 8

			Contract Item Sheets For C20			
Line #	ItemNumber	Sec #	Description	Quantity Unit	Unit Bid Price	Amount Bid
0038	2995000000-N	SP	GENERIC DRAINAGE ITEM CONVERT EXISTING DROP INLET TO TBJB	1 EA	750.00	750.00
0039	303000000-E	862	STEEL BM GUARDRAIL	275 LF	16.00	4,400.00
0040	3045000000-E	862	STEEL BM GUARDRAIL, SHOP CURVED	62.5 LF	17.00	1,062.50
0041	3150000000-N	862	ADDITIONAL GUARDRAIL POSTS	5 EA	13.00	65.00
0042	3165000000-N	SP	GUARDRAIL ANCHOR UNITS, TYPE ***********************************	2 EA	1,800.00	3,600.00
0043	3195000000-N	862	GUARDRAIL ANCHOR UNITS, TYPE AT-1	2 EA	500.00	1,000.00
0044	3215000000-N	862	GUARDRAIL ANCHOR UNITS, TYPE III	4 EA	1,300.00	5,200.00
0045	3380000000-E	862	TEMPORARY STEEL BM GUARDRAIL	300 LF	4.00	1,200.00
0046	3387000000-N	862	GUARDRAIL ANCHOR UNITS, TYPE ************************************	4 EA	600.00	2,400.00
0047	3389000000-N	SP	GUARDRAIL ANCHOR UNITS, TYPE ************************************	4 EA	600.00	2,400.00
0048	3649000000-E	876	RIP RAP, CLASS B	5 TON	31.00	155.00
0049	3656000000-E	876	FILTER FABRIC FOR DRAINAGE	1,010 SY	2.50	2,525.00
0050	365900000-N	SP	PREFORMED SCOUR HOLES WITH LEVEL SPREADER APRON	1 EA	500.00	500.00
0051	4072000000-E	903	SUPPORTS, 3-LB STEEL U-CHANNEL	73 LF	4.25	310.2
0052	4096000000-N	904	SIGN ERECTION, TYPE D	1 EA	88.00	88.00
0053	4102000000-N	904	SIGN ERECTION, TYPE E	3 EA	45.00	135.00
0054	4116100000-N	904	SIGN ERECTION, RELOCATE, TYPE **** (GROUND MOUNTED) (D)	1 EA	88.00	88.00

North Carolina Department Of Transportation Contract Item Sheets For C202579

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			Contract Item Sheets For C202	579		
Line #	ItemNumber	Sec #	Description	Quantity Unit	Unit Bid Price	Amount Bid
0055	4155000000-N	907	DISPOSAL OF SIGN SYSTEM, U- CHANNEL	10 EA	1.00	10.00
0056	440000000-E	1110	WORK ZONE SIGNS (STATIONARY)	164 SF	4.25	697.00
0057	4410000000-E	1110	WORK ZONE SIGNS (BARRICADE MOUNTED)	60 SF	5.75	345.00
0058	443000000-N	1130	DRUMS	110 EA	50.00	5,500.00
0059	4445000000-E	1145	BARRICADES (TYPE III)	120 LF	19.25	2,310.00
0060	4450000000-N	1150	FLAGGER	1,280 HR	18.00	23,040.00
0061	4465000000-N	1160	TEMPORARY CRASH CUSHIONS	2 EA	4,900.00	9,800.00
0062	4485000000-E	1170	PORTABLE CONCRETE BARRIER	380 LF	25.00	9,500.00
0063	4650000000-N	1251	TEMPORARY RAISED PAVEMENT MARKERS	125 EA	10.00	1,250.00
0064	4685000000-E	1205	THERMOPLASTIC PAVEMENT MARKING LINES (4", 90 MILS)	832 LF	1.50	1,248.00
0065	4686000000-E	1205	THERMOPLASTIC PAVEMENT MARKING LINES (4", 120 MILS)	1,028 LF	1.55	1,593.40
0066	4770000000-E	1205	COLD APPLIED PLASTIC PAVEMENT MARKING LINES, TYPE ** (4") (II)	740 LF	2.50	1,850.00
0067	4810000000-E	1205	PAINT PAVEMENT MARKING LINES (4")	22,800 LF	0.30	6,840.00
0068	4905000000-N	1253	SNOWPLOWABLE PAVEMENT MARKERS	7 EA	220.00	1,540.00
0069	6000000000-E	1605	TEMPORARY SILT FENCE	2,100 LF	1.65	3,465.00
0070	6006000000-E	1610	STONE FOR EROSION CONTROL, CLASS A	315 TON	31.00	9,765.00
0071	6009000000-E	1610	STONE FOR EROSION CONTROL, CLASS B	485 TON	31.00	15,035.00
0072	6012000000-E	1610	SEDIMENT CONTROL STONE	465 TON	30.00	13,950.00

