### C202165

### STATE OF NORTH CAROLINA DEPARTMENT OF TRANSPORTATION RALEIGH, N.C.

# CONTRACT AND **CONTRACT BONDS**

FOR CONTRACT NO. C202165

WBS

32579.2.3 BRSTP-221(29)

T.I.P NO.

B-1037

**COUNTY OF** 

**ASHE** 

THIS IS THE

**ROADWAY & STRUCTURE CONTRACT** 

**ROUTE NUMBER** 

**US 221** 

LENGTH 0.245 MILES

**LOCATION** 

BRIDGE OVER SOUTH FORK NEW FIVER AND APPROACHES ON US-221

NORTHEAST OF JEFFERSON.

**CONTRACTOR** 

JAMES R. VANNOY & SONS CONSTRUCTION CO., INC.

**ADDRESS** 

P.O. BOX 635

JEFFERSON, NC 28640

**BIDS OPENED** 

CONTRACT EXECUTION

JANUARY 19, 2010 FEB 1 0 2010

NCDOT/berc

Vendor 3687's Bid Information for Call 038, Letting L100119, 2010-01-19

### James R. Vannoy Sons Const. Co., Inc. (3687). Vendor 5 of 7 Vendors for Call Order 038 (Proposal ID C202165).

County: ASHE

Address: 1608 US Hwy 221 N

PO Box 635

Jefferson, NC 28640

Phone Number: 336-846-7191

Signature Check: This bid has been properly signed by J M Vannoy 3687.

Time Bid Received: Tue Jan 19 13:59:50 2010

Bid Checksum: E3480919

8.0 DBE GOAL SET Amendment Count: 0

Bidding Errors: This bid contains errors. DBE GOAL OBT 5.4 Bid Total: \$2,296,966.01

Bond ID: SNC10123453

Bond was Verified: Yes. Bond Required Percent: 5.00% Minimum Check Amount: \$114,848.30

Bid Security Maximum: \$

Bond Agency Name:

Agency Execution Date: 1/14/2010 2:37:03 PM

Surety Name: Travelers Casualty and Surety Company of Ame

Surety State of Incorporation:

Bond Company: Bond Type: Bond Appr Flag:

Bond Affirm:

Bond was Paid by Check: No.

### LIST OF DBE PARTICIPANTS

VENDOR NUMBER	DBE NAME WORK ADDRESS CODE	TYPE OF WORK	CERT TYPE	AMOUNT
4388 WB	FOOTHILLS CONSTRUCTION SERV INC		Sub	70,204.00 V amm
4761 <b>WB</b>	6081 PEA RIDGE PASS , HAMPTONVILLE, TRAFFIC CONTROL SAFETY SERVICES POST OFFICE BOX 24511 , WINSTON-SAL		Sub	6,871.40 🗸 arm
2432 WB	ACE STEEL, INC.	•	Sub	28,150.19 🗸 amm
5279 <b>WB</b>	POST OFFICE BOX 296 , MT. AIRY, NC GUARDRAIL OF GREENSBORO LLC P.O. BOX 19232 , GREENSBORO, NC 274		Sub	18,037.50 🗸 amm
	, <b>Grandelle, 110</b>		TOTAL:	\$123,263.09 $\checkmark$ arm 5.37%

### Miscelleneous Data Info - Contractor Responses:

### NON-COLLUSION AND DEBARMENT CERTIFICATION

Explanation of the prospective bidder that is unable to certify to any of the statements in this certification:

 ${\tt Explanation:}$ 

NOT ANSWERED

NOT ANSWERED

NOT ANSWERED

NOT ANSWERED

#### AWARD LIMITS ON MULTIPLE PROJECTS

By answering YES to this statement, the bidder acknowleges that they are using the award limits on multiple projects. No

It is the desire of the Bidder to be awarded contracts, the value of which will not exceed a total of NOT ANSWERED for those projects indicated herein, for which bids will be opened on (MM/DD/YY)

The Award Limits shall apply to the following projects:

Contract Number

County

NOT ANSWERED

NOT ANSWERED

NOT ANSWERED

NOT ANSWERED

NOT ANSWERED

NOT ANSWERED

### Bid Bond Data Info - Contractor Responses:

\*\*\*\***\*\*** 

BondID: SNC10123453

Surety Registry Agency: surety2000

Verified?: Yes

Surety Agency: Travelers Casualty and Surety Company of America

Vendor 3687's Load Output for Call 038, Letting L100119, 2010-01-19 NCDOT/berc

Bond Execution Date: 1/14/2010 2:37:03 PM
Bond Amount: \$114,848.30 (Five Percent of Bid)

Contract ID: C202165 Letting Date: 01-19-10 Ca Bidder: 3687 - James R. Va	State of NC Dept of Transportation Project(s): BRSTP- all Order: 038 annoy & Sons Const. Co., Inc.		
Line Item	Approx. Un Quantity	it Price   Bid Amount	Ī
No. Description		ars   Cts   Dollars  Ct	-

Section 0001 ROADWAY ITEMS

- T	4	~
Al	+	Group

		Alt Group				
	0001	0000100000-N MOBILIZATIO N	LUMP		LUMP	155,000.00
		0000900000-N GENERIC MISCELLANEOUS ITEM BUILDING SURVEYS	LUMP		LUMP	16,000.00
	0003	0022000000-E UNCLASSIFIE D EXCAVATION	CY	6,900.000	16.00000	110,400.00
	0004	0029000000-N REINFORCED BRIDGE APPROACH FILL, STATION ************************************	LUMP		LUMP	15,058.41
1	0005	0050000000-E SUPPLEMENTA RY CLEARING & GRUB-BING	ACR	1.000	5.00000	5.00
	0006	0057000000-E UNDERCUT EXCAVATION	CY	50.000	10.00000	500.00
-	0007	0063000000-N GRADING	LUMP		LUMP	155,000.00
-	0008	0134000000-E DRAINAGE DITCH EXCAVATION	CY	20.000	60.57000	1,211.40
-	0009	0320000000-E FOUNDATION CONDITIONING FABRIC	SY	180.000	1.74000	313.20
	0010	0330000000-E GENERIC DRAINAGE ITEM FOUNDATION CONDITION MATE- RIAL, MINOR STRS	TON	60.000	40.00000	2,400.00

State of NC

State of NC
Dept of Transportation
Contract ID: C202165 Project(s): BRSTP-221(29)
Letting Date: 01-19-10 Call Order: 038
Bidder: 3687 - James R. Vannoy & Sons Const. Co., Inc.

Line No.		Approx. Quantity	Unit Price	Bid Amount
NO.	Description	and Units	Dollars   Cts	Dollars  Ct
0011	0366000000-E 15" RC PIPE CULVERTS, CLASS III	180.0	23.78000	4,280.40
0012	0708000000-E 15" BIT COAT CS PIPE CULVERTS, TYPE B 0.064" THICK	24.0 LF	27.96000	671.04
0013	0714000000-E 18" BIT COAT CS PIPE CULVERTS, TYPE B 0.064" THICK	76.0  LF	35.27000	2,680.52
0014	0762000000-E 66" BIT COAT CS PIPE CULVERTS, TYPE B 0.138" THICK	172.0 LF	145.07000	24,952.04
0015	0986000000-E GENERIC PIPE ITEM 16" DUCTILE IRON PIPE	48.0 LF	57.07000	2,739.36
0016	1220000000-E INCIDENTAL  STONE BASE	100.0	19.30000	1,930.00
0017	1489000000-E ASPHALT CONC BASE COURSE, TYPE B25.0B	570.0	57.00000	32,490.00
0018	1525000000-E ASPHALT CONC SURFACE COURSE, TYPE SF9.5A	380.0	00 64.00000	24,320.00
0019	1560000000-E ASPHALT  BINDER FOR PLANT MIX,  GRADE PG 64-22	50.0	503.67000	25,183.50
0020	1693000000-E ASPHALT  PLANT MIX, PAVEMENT  REPAIR	20.0	64.00000	1,280.00
0021	2000000000-N RIGHT OF WAY MARKERS	14.0 EA	00 120.00000	1,680.00
0022	2022000000-E SUBDRAIN EXCAVATION	45.0	00 4.45000	200.25

Check: E3480919 Page 2

Date: 12-22-09

Revised:

#### State of NC Date: 12-22-09 Revised:

State of NC
Dept of Transportation

Contract ID: C202165 Project(s): BRSTP-221(29)
Letting Date: 01-19-10 Call Order: 038
Bidder: 3687 - James R. Vannoy & Sons Const. Co., Inc.

