# C201985

# STATE OF NORTH CAROLINA DEPARTMENT OF TRANSPORTATION RALEIGH, N.C.

# CONTRACT AND CONTRACT BONDS

FOR CONTRACT NO. C201985

**WBS** 

8CR.10621.8, 8CR.20621.8 STATE FUNDED

COUNTY OF

**MONTGOMERY** 

THIS IS THE

**ROADWAY CONTRACT** 

**ROUTE NUMBER** 

US 220

LENGTH

34.570 MILES

LOCATION

1 SECTION OF US-220 NB, 6 SECTIONS OF NC-24/27, 2 SECTIONS

OF NC-109 & 3 SECTIONS OF SECONDARY ROADS.

CONTRACTOR

S. T. WOOTEN CORPORATION

**ADDRESS** 

P.O. BOX 2408

WILSON, NC 278942408

BIDS OPENED

JANUARY 15, 2008

CONTRACT EXECUTION

FEB 1 4 2008

NCDOT/berc

# S. T. Wooten Corporation (3760).

# Vendor 4 of 5 Vendors for Call Order 015 (Proposal ID C201985).

County: MONTGOMERY

Address: PO Box 2408

Wilson, NC 27894

Phone Number: 252-291-5165

Signature Check: This bid has been properly signed by Richard\_E.\_Vick\_3760.

Time Bid Received: Tue Jan 15 11:17:04 2008

Bid Checksum: 8100A435

Amendment Count: 0

Bidding Errors: No bidding errors,

Bid Total: \$2,907,075.25

Bond ID: 8229-2PF9-AHTA-XKNY

Bond was Verified: Yes.
Bond Required Percent: 5.00%
Minimum Check Amount: \$145,353.76

Bid Security Maximum: \$

Bond Agency Name:

Agency Execution Date: 1/15/08

Surety Name: Safeco Insurance Company of America

Surety State of Incorporation:

Bond Company:

Bond Type:

Bond Appr Flag: Bond Affirm:

Bond was Paid by Check: No.

MBE GOAL SET - 4.0 % MBE GOAL MET - 4.0 %

WHE GOAL MET - 4.0 %

## LIST OF MBE PARTICIPANTS

VENDOR NUMBER		ORK ODE TY	PE OF	WORK	CERT TYPE	AMOUNT
6920	M & W OLIVAR TRUCKING INC				Sub	27,000.00
3872	2415 LEMON SPRINGS RD , SANFORD, DURANT TRUCKING		334		Sub	27,000.00
6922	321 E FOREST DR , SANFORD, NC 273 FIRST STEP TRUCKING INC				Sub	18,000.00
6197	2415 LEMON SPRINGS , SANFORD, NC PRINCE INC				Sub	27,000.00
4765	4233 NEW BRIGHTON DR , APEX, NC 2 ALSTON'S HAULING, INC				Sub	18,000.00
4	5441 RIVES CHAPEL CHURCH ROAD , S	SILER	CITY,		.4 OTAL:	\$117,000 4.02%

# LIST OF WBE PARTICIPANTS

VENDOR NUMBER	222 11112	WORK CODE TYPI	E OF	WORK	CERT TYPE	AMOUNT
2446	HAROLD A. PURYEAR TRUCKING CO. 5844 LEASE LANE , RALEIGH, NC 2	7617			Sub	48,985.20
1968	MURRAY ENTERPRISES, INC. 1231 SHAMROCK DRIVE , BURLINGTO		215		Sub	3,960.00
2976	CAROLINA ENVIRONMENTAL CONTRACT POST OFFICE BOX 1905 , MT. AIRY				Sub	46,784.00
3765	STAY ALERT SAFETY SERVICES INC POST OFFICE BOX 467 , KERNERSVI			5	Sub	10,825.00
10186					Sub	20,164.00
•	JIS MILLE SILLIN NORD , ITTIBOOK	0, 110 27			TOTAL:	\$130,718.20 4.50%

# Miscelleneous Data Info - Contractor Responses:

# NON-COLLUSION AND DEBARMENT CERTIFICATION

Explanation of the prospective bidder that is unable to certify to any of the statements in this certification:

Explanation:

NOT ANSWERED

NOT ANSWERED

NOT ANSWERED

NOT ANSWERED

# AWARD LIMITS ON MULTIPLE PROJECTS

It is the desire of the Bidder to be awarded contracts, the value of which will not exceed a total of NOT ANSWERED for those

Vendor 3760's Load Output for Call 015, Letting L080115, 2008-01-15 NCDOT/berc

projects indicated herein, for which bids will be opened on

(MM/DD/YY)

The Award Limits shall apply to the following projects:

