### C202316

### STATE OF NORTH CAROLINA DEPARTMENT OF TRANSPORTATION RALEIGH, N.C.

## CONTRACT AND **CONTRACT BONDS**

FOR CONTRACT NO. C202316

**WBS** 

45040.3.ST1 STM-0001(124)

T.I.P NO.

R-5140

**COUNTY OF** 

**MOORE** 

THIS IS THE

**ROADWAY CONTRACT** 

**ROUTE NUMBER** 

<u>US 1</u>

LENGTH

8.090 MILES

LOCATION

<u>US-1 FROM SR-1309 TO SR-2175.</u>

CONTRACTOR

S. T. WOOTEN CORPORATION

**ADDRESS** 

P.O. BOX 2408

WILSON, NC 278942408

**BIDS OPENED** 

JUNE 16, 2009

CONTRACT EXECUTION JUL 1 3 2009

DBE GOAL SET 7.090

DBE GOAL MET 7.5 90

### S. T. Wooten Corporation (3760).

### Vendor 2 of 2 Vendors for Call Order 017 (Proposal ID C202316).

County: MOORE

Address: PO Box 2408

Wilson, NC 27894

Phone Number: 252-291-5165

Signature Check: This bid has been properly signed by Richard E. Vick 3760.

Time Bid Received: Tue Jun 16 11:54:52 2009

Bid Checksum: BCAD22E5

Amendment Count: 0

Bidding Errors: No bidding errors, Bid Total: \$1,179,044.45

Bond ID: RQBE-15M9-AFUF-BHSE

Bond ID: RQBE-13M9-AFOF-BHSE
Bond was Verified: Yes.

Bond Required Percent: 5.00%

Minimum Check Amount: \$58,952.22

Bid Security Maximum: \$

Bond Agency Name:

Agency Execution Date: 6/16/2009

Execution Date. 0/10/200.

Surety Name: Safeco Insurance Company of America

irety State of Incorporation:

Bond Company:

Bond Type: Bond Appr Flag:

Bond Affirm:

Bond was Paid by Check: No.

### LIST OF DBE PARTICIPANTS

| VENDOR<br>NUMBER |   | RK<br>DE TYPE OF | WORK  | CERT<br>TYPE | TRUOMA               |
|------------------|---|------------------|-------|--------------|----------------------|
| 2446             | HAROLD A. PURYEAR TRUCKING CO.<br>5844 LEASE LANE , RALEIGH, NC 276 | 17               |       | Sub          | 20,504.00            |
| 3765             | STAY ALERT SAFETY SERVICES INC POST OFFICE BOX 467 , KERNERSVILI    |                  | 5     | Sub          | 4,850.00             |
| 1991             | MCCAIN STRIPING SERVICE, INC.<br>5711 CRAVEN ROAD , BROWNS SUMMIT,  | •                |       | Sub          | 31,490.70            |
| 5266             | NORTH STATE ENVIRONMENTAL, INC<br>2889 LOWERY ST SUITE B , WINSTON- | SALEM, NC        | 27101 | Sub          | 924.00               |
| 3346             | LINEBERRY, INC. POST OFFICE BOX 307 , CLIMAX, NC                    | 27233            |       | Sub          | 27,070.65            |
| 10186            | SLM CONSTRUCTION, INC.<br>513 WHITE SMITH ROAD , PITTSBORO,         | NC 27312         |       | Sub          | 3,449.30             |
|                  |   |                  |       | TOTAL:       | \$88,288.65<br>7.49% |

Miscelleneous Data Info - Contractor Responses: 

### NON-COLLUSION AND DEBARMENT CERTIFICATION

Explanation of the prospective bidder that is unable to certify to any of the statements in this certification:

Explanation:

NOT ANSWERED

NOT ANSWERED

NOT ANSWERED

NOT ANSWERED

### AWARD LIMITS ON MULTIPLE PROJECTS

By answering YES to this statement, the bidder acknowleges that they are using the award limits on multiple projects. No

It is the desire of the Bidder to be awarded contracts, the value of which will not exceed a total of NOT ANSWERED for those projects indicated herein, for which bids will be opened on (MM/DD/YY)

The Award Limits shall apply to the following projects:

Contract Number County

NOT ANSWERED

NOT ANSWERED

NOT ANSWERED NOT ANSWERED

NOT ANSWERED

NOT ANSWERED

Bid Bond Data Info - Contractor Responses:  Vendor 3760's Load Output for Call 017, Letting L090616, 2009-06-16

BondID: RQBE-15M9-AFUF-BHSE Surety Registry Agency: SurePathNetwork

Verified?: Yes

Surety Agency: Safeco Insurance Company of America

Bond Execution Date: 6/16/2009

NCDOT/berc

Bond Amount: \$58,952.22 (Five Percent of Bid)

State of NC Date:

Dept of Transportation Revised:

Contract ID: C202316 Project(s): STM-0001(124)

