C202511

STATE OF NORTH CAROLINA DEPARTMENT OF TRANSPORTATION RALEIGH, N.C.

CONTRACT BONDS

FOR CONTRACT NO. C202511

WBS

5CR.10911.9, 5CR.20911.9, 5CR.20931.8 STATE FUNDED

COUNTY OF

VANCE, WARREN

THIS IS THE

ROADWAY CONTRACT

ROUTE NUMBER

US 1

LENGTH

30.420 MILES

LOCATION

1 SECTION OF US-1 BUS, US-158 BUS, NC-39, AND 7 SECTIONS OF

SECONDARY ROADS.

CONTRACTOR

S. T. WOOTEN CORPORATION

ADDRESS

P.O. BOX 2408

WILSON, NC 278942408

BIDS OPENED

JANUARY 19, 2010

CONTRACT EXECUTION

FEB 1 7 2010

NCDOT/berc

Vendor 3760's Bid Information for Call 016, Letting L100119, 2010-01-19

S. T. Wooten Corporation (3760).

Vendor 2 of 2 Vendors for Call Order 016 (Proposal ID C202511).

County: VANCE, WARREN

Address: PO Box 2408

Wilson, NC 27894

Phone Number: 252-291-5165

Signature Check: This bid has been properly signed by Richard E. Vick 3760.

Time Bid Received: Tue Jan 19 12:43:14 2010

Bid Checksum: 699043AE MBE GOAL SET 4.0

Amendment Count: 0

Bidding Errors: No bidding errors,

Bid Total: \$2,775,179.29 \(\) MBE GOAL MET 4.1

Bond ID: SKEM-AQE9-JFV9-K1EA

Bond was Verified: Yes.

Bond Required Percent: 5.00% WBE GOAL SET 4.0

Minimum Check Amount: \$138,758.96

Bid Security Maximum: \$

Bond Agency Name:

WBE GOAL MET 8.2

Agency Execution Date: 1/19/2010

Surety Name: Safeco Insurance Company of America

Surety State of Incorporation:

Bond Company:

Bond Type:

Bond Appr Flag:

Bond Affirm:

Bond was Paid by Check: No.

LIST OF MBE PARTICIPANTS

VENDOR NUMBER	DBE NAME ADDRESS	WORK CODE T	TYPE OF	WORK	CERT TYPE	AMOUNT		
8139 MDB	SIMMONS PUBLIC UTILITY SITE-WOR	NG 204			Sub	38,719.00	√	arm
8857 MB	6545 DOTHAN ROAD , TABOR CITY, E ZURDO TRUCKIMG INC		103		Sub	9,275.00	√	arm
12702 мв	302 INDIAN TRAIL , WENDELL, NC NEHRENZ ENTERPRISES				Sub	23,375.00	\checkmark	arm
7624 MB	7001 CEDRIC DRIVE , RALEIGH, NO KENNETH L HOWARD DBA KL HOWARD		3		Sub	14,075.00	✓	arm
4939 MB	4037 LYN STREET , OXFORD, NC 2' PETTIFORD TRUCKING				Sub	7,500.00	V	arm
6159 MB	3260 HURDLE MILLS RD. , ROXBORG BUSY BLACK TRUCKING LLC	·	27574		Sub	2,817.50	\checkmark	arm
7412 MB	5 CAT TAIL COURT , DURHAM, NC 2 SCOTTS TRUCKING COMPANY				Sub	12,200.00	\checkmark	arm
5856 MB	3525 PINKHAM WAY , RALEIGH, NC CHARLES SHAW DBA CLSHAW#1				Sub	5,875.00	✓	arm
	P.O. BOX 542 , NORLINA, NC 2756	53		T	OTAL:	\$113,836.50 4.10%	√	arm

LIST OF WBE PARTICIPANTS

	DBE NAME ADDRESS	WORK CODE TYPE OF WORK	CERT TYPE AMOUNT	
12393 w	ROYALS CONTRACTING, INC. 8601 BAREFOOT INDUSTRIAL RD ,	RALEIGH, NC 27617	Sub 228,657.00	arm
	OUT BAREFOOT INDUSTRIAL RD ,	RADEIGH, NC 27017	TOTAL: \$228,657.00 8.24%	√ amm

Miscelleneous Data Info - Contractor Responses:

NON-COLLUSION AND DEBARMENT CERTIFICATION

Explanation of the prospective bidder that is unable to certify to any of the statements in this certification:

Explanation:
NOT ANSWERED
NOT ANSWERED
NOT ANSWERED
NOT ANSWERED

AWARD LIMITS ON MULTIPLE PROJECTS

By answering YES to this statement, the bidder acknowleges that they are using the award limits on multiple projects. No