Contract Number

County

NOT ANSWERED

NOT ANSWERED NOT ANSWERED

NOT ANSWERED

NOT ANSWERED

NOT ANSWERED

# Bid Bond Data Info - Contractor Responses:

\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

BondID: 8229-2PF9-AHTA-XKNY

Surety Registry Agency: SurePathNetwork

Verified?: Yes

Surety Agency: Safeco Insurance Company of America Bond Execution Date: 1/15/08

\$145,353.76 (Five Percent of Bid) Bond Amount:

Date: 12-18-07 Revised:

State of NC
Dept of Transportation
Contract ID: C201985 Project(s): STATE FUNDED
Letting Date: 01-15-08 Call Order: 015
Bidder: 3760 - S. T. Wooten Corporation

Line No.		Approx. Quantity and Units	Unit Price Dollars   Cts	Bid Amount Dollars  Ct
Section	on 0001 ROADWAY ITEM	S		
0001	0000100000-N MOBILIZATIO	LUMP	LUMP	50,000.00
0002	1220000000-E INCIDENTAL STONE BASE	1,015.000 TON	25.00000	25,375.00
	1245000000-E SHOULDER RECONSTRUCTION	47.160 SMI	3,500.00000	165,060.00
0004	1297000000-E MILLING ASPHALT PAVEMENT, ***"DEPTH (2")	51,110.000 SY	1.50000	76,665.00
	1308000000-E MILLING ASPHALT PAVEMENT, ***"TO *****" DEPTH (0" TO 3")	46,525.000 SY	1.75000	81,418.75
0006	1330000000-E INCIDENTAL MILLING	1,000.000 SY	7.00000	7,000.00
	1519000000-E ASPHALT CONC SURFACE COURSE, TYPE S9.5B	23,100.000 TON	35.00000	808,500.00
	1523000000-E ASPHALT CONC SURFACE COURSE, TYPE S9.5C	12,180.000 TON	34.00000	414,120.00
	1525000000-E ASPHALT CONC SURFACE COURSE, TYPE SF9.5A	5,500.000 TON	34.00000	187,000.00
0010	1560000000-E ASPHALT BINDER FOR PLANT MIX, GRADE PG 64-22	1,743.000 TON	325.00000	566,475.00
	1565000000-E ASPHALT BINDER FOR PLANT MIX, GRADE PG 70-22	731.000 TON	340.00000	248,540.00

Check: 8100A435 Page

State of NC Dept of Transportation

Date: 12-18-07

Revised:

Contract ID: C201985 Project(s): STATE FUNDED Letting Date: 01-15-08 Call Order: 015
Bidder: 3760 - S. T. Wooten Corporation

Line		Approx. Quantity		Unit Price	Bid Amount
No.	Description		and Units	Dollars   Cts	Dollars  Ct
0012	1704000000-E PATCHING EXISTING PAVEMENT	TON	140.000	150.00000	21,000.00
0013	2600000000-N RETROFIT EXISTING WHEELCHAIR RAMPS	EA	56.000	400.00000	22,400.00
0014	2605000000-N CONCRETE WHEELCHAIR RAMPS	EA	5.000	1,100.00000	5,500.00
0015	2830000000-N ADJUSTMENT OF MANHOLES	EA	18.000	612.00000	11,016.00
0016	2845000000-N ADJUSTMENT OF METER BOXES OR VALVE BOXES	EA	6.000	608.00000	3,648.00
	4685000000-E THERMOPLAST IC PAVEMENT MARKINGLINES (4", 90 MILS)	LF	196,650.000	0.29000	57,028.50
0018	4686000000-E THERMOPLAST IC PAVEMENT MARKING LINES (4", 120 MILS)		190,025.000	0.35000	66,508.75
0019	4695000000-E THERMOPLAST IC PAVEMENT MARKINGLINES (8", 90 MILS)	LF	560.000	1.50000	840.00
0020	4705000000-E THERMOPLAST IC PAVEMENT MARKINGLINES (16", 120 MILS)	LF	100.000	3.50000	350.00
	4710000000-E THERMOPLAST IC PAVEMENT MARKINGLINES (24", 120 MILS)	LF	490.000	6.00000	2,940.00
0022	4721000000-E THERMOPLAST IC PAVEMENT MARKINGCHARACTER (120 MILS)	EA	4.000	100.00000	400.00
0023	4725000000-E THERMOPLAST IC PAVEMENT MARKINGSYMBOL (90 MILS)	EA	111.000	90.00000	9,990.00

State of NC Dept of Transportation

Date: 12-18-07

Revised:

Contract ID: C201985 Project(s): STATE FUNDED
Letting Date: 01-15-08 Call Order: 015
Bidder: 3760 - S. T. Wooten Corporation