Letting Date: 06-16-09 Call Order: 017

Bidder: 3760 - S. T. Wooten Corporation

| Bidde   | r: 3760 - S. T. Wooten Cor  | porati | .on       |         |        |           |       |
|---------|---|--------|-----------|---------|--------|-----------|-------|
| Line    |   |        | approx.   | Unit Pr | ice    | Bid Amour | it    |
| No.     | Description   | 1 ~    | d Units   | Dollars | Cts    | Dollars   | Ct    |
| Section | on 0001 ROADWAY ITEM  | s<br>S |           |         |        |           | +     |
|         | Alt Group   |        |           |         |        |           |       |
| 0001    | 0000100000-N MOBILIZATIO  | LUMP   | ·         | LUMP    |        | 40,000    | ).00  |
| 0002    | 0043000000-N GRADING  | LUMP   |           | LUMP    |        | 17,000    | 0.00  |
| 0003    | 0995000000-E PIPE<br> REMOVAL                                     | LF     | 4.000     | 34.     | 00000  | 136       | 5.00  |
| 0004    | 1243000000-E SHOULDER<br>CONSTRUCTION                             | SMI    | 21.090    | 2,100.  | 00000  | 44,289    | .00   |
| 0005    | 1245000000-E SHOULDER<br>RECONSTRUCTION                           | SMI    | 5.040     | 2,145.  | .00000 | 10,810    | 0.80  |
| 0006    | 1297000000-E MILLING<br> ASPHALT PAVEMENT,<br> ***"DEPTH (1-1/2") | sy     | 640.000   | 2.      | 85000  | 1,824     | 1.00  |
| 0007    | 1330000000-E INCIDENTAL<br> MILLING                               | SY     | 3,400.000 | 5 .     | 00000  | 17,000    | 0.00  |
| 0008    | 1489000000-E ASPHALT<br>CONC BASE COURSE, TYPE<br>B25.0B          | TON    | 8,855.000 | 37.     | .00000 | 327,635   | 5.00  |
| 0009    | 1498000000-E ASPHALT<br>CONC INTERMEDIATE<br>COURSE, TYPE I19.0B  | TON    | 145.000   | 35.     | .00000 | 5,075     | 5.00  |
| 0010    | 1523000000-E ASPHALT<br>CONC SURFACE COURSE,<br>TYPE S9.5C        | TON    | 7,415.000 | 30.     | 00000  | 222,450   | 00.00 |
| 0011    | 1525000000-E ASPHALT<br>CONC SURFACE COURSE,<br>TYPE SF9.5A       | TON    | 1,500.000 | 31.     | .00000 | 46,500    | 0.00  |

TYPE SF9.5A

Check: BCAD22E5 Page 1

Date: 05-20-09

| Letti       | Dept o<br>act ID: C202316<br>ng Date: 06-16-09 Call Oro<br>r: 3760 - S. T. Wooten Corj | of Tra<br>I<br>der: ( | Project(s):                    | n Rev<br>STM-0001(124)      | Date: 05-20-09<br>rised:      |
|-------------|--|-----------------------|--------------------------------|-----------------------------|-------------------------------|
| Line<br>No. |  | Qı                    | Approx.<br>uantity<br>nd Units | Unit Price<br>Dollars   Cts | Bid Amount<br><br>Dollars  Ct |
|             | 1560000000-E ASPHALT<br>BINDER FOR PLANT MIX,<br>GRADE PG 64-22                        | TON                   | 486.000                        | 340.00000                   | 165,240.00                    |
| 0013        | 1565000000-E ASPHALT<br>BINDER FOR PLANT MIX,<br>GRADE PG 70-22                        | TON                   | 446.000                        | 360.00000                   | 160,560.00                    |
| 0014        | 1704000000-E PATCHING<br>EXISTING PAVEMENT   | TON                   | 333.000                        | 140.00000                   | 46,620.00                     |
| 0015        | 2286000000-N MASONRY<br>DRAINAGE STRUCTURES  | EA                    | 1.000                          | 1,615.00000                 | 1,615.00                      |
| 0016        | 2365000000-N FRAME WITH<br>TWO GRATES, STD 840.22                                      | EA                    | 1.000                          | 475.00000                   | 475.00                        |
| 0017        | 2830000000-N ADJUSTMENT<br>OF MANHOLES   | EA                    | 5.000                          | 689.86000                   | 3,449.30                      |
| 0018        | 4589000000-N GENERIC<br>TRAFFIC CONTROL ITEM<br>TRAFFIC CONTROL                        | LUMP                  |                                | LUMP                        | 10,500.00                     |
|             | 4685000000-E THERMOPLAST<br>IC PAVEMENT MARKINGLINES<br>(4", 90 MILS)                  | LF                    | 49,410.000                     | 0.35000                     | 17,293.50                     |
|             | 4686000000-E THERMOPLAST<br>IC PAVEMENT MARKING LINES<br>(4", 120 MILS)                |                       | 7,320.000                      | 0.51000                     | 3,733.20                      |
|             | 4697000000-E THERMOPLAST<br>IC PAVEMENT MARKINGLINES<br>(8", 120 MILS)                 | <br> LF               | 2,700.000                      | 0.81000                     | 2,187.00                      |
|             | 4725000000-E THERMOPLAST<br>IC PAVEMENT MARKINGSYMBOL<br>(90 MILS)                     |                       | 23.000                         | 85.00000                    | 1,955.00                      |
| 0023        | 4900000000-N PERMANENT<br>RAISED PAVEMENT<br>MARKERS                                   | EA                    | 520.000                        | 4.85000                     | 2,522.00                      |