0092

6093000000-E

Feb 2	eb 24, 2011 2:35 pm North Carolina Department Of Transportation Pag Contract Item Sheets For C202579						
Line #	ItemNumber	Sec #	Description Description	Quantity Unit	Unit Bid Price	Amount Bid	
0073	6015000000-E	1615	TEMPORARY MULCHING	12 ACR	550.00	6,600.00	
0074	6018000000-E	1620	SEED FOR TEMPORARY SEEDING	400 LB	2.50	1,000.00	
0075	6021000000-E	1620	FERTILIZER FOR TEMPORARY SEED- ING	2.5 TON	650.00	1,625.00	
0076	6024000000-E	1622	TEMPORARY SLOPE DRAINS	500 LF	15.00	7,500.00	
0077	6027000000-N	1622	INLET PROTECTION AT TEMPORARY SLOPE DRAINS	10 EA	150.00	1,500.00	
0078	6029000000-E	SP	SAFETY FENCE	650 LF	1.25	812.50	
0079	6030000000-E	1630	SILT EXCAVATION	1,020 CY	5.00	5,100.00	
0800	6036000000-E	1631	MATTING FOR EROSION CONTROL	10,000 SY	1.35	13,500.00	
0081	6037000000-E	SP	COIR FIBER MAT	200 SY	3.75	750.00	
0082	6038000000-E	SP	PERMANENT SOIL REINFORCEMENT MAT	275 SY	4.25	1,168.75	
0083	6042000000-E	1632	1/4" HARDWARE CLOTH	275 LF	5.00	1,375.00	
0084	6070000000-N	SP	SPECIAL STILLING BASINS	8 EA	200.00	1,600.00	
0085	6071010000-E	SP	WATTLE	650 LF	6.25	4,062.50	
0086	6071020000-E	SP	POLYACRYLAMIDE (PAM)	180 LB	12.75	2,295.00	
0087	6071030000-E	SP	COIR FIBER BAFFLES	500 LF	4.25	2,125.00	
0088	6071050000-E	SP	**" SKIMMER (1-1/2")	2 EA	850.00	1,700.00	
0089	6084000000-E	1660	SEEDING & MULCHING	12 ACR	1,210.00	14,520.00	
0090	6087000000-E	1660	MOWING	5 ACR	40.00	200.00	
0091	6090000000-E	1661	SEED FOR REPAIR SEEDING	150 LB	2.75	412.50	

1661 FERTILIZER FOR REPAIR SEEDING

0.25

TON

650.00

162.50

North Carolina Department Of Transportation Contract Item Sheets For C202579

Department Of Transportation Page: 6 of 8

Line #	ItemNumber	Sec #	Description	Quantity Unit	Unit Bid Price	Amount Bid
0093	6096000000-E	1662	SEED FOR SUPPLEMENTAL SEEDING	300 LB	2.50	750.00
0094	6108000000-E	1665	FERTILIZER TOPDRESSING	9 TON	650.00	5,850.00
0095	6114500000-N	SP	SPECIALIZED HAND MOWING	40 MHR	15.00	600.00
0096	6117000000-N	SP	RESPONSE FOR EROSION CONTROL	20 EA	225.00	4,500.00
0097	6123000000-E	1670	REFORESTATION	1 ACR	2,500.00	2,500.00