It is the desire of the Bidder to be awarded contracts, the value of which will not exceed a total of NOT ANSWERED for those projects indicated herein, for which bids will be opened on (MM/DD/YY)

NCDOT/berc

Vendor 3760's Load Output for Call 016, Letting L100119, 2010-01-19

The Award Limits shall apply to the following projects:

Contract Number

County

NOT ANSWERED

NOT ANSWERED

NOT ANSWERED

NOT ANSWERED

NOT ANSWERED

NOT ANSWERED

Bid Bond Data Info - Contractor Responses:

BondID: SKEM-AQE9-JFV9-K1EA

Surety Registry Agency: SurePathNetwork

Verified?: Yes

Surety Agency: Safeco Insurance Company of America
Bond Execution Date: 1/19/2010
Bond Amount: \$138,758.96 (Five Percent of Bio

\$138,758.96 (Five Percent of Bid)

State of NC

Dept of Transportation Date: 12-22-09 Revised:

Contract ID: C202511 Project(s): STATE FUNDED Letting Date: 01-19-10 Call Order: 016
Bidder: 3760 - S. T. Wooten Corporation

Line No.	Item	Approx.	Unit Pr	ice	Bid Amou	nt
NO.	Description	Quantity and Units	Dollars	Cts	Dollars	Ct

Section 0001 ROADWAY ITEMS

Alt Group

Group				
-N MOBILIZATIO	LUMP		LUMP	135,000.00
	CY	991.000	17.50000	17,342.50
	TON	635.000	30.00000	19,050.00
	SMI	49.520	1,600.00000	79,232.00
VEMENT,	sy	62,452.000	0.80000	49,961.60
VEMENT, ***"TO	sy	10,500.000	0.75000	7,875.00
-E INCIDENTAL	sy	2,739.000	3.75000	10,271.25
	TON	640.000	43.00000	27,520.00
CE COURSE,	TON	12,368.000	33.00000	408,144.00
CE COURSE,	TON	19,729.000	32.00000	631,328.00
	-E BORROW -E INCIDENTAL -E SHOULDER TION -E MILLING VEMENT, (1-1/2") -E MILLING VEMENT, **TO	LUMP -E BORROW -E INCIDENTAL -E SHOULDER TON -E SHOULDER TION -E MILLING AVEMENT, (1-1/2") -E MILLING AVEMENT, ***"TO SPTH (0" TO SY -E INCIDENTAL SY -E ASPHALT COURSE, TYPE TON -E ASPHALT ACE COURSE, TON	LUMP	LUMP

Date: 12-22-09 Revised:

State of NC
Dept of Transportation

Contract ID: C202511 Project(s): STATE FUNDED

Letting Date: 01-19-10 Call Order: 016

Bidder: 3760 - S. T. Wooten Corporation

Line	Item Description		Approx. Quantity	Unit Price	Bid Amount
1.01			and Units	Dollars Cts	Dollars Ct
0011	1560000000-E ASPHALT BINDER FOR PLANT MIX, GRADE PG 64-22	TON	2,051.000	355.00000	728,105.00
0012	170400000-E PATCHING EXISTING PAVEMENT	TON	2,860.000	130.00000	371,800.00
0013	2605000000-N CONCRETE WHEELCHAIR RAMPS	EA	3.000	1,000.00000	3,000.00
0014	2815000000-N ADJUSTMENT OF DROP INLETS	EA	1.000	350.00000	350.00
0015	2830000000-N ADJUSTMENT OF MANHOLES	EA	27.000	250.00000	6,750.00
0016	2845000000-N ADJUSTMENT OF METER BOXES OR VALVE BOXES	EA	35.000	250.00000	8,750.00
0017	4685000000-E THERMOPLAST IC PAVEMENT MARKINGLINES (4", 90 MILS)	LF	272,748.000	0.31000	84,551.88
0018	4686000000-E THERMOPLAST IC PAVEMENT MARKING LINES (4", 120 MILS)	LF	241,716.000	0.39000	94,269.24
0019	4695000000-E THERMOPLAST IC PAVEMENT MARKINGLINES (8", 90 MILS)	 LF	670.000	1.00000	670.00
0020	47050000000-E THERMOPLAST IC PAVEMENT MARKINGLINES (16", 120 MILS)	LF	250.000	4.00000	1,000.00
0021	4710000000-E THERMOPLAST IC PAVEMENT MARKINGLINES (24", 120 MILS)	LF	1,193.000	5.00000	5,965.00