Line No.		Approx. Quantity	Unit Price	Bid Amount
		and Units	Dollars   Cts	Dollars  Ct
0023	2033000000-E SUBDRAIN FINE AGGREGATE	34.000 CY	34.54000	1,174.36
0024	2044000000-E 6" PERFORATED SUBDRAIN PIPE	200.000 LF	1.63000	326.00
0025	2055000000-E 6" SUBDRAIN PIPE WYES, TEES, &ELBOWS	6.000 EA	13.93000	83.58
0026	2066000000-N CONCRETE  PAD FOR SUBDRAIN PIPE  OUTLET	1.000 EA	200.83000	200.83
0027	2077000000-E 6" OUTLET  PIPE (SUBDRAINS)	6.000 LF	7.14000	42.84
0028	2209000000-E ENDWALLS	1.000 CY	800.00000	800.00
0029	2220000000-E REINFORCED ENDWALLS	6.600 CY	831.42000	5,487.37
0030	2253000000-E PIPE COLLARS	0.450 CY	891.02000	400.96
0031	2286000000-N MASONRY DRAINAGE STRUCTURES	8.000 EA	1,000.00000	8,000.00
0032	2297000000-E MASONRY DRAINAGE STRUCTURES	11.834  CY	744.14000	8,806.15
0033	2308000000-E MASONRY DRAINAGE STRUCTURES	1.500 LF	277.20000	415.80
0034	2367000000-N FRAME WITH TWO GRATES, STD 840.29	7.000 EA	329.56000	2,306.92

State of NC

State of NC
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Contract ID: C202165 Project(s): BRSTP-221(29)
Letting Date: 01-19-10 Call Order: 038
Bidder: 3687 - James R. Vannoy & Sons Const. Co., Inc.

Line No.	Item Description		approx.	Unit Price	Bid Amount
NO.	Description		d Units	Dollars   Cts	Dollars  Ct
0035	2396000000-N FRAME WITH COVER, STD 840.54	EA	1.000	387.07000	387.07
0036	2556000000-E SHOULDER BERM GUTTER	LF	410.000	22.28000	9,134.80
0037	3030000000-E STEEL BM GUARDRAIL	  LF	537.500	13.00000	6,987.50
0038	3150000000-N ADDITIONAL GUARDRAIL POSTS	EA	5.000	10.00000	50.00
0039	3215000000-N GUARDRAIL ANCHOR UNITS, TYPE III	EA	4.000	1,050.00000	4,200.00
0040	3270000000-N GUARDRAIL ANCHOR UNITS, TYPE 350	EA	4.000	1,700.00000	6,800.00
0041	3649000000-E RIP RAP, CLASS B	TON	2.000	50.00000	100.00
0042	3656000000-E FILTER FABRIC FOR DRAINAGE	sy	1,760.000	1.21000	2,129.60
0043	440000000-E WORK ZONE SIGNS (STATIONARY)	SF	152.000	3.95000	600.40
0044	4405000000-E WORK ZONE SIGNS (PORTABLE)	SF	96.000	8.75000	840.00
0045	4410000000-E WORK ZONE  SIGNS (BARRICADE  MOUNTED)	SF	20.000	5.75000	115.00
0046	4430000000-N DRUMS	EA	30.000	2.08000	62.40

Check: E3480919 Page 4

Date: 12-22-09

Revised:

Lettin	Dept of Carlott ID: C202165  Ig Date: 01-19-10 Call Ord C: 3687 - James R. Vannoy 8	der: 038	BRSTP-221(29)	Date: 12-22-09 rised:
Line No.	Item Description	Approx. Quantity and Units	Unit Price   Dollars   Cts	Bid Amount   Dollars  Ct
0047	4435000000-N CONES	20.000 EA	0.96000	19.20
0048	4445000000-E BARRICADES (TYPE III)	48.000 LF	17.00000	816.00
0049	4450000000-N FLAGGER	6,400.000 HR	8.00000	51,200.00
0050	4465000000-N TEMPORARY CRASH CUSHIONS	1.000 EA	4,500.00000	4,500.00
0051	4480000000-N TMIA	1.000 EA	2,518.41000	2,518.41
0052	4490000000-E PORTABLE CONCRETE BARRIER (ANCHORED)	60.000 LF	45.81000	2,748.60
0053	4770000000-E COLD APPLIED PLASTIC PAVEMENT MARKING LINES, TYPE ** (4") (I)	5,185.000 LF	1.00000	5,185.00
0054	4810000000-E PAINT PAVEMENT MARKING LINES (4")	12,000.000 LF	0.25000	3,000.00
0055	4905000000-N SNOWPLOWABL E PAVEMENT MARKERS	32.000 EA	175.00000	5,600.00
0056	6000000000-E TEMPORARY  SILT FENCE	2,300.000 LF	1.98000	4,554.00
0057	6006000000-E STONE FOR  EROSION CONTROL, CLASS  A	430.000 TON	26.49000	11,390.70

State of NC Date: 12-22-09 Revised:

State of NC
Dept of Transportation

Contract ID: C202165 Project(s): BRSTP-221(29)
Letting Date: 01-19-10 Call Order: 038
Bidder: 3687 - James R. Vannoy & Sons Const. Co., Inc.

Line No.	Item Description		Approx.	Unit Price	Bid Amount
NO.	Description		and Units	Dollars   Cts	Dollars  Ct
0058	6009000000-E STONE FOR EROSION CONTROL, CLASS B	TON	280.000	29.85000	8,358.00
0059	6012000000-E SEDIMENT CONTROL STONE	TON	900.000	21.89000	19,701.00
0060	6015000000-E TEMPORARY MULCHING	ACR	7.500	725.00000	5,437.50
0061	6018000000-E SEED FOR TEMPORARY SEEDING	LB	200.000	8.50000	1,700.00
0062	6021000000-E FERTILIZER FOR TEMPORARY SEED-ING	TON	1.750	1,195.00000	2,091.25
0063	6024000000-E TEMPORARY SLOPE DRAINS	LF	200.000	11.83000	2,366.00
0064	6027000000-N INLET PROTECTION AT TEMPORARY SLOPE DRAINS	EA	4.000	206.35000	825.40
0065	6029000000-E SAFETY  FENCE	LF	2,000.000	1.98000	3,960.00
0066	6030000000-E SILT EXCAVATION	CY	600.000	4.55000	2,730.00
0067	6036000000-E MATTING FOR EROSION CONTROL	SY	12,000.000	1.54000	18,480.00
0068	6037000000-E COIR FIBER MAT	SY	400.000	5.95000	2,380.00
0069	6042000000-E 1/4" HARDWARE CLOTH	LF	2,100.000	4.95000	10,395.00

### State of NC

State of NC Date:
Dept of Transportation Revised:
Contract ID: C202165 Project(s): BRSTP-221(29)
Letting Date: 01-19-10 Call Order: 038
Bidder: 3687 - James R. Vannoy & Sons Const. Co., Inc.

Line No.	Item Description	Approx. Quantity	Unit Price	Bid Amount
"0.	Description	and Units	Dollars   Cts	Dollars  Ct
0070	6070000000-N SPECIAL STILLING BASINS	15.000 EA	223.41000	3,351.15
0071	6071010000-E WATTLE	150.000 LF	7.05000	1,057.50
0072	6071020000-E POLYACRYLAM  IDE (PAM)	40.000 LB	20.00000	800.00
0073	6071030000-E COIR FIBER BAFFLES	150.000 LF	5.95000	892.50
0074	6071050000-E **" SKIMMER (1-1/2")	1.000 EA	550.00000	550.00
0075	6084000000-E SEEDING & MULCHING	5.000 ACR	1,345.00000	6,725.00
0076	6087000000-E MOWING	4.500 ACR	45.00000	202.50
0077	6090000000-E SEED FOR  REPAIR SEEDING	50.000 LB	8.50000	425.00
0078	6093000000-E FERTILIZER  FOR REPAIR SEEDING	0.250 TON	1,195.00000	298.75
0079	6096000000-E SEED FOR SUPPLEMENTAL SEEDING	125.000 LB	8.50000	1,062.50
0080	6108000000-E FERTILIZER TOPDRESSING	3.250 TON	750.00000	2,437.50
0081	6114500000-N SPECIALIZED HAND MOWING	10.000 MHR	45.00000	450.00

Check: E3480919 Page 7

Date: 12-22-09

State of NC

Revised:

Date: 12-22-09

State of NC
Dept of Transportation
Contract ID: C202165 Project(s): BRSTP-221(29)
Letting Date: 01-19-10 Call Order: 038
Bidder: 3687 - James R. Vannoy & Sons Const. Co., Inc.