North Carolina Department Of Transportation

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Contract Item Sheets For C202579							
Line #	ItemNumber	Sec #	Description	Quantity Unit	Unit Bid Price	Amount Bid	
0098	8007000000-N	SP	CONSTRUCTION, MAINTENANCE, & REMOVAL OF TEMP STRUCTURE AT STA ********************************	Lump Sum LS	643,300.00	643,300.00	
0099	8017000000-N	SP	CONSTRUCTION, MAINTENANCE, & REMOVAL OF TEMP ACCESS AT STA	Lump Sum LS	11,500.00	11,500.00	
0100	8035000000-N	402	REMOVAL OF EXISTING STRUCTURE AT STATION ************************************	Lump Sum LS	98,575.00	98,575.00	
0101	8105520000-E	SP	3'-0" DIA DRILLED PIERS IN SO IL	64.5 LF	459.40	29,631.30	
0102	8105620000-E	SP	3'-0" DIA DRILLED PIERS NOT IN SOIL	71 LF	642.60	45,624.60	
0103	8111200000-E	SP	PERMANENT STEEL CASING FOR 3'-0" DIA DRILLED PIER	72.4 LF	25.50	1,846.20	
0104	8113000000-N	SP	SID INSPECTION	1 EA	805.00	805.00	
0105	8115000000-N	SP	CROSSHOLE SONIC LOGGING	1 EA	1,900.00	1,900.00	
0106	8147000000-E	420	REINFORCED CONCRETE DECK SLAB	8,323 SF	26.00	216,398.00	
0107	8161000000-E	420	GROOVING BRIDGE FLOORS	6,626 SF	0.39	2,584.14	
0108	8182000000-E	420	CLASS A CONCRETE (BRIDGE)	167 CY	571.05	95,365.35	
0109	8210000000-N	422	BRIDGE APPROACH SLABS, STATION ************************************	Lump Sum LS	39,715.00	39,715.00	
0110	8217000000-E	425	REINFORCING STEEL (BRIDGE)	33,997 LB	0.98	33,317.06	
0111	8238000000-E	425	SPIRAL COLUMN REINFORCING STEEL (BRIDGE)	3,879 LB	1.70	6,594.30	
0112	8265000000-E	430	54" PRESTRESSED CONCRETE GIR- DERS	894.9 LF	198.85	177,950.87	
0113	8364000000-E	SP	HP12X53 STEEL PILES	475 LF	46.47	22,073.25	
0114	8482000000-E	460	THREE BAR METAL RAIL	348.614 LF	181.60	63,308.30	

North Carolina Department Of Transportation Contract Item Sheets For C202579

Page: 8 of 8

Line #	ItemNumber	Sec #	Description	Quantity Unit	Unit Bid Price	Amount Bid
0115	8608000000-E	876	RIP RAP CLASS II (2'-0" THICK)	1,410 TON	30.00	42,300.00
0116	8622000000-E	876	FILTER FABRIC FOR DRAINAGE	1,570 SY	2.50	3,925.00
0117	8657000000-N	430	ELASTOMERIC BEARINGS	Lump Sum LS	5,300.00	5,300.00
0118	8692000000-N	SP	EVAZOTE JOINT SEALS	Lump Sum LS	20,700.00	20,700.00

TOTAL AMOUNT OF BID FOR ENTIRE PROJECT

\$2,312,867.77

1435/Feb24/Q117463.664/D510876350000/E118

C202579	
Contract No.	
County Lincoln	

Rev. 7-12-10

EXECUTION OF CONTRACT NON-COLLUSION AFFIDAVIT, DEBARMENT CERTIFICATION AND GIFT BAN CERTIFICATION

LIMITED LIABILITY COMPANY

The Contractor being duly sworn, solemnly swears (or affirms) that neither he, nor any official, agent or employee has entered into any agreement, participated in any collusion, or otherwise taken any action which is in restraint of free competitive bidding in connection with this Contract, that the Contractor has not been convicted of violating $N.C.G.S. \ \S 133-24$ within the last three years, and that the Contractor intends to do the work with its own bonafide employees or subcontractors and did not bid for the benefit of another contractor.

By submitting this Execution of Contract, Non-Collusion Affidavit and Debarment Certification, the Contractor is certifying his status under penalty of perjury under the laws of the United States in accordance with the Debarment Certification attached, provided that the Debarment Certification also includes any required statements concerning exceptions that are applicable.

N.C.G.S. § 133-32 and Executive Order 24 prohibit the offer to, or acceptance by, any State Employee of any gift from anyone with a contract with the State, or from any person seeking to do business with the State. By execution of any response in this procurement, you attest, for your entire organization and its employees or agents, that you are not aware that any such gift has been offered, accepted, or promised by any employees of your organization.

SIGNATURE OF CONTRACTOR Smith-Rowe, LLC Full Name of Firm 639 Old US 52 South, Mount Airy, NC 27030 Address as Prequalified Signature of Manager Individually D. L. Rowe William F Fulp Print or type Signer's Name Print or type Signer's name AFFIDAVIT MUST BE NOTARIZED **NOTARY SEAL** Subscribed and sworn to before me this the 2011. Signature of Notary Public **ELIZABETH STANLEY CALHOUN NOTARY PUBLIC** SURRY COUNTY, N.C. State of North Caroline My Commission Expires: <u> ろ/1 4 / 14</u>

Contract No.	C202579
County	oln

DEBARMENT CERTIFICATION

Conditions for certification:

- 1. The prequalified bidder shall provide immediate written notice to the Department if at any time the bidder learns that his certification was erroneous when he submitted his debarment certification or explanation filed with the Department, or has become erroneous because of changed circumstances.
- 2. The terms covered transaction, debarred, suspended, ineligible, lower tier covered transaction, participant, person, primary covered transaction, principal, proposal, and voluntarily excluded, as used in this provision, have the meanings set out in the Definitions and Coverage sections of the rules implementing Executive Order 12549 A copy of the Federal Rules requiring this certification and detailing the definitions and coverages may be obtained from the Contract Officer of the Department.
- The prequalified bidder agrees by submitting this form, that he will not knowingly enter into any lower tier covered transaction with a person who is debarred, suspended, declared ineligible, or voluntarily excluded from participation in NCDOT contracts, unless authorized by the Department.
- 4. For Federal Aid projects, the prequalified bidder further agrees that by submitting this form he will include the Federal-Aid Provision titled *Required Contract Provisions Federal-Aid Construction Contract (Form FHWA PR* 1273) provided by the Department, without subsequent modification, in all lower tier covered transactions.
- 5. The prequalified bidder may rely upon a certification of a participant in a lower tier covered transaction that he is not debarred, suspended, ineligible, or voluntarily excluded from the covered transaction, unless he knows that the certification is erroneous. The bidder may decide the method and frequency by which he will determine the eligibility of his subcontractors.
- 6. Nothing contained in the foregoing shall be construed to require establishment of a system of records in order to render in good faith the certification required by this provision. The knowledge and information of a participant is not required to exceed that which is normally possessed by a prudent person in the ordinary course of business dealings.
- 7. Except as authorized in paragraph 6 herein, the Department may terminate any contract if the bidder knowingly enters into a lower tier covered transaction with a person who is suspended, debarred, ineligible, or voluntarily excluded from participation in this transaction, in addition to other remedies available by the Federal Government.

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Contract No.	C202579
County Line	oln

DEBARMENT CERTIFICATION

The prequalified bidder certifies to the best of his knowledge and belief, that he and his principals:

- a. Are not presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from covered transactions by any Federal department or agency;
- b. Have not within a three-year period preceding this proposal been convicted of or had a civil judgment rendered against them for commission of fraud or a criminal offense in connection with obtaining, attempting to obtain, or performing a public (Federal, State or local) transaction or contract under a public transaction; violation of Federal or State antitrust statutes or commission of embezzlement, theft, forgery, bribery, falsification or destruction of records; making false statements; or receiving stolen property;
- c. Are not presently indicted for or otherwise criminally or civilly charged by a governmental entity (Federal, State or local) with commission of any of the offenses enumerated in paragraph b. of this certification; and
- d. Have not within a three-year period preceding this proposal had one or more public transactions (Federal, State or local) terminated for cause or default.
- e. Will submit a revised Debarment Certification immediately if his status changes and will show in his bid proposal an explanation for the change in status.

If the prequalified bidder cannot certify that he is not debarred, he shall provide an explanation with this submittal. An explanation will not necessarily result in denial of participation in a contract.

Failure to submit a non-collusion affidavit and debarment certification will result in the prequalified bidder's bid being considered non-responsive.

Contract No C202579

County (ies): <u>Lincoln</u>

ACCEPTED BY THE DEPARTMENT OF TRANSPORTATION

Contract Officer

Execution of Contract and Bonds Approved as to Form:

Attorney General

Signature Sheet (Bid - Acceptance by Department)

Rev	2	_1.	-1	n

Contract No.	
County	

C202579		
Lincoln	 	 —

CONTRACT PAYMENT BOND

Date of Payment Bond Execution	February 28, 2011
Name of Principal Contractor	Smith-Rowe, LLC
Name of Surety:	Travelers Casualty and Surety Company of America
Name of Contracting Body:	North Carolina Department of Transportation
	Raleigh, North Carolina
Amount of Bond:	\$2,312,867.77
Contract ID No	C202579
County Name:	Lincoln
-	

KNOW ALL MEN BY THESE PRESENTS, That we, the PRINCIPAL CONTRACTOR (hereafter, PRINCIPAL) and SURETY above named, are held and firmly bound unto the above named Contracting Body, hereinafter called the Contracting Body, in the penal sum of the amount stated above for the payment of which sum well and truly to be made, we bind ourselves, our heirs, executors, administrators, and successors, jointly and severally, firmly by these presents.

THE CONDITION OF THIS OBLIGATION IS SUCH, that whereas the principal entered into a certain contract with the Contracting Body, numbered as shown above and hereto attached:

NOW THEREFORE, if the principal shall promptly make payment to all persons supplying labor and material in the prosecution of the work provided for in said contract, and any and all duly authorized modifications of said contract that may hereafter be made, notice of which modifications to the surety being hereby waived, then this obligation to be void; otherwise to remain in full force and virtue.