State of NC

Dept of Transportation

Contract ID: C202511 Project(s): STATE FUNDED

Letting Date: 01-19-10 Call Order: 016

Bidder: 3760 - S. T. Wooten Corporation

Line No.	Item Description	Approx. Quantity and Units	Unit Price Dollars Cts	Bid Amount Dollars Ct
0022	4721000000-E THERMOPLAST IC PAVEMENT MARKINGCHARACTER (120 MILS)	10.000	55.00000	550.00
0023	4725000000-E THERMOPLAST IC PAVEMENT MARKINGSYMBOL (90 MILS)	135.000 EA	75.00000	10,125.00
0024	4810000000-E PAINT PAVEMENT MARKING LINES (4")	94,244.000 LF	0.08000	7,539.52
0025	4835000000-E PAINT PAVEMENT MARKING LINES (24")	50.000 LF	3.00000	150.00
0026	4900000000-N PERMANENT RAISED PAVEMENT MARKERS	1,896.000 EA	3.25000	6,162.00
0027	6084000000-E SEEDING & MULCHING	29.500 ACR	1,250.00000	36,875.00
0028	7444000000-E INDUCTIVE LOOP SAWCUT	5,670.000 LF	3.69000	20,922.30
0029	7456000000-E LEAD-IN CABLE (*********) (14-2)	800.000 LF	2.40000	1,920.00
	 Section 0001 Total			2,775,179.29
	Bid Total			2,775,179.29

Date: 12-22-09

Revised:

NON-COLLUSION AND DEBARMENT CERTIFICATION

The bidder certifies that neither he, nor any official, agent or employee of the bidder has entered into any agreement, participated in any collusion, or otherwise taken any action which is in restraint of free competitive bidding in connection with this bid, and that the bidder intends to do the work with its own bonafide employees or subcontractors and is not bidding for the benefit of another contractor. In addition, submitting this electronic bid constitutes the bidder's certification of Status under penalty of perjury under the laws of the United States and in accordance with the Debarment Certification on file with the Department.

By submitting this bid, the bidder certifies to the best of his knowledge and belief that he and his principals:

- Are not presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from covered transactions by any Federal department or agency;
- b. Have not within a three-year period preceding this proposal been convicted of or had a civil judgment rendered against them for commission of fraud or a criminal offense in connection with obtaining, attempting to obtain, or performing a public (Federal, State or local) transaction or contract under a public transaction; violation of Federal or State antitrust statutes or commission of embezzlement, theft, forgery, bribery, falsification or destruction of records; making false statements; or receiving stolen property;
- c. Are not presently indicted for or otherwise criminally or civilly charged by a governmental entity (Federal, State or local) with commission of any of the offenses enumerated in paragraph b. of this certification; and
- d. Have not within a three-year period preceding this proposal had one or more public transactions (Federal, State or local) terminated for cause or default.

Where the prospective bidder is unable to certify to any of the statements in this certification, the bidder shall submit an explanation in the blanks provided herein. The explanation will not necessarily result in denial of participation in a contract.

 ${\tt Explanation:}$ NOT ANSWERED NOT ANSWERED

NOT ANSWERED

NOT ANSWERED

If the prequalified bidder's status changes, he shall immediately submit a new fully executed non-collusion affidavit and debarment certification with an explanation of the change to the Contract Office prior to submitting the bid.

Failure to furnish a certification or an explanation will be grounds for rejection of a bid

Check: 699043AE Page 4

AWARD LIMITS ON MULTIPLE PROJECTS

By answering YES to this statement, the bidder acknowleges that they are using the award limits on multiple projects. No

A bidder who desires to bid on more than one project on which bids are to be opened on the same date, and who also desires to avoid receiving an award of more projects than he is equipped to handle, may bid on any number of projects but may limit the total amount of work awarded to him on selected projects by completing the AWARD LIMITS ON MULTIPLE PROJECTS.

The Award Limits on Multiple Projects must be filled in on each project bid for which the Bidder desires protection.

It is the desire of the Bidder to be awarded contracts, the value of which

will not exceed a total of NOT ANSWERED for those

projects indicated herein, for which bids will be opened on

(MM/DD/YY)

The Award Limits shall apply to the following projects:

Contract Number

NOT ANSWERED NOT ANSWERED

NOT ANSWERED

NOT ANSWERED

NOT ANSWERED

NOT ANSWERED

It is agreed that if I am (we are) the low Bidder(s) on indicated projects, the total value of which is more than the above stipulated award limits, the Board of Transportation will award me (us) projects from among those indicated that have a total value not to exceed the award limit and will result in the lowest total bids to the Department of Transportation.