Line   Item   Description   Quantity   Dollars   Cts   Dollars   Ct		•	_			
and Units   Dollars   Cts   Dollars   Ct		1			Unit Price	Bid Amount
0024   PAVEMENT MARKING LINES   234,975.000   0.07000   16,448.25	NO.	Descripcion			Dollars   Cts	Dollars  Ct
0025   RAISED PAVEMENT	0024	PAVEMENT MARKING LINES	LF	234,975.000	0.07000	16,448.25
0026   MULCHING   34.400   1,360.00000   46,784.00	0025	RAISED PAVEMENT	EA	2,027.000	4.00000	8,108.00
0027   LOOP SAWCUT	0026		ACR		1,360.00000	46,784.00
	0027		LF	1,100.000	3.60000	3,960.00
Bid Total 2,907,075.25		  Section 0001 Total				2,907,075.25
		Bid Total				2,907,075.25

### NON-COLLUSION AND DEBARMENT CERTIFICATION

The bidder certifies that neither he, nor any official, agent or employee of the bidder has entered into any agreement, participated in any collusion, or otherwise taken any action which is in restraint of free competitive bidding in connection with this bid, and that the bidder intends to do the work with its own bonafide employees or subcontractors and is not bidding for the benefit of another contractor. In addition, submitting this electronic bid constitutes the bidder's certification of Status under penalty of perjury under the laws of the United States and in accordance with the Debarment Certification on file with the Department.

By submitting this bid, the bidder certifies to the best of his knowledge and belief that he and his principals:

- a. Are not presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from covered transactions by any Federal department or agency;
- b. Have not within a three-year period preceding this proposal been convicted of or had a civil judgment rendered against them for commission of fraud or a criminal offense in connection with obtaining, attempting to obtain, or performing a public (Federal, State or local) transaction or contract under a public transaction; violation of Federal or State antitrust statutes or commission of embezzlement, theft, forgery, bribery, falsification or destruction of records; making false statements; or receiving stolen property;
- c. Are not presently indicted for or otherwise criminally or civilly charged by a governmental entity (Federal, State or local) with commission of any of the offenses enumerated in paragraph b. of this certification; and
- d. Have not within a three-year period preceding this proposal had one or more public transactions (Federal, State or local) terminated for cause or default.

Where the prospective bidder is unable to certify to any of the statements in this certification, the bidder shall submit an explanation in the blanks provided herein. The explanation will not necessarily result in denial of participation in a contract.

Explanation: NOT ANSWERED NOT ANSWERED NOT ANSWERED NOT ANSWERED

If the prequalified bidder's status changes, he shall immediately submit a new fully executed non-collusion affidavit and debarment certification with an explanation of the change to the Contract Office prior to submitting the bid.

Failure to furnish a certification or an explanation will be grounds for rejection of a bid

Check: 8100A435 Page

### AWARD LIMITS ON MULTIPLE PROJECTS

By answering YES to this statement, the bidder acknowleges that they are using the award limits on multiple projects. No

A bidder who desires to bid on more than one project on which bids are to be opened on the same date, and who also desires to avoid receiving an award of more projects than he is equipped to handle, may bid on any number of projects but may limit the total amount of work awarded to him on selected projects by completing the AWARD LIMITS ON MULTIPLE PROJECTS.

The Award Limits on Multiple Projects must be filled in on each project bid for which the Bidder desires protection.

It is the desire of the Bidder to be awarded contracts, the value of which

will not exceed a total of NOT ANSWERED for those

projects indicated herein, for which bids will be opened on

(MM/DD/YY)

The Award Limits shall apply to the following projects:

Contract Number

County

NOT ANSWERED

NOT ANSWERED

NOT ANSWERED

NOT ANSWERED

NOT ANSWERED

NOT ANSWERED

It is agreed that if I am (we are) the low Bidder(s) on indicated projects, the total value of which is more than the above stipulated award limits, the Board of Transportation will award me (us) projects from among those indicated that have a total value not to exceed the award limit and will result in the lowest total bids to the Department of Transportation.

# Fuel Price Adjustment Opt-Out Clause

Bidders have the option to accept or reject Fuel Price Adjustments in accordance with the requirements of Article 109-8 Fuel Price Adjustments and the provisions in the contract. To indicate the choice, the bidder shall enter an X in one of the blocks below.

The bidder will not be permitted to change the option after the bid is accepted.

Enter X in one box only:

Yes, the bidder accepts fuel price adjustments for this project in accordance with Article 109-8.

No, the bidder declines fuel price adjustments for this project.

In the event the bidder does not enter an X in either box, the bidder will receive fuel adjustments for the items shown in the contract.