Check: BCAD22E5 Page 2

Date: 05-20-09

State of NC Date:
Dept of Transportation Revised:
Contract ID: C202316 Project(s): STM-0001(124)
Letting Date: 06-16-09 Call Order: 017
Bidder: 3760 - S. T. Wooten Corporation

| Line<br>No. | Item<br>Description                                     | Approx. Quantity and Units | Unit Price  | Bid Amount  <br><br>Dollars  Ct |
|-------------|---|----------------------------|-------------|---------------------------------|
| 0024        | 6009000000-E STONE FOR<br> EROSION CONTROL, CLASS<br> B | 38.000<br>TON              | 40.00000    | ÷                               |
| 0025        | 6012000000-E SEDIMENT<br>CONTROL STONE                  | 20.000<br>TON              | 33.00000    | 660.00                          |
| 0026        | 6042000000-E 1/4"<br>HARDWARE CLOTH                     | 32.000<br>LF               | 12.00000    | 384.00                          |
| 0027        | 6071030000-E COIR FIBER<br>BAFFLES                      | 54.000<br>LF               | 10.00000    | 540.00                          |
| 0028        | 6084000000-E SEEDING &<br>MULCHING                      | 19.350<br> ACR             | 1,399.00000 | 27,070.65                       |
| +           | Section 0001 Total                                      |                            |             | 1,179,044.45                    |
|             | Bid Total   |                            |             | 1,179,044.45                    |

### NON-COLLUSION AND DEBARMENT CERTIFICATION

The bidder certifies that neither he, nor any official, agent or employee of the bidder has entered into any agreement, participated in any collusion, or otherwise taken any action which is in restraint of free competitive bidding in connection with this bid, and that the bidder intends to do the work with its own bonafide employees or subcontractors and is not bidding for the benefit of another contractor. In addition, submitting this electronic bid constitutes the bidder's certification of Status under penalty of perjury under the laws of the United States and in accordance with the Debarment Certification on file with the Department.

By submitting this bid, the bidder certifies to the best of his knowledge and belief that he and his principals:

- Are not presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from covered transactions by any Federal department or agency;
- b. Have not within a three-year period preceding this proposal been convicted of or had a civil judgment rendered against them for commission of fraud or a criminal offense in connection with obtaining, attempting to obtain, or performing a public (Federal, State or local) transaction or contract under a public transaction; violation of Federal or State antitrust statutes or commission of embezzlement, theft, forgery, bribery, falsification or destruction of records; making false statements; or receiving stolen property;
- c. Are not presently indicted for or otherwise criminally or civilly charged by a governmental entity (Federal, State or local) with commission of any of the offenses enumerated in paragraph b. of this certification; and
- Have not within a three-year period preceding this proposal had one or more public transactions (Federal, State or local) terminated for cause or default.

Where the prospective bidder is unable to certify to any of the statements in this certification, the bidder shall submit an explanation in the blanks provided herein. The explanation will not necessarily result in denial of participation in a contract.

Explanation:

NOT ANSWERED

NOT ANSWERED

NOT ANSWERED

NOT ANSWERED

If the prequalified bidder's status changes, he shall immediately submit a new fully executed non-collusion affidavit and debarment certification with an explanation of the change to the Contract Office prior to submitting the bid.

Failure to furnish a certification or an explanation will be grounds for rejection of a bid

#### AWARD LIMITS ON MULTIPLE PROJECTS

By answering YES to this statement, the bidder acknowleges that they are using the award limits on multiple projects. No

A bidder who desires to bid on more than one project on which bids are to be opened on the same date, and who also desires to avoid receiving an award of more projects than he is equipped to handle, may bid on any number of projects but may limit the total amount of work awarded to him on selected projects by completing the AWARD LIMITS ON MULTIPLE PROJECTS.

The Award Limits on Multiple Projects must be filled in on each project bid for which the Bidder desires protection.

It is the desire of the Bidder to be awarded contracts, the value of which

will not exceed a total of NOT ANSWERED for those

projects indicated herein, for which bids will be opened on

(MM/DD/YY)

The Award Limits shall apply to the following projects:

Contract Number

County

NOT ANSWERED

NOT ANSWERED

NOT ANSWERED

NOT ANSWERED

NOT ANSWERED

NOT ANSWERED

It is agreed that if I am (we are) the low Bidder(s) on indicated projects, the total value of which is more than the above stipulated award limits, the Board of Transportation will award me (us) projects from among those indicated that have a total value not to exceed the award limit and will result in the lowest total bids to the Department of Transportation.

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### NORTH CAROLINA STATE DEPARTMENT OF TRANSPORTATION DATE:05-20-09 DBE COMMITMENT ITEMS PAGE: 6

DBE COMMITMENT ITEMS PAGE: 6

PROPOSAL: C202316

LETTING: L090616 CALL: 017
VENDOR: 3760 S. T. Wooten Corporation

LINE ITEM ITEM UNIT SUBCONTRACTOR SUBCONTRACTOR EXTENDED NO. NO. DESC. TYPE QUANTITY UNIT PRICE AMOUNT \_\_\_\_\_\_ DBE SUBCONTRACTOR: 2446 HAROLD A. PURYEAR TRUCKING CO. Will Use Quote: Yes 0012 1560000000-E ASP FOR PLAN TON 486.000 22.00000 Haul for liquid binder 0013 1565000000-E ASP FOR PLAN TON 446.000 22.00000 Haul for liquid binder DBE COMMITMENT TOTAL FOR SUBCONTRACTOR: 20,504.00 -ARM DBE COMMITMENT TOTAL FOR VENDOR (SubContractor) 20,504. DBE SUBCONTRACTOR: 3765 STAY ALERT SAFETY SERVICES INC Will Use Quote: Yes 0018 4589000000-N GENERIC TRAF LS 1.000 4850.00000 Installation, maintenance & removal of stationary signs 4,850.00 ARM DBE COMMITMENT TOTAL FOR SUBCONTRACTOR: DBE COMMITMENT TOTAL FOR VENDOR (SubContractor) 4.850.0 DBE SUBCONTRACTOR: 1991 MCCAIN STRIPING SERVICE, INC. Will Use Quote: Yes 0001 0000100000-N MOBILIZATION LS 1.000 3800.00000 3800.00
0019 4685000000-E THERMO PVT M LF 49410.000 0.35000 17293.50
0020 4686000000-E THERMO PVT M LF 7320.000 0.51000 3733.20
0021 4697000000-E THERMO PVT M LF 2700.000 0.81000 2187.00
0022 4725000000-E THERMO PVT S EA 23.000 85.00000 1955.00
0023 490000000-N PERM RAISED EA 520.000 4.85000 2522.00