County

NORTH CAROLINA STATE DEPARTMENT OF TRANSPORTATION MBE COMMITMENT ITEMS

DATE:12-22-09 PAGE: 6

PROPOSAL:	C2025II
LETTING:	L100119
TENDOD. 2	760 C

CALL: 016
T. Wooten Corporation

VENDOR: 3760 S.	T. Wooten Cor	poration				
LINE ITEM NO. NO.				SUBCONTRACTOR)
MBE SUBCONTRACTOR: Will Use Quote: Ye		PUBLIC U	TILITY SITE	-WORK INC.		
0001 0000100000-N 0027 6084000000-E	MOBILIZATION SEEDING AND	LS ACR	1.000 29.500	1844.00000 1250.00000	1844. 36875.	00
MBE COMMITME	INT TOTAL FOR	SUBCONTRA	CTOR:		38,719.00	
MBE SUBCONTRACTOR: Will Use Quote: Ye		TRUCKIMG	INC			
0003 1220000000-E 0002 0106000000-E Hauling borrow	INCIDENTAL S BORROW EXCAV 100 hrs @ \$ 6	TON CY 1.00/hour	635.000 1.000 = \$ 6,100.	5.00000 6100.00000	3175. 6100.	00 00
MBE COMMITME	ENT TOTAL FOR	SUBCONTRA	CTOR:		9,275.00	✓ arm
MBE SUBCONTRACTOR: Will Use Quote: Ye		Z ENTERPR	ISES			
0005 1297000000-E Hauling Milling	MILL ASP PVM	SY @ \$1 25/	1.000 tn - \$1.875	1875.00000	1875.	00
0010 1525000000-E Hauling Asphalt	ASP CONC SUR	TON	1.000	21500.00000	21500. tns @	00
MBE COMMITME	ENT TOTAL FOR	SUBCONTRA	CTOR:		23,375.00	arm
MBE SUBCONTRACTOR: Will Use Quote: Ye		L HOWARD	DBA KL HOW	ARD TRUCKING		
0005 1297000000-E Hauling Milling	MILL ASP PVM	SY @ \$ 1 25	1.000 /tn = \$1.87	1875.00000	1875.	00
0010 1525000000-E Hauling asphalt	ASP CONC SUR	TON	3000.000	2.50000	7500.	00
0009 1519000000-E Hauling Asphalt	ASP CONC SUR	TON	2000.000	2.35000	4700.	00
MBE COMMITME	ENT TOTAL FOR	SUBCONTRA	CTOR:		14,075.00	arm
MBE SUBCONTRACTOR: Will Use Quote: Ye		RD TRUCKI	NG			
0010 1525000000-E Hauling Asphalt		TON	3000.000	2.50000	7500.	00
MBE COMMITME	ENT TOTAL FOR	SUBCONTRA	CTOR:		7,500.00	√ arm

Check: 699043AE Page 6

NORTH CAROLINA STATE DEPARTMENT OF TRANSPORTATION MBE COMMITMENT ITEMS

DATE:12-22-09 PAGE: 7

LINE ITEM NO. NO.	ITEM DESC.			SUBCONTRACTOR UNIT PRICE	EXTENDED AMOUNT
MBE SUBCONTRACTOR: Will Use Quote: Ye		ACK TRUC	CKING LLC		
0010 1525000000-E Hauling Asphalt		R TON	1127.000	2.50000	2817.50
MBE COMMITME	ENT TOTAL FOR	SUBCONTE	RACTOR:	2,	817.50 🗸 arm
MBE SUBCONTRACTOR: Will Use Quote: Ye		TRUCKING	COMPANY		
0010 1525000000-E Hauling Asphalt		RON	3000.000	2.50000	7500.00
0009 1519000000-E Hauling Asphalt	ASP CONC SUF	R TON	2000.000	2.35000	4700.00
MBE COMMITME	ENT TOTAL FOR	SUBCONTE	RACTOR:	12	,200.00 🗸 arm
MBE SUBCONTRACTOR: Will Use Quote: Ye		S SHAW DE	BA CLSHAW#1		,
0009 1519000000-E Hauling Asphalt		R TON	2500.000	2.35000	5875.00 amm.
MBE COMMITME	ENT TOTAL FOR	SUBCONTE	RACTOR:	5,	875.00
TOTAL MBE COMMITME	ENT FOR VENDOR	₹:	Entered: Required:		

Check: 699043AE Page 7

NORTH CAROLINA STATE DEPARTMENT OF TRANSPORTATION WBE COMMITMENT ITEMS

DATE:12-22-09

PAGE: 8

PROPOSAL: C202511

LETTING: L100119

CALL: 016

VENDOR: 3760 S. T. Wooten Corporation

LINE ITEM ITEM UNIT SUBCONTRACTOR SUBCONTRACTOR EXTENDED NO. NO. DESC. TYPE QUANTITY UNIT PRICE AMOUNT

WBE SUBCONTRACTOR: 12393 ROYALS CONTRACTING, INC.