Line No.	Item   Description	Approx. Quantity	Unit Price	Bid Amount
NO.	Descripcion	and Units	Dollars   Cts	Dollars  Ct
0082	6117000000-N RESPONSE FOR EROSION CONTROL	27.000 EA	125.00000	3,375.00
0083	6123000000-E REFORESTATI ON	0.500 ACR	2,500.00000	1,250.00
0084 AA1	0372000000-E 18" RC PIPE CULVERTS, CLASS III	22.000 LF	21.73000	478.06
0085 AA2	0372000000-E 18" RC PIPE CULVERTS, CLASS III	10.000 LF		
0086 AA2	0536000000-E ***" HDPE	12.000 LF		
0087 AA3	0372000000-E 18" RC PIPE CULVERTS, CLASS III	10.000 LF		
	0540000000-E ***" ALUMINIZED CORRUGATED STEEL PIPE CULVERTS, ****" THICK (18", 0.064")	12.000  LF		
	  Section 0001 Total			831,058.22
Secti	on 0003 WALL ITEMS			+
	Alt Group	· 		
0089	8077000000-E FOUNDATION EXCAVATION (RETAIN-ING WALL)	60.000 CY	59.65000	3,579.00
0090	8203000000-E CLASS A  CONCRETE (RETAINING  WALL)	14.500 CY	805.28000	11,676.56
0091	8252000000-E REINFORCING STEEL (RETAINING WALL)	1,080.000 LB	0.93000	1,004.40

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State of NC Date: 12-22-09 Dept of Transportation Revised:

L	ettin	et ID: C202165 g Date: 01-19-10 Call Orc : 3687 - James R. Vannoy 8	der: 038	BRSTP-221(29) ., Inc.		
†	Line		Approx.	Unit Price	Bid Amount	
	NO.	Description	Quantity and Units	Dollars   Cts	Dollars  Ct	
		Section 0003 Total			16,259.96	
S	ectio	on 0004 STRUCTURE ITE	EMS		·	
+		Alt Group	· 		+	
	0092	8017000000-N CONSTRUCTIO N, MAINTENANCE, & REMOVAL OF TEMP ACCESS AT STA ************************************	LUMP	LUMP	75,715.36	
		8035000000-N REMOVAL OF EXISTING STRUCTURE AT STATION ************************************	LUMP	LUMP	112,723.05	
		8070000000-E FOUNDATION EXCAVATION	330.000 CY	56.39000	18,608.70	
	0095	8105500000-E **'-**" DIA DRILLED PIERS IN SOIL (6'-0")	29.900 LF	373.05000	11,154.20	•
	0096	8105600000-E **'-**" DIA DRILLED PIERS NOT IN SOIL (6'-0")		3,053.95000	155,751.45	
Ĭ		8111000000-E PERMANENT	1	1	<del>_</del>	

8111000000-E PERMANENT STEEL CASING FOR 14.900 218.04000 3,248.80 \*\*'-\*\*" DIA DRILLED PIER |8113000000-N SID 0098 INSPECTION 1,500.00000 2.000 3,000.00 8115000000-N CROSSHOLE 1,500.00000 0099 SONIC LOGGING 2.000

|8121000000-N UNCLASSIFIE| 0100 D STRUCTURE EXCAVA-TION LUMP LUMP 17,033.20 AT STATION \*\*\*\*\*\* (17+47.50-L-)

State of NC Date:

Dept of Transportation Revised:

Contract ID: C202165 Project(s): BRSTP-221(29)

Letting Date: 01-19-10 Call Order: 038

Bidder: 3687 - James R. Vannoy & Sons Const. Co., Inc. Date: 12-22-09

Line No.	Item Description	Approx. Quantity	Unit Price	Bid Amount
NO.	Description	and Units	Dollars   Cts	Dollars  Ct
0101	8147000000-E REINFORCED CONCRETE DECK SLAB	13,764.000 SF	18.83000	259,176.12
0102	8161000000-E GROOVING BRIDGE FLOORS	11,970.000  SF	0.53000	6,344.10
0103	8182000000-E CLASS A CONCRETE (BRIDGE)	301.700 CY	536.57000	161,883.17
0104	8210000000-N BRIDGE APPROACH SLABS, STATION************************************	LUMP	LUMP	19,883.00
0105	8217000000-E REINFORCING  STEEL (BRIDGE)	59,748.000 LB	0.57000	34,056.36
0106	8238000000-E SPIRAL COLUMN REINFORCING STEEL (BRIDGE)	7,290.000 LB	1.10000	8,019.00
0107	8274000000-E MODIFIED 63" PRESTRESSED CONC GIRDERS	1,351.500 LF	196.98000	266,218.47
0108	8657000000-N ELASTOMERIC  BEARINGS	LUMP	LUMP	7,127.39
0109	8692000000-N EVAZOTE JOINT SEALS	LUMP	LUMP	9,793.35
0110	8741000000-N STRUCTURE   DRAINAGE SYSTEM AT   STA************************************	LUMP	LUMP	204,171.46
0111	8867000000-E GENERIC  STRUCTURE ITEM CLASSIC  CONCRETE BRIDGE RAIL	907.330  LF	80.17000	72,740.65

State of NC

Date: 12-22-09

Revised:

State of NC
Dept of Transportation

Contract ID: C202165 Project(s): BRSTP-221(29)
Letting Date: 01-19-10 Call Order: 038
Bidder: 3687 - James R. Vannoy & Sons Const. Co., Inc.

Line  Item		Approx.	Unit Price	Bid Amount		
No.	Description	escription Quantity and Units	Dollars   Cts	Dollars  Ct		
	Section 0004 Total			1,449,647.83		
	Bid Total			2,296,966.01		

### NON-COLLUSION AND DEBARMENT CERTIFICATION

The bidder certifies that neither he, nor any official, agent or employee of the bidder has entered into any agreement, participated in any collusion, or otherwise taken any action which is in restraint of free competitive bidding in connection with this bid, and that the bidder intends to do the work with its own bonafide employees or subcontractors and is not bidding for the benefit of another contractor. In addition, submitting this electronic bid constitutes the bidder's certification of Status under penalty of perjury under the laws of the United States and in accordance with the Debarment Certification on file with the Department.

By submitting this bid, the bidder certifies to the best of his knowledge and belief that he and his principals:

- Are not presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from covered transactions by any Federal department or agency;
- b. Have not within a three-year period preceding this proposal been convicted of or had a civil judgment rendered against them for commission of fraud or a criminal offense in connection with obtaining, attempting to obtain, or performing a public (Federal, State or local) transaction or contract under a public transaction; violation of Federal or State antitrust statutes or commission of embezzlement, theft, forgery, bribery, falsification or destruction of records; making false statements; or receiving stolen property;
- c. Are not presently indicted for or otherwise criminally or civilly charged by a governmental entity (Federal, State or local) with commission of any of the offenses enumerated in paragraph b. of this certification; and
- Have not within a three-year period preceding this proposal had one or more public transactions (Federal, State or local) terminated for cause or default.

Where the prospective bidder is unable to certify to any of the statements in this certification, the bidder shall submit an explanation in the blanks provided herein. The explanation will not necessarily result in denial of participation in a contract.

Explanation:

NOT ANSWERED

NOT ANSWERED

NOT ANSWERED

NOT ANSWERED

If the prequalified bidder's status changes, he shall immediately submit a new fully executed non-collusion affidavit and debarment certification with an explanation of the change to the Contract Office prior to submitting the bid.

Failure to furnish a certification or an explanation will be grounds for rejection of a bid

### AWARD LIMITS ON MULTIPLE PROJECTS

By answering YES to this statement, the bidder acknowleges that they are using the award limits on multiple projects. No

A bidder who desires to bid on more than one project on which bids are to be opened on the same date, and who also desires to avoid receiving an award of more projects than he is equipped to handle, may bid on any number of projects but may limit the total amount of work awarded to him on selected projects by completing the AWARD LIMITS ON MULTIPLE PROJECTS.

The Award Limits on Multiple Projects must be filled in on each project bid for which the Bidder desires protection.

It is the desire of the Bidder to be awarded contracts, the value of which

will not exceed a total of NOT ANSWERED for those

projects indicated herein, for which bids will be opened on

(MM/DD/YY)

The Award Limits shall apply to the following projects:

Contract Number

County

NOT ANSWERED

NOT ANSWERED NOT ANSWERED

NOT ANSWERED

NOT ANSWERED

NOT ANSWERED

It is agreed that if I am (we are) the low Bidder(s) on indicated projects, the total value of which is more than the above stipulated award limits, the Board of Transportation will award me (us) projects from among those indicated that have a total value not to exceed the award limit and will result in the lowest total bids to the Department of Transportation.

# NORTH CAROLINA STATE DEPARTMENT OF TRANSPORTATION DBE COMMITMENT ITEMS

PROPOSAL: C202165 LETTING: L100119 CALL: 038 VENDOR: 3687 James R. Vannoy & Sons Const. Co., Inc.