# NORTH CAROLINA STATE DEPARTMENT OF TRANSPORTATION MBE COMMITMENT ITEMS

DATE: 12-18-07 PAGE: 7

LETTING: L080115

CALL: 015

VENDOR: 3760 S. T. Wooten Corporation

LINE ITEM NO. NO.	ITEM DESC.	UNIT TYPE	SUBCONTRACTOR QUANTITY	SUBCONTRACT UNIT PRICE	OR EXTENDED AMOUNT
MBE SUBCONTRACTOR: Will Use Quote: Ye		LIVAR	TRUCKING INC		
0007 1519000000-E Haul asphalt to			3000.000	9.000	27000.00
MBE COMMITME	NT TOTAL FOR S	SUBCON VENDOR	TRACTOR: C (SubContractor	· )	27,000.00 27,000.00
MBE SUBCONTRACTOR: Will Use Quote: Ye		TRUCKI	ING		
0007 1519000000-E Haul asphalt to			3000.000	9.000	27000.00
MBE COMMITME	NT TOTAL FOR S	SUBCON VENDOR	TRACTOR: (SubContractor	÷ ()	27,000.00 27,000.00
MBE SUBCONTRACTOR: Will Use Quote: Ye		TEP TR	UCKING INC		
0007 1519000000-E Haul asphalt to			2000.000	9.000	18000.00
MBE COMMITMEN	NT TOTAL FOR S	SUBCON ZENDOR	TRACTOR: (SubContractor	· )	18,000.00 18,000.00
MBE SUBCONTRACTOR: Will Use Quote: Yes		INC			
0007 1519000000-E Haul asphalt to				9.000	27000.00
MBE COMMITMEN	NT TOTAL FOR S	SUBCON ENDOR	TRACTOR: (SubContractor	• )	27,000.00 27,000.00
MBE SUBCONTRACTOR: Will Use Quote: Yes		HAUL	ING, INC		The second
0007 1519000000-E	ASP CONC SUR	TON	2000.000	9.000	00 18000.00
	NT TOTAL FOR S		TRACTOR: (SubContractor	· )	18,000.00

TOTAL MBE COMMITMENT FOR VENDOR:

Entered:

4.02% or

117000.00

Required:

4.00% or

116283.01

<GOAL MET>

Check: 8100A435 Page 7

# NORTH CAROLINA STATE DEPARTMENT OF TRANSPORTATION

DATE:12-18-07 PAGE: 8 WBE COMMITMENT ITEMS

PROPOSAL: C201985

LETTING: L080115 CALL: 015 VENDOR: 3760 S. T. Wooten Corporation

\_\_\_\_\_ LINE ITEM UNIT SUBCONTRACTOR SUBCONTRACTOR EXTENDED AMOUNT NO. NO. DESC. TYPE QUANTITY UNIT PRICE \_\_\_\_\_\_ WBE SUBCONTRACTOR: 2446 HAROLD A. PURYEAR TRUCKING CO. Will Use Quote: Yes 0010 1560000000-E ASP FOR PLAN TON 1743.000 19.80000 34511.40 0011 1565000000-E ASP FOR PLAN TON 731.000 19.80000 14473.80 48,985.20 WBE COMMITMENT TOTAL FOR SUBCONTRACTOR: 48,985.20 WBE COMMITMENT TOTAL FOR VENDOR (SubContractor) WBE SUBCONTRACTOR: 1968 MURRAY ENTERPRISES, INC. Will Use Quote: Yes 0027 7444000000-E INDUCTIVE LO LF 1100.000 3.60000 3960.00 3,960.00 WBE COMMITMENT TOTAL FOR SUBCONTRACTOR: WBE COMMITMENT TOTAL FOR VENDOR (SubContractor ) 3,960.00 WBE SUBCONTRACTOR: 2976 CAROLINA ENVIRONMENTAL CONTRACTING, Will Use Quote: Yes 34.400 1360.00000 46784.00 0026 6084000000-E SEEDING AND ACR 46,784.00 WBE COMMITMENT TOTAL FOR SUBCONTRACTOR: 46,784.00 WBE COMMITMENT TOTAL FOR VENDOR (SubContractor) WBE SUBCONTRACTOR: 3765 STAY ALERT SAFETY SERVICES INC Will Use Quote: Yes 1.000 10825.00000 10825.00 0001 0000100000-N MOBILIZATION LS WBE COMMITMENT TOTAL FOR SUBCONTRACTOR:
WBE COMMITMENT TOTAL FOR VENDOR (SubContractor) 10,825.00 10,825.00 WBE SUBCONTRACTOR: 10186 SLM CONSTRUCTION, INC. Will Use Quote: Yes 0014 2605000000-N CONC WHEELCH EA 0015 2830000000-N ADJ MANHOLES EA 0016 2845000000-N ADJ METER OR EA 5500.00 1100.00000 5.000 612.00000 11016.00 18.000 6.000 608.00000 3648.00 20,164.00 WBE COMMITMENT TOTAL FOR SUBCONTRACTOR: 20,164.00 WBE COMMITMENT TOTAL FOR VENDOR (SubContractor ) 4.50% or 130718.20 4.00% or 116283.01 TOTAL WBE COMMITMENT FOR VENDOR: Entered: Required: <GOAL MET>