DBE COMMITMENT TOTAL FOR SUBCONTRACTOR: 31,490.70 31,490.70 -ARM DBE COMMITMENT TOTAL FOR SUBCONTRACTOR: DBE COMMITMENT TOTAL FOR VENDOR (SubContractor ) 31,490. DBE SUBCONTRACTOR: 5266 NORTH STATE ENVIRONMENTAL, INC Will Use Quote: Yes 0026 6042000000-E 1/4" HARDWAR LF 32.000 12.00000 384.00 0027 6071030000-E COIR FIBER B LF 54.000 10.00000 540.00 924.00 MADM DBE COMMITMENT TOTAL FOR SUBCONTRACTOR: DBE COMMITMENT TOTAL FOR VENDOR (SubContractor) DBE SUBCONTRACTOR: 3346 LINEBERRY, INC. Will Use Ouote: Yes 0028 6084000000-E SEEDING AND ACR 19.350 1399.00000 27070.65

Check: BCAD22E5 Page 6

NORTH CAROLINA STATE DEPARTMENT OF TRANSPORTATION DATE:05-20-09

DBE COMMITMENT ITEMS

PAGE: 7

\_\_\_\_\_\_ LINE ITEM ITEM UNIT SUBCONTRACTOR SUBCONTRACTOR EXTENDED NO. NO. DESC. TYPE QUANTITY UNIT PRICE AMOUNT

DBE COMMITMENT TOTAL FOR SUBCONTRACTOR:

DBE COMMITMENT TOTAL FOR VENDOR (SubContractor )

27,070.65 - ARM

DBE SUBCONTRACTOR: 10186 SLM CONSTRUCTION, INC.

Will Use Quote: Yes

0017 2830000000-N ADJ MANHOLES EA

5.000 689.86000 3449.30

DBE COMMITMENT TOTAL FOR SUBCONTRACTOR:
DBE COMMITMENT TOTAL FOR VENDOR (SubContractor )

3,449.30 Apm

TOTAL DBE COMMITMENT FOR VENDOR:

Entered: Required:

7.49% or 88288.65 7.00% or 82533.11

<GOAL MET>

Jun 25, 2009 8:05 am

# North Carolina Department Of Transportation Contract Item Sheets For C202316

Page: 1 of 2

|           |              |          | Contract Item Sheets For C20                     |                  |                   |               |
|-----------|--------------|----------|--|------------------|-------------------|---------------|
| Line<br># | ItemNumber   | Sec<br># | Description                                      | Quantity<br>Unit | Unit Bid<br>Price | Amount<br>Bid |
| ·······   |              |          | ROADWAY ITEMS                                    |                  |                   |               |
|           |              |          |  |                  |                   |               |
| 0001      | 0000100000-N | 800      | MOBILIZATION                                     | Lump Sum<br>LS   | 40,000.00         | 40,000.00     |
| 0002      | 004300000-N  | 226      | GRADING  | Lump Sum<br>LS   | 17,000.00         | 17,000.00     |
| 0003      | 0995000000-Е | 340      | PIPE REMOVAL                                     | 4<br>LF          | 34.00             | 136.00        |
| 0004      | 124300000-E  | SP       | SHOULDER CONSTRUCTION                            | 21.09<br>SMI     | 2,100.00          | 44,289.00     |
| 0005      | 1245000000-E | SP       | SHOULDER RECONSTRUCTION                          | 5.04<br>SMI      | 2,145.00          | 10,810.80     |
| 0006      | 1297000000-E | 607      | MILLING ASPHALT PAVEMENT, ***" DEPTH (1-1/2")    | 640<br>SY        | 2.85              | 1,824.00      |
| 0007      | 133000000-E  | 607      | INCIDENTAL MILLING                               | 3,400<br>SY      | 5.00              | 17,000.00     |
| 8000      | 1489000000-E | 610      | ASPHALT CONC BASE COURSE, TYPE<br>B25.0B         | 8,855<br>TON     | 37.00             | 327,635.00    |
| 0009      | 1498000000-E | 610      | ASPHALT CONC INTERMEDIATE<br>COURSE, TYPE 119.0B | 145<br>TON       | 35.00             | 5,075.00      |
| 0010      | 1523000000-E | 610      | ASPHALT CONC SURFACE COURSE, TYPE S9.5C          | 7,415<br>TON     | 30.00             | 222,450.00    |
| 0011      | 1525000000-E | 610      | ASPHALT CONC SURFACE COURSE,<br>TYPE SF9.5A      | 1,500<br>TON     | 31.00             | 46,500.00     |
| 0012      | 1560000000-E | 620      | ASPHALT BINDER FOR PLANT MIX,<br>GRADE PG 64-22  | 486<br>TON       | 340.00            | 165,240.00    |
| 0013      | 1565000000-E | 620      | ASPHALT BINDER FOR PLANT MIX,<br>GRADE PG 70-22  | 446<br>TON       | 360.00            |               |
| 0014      | 1704000000-E | SP       | PATCHING EXISTING PAVEMENT                       | 333<br>TON       | 140.00            | 46,620.00     |
| 0015      | 2286000000-N | 840      | MASONRY DRAINAGE STRUCTURES                      | 1<br>EA          | 1,615.00          | 1,615.00      |
| 0016      | 2365000000-N | 840      | FRAME WITH TWO GRATES, STD<br>840.22             | 1<br>EA          | 475.00            | 475.00        |
| 0017      | 2830000000-N | 858      | ADJUSTMENT OF MANHOLES                           | 5<br>EA          | 689.86            | 3,449.30      |
| 0018      | 4589000000-N | SP       | GENERIC TRAFFIC CONTROL ITEM<br>TRAFFIC CONTROL  | Lump Sum<br>LS   | 10,500.00         | 10,500.00     |