LINE ITEM NO. NO.	ITEM DESC.	UNIT	SUBCONTRACTOR QUANTITY	SUBCONTRACTOR UNIT PRICE	EXTENDED AMOUNT
DBE SUBCONTRACTOR: Will Use Quote: Ye  0021 2000000000-N 0056 600000000-E 0060 6015000000-E 0061 601800000-E 0065 6029000000-E 0067 603600000-E 0068 6037000000-E 0069 604200000-E 0071 6071010000-E 0072 6071020000-E 0073 6071030000-E 0074 6071050000-E 0075 608400000-E 0076 608700000-E 0077 609000000-E 0077 609000000-E 0078 609300000-E 0079 609600000-E 0079 6096000000-E 0079 6096000000-E 0078 610800000-E 0080 610800000-E 0081 6114500000-N 0082 6117000000-E	4388 FOOTHILE	LS CO	NSTRUCTION SERV	INC	
0021 2000000000-N	RIGHT OF WAY	EA	14.000	120.00000	1680.00
0056 6000000000-E	TEMPORARY SI	LF	2300.000	1.98000	4554.00
0060 6015000000-E	TEMPORARY MU	ACR	7.500	725.00000	5437.50
0061 6018000000-E	SEED FOR TEM	LB	200.000	8.50000	1700.00
0062 6021000000-E	FERT FOR TEM	TON	1.750	1195.00000	2091.25
0065 6029000000-E	SAFETY FENCE	LF	2000.000	1.98000	3960.00
0067 6036000000-E	MATTING FOR	SY	12000.000	1.54000	18480.00
OO68 6037000000-E	COIR FIBER M	SY	400.000	5.95000	2380.00
0069 6042000000-E	1/4" HARDWAR	$_{ m LF}$	2100.000	4.95000	10395.00
0071 6071010000-E	WATTLE	LF	150.000	7.05000	1057.50
0072 6071020000-E	POLYACRYLAMI	LB	40.000	20.00000	800.00
0073 6071030000-E	COIR FIBER B	$_{ m LF}$	150.000	5.95000	892.50
0074 6071050000-E	**" SKIMMER	EA	1.000	550.00000	550.00
0075 6084000000-E	SEEDING AND	ACR	· 5.000	1345.00000	6725.00
0076 6087000000-E	MOWING	ACR	4.500	45.00000	202.50
0077 6090000000-E	SEED FOR REP	LB	50.000	8.50000	425.00
078 6093000000-E	FERT FOR REP	TON	0.250	1195.00000	298.75
0079 6096000000-E	SEED FOR SUP	LB	125.000	8.50000	1062.50
0080 6108000000~E	FERTILIZER T	TON	3.250	750.00000	2437.50
0081 6114500000-N	SPECIALIZED	MHR	10.000	45.00000	450.00
0082 6117000000-N	RESPONSE FOR	EA	27.000	125.00000	3375.00
0083 6123000000-E	REFORESTATIO	ACR	0.500	2500.00000	1250.00
DBE COMMITME	NT TOTAL FOR	SUBCO	NTRACTOR:	70	,204.00
DBE COMMITME	NT TOTAL FOR	VENDO	R (SubContracto	r)	70,204.
DBE SUBCONTRACTOR: Will Use Quote: Ye		CONT	ROL SAFETY SERV	ICES, INC.	
0043 4400000000-E 0044 4405000000-E 0045 4410000000-E 0048 4445000000-E 0050 4465000000-N	WORK ZONE ST	SF	152 000	3 95000	600 40
0044 4405000000-E	WORK ZONE ST	SF	96.000	8.75000	840.00
0045 4410000000-E	WORK ZONE ST	SF	20.000	5.75000	115.00
0048 4445000000-E	BARRICADES (	LF	48.000	17 00000	816 00
0050 4465000000-N	TEMPORARY CR	EA	1 000	4500.00000	4500.00
,030 1103000000 11	i i i i i i i i i i i i i i i i i i i		1.000		
DBE COMMITME DBE COMMITME	NT TOTAL FOR	SUBCO VENDO	NTRACTOR: R (SubContracto	r)	871.40 6,871.4 <b>√</b>
DBE SUBCONTRACTOR: Will Use Quote: Ye	2432 ACE STE				
0091 8252000000-E Place and tie s	REINF STEEL teel only	LB	1080.000	0.13000	140.40
~				Check: F34809	

Check: E3480919 Page 14

DATE:12-22-09 PAGE: 14

# NORTH CAROLINA STATE DEPARTMENT OF TRANSPORTATION DBE COMMITMENT ITEMS

* #*******			
LINE ITEM ITEM UNIT SU NO. NO. DESC. TYPE QU			
D101 8147000000-E REINF CONCRE SF place steel and tie, place decking of	າກໄນ		
D104 8210000000-N BRG APPR SLA LS place and tie steel only D105 8217000000-E REINF STEEL LB	1.000	752.96000	752.96
nlage and tic steel			7767.24
0106 8238000000-E SPIRAL COL R LB place and tie steel			947.70
Olli 8867000000-E GENERIC STRU LF Place and tie steel only			2355.43
Place and tie steel only  DBE COMMITMENT TOTAL FOR SUBCONTE DBE COMMITMENT TOTAL FOR VENDOR	RACTOR: (SubContractor	28	,150.19 28,150.
DBE SUBCONTRACTOR: 5279 GUARDRAIL OF GI Vill Use Quote: Yes	REENSBORO LLC		
0037 3030000000-E STL BM GUARD LF 0038 3150000000-N ADDIT GUARDR EA 0039 3215000000-N GR ANCHOR TY EA	537.500	13.00000	6987.50
0038 3150000000-N ADDIT GUARDR EA	5.000	10.00000	4200 00
0040 3270000000-N GR ANCHOR TY EA	4.000	1700.00000	6800.00
DBE COMMITMENT TOTAL FOR SUBCONTI	RACTOR:	18	,037.50
DBE COMMITMENT TOTAL FOR VENDOR	(SubContractor	· )	18,037.✓
TOTAL DBE COMMITMENT FOR VENDOR:	Entered: Required:	5.37% or 8.00% or <goa< td=""><td>123263.09  183757.28 L NOT MET&gt;</td></goa<>	123263.09  183757.28 L NOT MET>

Check: E3480919 Page 15

DATE:12-22-09 PAGE: 15

# North Carolina Department Of Transportation

North Caro	mila L	opai tiii	one v	<b>J</b> .	i lansportation	
Contract	Itam	Shoote	For (	C2(	12165	

Page: 1 of 8

Line #	ItemNumber	Sec	Description	Quantity Unit	Unit Bid Price	Amount Bid
#		#	ROADWAY ITEMS	Unit	Price	Біа
			NOADWAT ITEMS			
0001	0000100000-N		MOBILIZATION	Lump Sum LS		155,000.00
0002		SP	GENERIC MISCELLANEOUS ITEM BUILDING SURVEYS	Lump Sum LS	16,000.00	16,000.00
0003	0022000000-E		UNCLASSIFIED EXCAVATION	6,900 CY	16.00	110,400.00
0004	0029000000-N	SP	REINFORCED BRIDGE APPROACH FILL, STATION ************************************	Lump Sum LS		15,058.41
 0005	0050000000-E	226	SUPPLEMENTARY CLEARING & GRUB- BING	1 ACR	5.00	5.00
0006	0057000000-E	226	UNDERCUT EXCAVATION	50 CY	10.00	500.00
0007	0063000000-N	SP	GRADING		155,000.00	
8000	0134000000-E	240		20 CY	60.57	1,211.40
0009	0320000000-E		FOUNDATION CONDITIONING FABRIC	180 SY	1.74	313.20
0010	0330000000-Е	SP	GENERIC DRAINAGE ITEM FOUNDATION CONDITION MATE- RIAL, MINOR STRS	60 TON	40.00	2,400.00
0011	0366000000-E	310	15" RC PIPE CULVERTS, CLASS	180 LF	23.78	4,280.40
0012	0708000000-E	310	15" BIT COAT CS PIPE CULVERTS, TYPE B 0.064" THICK	24 LF	27.96	671.04
0013	 0714000000-Е	310	18" BIT COAT CS PIPE CULVERTS, TYPE B 0.064" THICK	76 LF	35.27	2,680.52
0014	0762000000-E	310	66" BIT COAT CS PIPE CULVERTS, TYPE B 0.138" THICK	172 LF	145.07	24,952.04
0015	0986000000-E	SP	GENERIC PIPE ITEM 16" DUCTILE IRON PIPE	48 LF	57.07	2,739.36
0016	1220000000-E	545	INCIDENTAL STONE BASE	100 TON	19.30	1,930.00
0017	1489000000-E	610	ASPHALT CONC BASE COURSE, TYPE B25.0B	570 TON	57.00	32,490.00

## North

th Carolina Department O	of Transportation	Page: 2 of 8

	Contract Item Sheets For C202165								
Line #	ItemNumber	Sec #	Description	Quantity Unit	Unit Bid Price	Amount Bid			
0018	1525000000-E	610	ASPHALT CONC SURFACE COURSE, TYPE SF9.5A	380 TON	64.00	24,320.00			
0019	1560000000-E	620	ASPHALT BINDER FOR PLANT MIX, GRADE PG 64-22	50 TON	503.67	<b>25,1</b> 83.50			
0020	169300000-E	654	ASPHALT PLANT MIX, PAVEMENT REPAIR	20 TON	64.00	1,280.00			
0021	2000000000-N	806	RIGHT OF WAY MARKERS	14 EA	120.00	1,680.00			
0022	2022000000-E		SUBDRAIN EXCAVATION	45 CY	4.45	200.25			
0023	2033000000-E	815	SUBDRAIN FINE AGGREGATE	34 CY	34.54	1,174.36			
0024	2044000000-E	815	6." PERFORATED SUBDRAIN PIPE	200 LF	1.63	326.00			
0025	2055000000-E	815	6" SUBDRAIN PIPE WYES, TEES, & ELBOWS	6 EA	13.93	83.58			
0026	206600000-N	815	CONCRETE PAD FOR SUBDRAIN PIPE OUTLET	1 EA	200.83	200.83			
0027	2077000000-E	815	6" OUTLET PIPE (SUBDRAINS)	6 LF	7.14	42.84			
0028	2209000000-E	838	ENDWALLS	1 CY	800.00	800.00			
0029	2220000000-E	838	REINFORCED ENDWALLS	6.6 CY	831.42	5,487.37			
0030	2253000000-E	840	PIPE COLLARS	0.45 CY	891.02	400.96			
0031	2286000000-N	840	MASONRY DRAINAGE STRUCTURES	8 EA	1,000.00	8,000.00			
0032	2297000000-E		MASONRY DRAINAGE STRUCTURES	11.834 CY	744.14				
0033			MASONRY DRAINAGE STRUCTURES	1.5 LF	277.20	415.80			
0034	2367000000-N	840	FRAME WITH TWO GRATES, STD 840.29	7 EA	329.56				
0035	2396000000-N	840	FRAME WITH COVER, STD 840.54	1 EA	387.07	387.07			
0036	2556000000-E	846	SHOULDER BERM GUTTER	410 LF	22.28	9,134.80			