Check: 8100A435 Page

Feb 11, 2008 1:43 pm

# North Carolina Department Of Transportation

Page: 1 of 2

**Contract Item Sheets For C201985** 

Amount Bid	Unit Bid Price	Quantity Unit	Description	Sec	ltemNumber	Line
	Trice	Ont	ROADWAY ITEMS	#		#
1			NOADWAT ITEMS			
50,000.00	50,000.00	Lump Sum LS	MOBILIZATION	800	0000100000-N	0001
25,375.00	25.00	1,015 TON	INCIDENTAL STONE BASE	545	1220000000-E	0002
165,060.00	3,500.00	47.16 SMI	SHOULDER RECONSTRUCTION	SP	1245000000-E	0003
76,665.00	1.50	51,110 SY	MILLING ASPHALT PAVEMENT, ***** DEPTH (2")	607	1297000000-E	0004
81,418.75	1.75	46,525 SY	MILLING ASPHALT PAVEMENT, ***" TO ******* DEPTH (0" TO 3")	607	1308000000-E	0005
7,000.00	7.00	1,000 SY	INCIDENTAL MILLING	607	133000000-E	0006
808,500.00	35.00	23,100 TON	ASPHALT CONC SURFACE COURSE, TYPE S9.5B	610	1519000000-E	0007
414,120.00	34.00	12,180 TON	ASPHALT CONC SURFACE COURSE, TYPE S9.5C	610	1523000000-E	0008
187,000.00	34.00	5,500 TON	ASPHALT CONC SURFACE COURSE, TYPE SF9.5A	610	1525000000-E	0009
566,475.00	325.00	1,743 TON	ASPHALT BINDER FOR PLANT MIX, GRADE PG 64-22	620	1560000000-E	 0010
248,540.00	340.00	731 TON	ASPHALT BINDER FOR PLANT MIX, GRADE PG 70-22	620	1565000000-E	0011
21,000.00	150.00	140 TON	PATCHING EXISTING PAVEMENT	SP	1704000000-E	0012
22,400.00	400.00	56 EA	RETROFIT EXISTING WHEELCHAIR RAMPS	SP	260000000-N	0013
5,500.00	1,100.00	5 EA	CONCRETE WHEELCHAIR RAMPS	848	2605000000-N	0014
11,016.00	612.00	18 EA	ADJUSTMENT OF MANHOLES	858	2830000000-N	0015
3,648.00	608.00	6 EA	ADJUSTMENT OF METER BOXES OR VALVE BOXES	858	2845000000-N	0016
57,028.50	0.29	196,650 LF	THERMOPLASTIC PAVEMENT MARKING LINES (4", 90 MILS)	1205	4685000000-E	0017
66,508.7	0.35	190,025 LF	THERMOPLASTIC PAVEMENT MARKING LINES (4", 120 MILS)	1205	4686000000-E	0018

Feb 11, 2008 1:43 pm

# North Carolina Department Of Transportation

Contract Item Sheets For C201985

			Contract Item Sheets For C201			
Amou B	Unit Bid Price	Quantity Unit	Description	Sec #	ItemNumber	Line #
840.0	1.50	560 LF	THERMOPLASTIC PAVEMENT MARKING LINES (8", 90 MILS)	1205	4695000000-E	0019
350.0	3.50	100 LF	THERMOPLASTIC PAVEMENT MARKING LINES (16", 120 MILS)	1205	4705000000-E	0020
2,940.0	6.00	490 LF	THERMOPLASTIC PAVEMENT MARKING LINES (24", 120 MILS)	1205	4710000000-E	0021
400.0	100.00	4 EA	THERMOPLASTIC PAVEMENT MARKING CHARACTER (120 MILS)	1205	4721000000-E	0022
9,990.0	90.00	111 EA	THERMOPLASTIC PAVEMENT MARKING SYMBOL (90 MILS)	1205	4725000000-E	0023
16,448.	0.07	234,975 LF	PAINT PAVEMENT MARKING LINES (4")	1205	4810000000-E	0024
8,108.	4.00	2,027 EA	PERMANENT RAISED PAVEMENT MARKERS	1251	490000000-N	0025
46,784.	1,360.90	34.4 ACR	SEEDING & MULCHING	1660	6084000000-E	0026
3,960.	3.60	1,100 LF	INDUCTIVE LOOP SAWCUT	1725	7444000000-E	0027