Jun 25, 2009 8:05 am

# North Carolina Department Of Transportation Contract Item Sheets For C202316

Page: 2 of 2

| Line<br># | ItemNumber   | Sec<br># | Description  | Quantity<br>Unit | Unit Bid<br>Price | Amount<br>Bid |
|-----------|--------------|----------|--|------------------|-------------------|---------------|
|           |              |          |  |                  |                   |               |
| 0019      | 4685000000-E | 1205     | THERMOPLASTIC PAVEMENT MARKING<br>LINES (4", 90 MILS)  | 49,410<br>LF     | 0.35              | 17,293.50     |
| 0020      | 4686000000-E | 1205     | THERMOPLASTIC PAVEMENT MARKING<br>LINES (4", 120 MILS) | 7,320<br>LF      | 0.51              | 3,733.20      |
| 0021      | 4697000000-E | 1205     | THERMOPLASTIC PAVEMENT MARKING<br>LINES (8", 120 MILS) | 2,700<br>LF      | 0.81              | 2,187.00      |
| 0022      | 4725000000-E | 1205     | THERMOPLASTIC PAVEMENT MARKING<br>SYMBOL (90 MILS)     | 23<br>EA         | 85.00             | 1,955.00      |
| 0023      | 4900000000-N | 1251     | PERMANENT RAISED PAVEMENT<br>MARKERS                   | 520<br>EA        | 4.85              | 2,522.00      |
| 0024      | 6009000000-E | 1610     | STONE FOR EROSION CONTROL,<br>CLASS B                  | 38<br>TON        | 40.00             | 1,520.00      |
| 0025      | 6012000000-E | 1610     | SEDIMENT CONTROL STONE                                 | 20<br>TON        | 33.00             | 660.00        |
| 0026      | 6042000000-E | 1632     | 1/4" HARDWARE CLOTH                                    | 32<br>LF         | 12.00             | 384.00        |
| 0027      | 6071030000-E | SP       | •  | . 54<br>LF       | 10.00             | 540.00        |
| 0028      | 6084000000-E | 1660     | SEEDING & MULCHING                                     | 19.35<br>ACR     | 1,399.00          | 27,070.65     |

TOTAL AMOUNT OF BID FOR ENTIRE PROJECT

\$1,179,044.45 🗸

0805/Jun25/Q83396.48/D82998130000/E28

| Contract Number | C202316 |
|-----------------|---------|
| County Mr. E    | Re.     |

## EXECUTION OF CONTRACT NON-COLLUSION AFFIDAVIT AND DEBARMENT CERTIFICATION

### **CORPORATION**

The Contractor being duly sworn, solemnly swears (or affirms) that neither he, nor any official, agent or employee has entered into any agreement, participated in any collusion, or otherwise taken any action which is in restraint of free competitive bidding in connection with this Contract, and that the Contractor intends to do the work with his own bonafide employees or subcontractors and did not bid for the benefit of another contractor.

By submitting this Execution of Contract, Non-Collusion affidavit and Debarment Certification, the Contractor is certifying his status under penalty of perjury under the laws of the United States in accordance with the Debarment Certification attached, provided that the Debarment Certification also includes any required statements concerning exceptions that are applicable.

| 200m.mem. Central and a control and a contro |
|--|
| SIGNATURE OF CONTRACTOR  |
| S. T. Wecten Corporation   |
| Tail name of corporation   |
| P. C. Box 2408 Wilson NC 27894  Address as Prequalified  |
| Attest Subject to the state of  |
| Select appropriate title Vice President  Select appropriate title  |
| Richard E. Vick Print or type Signer's name  Henry D. Butts JR Print or type Signer's name   |
| Time of type digner's name   |
|  |

**CORPORATE SEAL** 

### AFFIDAVIT MUST BE NOTARIZED

**NOTARY SEAL** 

| Subscribed a | and sworn to before | re me this the |
|--------------|---------------------|----------------|
| Dhu          | B                   | 10mm           |
|              | ignature of Notar   |                |
| of           | NC                  | County         |
|              | sion Expires:       | 205-2009       |
|              |                     | ash B          |