Feb 02, 2010 3:17 pm North Carolina Department Of Transportation						Page: 3 of 8	
Line	ItemNumber	Sec	Contract Item Sheets For C202  Description	Quantity	Unit Bid	Amount	
#		#		Unit	Price	Bid	
0037	303000000-E	862	STEEL BM GUARDRAIL	537.5 LF	13.00	6,987.50	
0038	3150000000-N		ADDITIONAL GUARDRAIL POSTS	5 EA	10.00	50.00	
0039	3215000000-N	862	GUARDRAIL ANCHOR UNITS, TYPE	4 EA	1,050.00	4,200.00	
0040	3270000000-N	SP	GUARDRAIL ANCHOR UNITS, TYPE 350	4 EA	1,700.00	6,800.00	
0041	3649000000-E	876	RIP RAP, CLASS B	2 TON	50.00	100.00	
0042			FILTER FABRIC FOR DRAINAGE	1,760 SY	1.21	2,129.60	
0043	440000000-E	1110	WORK ZONE SIGNS (STATIONARY)	152 SF	3.95	600.40	
0044			WORK ZONE SIGNS (PORTABLE)	96 SF	8.75	840.00	
0045	4410000000-E		WORK ZONE SIGNS (BARRICADE MOUNTED)	20 SF	5.75	115.00	
0046	443000000-N		DRUMS	30 EA	2.08	62.40	
0047	4435000000-N	1135	CONES	20 EA	0.96	19.20	
0048	4445000000-E	1145	BARRICADES (TYPE III)	48 LF	17.00	816.00	
0049	4450000000-N	1150	FLAGGER	6,400 HR	8.00	51,200.00	
0050	4465000000-N	1160	TEMPORARY CRASH CUSHIONS	1 EA	4,500.00	4,500.00	
0051	4480000000-N	1165	TMIA	1 EA	2,518.41	2,518.41	
0052	4490000000-E	1170	PORTABLE CONCRETE BARRIER (ANCHORED)	60 LF	45.81	2,748.60	
0053	4770000000-E	1205	COLD APPLIED PLASTIC PAVEMENT MARKING LINES, TYPE ** (4") (I)	5,185 LF		5,185.00	
0054	4810000000-E	1205	PAINT PAVEMENT MARKING LINES (4")	12,000 LF	0.25	3,000.00	
0055	4905000000-N	1253	SNOWPLOWABLE PAVEMENT MARKERS	32	175.00	5,600.00	

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# North Carolina Department Of Transportation

Page: 4 of 8

	Contract Item Sheets For C202165									
Line #	ItemNumber	Sec #	Description	Quantity Unit	Unit Bid Price	Amount Bid				
0056	600000000-E		TEMPORARY SILT FENCE	2,300 LF	1.98	4,554.00				
0057	6006000000-E		STONE FOR EROSION CONTROL, CLASS A	430 TON	26.49	11,390.70				
0058	6009000000-E	1610	STONE FOR EROSION CONTROL, CLASS B	280 TON	29.85	8,358.00				
0059	6012000000-E	1610	SEDIMENT CONTROL STONE	900 TON	21.89	19,701.00				
0060	6015000000-E	1615	TEMPORARY MULCHING	7.5 ACR	725.00	5,437.50				
0061	6018000000-E		SEED FOR TEMPORARY SEEDING	200 LB	8.50	•				
0062	6021000000-E	1620	FERTILIZER FOR TEMPORARY SEED- ING	1.75 TON	1,195.00	2,091.25				
0063	6024000000-E	1622	TEMPORARY SLOPE DRAINS	200 LF	11.83	2,366.00				
0064	6027000000-N	1622	INLET PROTECTION AT TEMPORARY SLOPE DRAINS	4 EA	206.35	825.40				
0065	6029000000-E	SP	SAFETY FENCE	2,000 LF	1.98	3,960.00				
0066	6030000000-E	1630	SILT EXCAVATION	600 CY	4.55	2,730.00				
0067	6036000000-E	1631	MATTING FOR EROSION CONTROL	12,000 SY	1.54	18,480.00				
0068	6037000000-E		COIR FIBER MAT	400 SY	5.95	2,380.00				
0069			1/4" HARDWARE CLOTH	2,100 LF	4.95	10,395.00				
0070	6070000000-N	SP	SPECIAL STILLING BASINS	15 EA	223.41					
0071	6071010000-E	SP	WATTLE	150 LF	7.05	1,057.50				
0072	6071020000-E		POLYACRYLAMIDE (PAM)	40 LB	20.00	800.00				
0073	6071030000-E	SP		150 LF	5.95	892.50				
0074	6071050000-E			1 EA	550.00					

Feb 0	2, 2010 3:17 pm		North Carolina Department Of T			Page: 5 of 8
Line #	ItemNumber	Sec #	Description	Quantity Unit	Unit Bid Price	Amount Bid
0075	6084000000-E	1660	SEEDING & MULCHING	5 ACR	1,345.00	6,725.00
0076	6087000000-E	1660	MOWING	4.5 ACR	45.00	202.50
0077	609000000-E	1661	SEED FOR REPAIR SEEDING	50 LB	8.50	425.00
0078	6093000000-E	1661	FERTILIZER FOR REPAIR SEEDING	0.25 TON	1,195.00	298.75
0079	6096000000-E	1662	SEED FOR SUPPLEMENTAL SEEDING	125 LB	8.50	1,062.50
0080	6108000000-E	1665	FERTILIZER TOPDRESSING	3.25 TON	750.00	2,437.50
0081	6114500000-N	SP	SPECIALIZED HAND MOWING	10 MHR	45.00	450.00
0082	6117000000-N	SP	RESPONSE FOR EROSION CONTROL	27 EA	125.00	3,375.00
0083	6123000000-E	1670	REFORESTATION	0.5 ACR	2,500.00	1,250.00
		<b></b>	******* BEGIN SCHEDULE A	******		
0084 AA1	0372000000-E	310	18" RC PIPE CULVERTS, CLASS	22 LF	21.73	478.06
			*** OR ***			
0085 AA2	0372000000-E	310	18" RC PIPE CULVERTS, CLASS	10 LF		
0086 AA2	053600000-E	SP	***" HDPE PIPE CULVERTS (18")	. 12 LF		
			*** OR ***			
0087 <b>AA3</b>	0372000000-E	310	18" RC PIPE CULVERTS, CLASS	10 LF		
0088 AA3	054000000-E	SP	***" ALUMINIZED CORRUGATED STEEL PIPE CULVERTS, ****" THICK (18", 0.064")	12 LF		

\*\*\*\*\* END SCHEDULE AA \*\*\*\*\*

## North Carolina Department Of Transportation

Page: 6 of 8

Contract Item Sheets For C202165

Line #	ItemNumber	Sec #	Description	Quantity Unit	Unit Bid Price	Amount Bid
0089	8077000000-E	410	FOUNDATION EXCAVATION (RETAINING WALL)	60 CY	59.65	3,579.00
0090	8203000000-E	420	CLASS A CONCRETE (RETAINING WALL)	14.5 CY	805.28	11,676.56
0091	8252000000-E	425	REINFORCING STEEL (RETAINING WALL)	1,080 LB	0.93	1,004.40