TOTAL AMOUNT OF BID FOR ENTIRE PROJECT

\$2,907,075.25

Page: 2 of 2

1343/Feb11/Q769253.56/D82841100000/E27

Contract Number	C 201985
County Monta	pmery

# EXECUTION OF CONTRACT NON-COLLUSION AFFIDAVIT, AND DEBARMENT CERTIFICATION CORPORATION

The Contractor being duly sworn, solemnly swears (or affirms) that neither he, nor any official, agent or employee has entered into any agreement, participated in any collusion, or otherwise taken any action which is in restraint of free competitive bidding in connection with this Contract, and that the Contractor intends to do the work with his own bonafide employees or subcontractors and did not bid for the benefit of another contractor.

By submitting this Execution of Contract, Non-Collusion affidavit and Debarment Certification, the Contractor is certifying his status under penalty of perjury under the laws of the United States in accordance with the Debarment Certification attached, provided that the Debarment Certification also includes any required statements concerning exceptions that are applicable.

# SIGNATURE OF CONTRACTOR S.T. Wooten Corporation Print or type full name of Corporation By President/Assistant Vice President Douglas W. Godwin M Print or type signer's name Print or type signer's name Print or type signer's name

**CORPORATE SEAL** 

# AFFIDAVIT MUST BE NOTARIZED

	NOTARY SEAL
Subscribed and sworn to before me this the	
8th day of February 2008.	
Carol J. O'g eary Signature of Notary Public	A.
of Pitt County	
State of North Carolina	
My Commission Expires: 3/3/2010  EXECUTION OF CONTRACT	
NON-COLLUSION AFFIDAVIT, AND DEBARMEN	NT CERTIFICATION

			985	
County_	Monta	omery	-	

# DEBARMENT CERTIFICATION OF CONTRACTOR

# Conditions for certification:

- 1. The Contractor shall provide immediate written notice to the Department if at any time the Contractor learns that his certification was erroneous when he submitted his debarment certification or explanation that is on file with the Department, or has become erroneous because of changed circumstances.
- 2. The terms covered transaction, debarred, suspended, ineligible, lower tier covered transaction, participant, person, primary covered transaction, principal, proposal, and voluntarily excluded, as used in this provision, have the meanings set out in the Definitions and Coverage sections of the rules implementing Executive Order 12549. A copy of the Federal Rules requiring this certification and detailing the definitions and coverages may be obtained from the Contract Officer of the Department.
- 3. The Contractor agrees by submitting this form, that he will not knowingly enter into any lower tier covered transaction with a person who is debarred, suspended, declared ineligible, or voluntarily excluded from participation in NCDOT contracts, unless authorized by the Department.
- 4. For Federal Aid projects, the Contractor further agrees that by submitting this form he will include the Federal-Aid Provision titled Required Contract Provisions Federal-Aid Construction Contract (Form FHWA PR 1273) provided by the Department, without subsequent modification, in all lower tier covered transactions.
- 5. The Contractor may rely upon a certification of a participant in a lower tier covered transaction that he is not debarred, suspended, ineligible, or voluntarily excluded from the covered transaction, unless he knows that the certification is erroneous. The Contractor may decide the method and frequency by which he will determine the eligibility of his subcontractors.
- 6. Nothing contained in the foregoing shall be construed to require establishment of a system of records in order to render in good faith the certification required by this provision. The knowledge and information of a participant is not required to exceed that which is normally possessed by a prudent person in the ordinary course of business dealings.
- 7. Except as authorized in paragraph 3 herein, the Department may terminate any contract if the Contractor knowingly enters into a lower tier covered transaction with a person who is suspended, debarred, ineligible, or voluntarily excluded from participation in this transaction, in addition to other remedies available by the Federal Government.

Contract Number_	C 2019	785
County Monta	omery	

## DEBARMENT CERTIFICATION

The Contractor certifies to the best of his knowledge and belief, that he and his principals:

- a. Are not presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from covered transactions by any Federal department or agency;
- b. Have not within a three-year period preceding this proposal been convicted of or had a civil judgment rendered against them for commission of fraud or a criminal offense in connection with obtaining, attempting to obtain, or performing a public (Federal, State or local) transaction or contract under a public transaction; violation of Federal or State antitrust statutes or commission of embezzlement, theft, forgery, bribery, falsification or destruction of records; making false statements; or receiving stolen property;
- c. Are not presently indicted for or otherwise criminally or civilly charged by a governmental entity (Federal, State or local) with commission of any of the offenses enumerated in paragraph b. of this certification; and
- d. Have not within a three-year period preceding this proposal had one or more public transactions (Federal, State or local) terminated for cause or default.
- e. If status changes, will submit a revised Debarment Certification immediately.