### DEBARMENT CERTIFICATION OF CONTRACTOR

### Conditions for certification:

- 1. The Contractor shall provide immediate written notice to the Department if at any time the Contractor learns that his certification was erroneous when he submitted his debarment certification or explanation that is on file with the Department, or has become erroneous because of changed circumstances.
- 2. The terms covered transaction, debarred, suspended, ineligible, lower tier covered transaction, participant, person, primary covered transaction, principal, proposal, and voluntarily excluded, as used in this provision, have the meanings set out in the Definitions and Coverage sections of the rules implementing Executive Order 12549. A copy of the Federal Rules requiring this certification and detailing the definitions and coverages may be obtained from the Contract Officer of the Department.
- 3. The Contractor agrees by submitting this form, that he will not knowingly enter into any lower tier covered transaction with a person who is debarred, suspended, declared ineligible, or voluntarily excluded from participation in NCDOT contracts, unless authorized by the Department.
- 4. For Federal Aid projects, the Contractor further agrees that by submitting this form he will include the Federal-Aid Provision titled *Required Contract Provisions Federal-Aid Construction Contract (Form FHWA PR 1273)* provided by the Department, without subsequent modification, in all lower tier covered transactions.
- 5. The Contractor may rely upon a certification of a participant in a lower tier covered transaction that he is not debarred, suspended, ineligible, or voluntarily excluded from the covered transaction, unless he knows that the certification is erroneous. The Contractor may decide the method and frequency by which he will determine the eligibility of his subcontractors.
- 6. Nothing contained in the foregoing shall be construed to require establishment of a system of records in order to render in good faith the certification required by this provision. The knowledge and information of a participant is not required to exceed that which is normally possessed by a prudent person in the ordinary course of business dealings.
- 7. Except as authorized in paragraph 3 herein, the Department may terminate any contract if the Contractor knowingly enters into a lower tier covered transaction with a person who is suspended, debarred, ineligible, or voluntarily excluded from participation in this transaction, in addition to other remedies available by the Federal Government.

| Contract Number | C202316 |
|-----------------|---------|
| County Mod      | Be      |

### **DEBARMENT CERTIFICATION**

The Contractor certifies to the best of his knowledge and belief, that he and his principals:

- a. Are not presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from covered transactions by any Federal department or agency;
- b. Have not within a three-year period preceding this proposal been convicted of or had a civil judgment rendered against them for commission of fraud or a criminal offense in connection with obtaining, attempting to obtain, or performing a public (Federal, State or local) transaction or contract under a public transaction; violation of Federal or State antitrust statutes or commission of embezzlement, theft, forgery, bribery, falsification or destruction of records; making false statements; or receiving stolen property;
- c. Are not presently indicted for or otherwise criminally or civilly charged by a governmental entity (Federal, State or local) with commission of any of the offenses enumerated in paragraph b. of this certification; and
- d. Have not within a three-year period preceding this proposal had one or more public transactions (Federal, State or local) terminated for cause or default.
- e. If status changes, will submit a revised Debarment Certification immediately.

If the Contractor cannot certify that he is not debarred, he shall provide an explanation with this submittal. An explanation will not necessarily result in denial of participation in a contract.

Failure to submit a non-collusion affidavit and debarment certification will result in the Contractor's bid being considered non-responsive.

| Check here if an explanation is attached to this certification. |
|---|
|   |

Contract No C202316

County (ies): Moore

ACCEPTED BY THE DEPARTMENT OF TRANSPORTATION

Contract Officer

7/13/09

Date

Execution of Contract and Bonds Approved as to Form:

Attorney General

Signature Sheet (Bid - Acceptance by Department)

(Rev 7-10-08)

 Contract No.
 C202316

 County
 MOORE

### CONTRACT PAYMENT BOND

| Date of Payment Bond Execution             | July 6, 2009   |
|--|--|
| Name of Principal Contractor               | S. T. Wooten Corporation   |
| Name of Surety:                            | Safeco Insurance Co. of America                                      |
|  | 2359 Perimeter Pointe Parkway, Suite 160                             |
|  | Charlotte, NC 28208  |
|  |  |
| Name of Contracting Body:                  | North Carolina Department of Transportation                          |
| Name of Contracting Body:                  | North Carolina Department of Transportation  Raleigh, North Carolina |
| Name of Contracting Body:  Amount of Bond: |  |
|  | Raleigh, North Carolina  |

KNOW ALL MEN BY THESE PRESENTS, That we, the PRINCIPAL CONTRACTOR (hereafter, PRINCIPAL) and SURETY above named, are held and firmly bound unto the above named Contracting Body, hereinafter called the Contracting Body, in the penal sum of the amount stated above for the payment of which sum well and truly to be made, we bind ourselves, our heirs, executors, administrators, and successors, jointly and severally, firmly by these presents.

THE CONDITION OF THIS OBLIGATION IS SUCH, that whereas the principal entered into a certain contract with the Contracting Body, numbered as shown above and hereto attached:

NOW THEREFORE, if the principal shall promptly make payment to all persons supplying labor and material in the prosecution of the work provided for in said contract, and any and all duly authorized modifications of said contract that may hereafter be made, notice of which modifications to the surety being hereby waived, then this obligation to be void; otherwise to remain in full force and virtue.