IN WITNESS WHEREOF, the above-bound parties have executed this instrument under their several seals on the date indicated above, the name and corporate seal of each corporate party being hereto affixed and these presents duly signed by its undersigned representative, pursuant to authority of its governing body.

Rev 2-1-10

CONTRACT PAYMENT BOND

11/100 Deat of Durety Company	Affix	Seal	of Surety	Company
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Travelers Casualty and Surety Company of America

Print or type Surety Company Name

By John F. Thomas

Print, stamp or type name of Attorney-in-Fact

Signature of Attorney-in-Fact

Signature of Witness

Angela D. Ramsey

Print or type Signer's name

Contract No.	C202579		Rev 2-1-10
County	Lincoln		
		CONTRACT PAYMENT BOND	
		LIMITED LIABILITY COMPANY	
		SIGNATURE OF CONTRACTOR (Principal)	
Name	e of Contractor	Smith-Rowe, LLC	
110111	0 01 001111110101	Full name of Firm	
		639 Old US 52 South, Mount Airy, NC 27030	
		Address as prequalified	
Signatur	e of Member/Mana	ager Dicame	
		Individually	-

D. L. Rowe

Print or type Signer's name



POWER OF ATTORNEY

Farmington Casualty Company
Fidelity and Guaranty Insurance Company
Fidelity and Guaranty Insurance Underwriters, Inc.
St. Paul Fire and Marine Insurance Company
St. Paul Guardian Insurance Company

St. Paul Mercury Insurance Company Travelers Casualty and Surety Company Travelers Casualty and Surety Company of America United States Fidelity and Guaranty Company

Attorney-In Fact No.

223208

Certificate No. 004037345

KNOW ALL MEN BY THESE PRESENTS: That St. Paul Fire and Marine Insurance Company, St. Paul Guardian Insurance Company and St. Paul Mercury Insurance Company are corporations duly organized under the laws of the State of Minnesota, that Farmington Casualty Company, Travelers Casualty and Surety Company, and Travelers Casualty and Surety Company of America are corporations duly organized under the laws of the State of Connecticut, that United States Fidelity and Guaranty Company is a corporation duly organized under the laws of the State of Iowa, and that Fidelity and Guaranty Insurance Company is a corporation duly organized under the laws of the State of Iowa, and that Fidelity and Guaranty Insurance Underwriters, Inc., is a corporation duly organized under the laws of the State of Wisconsin (herein collectively called the "Companies"), and that the Companies do hereby make, constitute and appoint

William J. Quinn, G. Timothy Wilkerson, Donna K. Ashley. Wendy E. Lahm, Angela D. Ramsey John D. Leak III, Jennifer C. Hoehn, and John F. Thomas

of the City of	Charlotte		_, State of	North Caroli	na	_ their true and lawf	ıl Attorney(s)-ın-Fact.
	rate capacity if more than						
	ligatory in the nature thei cuting or guaranteeing bo						ig the performance of
COMPACS and EAC	comit or guaranteems oo	nos and undertaking:	s reduited of ber	ALLOCO III aliy acqons	.n proceedings allow	cu oy taw.	
							14th
IN WITNESS W	HEREOF the Company	ກ have caused this ii	nstrument to be	agned and their corpo	rate seals to be hereto	o affixed. this	
day of	HEREOF the Companiary	· · ·					
		igton Casualty Com				Insurance Company	
		and Guaranty Ins			•	ty and Surety Compa	
		y and Guaranty Ins				ty and Surety Compa	*
		l Fire and Marine I I Guardian Insurar		pany	United States Fid	lelity and Guaranty (Company
	31. £ 40	i Quaruian insurar	ice Company				
	1977	COMPORATED 1951		SEAL SEA	ATP. (A)	MAGTICOTO DE COMP.	SCHTY AND DESCRIPTION OF THE PROPERTY AND DESCRIPTION OF THE P
State of Connect City of Hartford				By·	George W T	Thompson, Senior Vice Pro	esident
	* 4.2	*		2011			
On this the	14th day of	January		before me			n. who acknowledged
	Semor Vice President of re and Marine Insurance	** '	~ ~ ~				
Company. Trave	lers Casualty and Surety egoing instrument for the	Company of Americ	a, and United S	tates Fidelity and Gua	aranty Company, and	that he. as such, beir	g authorized so to do.
	e reof, I hereunto set my h		SE WOTAR		M	anir c. 3	intreault
My Commission	expires the 30th day of J	une, 2011.	A COBLIC)*) *)	•	Marie C. Tetreault, No	tary Public

58440-4-09 Printed in U.S.A.