Will Use Quote: Yes

0012 1704000000-E PATCHING EXI TON 2860.000 79.95000 228657.00 ✓ am Labor for patching

WBE COMMITMENT TOTAL FOR SUBCONTRACTOR:

228,657.00

TOTAL WBE COMMITMENT FOR VENDOR:

Entered: 8.24% or 228657.00 ✓ amm Required: 4.00% or 111007.17

<GOAL MET>

Jan 28, 2010 3:39 pm

North Carolina Department Of Transportation Contract Item Sheets For C202511

Page: 1 of 2

			Contract Item Sheets For C20			
Line #	ItemNumber	Sec #	Description	Quantity Unit	Unit Bid Price	Amount Bid
			ROADWAY ITEMS			
0001	0000100000-N	800	MOBILIZATION			135,000.00
0002	0106000000-E	230	BORROW EXCAVATION	991 CY	17.50	17,342.50
0003	1220000000-E	545	INCIDENTAL STONE BASE	635 TON	30.00	19,050.00
0004	1245000000-E	SP	SHOULDER RECONSTRUCTION	49.52 SMI	1,600.00	79,232.00
0005	1297000000-E	607	MILLING ASPHALT PAVEMENT, ***" DEPTH (1-1/2")	62,452 SY	0.80	49,961.60
0006	1308000000-E	607	MILLING ASPHALT PAVEMENT, ***" TO ******" DEPTH (0" TO 1-1/2")	10,500 SY	0.75	7,875.00
0007	1330000000-E	607	INCIDENTAL MILLING	2,739 SY	3.75	10,271.25
8000	1489000000-E	610	ASPHALT CONC BASE COURSE, TYPE B25.0B	640 TON	43.00	27,520.00
0009	1519000000-E	610	ASPHALT CONC SURFACE COURSE, TYPE S9.5B	12,368 TON	33.00	408,144.00
0010	1525000000-E	610	ASPHALT CONC SURFACE COURSE, TYPE SF9.5A	19,729 TON	32.00	631,328.00
0011	1560000000-E	620	ASPHALT BINDER FOR PLANT MIX, GRADE PG 64-22	2,051 TON	355.00	728,105.00
0012	1704000000-E	SP	PATCHING EXISTING PAVEMENT	2,860 TON	130.00	
0013	2605000000-N	848	CONCRETE WHEELCHAIR RAMPS	3 EA	1,000.00	
0014	2815000000-N	858	ADJUSTMENT OF DROP INLETS	1 EA	350.00	350.00
0015	2830000000-N	858	ADJUSTMENT OF MANHOLES	27 EA	250.00	6,750.00
0016	2845000000-N	858	ADJUSTMENT OF METER BOXES OR VALVE BOXES	35 EA	250.00	8,750.00
0017	4685000000-E	1205	THERMOPLASTIC PAVEMENT MARKING LINES (4", 90 MILS)	272,748 LF	0.31	 84,551.88
0018	4686000000-E	1205	THERMOPLASTIC PAVEMENT MARKING LINES (4", 120 MILS)	241,716 LF	0.39	94,269.24

Jan 28, 2010 3:39 pm

North Carolina Department Of Transportation Contract Item Sheets For C202511

Page	:	2	of	2

Amount Bid	Unit Bid Price	Quantity Unit	Description	Sec #	ItemNumber	Line #
670.00	1.00	670 LF	THERMOPLASTIC PAVEMENT MARKING LINES (8", 90 MILS)	1205	4695000000-E	0019
1,000.00	4.00	250 LF	THERMOPLASTIC PAVEMENT MARKING LINES (16", 120 MILS)	1205	4705000000-E	 0020
5,965.00	5.00	1,193 LF	THERMOPLASTIC PAVEMENT MARKING LINES (24", 120 MILS)	1205	4710000000-E	0021
550.00	55.00	10 EA	THERMOPLASTIC PAVEMENT MARKING CHARACTER (120 MILS)	1205	4721000000-E	0022
10,125.00	75.00	135 EA	THERMOPLASTIC PAVEMENT MARKING SYMBOL (90 MILS)	1205	4725000000-E	0023
7,539.52	0.08	94,244 LF	PAINT PAVEMENT MARKING LINES (4")	1205	4810000000-E	0024
150.00	3.00	50 LF	PAINT PAVEMENT MARKING LINES (24")	1205	4835000000-E	0025
6,162.00	3.25	1,896 EA	PERMANENT RAISED PAVEMENT MARKERS	1251	4900000000-N	0026
36,875.00	1,250.00	29.5 ACR	SEEDING & MULCHING	1660	6084000000-E	0027
20,922.30	3.69	5,670 LF	INDUCTIVE LOOP SAWCUT	1725	7444000000-E	0028
1,920.00	2.40	800 LF	LEAD-IN CABLE (*************) (14-2)	1726	7456000000-E	0029