IN WITNESS WHEREOF, the above-bound parties have executed this instrument under their several seals on the date indicated above, the name and corporate seal of each corporate party being hereto affixed and these presents duly signed by its undersigned representative, pursuant to authority of its governing body.

| Contract No. C202316 County MOORE         | (Rev 7-10-08)   |  |  |  |  |  |
|---|---|--|--|--|--|--|
| CONTRACT PAYMENT BOND                     |   |  |  |  |  |  |
| Affix Seal of Surety Company              | Safeco Insurance Co. of America Print or type Surety Company Name |  |  |  |  |  |
|   | By Debra L. Ritter  |  |  |  |  |  |
|   | Print, stamp or type name of Attorney-in-Fact                     |  |  |  |  |  |
|   | Debra S. Ritter, Attorney-in-Fact                                 |  |  |  |  |  |
|   | Signature of Attorney-in-Fact                                     |  |  |  |  |  |
|   |   |  |  |  |  |  |
| Signature of Witness                      |   |  |  |  |  |  |
|   |   |  |  |  |  |  |
| Ramona Fewell Print or type Witness' Name |   |  |  |  |  |  |
|   |   |  |  |  |  |  |

Thomas Rutherfoord, Inc.
6230 Fairview Road Suite 230
Charlotte, NC 28210
Address of Attorney-in-Fact

| 1   | (D. 710.00)   |  |  |  |
|---|---------------|--|--|--|
| Contract No. C202316  | (Rev 7-10-08) |  |  |  |
| County MOORE  |               |  |  |  |
| CONTRACT PAYMENT BOND   |               |  |  |  |
| CORPORATION   |               |  |  |  |
| SIGNATURE OF CONTRACTOR (Principal)   |               |  |  |  |
| S. T. Wooten Corporation  |               |  |  |  |
| Full name of Corporation  |               |  |  |  |
| Post Office Box 2408; Wilson, North Carolina 27894-2408                         |               |  |  |  |
| Adress as prequalified  |               |  |  |  |
| By: MA  |               |  |  |  |
| Signature of President, Vice President, Assistant V<br>Select appropriate title | ice President |  |  |  |
|   |               |  |  |  |
|   |               |  |  |  |
| HENRY D. BUTTS, JR.  Print or type Signer's r                                   | name          |  |  |  |
|   |               |  |  |  |
|   |               |  |  |  |
| Affix Corporate Seal  |               |  |  |  |
| <b>3</b> 1 <b>1</b>   |               |  |  |  |

3

Signature of Secretary, Assistant Secretary
Select appropriate title Vice President

RICHARD E. VICK
Print or type Signer's name

Contract No.
County

C202316 MOORE

### CONTRACT PERFORMANCE BOND

| Date of Payment Bond Execution:            | July 6, 2009   |  |
|--|--|--|
| Name of Principal Contractor:              | S. T. Wooten Corporation   |  |
| Name of Surety:                            | Safeco Insurance Co. of America                                      |  |
|  | 2359 Perimeter Pointe Parkway, Suite 160                             |  |
|  | Charlotte, NC 28208  |  |
|  | North Carolina Department of Transportation                          |  |
| Name of Contracting Body:                  | North Carolina Department of Transportation                          |  |
| Name of Contracting Body:                  | North Carolina Department of Transportation  Raleigh, North Carolina |  |
| Name of Contracting Body:  Amount of Bond: |  |  |
|  | Raleigh, North Carolina  |  |

KNOW ALL MEN BY THESE PRESENTS, That we, the PRINCIPAL CONTRACTOR (hereafter, PRINCIPAL) and SURETY above named, are held and firmly bound unto the above named Contracting Body, hereinafter called the Contracting Body, in the penal sum of the amount stated above for the payment of which sum well and truly to be made, we bind ourselves, our heirs, executors, administrators, and successors, jointly and severally, firmly by these presents.

THE CONDITION OF THIS OBLIGATION IS SUCH that whereas the principal entered into a certain contract with the Contracting Body, numbered as shown above and hereto attached:

NOW THEREFORE, if the principal shall well and truly perform and fulfill all the undertakings, covenants, terms, conditions, and agreements of said contract during the original term of said Contract and any extensions thereof that may be granted by the Contracting Body, with or without notice to the Surety, and during the life of any guaranty required under the contract, and shall also well and truly perform and fulfill all the undertakings, covenants, terms, conditions, and agreements of any and all duly authorized modifications of said contract that may hereafter be made, notice of which modifications to the surety being hereby waived, then this obligation to be void; otherwise to remain in full force and virtue.

IN WITNESS WHEREOF, the above-bound parties have executed this instrument under their several seals on the date indicated above, the name and corporate seal of each corporate party being hereto affixed and these presents duly signed by it undersigned representative, pursuant to authority of its governing body.

|                           |                         |     | (Rev 7-10-08)                                 |  |  |  |
|---------------------------|-------------------------|-----|---|--|--|--|
| Contract No.              | C202316                 |     |   |  |  |  |
| County                    | MOORE                   |     |   |  |  |  |
|                           |                         |     |   |  |  |  |
| CONTRACT PERFORMANCE BOND |                         |     |   |  |  |  |
| Affix Seal c              | of Surety Company       |     | Safeco Insurance Co. of America               |  |  |  |
|                           |                         |     | Print or type Surety Company Name             |  |  |  |
|                           |                         | Ву_ | Debra S. Ritter                               |  |  |  |
|                           |                         |     | Print, stamp or type name of Attorney-in-Fact |  |  |  |
|                           |                         |     |   |  |  |  |
|                           |                         |     | Debra S. Ritter, Attorney-in-Fact             |  |  |  |
|                           |                         | _   | Signature of Attorney-in-Fact                 |  |  |  |
|                           |                         |     |   |  |  |  |
|                           |                         |     |   |  |  |  |
|                           |                         |     |   |  |  |  |
|                           |                         |     |   |  |  |  |
| Ja                        | Signature of witness    |     |   |  |  |  |
| Ramor                     | na Fewell               |     |   |  |  |  |
|                           | t or type Witness' Name |     |   |  |  |  |
| 11111                     | of type withess manie   |     |   |  |  |  |
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|                           |                         |     |   |  |  |  |
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|                           |                         |     |   |  |  |  |