If the Contractor cannot certify that he is not debarred, he shall provide an explanation with this submittal. An explanation will not necessarily result in denial of participation in a contract.

Failure to submit a non-collusion affidavit and debarment certification will result in the Contractor's bid being considered non-responsive.

	eck here if an explanation is attached to this ification.
--	---

Contract No: <u>C201985</u>
County: Montgomery
ACCEPTED BY THE DEPARTMENT OF TRANSPORTATION
Rabour
Contract Officer
2/14/08
Date / ' /
Execution of Contract and Bonds Approved as to Form:

Bond No. 6547125

# CONTRACT PAYMENT BOND

Date of Payment:				
Bond Execution:	February 11, 2008			
Name of Principal: Contractor	S. T. Wooten Corporation			
Contractor	Post Office Box 2408 Wilson, NC 27894-2408			
Name of Surety:	Safeco Insurance Company of America			
	2359 Perimeter Pte Parkway Suite 160 Charlotte, NC 28209			
Name of Contracting Body:	North Carolina Department of Transportation			
	Raleigh, North Carolina			
Amount of Bond:	Two Million Nine Hundred Seven Thousand Seventy Five Dollars and 25/100 (\$2,907,075.25	)		
Contract ID No.:	C201985			
County Name(s):	Montgomery County			

KNOW ALL MEN BY THESE PRESENTS, That we, the PRINCIPAL and SURETY above named, are held and firmly bound unto the above named Contracting Body, hereinafter called the Contracting Body, in the penal sum of the amount stated above for the payment of which sum well and truly to be made, we bind ourselves, our heirs, executors, administrators, and successors, jointly and severally, firmly by these presents.

THE CONDITION OF THIS OBLIGATION IS SUCH, that whereas the principal entered into a certain contract with the Contracting Body, numbered as shown above and hereto attached:

NOW THEREFORE, if the principal shall promptly make payment to all persons supplying labor and material in the prosecution of the work provided for in said contract, and any and all duly authorized modifications of said contract that may hereafter be made, notice of which modifications to the surety being hereby waived, then this obligation to be void; otherwise to remain in full force and virtue.

IN WITNESS WHEREOF, the above-bounden parties have executed this instrument under their several seals on the date indicated above, the name and corporate seal of each corporate party being hereto affixed and these presents duly signed by its undersigned representative, pursuant to authority of its governing body.

# CONTRACT PAYMENT BOND

Affix Seal of Surety Company

Safeco Insurance Company of America

Print or type Surety Company Name

By Bobbi D. Pendleton

Print, stamp or type name of Attorney-in-Fact

Signature of Attorney-in-Fact

Signature of witnes

Brian P. Clair

Print or type witness' name



4000 CentreGreen Way Suite 250, Cary, NC 27513 Address of Attorney-in-Fact

# **CONTRACT PAYMENT BOND**

# **CORPORATION**

SIGNATURE OF CONTRACTOR (Principal)

<u>S.</u>	<u>T.</u>	<u>woc</u>	<u>TEN</u>	<u>CORPORATI</u>	<u>ON</u>
-	• ,		C 11	6.0	

Print or type full name of Corporation

Post Office Box 2408; Wilson, North Carolina 27894-2408 Address as prequalified

Signature of Vice President

<u>Henry D. Butts, Jr.</u> Print or type signer's name

Signature of Assistant Secretary

Douglas W. Godwin
Print or type signer's name

County Name(s):

Bond No. 6547125

# CONTRACT PERFORMANCE BOND

Date of Contract Performance Bond Execution: February 11, 2008 Name of Principal: S. T. Wooten Corporation Contractor Post Office Box 2408 Wilson, NC 27894-2408 Name of Surety: Safeco Insurance Company of America 2359 Perimeter Pte Parkway Suite 160 Charlotte, NC 28209 North Carolina Department of Transportation Name of Contracting Body: Raleigh, North Carolina Amount of Bond: Two Million Nine Hundred Seven Thousand Seventy Five Dollars and 25/100 (\$2,907,075.25) Contract ID No.: C201985

KNOW ALL MEN BY THESE PRESENTS, That we, the PRINCIPAL and SURETY above named, are held and firmly bound unto the above named Contracting Body, hereinafter called the Contracting Body, in the penal sum of the amount stated above for the payment of which sum well and truly to be made, we bind ourselves, our heirs, executors, administrators, and successors, jointly and severally, firmly by these presents.