# North Carolina Department Of Transportation

Page: 7 of 8

			Contract Item Sheets For C20			
Line #	ItemNumber	Sec #	Description	Quantity Unit	Unit Bid Price	Amount Bid
0092	8017000000-N	SP	CONSTRUCTION, MAINTENANCE, & REMOVAL OF TEMP ACCESS AT STA ******************************	Lump Sum LS	75,715.36	75,715.36
0093	8035000000-N	402	REMOVAL OF EXISTING STRUCTURE AT STATION ************************************	Lump Sum LS	112,723.05	112,723.05
0094	8070000000-E	410	FOUNDATION EXCAVATION	330 CY	56.39	18,608.70
0095	8105500000-E	SP	**'-**" DIA DRILLED PIERS IN SOIL (6'-0")	29.9 LF	373.05	11,154.20
0096	8105600000-E	SP	**'-**" DIA DRILLED PIERS NOT IN SOIL (6'-0")	51 LF	3,053.95	155,751.45
0097	8111000000-E	SP	PERMANENT STEEL CASING FOR  **'-**" DIA DRILLED PIER  (6'-0")	14.9 LF	218.04	3,248.80
0098	8113000000-N	SP	SID INSPECTION	2 EA	1,500.00	3,000.00
0099	8115000000-N	SP	CROSSHOLE SONIC LOGGING	2 EA	1,500.00	3,000.00
0100	8121000000-N	412	TION AT STATION ******** (17 + 47.50-L-)	Lump Sum LS	17,033.20	17,033.20
0101	8147000000-E	420	REINFORCED CONCRETE DECK SLAB	13,764 SF	18.83	259,176.12
0102	8161000000-E	420	GROOVING BRIDGE FLOORS	11,970 SF		
0103	8182000000-E	420	CLASS A CONCRETE (BRIDGE)	301.7 CY	536.57	
0104	8210000000-N	422	BRIDGE APPROACH SLABS, STATION ************* (17 + 47.50-L-)	Lump Sum LS	19,883.00	19,883.00
0105	8217000000-E	425	REINFORCING STEEL (BRIDGE)	59,748 LB	0.57	34,056.36
0106	8238000000-E	425	SPIRAL COLUMN REINFORCING STEEL (BRIDGE)		1.10	
0107	8274000000-E	430		1,351.5 LF	196.98	

### North Carolina Department Of Transportation Contract Item Shoots For C202165

Page: 8 of 8

\$2,296,966.01

Line #	ItemNumber	Sec #	Description	Quantity Unit	Unit Bid Price	Amount Bid
0108	8657000000-N	430	ELASTOMERIC BEARINGS	Lump Sum LS	7,127.39	7,127.39
0109	869200000-N	SP	EVAZOTE JOINT SEALS	Lump Sum LS	9,793.35	9,793.35
0110	8741000000-N	SP	STRUCTURE DRAINAGE SYSTEM AT STA*********************************	Lump Sum LS	204,171.46	204,171.46
0111	8867000000-E	SP	GENERIC STRUCTURE ITEM CLASSIC CONCRETE BRIDGE RAIL	907.33 LF	80.17	72,740.65

**TOTAL AMOUNT OF BID FOR ENTIRE PROJECT** 

1517/Feb02/Q154940.464/D487558710000/E111

# EXECUTION OF CONTRACT NON-COLLUSION AFFIDAVIT AND DEBARMENT CERTIFICATION

### **CORPORATION**

The Contractor being duly sworn, solemnly swears (or affirms) that neither he, nor any official, agent or employee has entered into any agreement, participated in any collusion, or otherwise taken any action which is in restraint of free competitive bidding in connection with this Contract, and that the Contractor intends to do the work with his own bonafide employees or subcontractors and did not bid for the benefit of another contractor.

By submitting this Execution of Contract, Non-Collusion affidavit a under penalty of perjury under the laws of the United States in according Debarment Certification also includes any required statements concerns.	dance with the Debarment Certification attached, provided that the
SIGNATURE OF	CONTRACTOR
James R. Vannoy & Sons Construction Company, Inc.	
Full name of	Corporation
PO Box 635 Jefferson NC 28640	
Address as F  Attest  Secretary/Assistant Secretary  Select appropriate title	President/Vice President/Assistant Vice President
J. Mark Vannoy	<ul><li> √ Select appropriate title </li><li> J. Dennis Pennington </li></ul>
Print or type Signer's name	Print or type Signer's name
AFFIDAVIT MUST	CORPORATE SEAL  BE NOTARIZED
	NOTARY SEAL
Subscribed and sworn to before me this the	NOTAKI SEAL
2010  Signature of Notary Public	JENNIFER M. CAMPBELL NOTARY PUBLIC Ashe County, North Carolina
of Ask County	
State of MC	
My Commission Expires: 2-4. 2011	

### DEBARMENT CERTIFICATION OF CONTRACTOR

### Conditions for certification:

- 1. The Contractor shall provide immediate written notice to the Department if at any time the Contractor learns that his certification was erroneous when he submitted his debarment certification or explanation that is on file with the Department, or has become erroneous because of changed circumstances.
- 2. The terms covered transaction, debarred, suspended, ineligible, lower tier covered transaction, participant, person, primary covered transaction, principal, proposal, and voluntarily excluded, as used in this provision, have the meanings set out in the Definitions and Coverage sections of the rules implementing Executive Order 12549. A copy of the Federal Rules requiring this certification and detailing the definitions and coverages may be obtained from the Contract Officer of the Department.
- 3. The Contractor agrees by submitting this form, that he will not knowingly enter into any lower tier covered transaction with a person who is debarred, suspended, declared ineligible, or voluntarily excluded from participation in NCDOT contracts, unless authorized by the Department.
- 4. For Federal Aid projects, the Contractor further agrees that by submitting this form he will include the Federal-Aid Provision titled *Required Contract Provisions Federal-Aid Construction Contract (Form FHWA PR 1273)* provided by the Department, without subsequent modification, in all lower tier covered transactions.
- 5. The Contractor may rely upon a certification of a participant in a lower tier covered transaction that he is not debarred, suspended, ineligible, or voluntarily excluded from the covered transaction, unless he knows that the certification is erroneous. The Contractor may decide the method and frequency by which he will determine the eligibility of his subcontractors.
- 6. Nothing contained in the foregoing shall be construed to require establishment of a system of records in order to render in good faith the certification required by this provision. The knowledge and information of a participant is not required to exceed that which is normally possessed by a prudent person in the ordinary course of business dealings.
- 7. Except as authorized in paragraph 3 herein, the Department may terminate any contract if the Contractor knowingly enters into a lower tier covered transaction with a person who is suspended, debarred, ineligible, or voluntarily excluded from participation in this transaction, in addition to other remedies available by the Federal Government.

### **DEBARMENT CERTIFICATION**

The Contractor certifies to the best of his knowledge and belief, that he and his principals:

- a. Are not presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from covered transactions by any Federal department or agency;
- b. Have not within a three-year period preceding this proposal been convicted of or had a civil judgment rendered against them for commission of fraud or a criminal offense in connection with obtaining, attempting to obtain, or performing a public (Federal, State or local) transaction or contract under a public transaction; violation of Federal or State antitrust statutes or commission of embezzlement, theft, forgery, bribery, falsification or destruction of records; making false statements; or receiving stolen property;
- c. Are not presently indicted for or otherwise criminally or civilly charged by a governmental entity (Federal, State or local) with commission of any of the offenses enumerated in paragraph b. of this certification; and
- d. Have not within a three-year period preceding this proposal had one or more public transactions (Federal, State or local) terminated for cause or default.
- e. If status changes, will submit a revised Debarment Certification immediately.

If the Contractor cannot certify that he is not debarred, he shall provide an explanation with this submittal. An explanation will not necessarily result in denial of participation in a contract.

Failure to submit a non-collusion affidavit and debarment certification will result in the Contractor's bid being considered non-responsive.

•

**ACCEPTED BY THE** 

Contract Officer

DEPARTMENT OF TRANSPORTATION

2/10/10

Date

**Execution of Contract and Bonds** 

Approved as to Form:

Signature Sheet (Bid - Acceptance by Department)

Contract No.
County

	C202165	
<b>)</b> .	Ashe	

(Rev 7-10-08)

### CONTRACT PAYMENT BOND

Date of Payment Bond Execution	February 3, 2010			
Name of Principal Contractor	James R. Vannoy & Sons Construction Company, Inc.			
Name of Surety:	Traveler's Casualty & Surety Co. of America			
Name of Contracting Body:	North Carolina Department of Transportation			
<b>Y</b>	Raleigh, North Carolina			
Amount of Bond:	\$2,296,966.01			
Contract ID No.:	C202165			
County Name:	Ashe			

KNOW ALL MEN BY THESE PRESENTS, That we, the PRINCIPAL CONTRACTOR (hereafter, PRINCIPAL) and SURETY above named, are held and firmly bound unto the above named Contracting Body, hereinafter called the Contracting Body, in the penal sum of the amount stated above for the payment of which sum well and truly to be made, we bind ourselves, our heirs, executors, administrators, and successors, jointly and severally, firmly by these presents.

THE CONDITION OF THIS OBLIGATION IS SUCH, that whereas the principal entered into a certain contract with the Contracting Body, numbered as shown above and hereto attached:

NOW THEREFORE, if the principal shall promptly make payment to all persons supplying labor and material in the prosecution of the work provided for in said contract, and any and all duly authorized modifications of said contract that may hereafter be made, notice of which modifications to the surety being hereby waived, then this obligation to be void; otherwise to remain in full force and virtue.

IN WITNESS WHEREOF, the above-bound parties have executed this instrument under their several seals on the date indicated above, the name and corporate seal of each corporate party being hereto affixed and these presents duly signed by its undersigned representative, pursuant to authority of its governing body.

Contract No.	
County	

C202165	
Ashe	

(Rev 7-10-08)

### **CONTRACT PAYMENT BOND**

Traveler's Casualty & Surety Co. of America

Print or type Surety Company Name

By

Lewis W. Shepherd

Print, stamp or type name of Attorney-in-Fact

Signature of Attorney in-Fact

Usignature of Witness

Jennifer M. Campbell

Print or type Witness's name

PO Box 390 West Jefferson, NC 29694

Address of Attorney-in-Fact

Contract No.
County

C202165

(Rev 7-10-08)

Ashe

### **CONTRACT PAYMENT BOND**

### **CORPORATION**

SIGNATURE OF CONTRACTOR (Principal)

James R. Vannoy & Sons Construction Company Inc.