TOTAL AMOUNT OF BID FOR ENTIRE PROJECT

\$2,775,179.29

1539/Jan28/Q734493.02/D93854100000/E29

EXECUTION OF CONTRACT NON-COLLUSION AFFIDAVIT AND DEBARMENT CERTIFICATION

CORPORATION

The Contractor being duly sworn, solemnly swears (or affirms) that neither he, nor any official, agent or employee has entered into any agreement, participated in any collusion, or otherwise taken any action which is in restraint of free competitive bidding in connection with this Contract, and that the Contractor intends to do the work with his own bonafide employees or subcontractors and did not bid for

the benefit of another contractor.
By submitting this Execution of Contract, Non-Collusion affidavit and Debarment Certification, the Contractor is certifying his status under penalty of perjury under the laws of the United States in accordance with the Debarment Certification attached, provided that the Debarment Certification also includes any required statements concerning exceptions that are applicable.
SIGNATURE OF CONTRACTOR
S. T. WOOTEN CORPORATION
Full name of Corporation
P. O. BOX 2408, WILSON, NORTH CAROLINA 27894
Address as Prequalified
Attest Wood Assistant Secretary Select appropriate title By President/Vide President/Assistant Vice President Select appropriate title Select appropriate title
W. READE DAWSON HENRY D. BUTTS, JR.
Print or type Signer's name Print or type Signer's name
CORPORATE SEAL
AFFIDAVIT MUST BE NOTARIZED
NOTARY SEAL
Subscribed and sworn to before me this the
and day of February 2010
Carol Signature of Notary Rublic
of Rith County
State of North Caroling
My Commission Expires: 3/3/2010

DEBARMENT CERTIFICATION OF CONTRACTOR

Conditions for certification:

- 1. The Contractor shall provide immediate written notice to the Department if at any time the Contractor learns that his certification was erroneous when he submitted his debarment certification or explanation that is on file with the Department, or has become erroneous because of changed circumstances.
- 2. The terms covered transaction, debarred, suspended, ineligible, lower tier covered transaction, participant, person, primary covered transaction, principal, proposal, and voluntarily excluded, as used in this provision, have the meanings set out in the Definitions and Coverage sections of the rules implementing Executive Order 12549. A copy of the Federal Rules requiring this certification and detailing the definitions and coverages may be obtained from the Contract Officer of the Department.
- 3. The Contractor agrees by submitting this form, that he will not knowingly enter into any lower tier covered transaction with a person who is debarred, suspended, declared ineligible, or voluntarily excluded from participation in NCDOT contracts, unless authorized by the Department.
- 4. For Federal Aid projects, the Contractor further agrees that by submitting this form he will include the Federal-Aid Provision titled *Required Contract Provisions Federal-Aid Construction Contract (Form FHWA PR 1273)* provided by the Department, without subsequent modification, in all lower tier covered transactions.
- 5. The Contractor may rely upon a certification of a participant in a lower tier covered transaction that he is not debarred, suspended, ineligible, or voluntarily excluded from the covered transaction, unless he knows that the certification is erroneous. The Contractor may decide the method and frequency by which he will determine the eligibility of his subcontractors.
- 6. Nothing contained in the foregoing shall be construed to require establishment of a system of records in order to render in good faith the certification required by this provision. The knowledge and information of a participant is not required to exceed that which is normally possessed by a prudent person in the ordinary course of business dealings.
- 7. Except as authorized in paragraph 3 herein, the Department may terminate any contract if the Contractor knowingly enters into a lower tier covered transaction with a person who is suspended, debarred, ineligible, or voluntarily excluded from participation in this transaction, in addition to other remedies available by the Federal Government.

DEBARMENT CERTIFICATION

The Contractor certifies to the best of his knowledge and belief, that he and his principals:

- a. Are not presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from covered transactions by any Federal department or agency;
- b. Have not within a three-year period preceding this proposal been convicted of or had a civil judgment rendered against them for commission of fraud or a criminal offense in connection with obtaining, attempting to obtain, or performing a public (Federal, State or local) transaction or contract under a public transaction; violation of Federal or State antitrust statutes or commission of embezzlement, theft, forgery, bribery, falsification or destruction of records; making false statements; or receiving stolen property;
- c. Are not presently indicted for or otherwise criminally or civilly charged by a governmental entity (Federal, State or local) with commission of any of the offenses enumerated in paragraph b. of this certification; and
- d. Have not within a three-year period preceding this proposal had one or more public transactions (Federal, State or local) terminated for cause or default.
- e. If status changes, will submit a revised Debarment Certification immediately.

If the Contractor cannot certify that he is not debarred, he shall provide an explanation with this submittal. An explanation will not necessarily result in denial of participation in a contract.

Failure to submit a non-collusion affidavit and debarment certification will result in the Contractor's bid being considered non-responsive.