WARNING: THIS POWER OF ATTORNEY IS INVALID WITHOUT THE RED BORDER

This Power of Attorney is granted under and by the authority of the following resolutions adopted by the Boards of Directors of Farmington Casualty Company Fidelity and Guaranty Insurance Company. Fidelity and Guaranty Insurance Company. St. Paul Fire and Marine Insurance Company. St. Paul Guardian Insurance Company. St. Paul Mercury Insurance Company. Travelers Casualty and Surety Company of America, and United States Fidelity and Guaranty Company, which resolutions are now in full force and effect, reading as follows:

RESOLVED that the Chairman, the President, any Vice Chairman, any Executive Vice President, any Senior Vice President, any Vice President, any Second Vice President, the Treasurer, any Assistant Treasurer, the Corporate Secretary or any Assistant Secretary may appoint Attorneys-in-Fact and Agents to act for and on behalf of the Company and may give such appointee such authority as his or her certificate of authority may prescribe to sign with the Company's name and seal with the Company's seal bonds, recognizances, contracts of indemnity, and other writings obligatory in the nature of a bond, recognizance, or conditional undertaking, and any of said officers or the Board of Directors at any time may remove any such appointee and revoke the power given him or her; and it is

FURTHER RESOLVED that the Chairman, the President, any Vice Chairman, any Executive Vice President, any Senior Vice President or any Vice President may delegate all or any part of the foregoing authority to one or more officers or employees of this Company, provided that each such delegation is in writing and a copy thereof is filed in the office of the Secretary; and it is

FURTHER RESOLVED, that any bond, recognizance, contract of indemnity, or writing obligatory in the nature of a bond, recognizance, or conditional undertaking shall be valid and binding upon the Company when (a) signed by the President, any Vice Chairman, any Executive Vice President, any Senior Vice President or any Vice President, any Second Vice President, any Second Vice President, any Second Vice President, any Assistant Treasurer, the Corporate Secretary or any Assistant Secretary and duly attested and sealed with the Company's seal by a Secretary or Assistant Secretary or (b) duly executed (under seal, if required) by one or more Attorneys-in-Fact and Agents pursuant to the power prescribed in his or her certificate or their certificates of authority or by one or more Company officers pursuant to a written delegation of authority; and it is

FURTHER RESOLVED that the signature of each of the following officers: President, any Executive Vice President, any Senior Vice President, any Vice President, any Assistant Vice President, any Secretary, and Assistant Secretary, and the seal of the Company may be affixed by facsimile to any Power of Attorney or to any certificate relating thereto appointing Resident Vice Presidents. Resident Assistant Secretaries or Attorneys-in-Fact for purposes only of executing and attesting bonds and undertakings and other writings obligatory in the nature thereof, and any such Power of Attorney or certificate bearing such facsimile signature or facsimile seal shall be valid and binding upon the Company and any such power so executed and certified by such facsimile signature and facsimile seal shall be valid and binding on the Company in the future with respect to any bond or understanding to which it is attached.

I. Kori M. Johanson, the undersigned, Assistant Secretary, of Farmington Casualty Company, Fidelity and Guaranty Insurance Company, Fidelity and Guaranty Insurance Underwriters, Inc., St. Paul Fire and Marine Insurance Company, St. Paul Guardian Insurance Company, St. Paul Mercury Insurance Company, Travelers Casualty and Surety Company of America, and United States Fidelity and Guaranty Company do hereby certify that the above and foregoing is a true and correct copy of the Power of Attornev executed by said Companies, which is in full force and effect and has not been revoked.

IN TESTIMONY WHEREOF. I have hereunto set my hand and affixed the seals of said Companies this

l8th _{day of} February

~ 11

Kori M. Johanson/Assistant Secretary



















To verify the authenticity of this Power of Attorney, call 1-800-421-3880 or contact us at www.travelersbond.com. Please refer to the Attorney-In-Fact number, the above-named individuals and the details of the bond to which the power is attached.

C202579	
Lincoln	

Rev 2-1-10

CONTRACT PERFORMANCE BOND

Date of Performance Bond Execution:	February 28, 2011		
Name of Principal Contractor:	Smith-Rowe, LLC		
Name of Surety:	Travelers Casualty and Surety Company of America		
Name of Contracting Body	North Carolina Department of Transportation		
	Raleigh, North Carolina		
Amount of Bond:	\$2,312,867.77		
Contract ID No.:	C202579		
County Name:	Lincoln		

KNOW ALL MEN BY THESE PRESENTS, That we, the PRINCIPAL CONTRACTOR (hereafter, PRINCIPAL) and SURETY above named, are held and firmly bound unto the above named Contracting Body, hereinafter called the Contracting Body, in the penal sum of the amount stated above for the payment of which sum well and truly to be made, we bind ourselves, our heirs, executors, administrators, and successors, jointly and severally, firmly by these presents.