Full name of Corporation

PO Box 635 Jefferson NC 28640

Address as prequalified

Signature of President Vice President, Assistant Vice President
Select appropriate title

J. Dennis Pennington

Print or type Signer's name

Affix Corporate Seal

Attest

Signature of Secretary, Assistant Secretary

Select appropriate title

J. Mark Vannoy

Print or type Signer's name



### **POWER OF ATTORNEY**

Farmington Casualty Company
Fidelity and Guaranty Insurance Company
Fidelity and Guaranty Insurance Underwriters, Inc.
Seaboard Surety Company
St. Paul Fire and Marine Insurance Company

St. Paul Guardian Insurance Company
St. Paul Mercury Insurance Company
Travelers Casualty and Surety Company
Travelers Casualty and Surety Company of America
United States Fidelity and Guaranty Company

Marie C. Tetreault, Notary Public

Attorney-In Fact No.

214726

Certificate No. 000543713

KNOW ALL MEN BY THESE PRESENTS: That Seaboard Surety Company is a corporation duly organized under the laws of the State of New York, that St. Paul Fire and Marine Insurance Company, St. Paul Guardian Insurance Company and St. Paul Mercury Insurance Company are corporations duly organized under the laws of the State of Minnesota, that Farmington Casualty Company, Travelers Casualty and Surety Company, and Travelers Casualty and Surety Company of America are corporations duly organized under the laws of the State of Connecticut, that United States Fidelity and Guaranty Company is a corporation duly organized under the laws of the State of Maryland, that Fidelity and Guaranty Insurance Company is a corporation duly organized under the laws of the State of Iowa, and that Fidelity and Guaranty Insurance Underwriters, Inc. is a corporation duly organized under the laws of the State of Wisconsin (herein collectively called the "Companies"), and that the Companies do hereby make, constitute and appoint

Christopher V. Miller, David C. Miller, and Lewis W. Shepherd

of the City of <u>West Jefferson</u> each in their separate capacity if more than one is other writings obligatory in the nature thereof on contracts and executing or guaranteeing bonds and	named above, to sign, execute, so a behalf of the Companies in their	eal and acknowledge any and all r business of guaranteeing the f	bonds, recognizances, confidelity of persons, guarante	ditional undertakings and	
				21st	
IN WITNESS WHEREOF, the Companies have day of	e caused this instrument to be sign  Casualty Company	) " and the second	be hereto affixed, this		
Fidelity and ( Fidelity and ( Seaboard Su	Guaranty Insurance Company Guaranty Insurance Underwrit rety Company and Marine Insurance Compan	St. Paul Mers, Inc. Travelers Travelers	Mercury Insurance Compa Mercury Insurance Compa Casualty and Surety Con Casualty and Surety Con ates Fidelity and Guarant	any npany npany of America	
1977 MCORPORATED TO 1951	1927)	SEAL S	HARTFORD, OCONN.	AS SUBSTITUTE OF THE PROPERTY	
State of Connecticut City of Hartford ss.		Ву:	rge Wallompson, Senior Vice	President	
On this the 21st day of February , 2006 , before me personally appeared George W. Thompson, who acknowledged himself to be the Senior Vice President of Farmington Casualty Company, Fidelity and Guaranty Insurance Company, Fidelity and Guaranty Insurance Underwriters, Inc., Seaboard Surety Company, St. Paul Fire and Marine Insurance Company, St. Paul Guardian Insurance Company, St. Paul Mercury Insurance Company, Travelers Casualty and Surety Company, Travelers Casualty and Surety Company, Travelers Casualty and Surety Company of America, and United States Fidelity and Guaranty Company, and that he, as such, being authorized so to do, executed the foregoing instrument for the purposes therein contained by signing on behalf of the corporations by himself as a duly authorized officer.					
In Witness Whereof, I hereunto set my hand and	d official seal.		Maris C.	Letreault	

58440-9-05 Printed in U.S.A.

My Commission expires the 30th day of June, 2006.

#### WARNING: THIS POWER OF ATTORNEY IS INVALID WITHOUT THE RED BORDER

This Power of Attorney is granted under and by the authority of the following resolutions adopted by the Boards of Directors of Farmington Casualty Company, Fidelity and Guaranty Insurance Company, Fidelity and Guaranty Insurance Underwriters, Inc., Seaboard Surety Company, St. Paul Fire and Marine Insurance Company, St. Paul Guardian Insurance Company, St. Paul Mercury Insurance Company, Travelers Casualty and Surety Company, Travelers Casualty and Surety Company of America, and United States Fidelity and Guaranty Company, which resolutions are now in full force and effect, reading as follows:

RESOLVED, that the Chairman, the President, any Vice Chairman, any Executive Vice President, any Senior Vice President, any Vice President, and Vi President, the Treasurer, any Assistant Treasurer, the Corporate Secretary or any Assistant Secretary may appoint Attorneys-in-Fact and Agents to act for and on behalf of the Company and may give such appointee such authority as his or her certificate of authority may prescribe to sign with the Company's name and seal with the Company's seal bonds, recognizances, contracts of indemnity, and other writings obligatory in the nature of a bond, recognizance, or conditional undertaking, and any of said officers or the Board of Directors at any time may remove any such appointee and revoke the power given him or her; and it is

FURTHER RESOLVED, that the Chairman, the President, any Vice Chairman, any Executive Vice President, any Senior Vice President or any Vice President may delegate all or any part of the foregoing authority to one or more officers or employees of this Company, provided that each such delegation is in writing and a copy thereof is filed in the office of the Secretary; and it is

FURTHER RESOLVED, that any bond, recognizance, contract of indemnity, or writing obligatory in the nature of a bond, recognizance, or conditional undertaking shall be valid and binding upon the Company when (a) signed by the President, any Vice Chairman, any Executive Vice President, any Senior Vice President or any Vice President, any Second Vice President, the Treasurer, any Assistant Treasurer, the Corporate Secretary or any Assistant Secretary and duly attested and sealed with the Company's seal by a Secretary or Assistant Secretary; or (b) duly executed (under seal, if required) by one or more Attorneys-in-Fact and Agents pursuant to the power prescribed in his or her certificate or their certificates of authority or by one or more Company officers pursuant to a written delegation of authority; and it is

FURTHER RESOLVED, that the signature of each of the following officers: President, any Executive Vice President, any Senior Vice President, any Vice President, any Assistant Vice President, any Secretary, any Assistant Secretary, and the seal of the Company may be affixed by facsimile to any power of attorney or to any certificate relating thereto appointing Resident Vice Presidents, Resident Assistant Secretaries or Attorneys-in-Fact for purposes only of executing and attesting bonds and undertakings and other writings obligatory in the nature thereof, and any such power of attorney or certificate bearing such facsimile signature or facsimile seal shall be valid and binding upon the Company and any such power so executed and certified by such facsimile signature and facsimile seal shall be valid and binding on the Company in the future with respect to any bond or understanding to which it is attached.

I, Kori M. Johanson, the undersigned, Assistant Secretary, of Farmington Casualty Company, Fidelity and Guaranty Insurance Company, Fidelity and Guaranty Insurance Underwriters, Inc., Seaboard Surety Company, St. Paul Fire and Marine Insurance Company, St. Paul Guardian Insurance Company, St. Paul Mercury Insurance affixed the seals of said Companies this 3rd day of February Company, Travelers Casualty and Surety Company, Travelers Casualty and Surety Company of America, and United States Fidelity and Guaranty Company do hereby certify that the above and foregoing is a true and correct copy of the Power of Attorney executed by said Companies, which is in full force and effect and has not been revoked.

IN TESTIMONY WHEREOF, I have hereunto set my hand and

Kori M. Johanson Assistant Secretary





















To verify the authenticity of this Power of Attorney, call 1-800-421-3880 or contact us at www.stpaultravelersbond.com. Please refer to the Attorney-In-Fact number, the above-named individuals and the details of the bond to which the power is attached.

Contract No.

County

(Rev 7-10-08)

### CONTRACT PERFORMANCE BOND

Date of Performance Bond Execution:

Name of Principal Contractor:

Name of Surety:

Name of Contracting Body:

Amount of Bond:

Contract ID No.:

Tebruary 3, 2010

James R. Vannoy & Sons Construction Company, Inc.

Traveler's Casualty & Surety Co. of America

North Carolina Department of Transportation

Raleigh, North Carolina

\$2,296,966.01

C202165

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KNOW ALL MEN BY THESE PRESENTS, That we, the PRINCIPAL CONTRACTOR (hereafter, PRINCIPAL) and SURETY above named, are held and firmly bound unto the above named Contracting Body, hereinafter called the Contracting Body, in the penal sum of the amount stated above for the payment of which sum well and truly to be made, we bind ourselves, our heirs, executors, administrators, and successors, jointly and severally, firmly by these presents.