Check here if an explanation is attached to this certification.
· · · · · · · · · · · · · · · · · · ·

Contract No C202511

County (ies): <u>Vance & Warren</u>

ACCEPTED BY THE DEPARTMENT OF TRANSPORTATION

Contract Officer

2/17/19

Date

Execution of Contract and Bonds Approved as to Form:

Attorney General

Contract No. C202511

County VANCE, WARREN

CONTRACT PAYMENT BOND

Date of Payment Bond Execution	February 8, 2010		
Name of Principal Contractor	S. T. Wooten Corporation		
Name of Surety:	Safeco Insurance Co. of America		
	2359 Perimeter Pointe Parkway, Suite 160		
	Charlotte, NC 28208		
Name of Contracting Body:	North Carolina Department of Transportation		
Name of Contracting Body:	North Carolina Department of Transportation Raleigh, North Carolina		
Name of Contracting Body: Amount of Bond:			
,	Raleigh, North Carolina		

KNOW ALL MEN BY THESE PRESENTS, That we, the PRINCIPAL CONTRACTOR (hereafter, PRINCIPAL) and SURETY above named, are held and firmly bound unto the above named Contracting Body, hereinafter called the Contracting Body, in the penal sum of the amount stated above for the payment of which sum well and truly to be made, we bind ourselves, our heirs, executors, administrators, and successors, jointly and severally, firmly by these presents.

THE CONDITION OF THIS OBLIGATION IS SUCH, that whereas the principal entered into a certain contract with the Contracting Body, numbered as shown above and hereto attached:

NOW THEREFORE, if the principal shall promptly make payment to all persons supplying labor and material in the prosecution of the work provided for in said contract, and any and all duly authorized modifications of said contract that may hereafter be made, notice of which modifications to the surety being hereby waived, then this obligation to be void; otherwise to remain in full force and virtue.

IN WITNESS WHEREOF, the above-bound parties have executed this instrument under their several seals on the date indicated above, the name and corporate seal of each corporate party being hereto affixed and these presents duly signed by its undersigned representative, pursuant to authority of its governing body.

County C202511

County VANCE, WARREN

CONTRACT PAYMENT BOND

Affix	Seal	of	Suretv	Company
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Safeco Insurance Co. of America
Print or type Surety Company Name

By Debra S. Ritter, Attorney-in-Fact

Print, stamp or type name of Attorney-in-Fact

Signature of Attorney-in-Fact

Signature of Witness

Ramona Fewell

Print or type Witness' Name

Thomas Rutherfoord, Inc. 6230 Fairview Road Suite 230 Charlotte, NC 28210

Address of Attorney-in-Fact

Country C202511

County VANCE, WARREN

CONTRACT PAYMENT BOND

CORPORATION

SIGNATURE OF CONTRACTOR (Principal)

SIGNATURE OF CONTRACTOR (Thicipal)
S. T. Wooten Corporation
Full name of Corporation
Post Office Box 2408; Wilson, North Carolina 27894-2408
Adress as prequalified
By: Signature of President, Vice President, Assistant Vice President Select appropriate title
HENRY D. BUTTS, JR.
Print or type Signer's name
Affix Corporate Seal
Attest W. Meadle Dave Signature of Secretary, Assistant Secretary Select appropriate title
W. READE DAWSON
Print or type Signer's name

Contract No.

County

C202511 VANCE, WARREN

CONTRACT PERFORMANCE BOND

Date of Payment Bond Execution: February 8, 2010 Name of Principal Contractor: S. T. Wooten Corporation Name of Surety: Safeco Insurance Co. of America 2359 Perimeter Pointe Parkway, Suite 160 Charlotte, NC 28208 North Carolina Department of Transportation Name of Contracting Body: Raleigh, North Carolina Amount of Bond: \$2,775,179.29 Contract ID No.: C202511 County Name: VANCE, WARREN

KNOW ALL MEN BY THESE PRESENTS, That we, the PRINCIPAL CONTRACTOR (hereafter, PRINCIPAL) and SURETY above named, are held and firmly bound unto the above named Contracting Body, hereinafter called the Contracting Body, in the penal sum of the amount stated above for the payment of which sum well and truly to be made, we bind ourselves, our heirs, executors, administrators, and successors, jointly and severally, firmly by these presents.

THE CONDITION OF THIS OBLIGATION IS SUCH that whereas the principal entered into a certain contract with the Contracting Body, numbered as shown above and hereto attached:

NOW THEREFORE, if the principal shall well and truly perform and fulfill all the undertakings, covenants, terms, conditions, and agreements of said contract during the original term of said Contract and any extensions thereof that may be granted by the Contracting Body, with or without notice to the Surety, and during the life of any guaranty required under the contract, and shall also well and truly perform and fulfill all the undertakings, covenants, terms, conditions, and agreements of any and all duly authorized modifications of said contract that may hereafter be made, notice of which modifications to the surety being hereby waived, then this obligation to be void; otherwise to remain in full force and virtue.