THE CONDITION OF THIS OBLIGATION IS SUCH, that whereas the principal entered into a certain contract with the Contracting Body, numbered as shown above and hereto attached:

NOW THEREFORE, if the principal shall well and truly perform and fulfill all the undertakings, covenants, terms, conditions, and agreements of said contract during the original term of said contract and any extensions thereof that may be granted by the Contracting Body, with or without notice to the Surety, and during the life of any guaranty required under the contract, and shall also well and truly perform and fulfill all the undertakings, covenants, terms, conditions, and agreements of any and all duly authorized modifications of said contract that may hereafter be made, notice of which modifications to the surety being hereby waived, then this obligation to be void; otherwise to remain in full force and virtue.

IN WITNESS WHEREOF, the above-bound parties have executed this instrument under their several seals on the date indicated above, the name and corporate seal of each corporate party being hereto affixed and these presents duly signed by its undersigned representative, pursuant to authority of its governing body.

CONTRACT PERFORMANCE BOND

Travelers Casualty and Surety Company of America

Print or type Surety Company Name

By John F. Thomas

Print, stamp or type name of Attorney-in-Fact

Signature of Attorney-in-Fact

Angela D. Ramsey

Print or type Signer's name

c/o Wells Fargo Insurance Services USA, Inc. P.O. Box 220748, Charlotte, NC 28222-0748

Contract No.	C202579		Rev 2-1-10
		CONTRACT PERFORMANCE BOND	
		LIMITED LIABILITY COMPANY	
		SIGNATURE OF CONTRACTOR (Principal)	
Name of Contractor	Smith-Rowe, LLC		
		Full name of Firm	
	639 Old US 52 South, Mount Airy, NC 27030		
	Address as prequalified		
Signature o	of Member/Manag	ger Dhame	
		Individually	

Print or type Signer's name

D. L. Rowe



POWER OF ATTORNEY

Farmington Casualty Company
Fidelity and Guaranty Insurance Company
Fidelity and Guaranty Insurance Underwriters. Inc.
St. Paul Fire and Marine Insurance Company
St. Paul Guardian Insurance Company

St. Paul Mercury Insurance Company Travelers Casualty and Surety Company Travelers Casualty and Surety Company of America United States Fidelity and Guaranty Company

Attorney-In Fact No.

223208

Certificate No. 004037344

KNOW ALL MEN BY THESE PRESENTS: That St. Paul Fire and Marine Insurance Company, St. Paul Guardian Insurance Company and St. Paul Mercury Insurance Company are corporations duly organized under the laws of the State of Minnesota, that Farmington Casualty Company, Travelers Casualty and Surety Company, and Travelers Casualty and Surety Company of America are corporations duly organized under the laws of the State of Connecticut, that United States Fidelity and Guaranty Company is a corporation duly organized under the laws of the State of Iowa, and that Fidelity and Guaranty Insurance Underwriters. Inc., is a corporation duly organized under the laws of the State of Wisconsin (herein collectively called the "Companies"), and that the Companies do hereby make, constitute and appoint

William J. Quinn, G. Timothy Wilkerson, Donna K. Ashley, Wendy E. Lahm, Angela D. Ramsey, John D. Leak III. Jennifer C. Hoehn, and John F. Thomas

of the City of Charlotte	State of	North Carolina	the	ir true and lawful A	ttornev(s)-in-Fact,
each in their separate capacity if more than one is no	amed above, to sign, execute, s		and all bonds, recogn	nizances, conditiona	l undertakings and
other writings obligatory in the nature thereof on b					he performance of
contracts and executing or guaranteeing bonds and		m :: '% '%			
			i e		14th
IN WITNESS WHEREOF, the Companies have c	aused this instrument to be sig	ned and their corporate s	eals to be hereto affix	ed. this	1701
day of January 2011					
			**		
Farmington Ca Fidelity and Gi	sualty Company paranty Insurance Company		. Paul Mercury Insur avelers Casualty and	* *	
	uaranty Insurance Underwri		avelers Casualty and		of America
	nd Marine Insurance Compa	ny Ur	nited States Fidelity a	and Guaranty Com	ipany
St. Paul Guard	ian Insurance Company				
1977 B 1951	Se Se	ALL S SEAL S	SUNTY AND BE AND COMMITTEE OF THE SECOND SEC	(HARDELOUD)	SELITY AND GENERAL SELECTION AND GENERAL SEL
State of Connecticut City of Hartford ss.		Ву:	George W Thompso	on, Senior Vice Preside	ent
On this the 14th day of himself to be the Senior Vice President of Farming Inc., St. Paul Fire and Marine Insurance Company. Travelers Casualty and Surety Company executed the foregoing instrument for the purposes	ton Casualty Company. Fidelity, St. Paul Guardian Insurancy of America, and United Stat	ity and Guaranty Insurance Company, St. Paul Mes Fidelity and Guaranty	fercury Insurance Core Company, and that I	and Guaranty Insur mpany Travelers C he, as such, being a	ance Underwriters, asualty and Surety uthorized so to do.
In Witness Whereof. I hereunto set my hand and of My Commission expires the 30th day of June. 201		_	Mari	rie C. Tetreaust, Notary	treault