Montgomery County

THE CONDITION OF THIS OBLIGATION IS SUCH that whereas the principal entered into a certain contract with the Contracting Body, numbered as shown above and hereto attached:

NOW THEREFORE, if the principal shall well and truly perform and fulfill all the undertakings, covenants, terms, conditions, and agreements of said contract during the original term of said contract and any extensions thereof that may be granted by the Contracting Body, with or without notice to the Surety, and during the life of any guaranty required under the contract, and shall also well and truly perform and fulfill all the undertakings, covenants, terms, conditions, and agreements of any and all duly authorized modifications of said contract that may hereafter be made, notice of which modifications to the surety being hereby waived, then this obligation to be void; otherwise to remain in full force and virtue.

IN WITNESS WHEREOF, the above-bounden parties have executed this instrument under their several seals on the date indicated above, the name and corporate seal of each corporate party being hereto affixed and these presents duly signed by its undersigned representative, pursuant to authority of its governing body.

# **CONTRACT PERFORMANCE BOND**

Affix Seal of Surety Company

Safeco Insurance Company of America

Print or type Surety Company Name

By Bobbi D. Pendleton

Attorney-in-Fact
Print, stamp, or type name of Attorney-in Fact

Signature of Attorney-in-Fact

Signature of witness

Brian P. Clair

Print or type witness' name



4000 CentreGreen Way Suite 250, Cary, NC 27513
Address of Attorney-in-Fact

Douglas W. Godwin

Print or type signer's name

# **CONTRACT PERFORMANCE BOND**

# **CORPORATION**

SIGNATURE OF CONTRACTOR (Principal)

S. T. WOOTEN CORPORATION					
Print full name of Corporation					
Post Office Box 2408; Wilson, North Carolina 27894-2408					
Address as prequalified					
Ву:					
Signature of Vice President					
Henry D. Butts, Jr.					
Print or type signer's name					
Add the					
Attest: Myllin W Socretory					

Affix Corporate Seal



# POWER OF ATTORNEY

Safeco Insurance Company of America General Insurance Company of America Safeco Plaza Seattle, WA 98185

				ocalic, the solos		
				No. 6547125		
KNOW ALL BY THESE PRESENTS: That SAFECO INSURANCE COMPANY OF AMERICA corporation, does each hereby appoint	and GEN	ERAL INSUI	RANCE CO	MPANY OF AMERIC	A,ea	ch a Washingto
• • • •	obbi D.	Pendleto	n	Ca	ry I	NC
its true and lawful attorney(s)-in-fact, with full authority to			the following	surety bond:		
Surety Bond Number						
Principal		Wooten C	•			
Obligee				ent of Transporta	tion	
Amount of Bond	: See	Bond Form				
IN WITNESS WHEREOF, SAFECO INSURANCE CO AMERICA have each executed and attested these preser		OF AMERIC	CA and GE	NERAL INSURAN	CE C	OMPANY OF
this _	1	1th	day of	February		. 2008
Stephanie Dalley Watser		T	4milo	lajewski		
STEPHANIE DALEY-WATSON, SECRETARY		TIM MIKO	LAJEWSKI	, SENIOR VICE-PR	ESIDE	ENT, SURETY
	CERTIF	ICATE				
Extract from the By-Laws of S and of GENERAL IN						
"Article V, Section 13 FIDELITY AND SURETY BOND Vice President appointed for that purpose by the officindividuals as attorneys-in-fact or under other appropriate bonds and other documents of similar character issued be evidencing such appointment, the signatures may be affibond or undertaking of the company, the seal, or a freproduced; provided, however, that the seal shall not be	er in cha titles with y the com- fixed by fa facsimile	rge of surety a authority to pany in the c acsimile. On thereof, may	y operations execute on ourse of its any instrum be impress	i, shall each have behalf of the compai business On any in lent conferring such sed or affixed or in	authorny fidenstrum authornaut	rity to appoint elity and surety nent making or ority or on any other manner
Extract from a Resolution of the Board of E and of GENERAL INSURANCE					ERIC	A
*On any certificate executed by the Secretary or an assis	tant secre	tary of the C	ompany seti	ing out,		
(i) The provisions of Article V, Section 13 of the (ii) A copy of the power-of-attorney appointment (iii) Certifying that said power-of-attorney appoint the signature of the certifying officer may be by facsimile	, executed	full force and	d effect,	be a facsimile there	eof."	
Stephanie Daley-Watson, Secretary of SAFECO INS COMPANY OF AMERICA, do hereby certify that the Directors of these corporations, and of a Power of Atto Laws, the Resolution and the Power of Attorney are still it	foregoing mey issu	extracts of ed pursuant	the By-Law	s and of a Resolut	ion of	f the Board of
IN WITNESS WHEREOF, I have hereunto set my hand a	and affixed	the facsimil	e seal of sai	d corporation		
this	11th		day of	February	_ • .	2008 .
SURFINE COMPANY OF SERVICE COMPANY						

S-6145 03/06

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STEPHANIE DALEY-WATSON, SECRETARY