Thomas Rutherfoord, Inc.
6230 Fairview Road Suite 230
Charlotte, NC 28210
Address of Attorney-in-Fact

|   | (Rev 7-10-08)  |  |  |  |  |
|---|--|--|--|--|--|
| Contract No.                                | C202316  |  |  |  |  |
| County                                      | MOORE  |  |  |  |  |
|   |  |  |  |  |  |
|   | CONTRACT PERFORMANCE BOND  |  |  |  |  |
|   | CORPORATION  |  |  |  |  |
|   | SIGNATURE OF CONTRACTOR (Principal)                              |  |  |  |  |
| S. T. Woot                                  | en Corporation   |  |  |  |  |
|   | Full name of Corporation   |  |  |  |  |
|   |  |  |  |  |  |
|   |  |  |  |  |  |
| Post Office                                 | Box 2408; Wilson, North Carolina 27894-2408                      |  |  |  |  |
|   | Adress as prequalified   |  |  |  |  |
|   |  |  |  |  |  |
|   | ву:  |  |  |  |  |
|   | Signature of President, Vice President, Assistant Vice President |  |  |  |  |
|   | Select appropriate title   |  |  |  |  |
|   |  |  |  |  |  |
|   |  |  |  |  |  |
|   |  |  |  |  |  |
|   | HENRY D. BUTTS, JR.  |  |  |  |  |
|   | Print or type Signer's name                                      |  |  |  |  |
|   |  |  |  |  |  |
|   |  |  |  |  |  |
|   |  |  |  |  |  |
|   | Affix Corporate Seal   |  |  |  |  |
|   |  |  |  |  |  |
|   |  |  |  |  |  |
|   |  |  |  |  |  |
| Attest                                      | Julan E. Val   |  |  |  |  |
| Signature of Secretary, Assistant Secretary |  |  |  |  |  |
| Select appropriate title Vice President     |  |  |  |  |  |
|   |  |  |  |  |  |
|   |  |  |  |  |  |
|   |  |  |  |  |  |
|   |  |  |  |  |  |

RICHARD E. VICK

Print or type Signer's name



### POWER OF ATTORNEY

Safeco Insurance Company of America General Insurance Company of America 1001 4th Avenue Suite 1700 Seattle, WA 98154

| KNOW ALL BY THESE  | PRESENTS.   | No12  | 2642  |   |
|--|---|---|---|---|
| That SAFECO INSURA   | NCE COMPANY OF AMERICA<br>does each hereby appoint  | and GENERAL INSU  | RANCE COMPANY (   | OF AMERICA, each a  |
|  | FEWELL; RAYMOND J. GARRU<br>GELA M. YOUNT; Charlotte, No  |   |   |   |
| documents of a similar ch  | ey(s)-in-fact, with full authority to enaracter issued in the course of its   | business, and to bind the   | respective company th   | nereby.   |
|  | OF, SAFECO INSURANCE COM<br>Recuted and attested these pres   |   | and GENERAL INSU  | RANCE COMPANY OF  |
| this   | 21st  | day of  |   | , 2009 .  |
| Dexter & fayy  |   | TAM   | Dolajews  | u'  |
| Dexter R. Legg, Secreta  |   |   | Mikolajewski, Vice Pr   | esident   |
|  | Extract from the By-Laws of SA  | CERTIFICATE<br>FECO INSURANCE CON<br>JRANCE COMPANY OF  |   |   |
| President appointed for the attorneys-in-fact or under other documents of similar such appointment, the sundertaking of the comp | IDELITY AND SURETY BONDS nat purpose by the officer in charg other appropriate titles with author character issued by the companignatures may be affixed by facs any, the seal, or a facsimile there seal shall not be necessary to the | e of surety operations, shority to execute on beha<br>by in the course of its busisimile. On any instrumented, may be impressed | all each have authority<br>if of the company fideliness On any instrum<br>it conferring such author author author author any or affixed or in any o | y to appoint individuals as<br>lity and surety bonds and<br>nent making or evidencing<br>hority or on any bond or<br>ther manner reproduced |
|  | n a Resolution of the Board of Dire<br>and of <b>GENERAL INSURANCE CO</b>   |   |   | AMERICA   |
| (ii) The provision<br>(ii) A copy of the<br>(iii) Certifying tha   | ted by the Secretary or an assistar<br>s of Article V, Section 13 of the By<br>power-of-attorney appointment, e<br>t said power-of-attorney appointme<br>ving officer may be by facsimile, ar   | -Laws, and<br>executed pursuant thereto<br>ent is in full force and effe  | , and<br>ct,  | hereof."  |
| OF AMERICA, do hereby  | retary of SAFECO INSURANCE C<br>certify that the foregoing extracts<br>wer of Attorney issued pursuant the<br>in full force and effect.   | of the By-Laws and of a F   | Resolution of the Board   | of Directors of these   |
| IN WITNESS WHEREOF   | I have hereunto set my hand ar  | nd affixed the facsimile  | seal of said corporati  | ion   |
| th   | is6th   | day of  | July_   |   |
| SEAL SEAL  | CORPORATE SEAL  |   | Dexter 8.1  | લ્યુ  |

Dexter R. Legg, Secretary