THE CONDITION OF THIS OBLIGATION IS SUCH, that whereas the principal entered into a certain contract with the Contracting Body, numbered as shown above and hereto attached:

NOW THEREFORE, if the principal shall well and truly perform and fulfill all the undertakings, covenants, terms, conditions, and agreements of said contract during the original term of said contract and any extensions thereof that may be granted by the Contracting Body, with or without notice to the Surety, and during the life of any guaranty required under the contract, and shall also well and truly perform and fulfill all the undertakings, covenants, terms, conditions, and agreements of any and all duly authorized modifications of said contract that may hereafter be made, notice of which modifications to the surety being hereby waived, then this obligation to be void; otherwise to remain in full force and virtue.

IN WITNESS WHEREOF, the above-bound parties have executed this instrument under their several seals on the date indicated above, the name and corporate seal of each corporate party being hereto affixed and these presents duly signed by its undersigned representative, pursuant to authority of its governing body.

Contract No.
County

C202165
Ashe

(Rev 7-10-08)

### CONTRACT PERFORMANCE BOND

Affix Seal of Surety Company	<b>Affix</b>	Seal	of Surety	Company
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Traveler's Casulty & Surety Co. of America

Print or type Surety Company Name

Bv

Lewis W. Shepherd

Print, stamp or type name of Attorney-in-Fact

Signature of Attorney-in-Fact

Signature of Witness

Jennifer M Campbell

Print or type Witness's name

PO Box 390 West Jefferson NC 28694

Address of Attorney-in-Fact

Contract	No.
County	

C202165		
Ashe	 ·	 

(Rev 7-10-08)

### CONTRACT PERFORMANCE BOND

### **CORPORATION**

	SIGNATURE OF CONTRACT	OR (Principal)
James R.	Vannoy & Sons Construction Company, Inc.	
	Full name of Corpor	ration
PO Box 6	35 Jefferson NC 28640	
	Address as prequal  By  Signature of 1	President, Vice President Assistant Vice President Select appropriate title
		J. Dennis Pennington Print or type Signer's name
	Affix Corporate Se	eal
Attest	Signature of Secretary, Assistant Secretary Select appropriate title	
	J. Mark Vannoy	
	Print or type Signer's name	·



### **POWER OF ATTORNEY**

Farmington Casualty Company
Fidelity and Guaranty Insurance Company
Fidelity and Guaranty Insurance Underwriters, Inc.
Seaboard Surety Company
St. Paul Fire and Marine Insurance Company

St. Paul Guardian Insurance Company
St. Paul Mercury Insurance Company
Travelers Casualty and Surety Company
Travelers Casualty and Surety Company of America
United States Fidelity and Guaranty Company

Marie C. Tetreault, Notary Public

Attorney-In Fact No.

214726

Certificate No. 000543714

KNOW ALL MEN BY THESE PRESENTS: That Seaboard Surety Company is a corporation duly organized under the laws of the State of New York, that St. Paul Fire and Marine Insurance Company, St. Paul Guardian Insurance Company and St. Paul Mercury Insurance Company are corporations duly organized under the laws of the State of Minnesota, that Farmington Casualty Company, Travelers Casualty and Surety Company, and Travelers Casualty and Surety Company of America are corporations duly organized under the laws of the State of Connecticut, that United States Fidelity and Guaranty Company is a corporation duly organized under the laws of the State of Maryland, that Fidelity and Guaranty Insurance Company is a corporation duly organized under the laws of the State of Iowa, and that Fidelity and Guaranty Insurance Underwriters, Inc. is a corporation duly organized under the laws of the State of Wisconsin (herein collectively called the "Companies"), and that the Companies do hereby make, constitute and appoint

Christopher V. Miller, David C. Miller, and Lewis W. Shepherd

each in their separate capacity if more than or other writings obligatory in the nature thereo	of on behalf of the Companies in the	, seal and acknowledge neir business of guaran	any and all bonds teeing the fidelity	s, recognizances, of of persons, guara	conditional und	ertakings and
contracts and executing or guaranteeing bond	W. A. R. C.	NEW YORK			21st	
IN WITNESS WHEREOF, the Companies day of February , 2006	have caused this instrument to be s	igned and their corpora	ate seals to be here	to affixed, this	218t	
Farming Fidelity a Fidelity a Seaboard	ton Casualty Company and Guaranty Insurance Compan and Guaranty Insurance Underwi I Surety Company Fire and Marine Insurance Comp	tters, Inc.	St. Paul Guardia St. Paul Mercur Travelers Casua Travelers Casua	an Insurance Co ry Insurance Cor alty and Surety C alty and Surety C idelity and Guar	ompany mpany Company Company of A	
1977 MONTH MCORPORATED 1951	1927 E FANCE	SEALS SEALS	SEAL 3	HARTFORD. TO CONN.	HARTFORD &	MCORPORADED AND STATE OF THE ST
State of Connecticut City of Hartford ss.		Ву:	George W	Mompson, Senior V	Vice President	
On this the 21st day of himself to be the Senior Vice President of Fa Inc., Seaboard Surety Company, St. Paul Fire Casualty and Surety Company, Travelers Ca authorized so to do, executed the foregoing in	armington Casualty Company, Fide and Marine Insurance Company, Susualty and Surety Company of An	St. Paul Guardian Insur nerica, and United Stat	arance Company, F rance Company, St tes Fidelity and Gu	Fidelity and Guar t. Paul Mercury Ir uaranty Company	ranty Insurance nsurance Comp y, and that he,	Underwriters, eany, Travelers as such, being
In Witness Whereof, I hereunto set my han-	d and official seal.		$\mathcal{M}$	varie c	. Jeta	eault

58440-9-05 Printed in U.S.A.

My Commission expires the 30th day of June, 2006.

#### WARNING: THIS POWER OF ATTORNEY IS INVALID WITHOUT THE RED BORDER

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RESOLVED, that the Chairman, the President, any Vice Chairman, any Executive Vice President, any Senior Vice President, any Vice President, any Second Vice President, the Treasurer, any Assistant Treasurer, the Corporate Secretary or any Assistant Secretary may appoint Attorneys-in-Fact and Agents to act for and on behalf of the Company and may give such appointee such authority as his or her certificate of authority may prescribe to sign with the Company's name and seal with the Company's seal bonds, recognizances, contracts of indemnity, and other writings obligatory in the nature of a bond, recognizance, or conditional undertaking, and any of said officers or the Board of Directors at any time may remove any such appointee and revoke the power given him or her; and it is

**FURTHER RESOLVED**, that the Chairman, the President, any Vice Chairman, any Executive Vice President, any Senior Vice President or any Vice President may delegate all or any part of the foregoing authority to one or more officers or employees of this Company, provided that each such delegation is in writing and a copy thereof is filed in the office of the Secretary; and it is

FURTHER RESOLVED, that any bond, recognizance, contract of indemnity, or writing obligatory in the nature of a bond, recognizance, or conditional undertaking shall be valid and binding upon the Company when (a) signed by the President, any Vice Chairman, any Executive Vice President, any Senior Vice President or any Vice President, any Second Vice President, the Treasurer, any Assistant Treasurer, the Corporate Secretary or any Assistant Secretary and duly attested and sealed with the Company's seal by a Secretary or Assistant Secretary; or (b) duly executed (under seal, if required) by one or more Attorneys-in-Fact and Agents pursuant to the power prescribed in his or her certificate or their certificates of authority or by one or more Company officers pursuant to a written delegation of authority; and it is

FURTHER RESOLVED, that the signature of each of the following officers: President, any Executive Vice President, any Senior Vice President, any Vice President, any Assistant Vice President, any Secretary, and the seal of the Company may be affixed by facsimile to any power of attorney or to any certificate relating thereto appointing Resident Vice Presidents, Resident Assistant Secretaries or Attorneys-in-Fact for purposes only of executing and attesting bonds and undertakings and other writings obligatory in the nature thereof, and any such power of attorney or certificate bearing such facsimile signature or facsimile seal shall be valid and binding upon the Company and any such power so executed and certified by such facsimile signature and facsimile seal shall be valid and binding on the Company in the future with respect to any bond or understanding to which it is attached.

I, Kori M. Johanson, the undersigned, Assistant Secretary, of Farmington Casualty Company, Fidelity and Guaranty Insurance Company, Fidelity and Guaranty Insurance Underwriters, Inc., Seaboard Surety Company, St. Paul Fire and Marine Insurance Company, St. Paul Guardian Insurance Company, St. Paul Mercury Insurance Company, Travelers Casualty and Surety Company of America, and United States Fidelity and Guaranty Company do hereby certify that the above and foregoing is a true and correct copy of the Power of Attorney executed by said Companies, which is in full force and effect and has not been revoked.

IN TESTIMONY WHEREOF, I have hereunto set my hand and affixed the seals of said Companies this 3rd

\_\_\_, 20 /

Kori M. Johanson, Assistant Secretary





















To verify the authenticity of this Power of Attorney, call 1-800-421-3880 or contact us at www.stpaultravelersbond.com. Please refer to the Attorney-In-Fact number, the above-named individuals and the details of the bond to which the power is attached.