IN WITNESS WHEREOF, the above-bound parties have executed this instrument under their several seals on the date indicated above, the name and corporate seal of each corporate party being hereto affixed and these presents duly signed by it undersigned representative, pursuant to authority of its governing body.

Contract No. C202511
VANCE, WARREN

CONTRACT PERFORMANCE BOND

Affix	Seal	of	Suretv	Company
		-,		· · · · · · · · · · · · · · · · · ·

Safeco Insurance Co. of America
Print or type Surety Company Name

By Debra S. Ritter, Attorney-in-Fact
Print, stamp or type name of Attorney-in-Fact

Signature of Attorney-in-Fact

Signature of witness

Ramona Fewell

Print or type Witness' Name

Thomas Rutherfoord, Inc. 6230 Fairview Road Suite 230 Charlotte, NC 28210

Address of Attorney-in-Fact

County C202511
VANCE, WARREN

CONTRACT PERFORMANCE BOND

CORPORATION

CORPORATION		
SIGNATURE OF CONTRACTOR (Principal)		
S. T. Wooten Corporation		
Full name of Corporation		
Post Office Box 2408; Wilson, North Carolina 27894-2408		
Adress as prequalified		
Ву:		
Signature of President, Vice President, Assistant Vice President		
Select appropriate title		
HENRY D. BUTTS, JR.		
Print or type Signer's name		
Time of type Signer & name		
Affix Corporate Seal		
Attest W. Made Lan- Signature of Secretary, Assistant Secretary Select appropriate title		
W. READE DAWSON		
Print or type Signer's name		



POWER OF ATTORNEY

Safeco Insurance Company of America General Insurance Company of America 1001 4th Avenue Suite 1700 Seattle, WA 98154

	No.	12042
KNOW ALL BY THESE PRESENTS:	•	

That SAFECO INSURANCE COMPANY OF AMERICA and GENERAL INSURANCE COMPANY OF AMERICA, each a Washington corporation, does each hereby appoint

*******RAMONA FEWELL; RAYMOND J. GARRUTO; BRAD W. GIBSON; MARTIN D. PALLAZZA;

its true and lawful attorney(s)-in-fact, with full authority to execute on its behalf fidelity and surety bonds or undertakings and other documents of a similar character issued in the course of its business, and to bind the respective company thereby.

IN WITNESS WHEREOF, SAFECO INSURANCE COMPANY OF AMERICA and GENERAL INSURANCE COMPANY OF AMERICA have each executed and attested these presents

	CERTIFICATE	
Dexter R. Legg, Secretary	Timothy A. Mikolajewski, Vice President	
Dexter R. Lay	TAMidolajewski.	
this 21st	day of , , ,	9

Extract from the By-Laws of SAFECO INSURANCE COMPANY OF AMERICA and of GENERAL INSURANCE COMPANY OF AMERICA:

"Article V, Section 13. - FIDELITY AND SURETY BONDS ... the President, any Vice President, the Secretary, and any Assistant Vice President appointed for that purpose by the officer in charge of surety operations, shall each have authority to appoint individuals as attorneys-in-fact or under other appropriate titles with authority to execute on behalf of the company fidelity and surety bonds and other documents of similar character issued by the company in the course of its business... On any instrument making or evidencing such appointment, the signatures may be affixed by facsimile. On any instrument conferring such authority or on any bond or undertaking of the company, the seal, or a facsimile thereof, may be impressed or affixed or in any other manner reproduced; provided, however, that the seal shall not be necessary to the validity of any such instrument or undertaking."

> Extract from a Resolution of the Board of Directors of SAFECO INSURANCE COMPANY OF AMERICA and of GENERAL INSURANCE COMPANY OF AMERICA adopted July 28, 1970.

"On any certificate executed by the Secretary or an assistant secretary of the Company setting out, (i) The provisions of Article V, Section 13 of the By-Laws, and

- (ii) A copy of the power-of-attorney appointment, executed pursuant thereto, and
- (iii) Certifying that said power-of-attorney appointment is in full force and effect,

the signature of the certifying officer may be by facsimile, and the seal of the Company may be a facsimile thereof."

, Secretary of SAFECO INSURANCE COMPANY OF AMERICA and of GENERAL INSURANCE COMPANY OF AMERICA, do hereby certify that the foregoing extracts of the By-Laws and of a Resolution of the Board of Directors of these corporations, and of a Power of Attorney issued pursuant thereto, are true and correct, and that both the By-Laws, the Resolution and the Power of Attorney are still in full force and effect.

IN WITNESS WHEREOF, I have hereunto set my hand and affixed the facsimile seal of said corporation

8th February day of this Dexter R. Legg, Secretary