58440-4-09 Printed in U.S.A.

WARNING: THIS POWER OF ATTORNEY IS INVALID WITHOUT THE RED BORDER

This Power of Attorney is granted under and by the authority of the following resolutions adopted by the Boards of Directors of Farmington Casualty Company, Fidelity and Guaranty Insurance Company. Fidelity and Guaranty Insurance Company. St. Paul Fire and Marine Insurance Company, St. Paul Guardian Insurance Company, Travelers Casualty and Surety Company of America, and United States Fidelity and Guaranty Company, which resolutions are now in full force and effect, reading as follows:

RESOLVED, that the Chairman, the President, any Vice Chairman, any Executive Vice President, any Senior Vice President, any Vice President, any Second Vice President, the Treasurer, any Assistant Treasurer, the Corporate Secretary or any Assistant Secretary may appoint Attorneys-in-Fact and Agents to act for and on behalf of the Company and may give such appointee such authority as his or her certificate of authority may prescribe to sign with the Company's name and seal with the Company's seal bonds, recognizances, contracts of indemnity, and other writings obligatory in the nature of a bond, recognizance, or conditional undertaking, and any of said officers or the Board of Directors at any time may remove any such appointee and revoke the power given him or her; and it is

FURTHER RESOLVED that the Chairman, the President, any Vice Chairman, any Executive Vice President, any Senior Vice President or any Vice President may delegate all or any part of the foregoing authority to one or more officers or employees of this Company, provided that each such delegation is in writing and a copy thereof is filed in the office of the Secretary: and it is

FURTHER RESOLVED, that any bond, recognizance, contract of indemnity, or writing obligatory in the nature of a bond, recognizance, or conditional undertaking shall be valid and binding upon the Company when (a) signed by the President, any Vice Chairman, any Executive Vice President, any Senior Vice President or any Vice President, any Second Vice President, the Treasurer, any Assistant Treasurer, the Corporate Secretary or any Assistant Secretary and duly attested and sealed with the Company's seal by a Secretary or Assistant Secretary; or (b) duly executed (under seal, if required) by one or more Attorneys-in-Fact and Agents pursuant to the power prescribed in his or her certificate or their certificates of authority or by one or more Company officers pursuant to a written delegation of authority; and it is

FURTHER RESOLVED, that the signature of each of the following officers: President, any Executive Vice President, any Senior Vice President, any Vice President, any Assistant Vice President, any Assistant Secretary, and the seal of the Company may be affixed by facsimile to any Power of Attorney or to any certificate relating thereto appointing Resident Vice Presidents. Resident Assistant Secretaries or Attorneys-in-Fact for purposes only of executing and attesting bonds and undertakings and other writings obligatory in the nature thereof, and any such Power of Attorney or certificate bearing such facsimile signature or facsimile seal shall be valid and binding upon the Company and any such power so executed and certified by such facsimile signature and facsimile seal shall be valid and binding on the Company in the future with respect to any bond or understanding to which it is attached.

I, Kori M. Johanson, the undersigned, Assistant Secretary, of Farmington Casualty Company, Fidelity and Guaranty Insurance Company, Fidelity and Guaranty Insurance Underwriters, Inc., St. Paul Fire and Marine Insurance Company, St. Paul Guardian Insurance Company, St. Paul Mercury Insurance Company, Travelers Casualty and Surety Company, Travelers Casualty and Surety Company of America, and United States Fidelity and Guaranty Company do hereby certify that the above and foregoing is a true and correct copy of the Power of Attorney executed by said Companies, which is in full force and effect and has not been revoked.

IN TESTIMONY WHEREOF I have hereunto set my hand and affixed the scals of said Companies this _____

28th day o

February

~ 11

Kori M. Johanson/Assistant Secretary



















To verify the authenticity of this Power of Attorney, call 1-800-421-3880 or contact us at www.travelersbond.com. Please refer to the Attorney-In-Fact number, the above-named individuals and the details of the bond to which the power is attached.